

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor—Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, CA 90802**

**Agenda
September 20-23, 2020**

Time Committee Location¹**

SUNDAY, SEPTEMBER 20, 2020

**12:00 p.m. Board of Trustees—Closed Session
Executive Personnel Matters
Government Code §11126(a)(1)**

MONDAY, SEPTEMBER 21, 2020

**10:00 a.m. Board of Trustees—Closed Session
Executive Personnel Matters
Government Code §11126(a)(1)**

TUESDAY, SEPTEMBER 22, 2020

**9:00 a.m. Board of Trustees—Closed Session
Executive Personnel Matters
Government Code §11126(a)(1)

Pending Litigation
Government Code §11126(e)(1)
Akalya Miller v. CSU
Helen Rifat v. CSU
Ibtehsay Utsay v. The California State University System, et al.
Brady Egbert v. CSU
Zhubeen Vakilzadeh v. CSU**

¹ The September 20-23, 2020 meeting will take place entirely virtually via teleconference.

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TUESDAY, SEPTEMBER 22, 2020 (cont.)

9:45 a.m. Committee on Collective Bargaining—Closed Session

Government Code §3596(d)

10:00 a.m. Public Comment—Open Session

11:30 a.m. Committee on Collective Bargaining—Open Session

Consent

Action 1. Approval of Minutes

Action 2. Ratification of the Successor Collective Agreement with Bargaining Unit 11, United Auto Workers, Local 4123

11:35 a.m. Committee on Audit

Consent

Action 1. Approval of Minutes

Information 2. Status Report on Audit and Advisory Services Activities

11:40 a.m. Committee on Committees

Consent

Action 1. Approval of Minutes

Action 2. Amendments to Board of Trustees' Standing Committee Assignments for 2020-2021

11:45 a.m. Lunch Break

12:15 p.m. Committee on Educational Policy

Consent

Action 1. Approval of Minutes

Information 2. Recommended Amendment to Title 5 Regarding Ethnic Studies

Action 3. California State University Board of Trustees Policy for Awarding Honorary Degrees

Action 4. Academic Master Plan Update for a Fast-Track Program at San José State University

Discussion

Information 5. Research, Scholarship and Creative Activity

Information 6. Graduation Initiative 2025

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TUESDAY, SEPTEMBER 22, 2020 (cont.)

- 1:15 p.m. Joint Committee on Governmental Relations and Educational Policy**
Discussion
Action 1. Proposition 16: Allows Diversity as a Factor in Public Employment, Education, and Contracting Decisions. Legislative Constitutional Amendment.
- 1:45 p.m. Committee on Campus Planning, Buildings and Grounds**
Consent
Action 1. Approval of Minutes
Action 2. California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition
Discussion
Information 3. Preliminary Multi-Year Capital Program
- 2:15 p.m. Committee on Finance**
Consent
Action 1. Approval of Minutes
Information 2. California State University Quarterly Investment Report
Discussion
Information 3. Planning for the 2021-2022 Operating Budget
- 3:30 p.m. Committee of the Whole**
Consent
Action 1. Approval of Minutes
Discussion
Action 2. Conferral of Title of Trustee Emerita—Rebecca D. Eisen
Action 3. Conferral of Title of Trustee Emeritus—Hugo N. Morales
- 4:00 p.m. Committee on Institutional Advancement**
Consent
Action 1. Approval of Minutes
Discussion
Information 2. 2020-2021 California State University Trustees’ Award for Outstanding Achievement

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WEDNESDAY, SEPTEMBER 23, 2020

9:00 a.m. Committee on University and Faculty Personnel

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Executive Compensation: Chancellor-select, California State University

9:45 a.m. Board of Trustees

Call to Order

Roll Call

Chair's Report

Chancellor's Report

Academic Senate CSU Report: *Chair—Robert Keith Collins*

California State Student Association Report: *President—Zahraa Khuraibet*

California State University Alumni Council Report: *President—Michelle Power*

Consent

Action 1. Approval of the Minutes of the Board of Trustees Meeting of July 22, 2020

Action 2. Approval of Committee Resolutions as follows:

Committee on Committees

2. Amendments to Board of Trustees' Standing Committee Assignments for 2020-2021

Committee on Educational Policy

3. California State University Board of Trustees Policy for Awarding Honorary Degrees

4. Academic Master Plan Update for a Fast-Track Program at San José State University

Joint Committee on Governmental Relations and Educational Policy

1. Proposition 16: Allows Diversity as a Factor in Public Employment, Education, and Contracting Decisions. Legislative Constitutional Amendment.

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Committee on Campus Planning, Buildings and Grounds

2. California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition

Committee of the Whole

2. Conferral of Title of Trustee Emerita—Rebecca D. Eisen
3. Conferral of Title of Trustee Emeritus—Hugo N. Morales

Committee on University and Faculty Personnel

2. Executive Compensation: Chancellor-select, California State University

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REVISED

Addressing the Board of Trustees

PLEASE NOTE: Due to the Governor’s proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor’s Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, the Board of Trustees meeting will take place entirely virtually beginning on Sunday, September 20, 2020 through Wednesday, September 23, 2020. Members of the board will participate in this meeting remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor’s Office staff, the Dumke Auditorium will not be open to the public during the meeting, and no Trustees or staff will be present in the Auditorium. **Therefore, there will be no public access to the previously noticed meeting site and no in-person public comment.*

Members of the public will still have full opportunity to observe the meeting and to offer public comment as follows:

- Members of the public are encouraged to watch the meeting via [livestream](#) through the Board of Trustees website.
- All public comment (for all committee and plenary sessions) will take place on Tuesday, September 22 at the start of the Board of Trustees’ open sessions (**scheduled to begin at approximately 10:00 a.m.**).
- **Written comments may be e-mailed in advance of the meeting by Friday, September 18, 2020 to trusteesecretariat@calstate.edu.** To the extent possible all written comments will be distributed to members of the Board, or its appropriate committee, prior to the beginning of the Board or committee meeting.
- **Members of the public who wish to offer public comment *during the meeting* may do so virtually/telephonically by providing written request in advance, including their telephone number, by 5:00 p.m. on Friday, September 18 to trusteesecretariat@calstate.edu.** The request should also identify the agenda item the speaker wishes to address, or if the speaker wishes to address the full Board in the plenary session, the notice should state the subject of the intended presentation. The trustee secretariat will call the number provided during the public comment portion for the committee requested. Efforts will be made to accommodate each individual who has requested to speak.

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Public comments must relate to an item on the committee’s agenda. Members of the public may also address the full Board of Trustees during the plenary session on any non-agendized topic that is related to the University. The purpose of public comments is to provide information to the board, and not to evoke an exchange with board members. Questions that board members may have resulting from public comments will be referred to appropriate staff for response.

In fairness to all speakers who wish to speak, and to allow the committees and board to hear from as many speakers as possible, while at the same time conducting the public business of their meetings within the time available, **public comment will be limited to 1 minute per speaker**. Ceding, pooling or yielding remaining time to other speakers is not permitted. Speakers are requested to make the best use of the public comment opportunity and to follow the rules established.

Trustee Secretariat
Office of the Chancellor
401 Golden Shore
Long Beach, CA 90802
Phone: 562-951-4020

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AGENDA

COMMITTEE ON COLLECTIVE BARGAINING

Meeting: 9:45 a.m., Tuesday, September 22, 2020
Virtually via Teleconference—Closed Session
Government Code §3596(d)

11:30 a.m., Tuesday, September 22, 2020
Virtually via Teleconference—Open Session

Christopher Steinhauser, Chair

Douglas Faigin, Vice Chair

Debra S. Farar

Wenda Fong

Jack McGrory

Peter J. Taylor

Open Session— Virtually via Teleconference

- Consent**
1. Approval of Minutes of the Meeting of July 21, 2020, *Action*
 2. Ratification of the Successor Collective Bargaining Agreement with Bargaining Unit 11, United Auto Workers, Local 4123, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 21, 2020

Members Present

Christopher Steinhauser, Chair
Douglas Faigin, Vice Chair
Debra S. Farar
Wenda Fong
Jack McGrory
Peter J. Taylor
Lillian Kimbell, Chair of the Board
Timothy P. White, Chancellor

Public Speakers

Due to the virtual format of the July 21-22, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. There were eleven (11) speakers who commented on items related to Collective Bargaining.

Chair Steinhauser called the Committee on Collective Bargaining to order.

Consent Agenda

Chair Steinhauser presented the consent agenda consisting of the minutes of the May 12, 2020 meeting; and ratification of the successor collective bargaining agreement with Bargaining Unit 6, Teamsters Local 2010. The consent agenda was approved as submitted.

Chair Steinhauser then adjourned the committee meeting.

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COMMITTEE ON COLLECTIVE BARGAINING

Ratification of the Successor Collective Bargaining Agreement with Bargaining Unit 11, United Auto Workers, Local 4123

Presentation By

Evelyn Nazario
Vice Chancellor
Human Resources

Summary

The successor collective bargaining agreement between the California State University and Bargaining Unit 11, United Auto Workers, Local 4123, will be presented to the Board of Trustees for ratification.

Recommended Action

The following resolution is recommended for ratification:

RESOLVED, by the Board of Trustees of the California State University, that the successor collective bargaining agreement between the California State University and Bargaining Unit 11, United Auto Workers, Local 4123, is hereby ratified.

AGENDA

COMMITTEE ON AUDIT

Meeting: 11:35 a.m., Tuesday, September 22, 2020
Virtually via Teleconference

Adam Day, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Jean P. Firstenberg
Jack McGrory
Hugo N. Morales
Peter J. Taylor

Consent

1. Approval of Minutes of the Meeting of July 21, 2020, *Action*
2. Status Report on Audit and Advisory Services Activities, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON AUDIT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 21, 2020

Members Present

Adam Day, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Jean P. Firstenberg
Jack McGrory
Hugo N. Morales
Peter J. Taylor
Lillian Kimbell, Chair of the Board

Trustee Adam Day called the meeting to order. Trustee Day introduced the new interim chief audit officer for the California State University, Vlad Marinescu.

Approval of Minutes

The minutes of May 12, 2020, were approved as submitted.

Status Report on Current and Follow-up Internal Audit Assignments

With the concurrence of the committee, Trustee Day presented agenda item 2 as a consent information item.

Report on Financial Statements Audit Corrective Actions

With the concurrence of the committee, Trustee Day presented agenda item 3 as a consent information item.

Trustee Day adjourned the Committee on Audit.

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COMMITTEE ON AUDIT

Status Report on Audit and Advisory Services Activities

Presentation By

Vlad Marinescu
Interim Chief Audit Officer
Audit and Advisory Services

Summary

This item provides an update on internal audit activities and initiatives. It also includes both a status report on the 2020 audit plan and follow-up on completed audit assignments. Follow-up on current and past assignments is being conducted on approximately 47 completed campus reviews. Attachment A summarizes the status of audit assignments by campus.

For the 2020 audit plan year, assignments were made to execute individual campus audit plans and conduct audits of information technology (IT), sponsored programs, and construction; use continuous auditing techniques and data analytics tools; and provide advisory services and investigation reviews.

In addition to continuing progress on the 2020 audit plan and conducting engagements remotely, audit management has focused on several key priorities/initiatives since the time of the last board meeting. Audit and Advisory Services will be transitioning from an audit plan that corresponds to the calendar year to an audit plan that is aligned with the CSU fiscal year. This decision was made in consultation with the audit committee chair and the chancellor. The period of the 2020 audit plan will be extended through June 30, 2021, in order to facilitate the transition. The 2020 audit plan will be referred to as the 2020-2021 audit plan going forward. As part of the transition to an audit plan year that is aligned with the CSU fiscal year, audit management is conducting a supplemental risk assessment in order to plan audits for the remainder of the 2020-2021 audit plan and account for new and evolving risks emerging due to COVID-19. Further information on the risk assessment/audit planning process is described in the audit planning section of this report.

As discussed in prior audit activity status reports, Audit and Advisory Services issued a temporary/interim policy for audit operations after the COVID-19 crisis emerged in March 2020. Given that the pandemic will persist for the foreseeable future, a more permanent operating policy to supersede the prior version has been developed in consultation with the audit committee chair and chancellor and distributed to campus presidents. The updated policy provides both the campuses and Audit and Advisory Services with the necessary level of flexibility to meet assurance objectives and operate within the pandemic environment.

AUDITS

Status of In-Process and Completed Audits

Audit and Advisory Services continues to make progress on the 2020-2021 audit plan despite the unexpected challenges and delays caused by the COVID-19 pandemic. Thirteen audits have been completed as part of the 2020-2021 audit plan and 16 audits are currently in-process. Completed audit reports are posted on the California State University website at <https://www2.calstate.edu/csu-system/transparency-accountability/audit-reports>.

Audits providing coverage of a broad range of organizational areas are being performed remotely and include some of the following topics: academic personnel, accounts payable and disbursements, auxiliary organizations, construction, housing and residential services, information security, professional and continuing education, sponsored programs - post award, and travel and hospitality. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues.

The status of campus progress toward implementing recommendations for completed 2020-2021 audits is included in Attachment A. Prior year audits that have open recommendations are also included in Attachment A and are removed from the report the meeting following all recommendations having shown as completed.

Both campus management and audit management are responsible for tracking the implementation/completion status of audit recommendations contained in campus audit reports. During the audit process, campus management identifies a target estimated completion date for addressing each audit recommendation. Target completion dates are subject to approval by audit management prior to the audit report being finalized. Implementation timelines are reviewed for appropriateness, reasonableness, and timeliness, which also includes evaluating the nature and level of risk and whether or not any mitigating controls can or should be implemented on an interim basis while audit recommendations are being implemented.

If there are difficulties or unexpected delays in addressing/completing audit recommendations within the agreed upon timeframes, escalation processes for resolution are followed by audit management. Audit management first contacts the campus senior leadership team (president and/or VP/CFO) to resolve any delays. In rare instances in which delays cannot be resolved during discussions between campus and audit management, the chancellor and audit committee chair and/or vice chair may help resolve delays, as needed.

Continuous Auditing and Data Analytics

Audit analytics is a functional area within Audit and Advisory Services that has steadily emerged over the past few years. Audit and Advisory Services launched its first continuous audit and data analytics programs in 2018 with limited staff personnel resources assigned to the area. An audit position has been temporarily assigned to assist the data analytics unit as audit management looks to accelerate progress in developing the capacity and sophistication of data analytics programs.

Audit management has been working to document and refine a comprehensive framework and strategy for continuous audit and data analytics programs, with the goal of continuing short-term progress while also creating long-term sustainable programs. In addition to integrating audit analytics into the traditional audits being performed at campuses, there are three core areas that Audit and Advisory Services is building and refining continuous audit reviews for: credit cards and procurement cards (phase two); human resources and payroll; and disbursements and expenditures. The objective for reviews in these core areas is to use data to identify and analyze possible anomalies and fraud indicators. The potential for data analytics reviews in the information technology area is also being explored, as well as building the capacity to perform trend analysis in the travel and hospitality areas.

The second phase of credit card and procurement card reviews for all 23 campuses and the chancellor's office is currently in process and anticipated to wrap up within the audit plan year. The first phase review completed 12 campuses in the 2018 audit plan year and the remaining 12 campuses in the 2019 audit plan year, whereas the current review endeavors to look at all 23 campuses and the chancellor's office within one audit plan year. It is anticipated that the first pilot review for human resources and payroll will be completed by the end of the calendar year. After the first pilot is completed, subsequent pilots will be performed at additional campuses before test dashboards are finalized. The disbursements and expenditures review dashboard has been incorporated into the audit program for a traditional audit that is currently in process. This will provide an opportunity to test the dashboard and will help inform refinements before it is deployed as part of analytical reviews of disbursements and expenditures at other campuses.

ADVISORY SERVICES

Audit and Advisory Services partners with management to identify solutions for business issues, offer opportunities to improve the efficiency and effectiveness of operating areas, and assist with special requests, while ensuring the consideration of related internal control issues. Advisory services are more consultative in nature than traditional audits and are performed in response to requests from campus management. The goal is to enhance awareness of risk, control and compliance issues and to provide a proactive independent review and appraisal of specifically identified concerns. Reviews are ongoing. Despite the challenges presented by the COVID-19 pandemic, campuses have continued to request advisory reviews and work is being performed remotely.

INVESTIGATIONS AND INTERGOVERNMENTAL AUDITS

Audit and Advisory Services is periodically called upon to provide investigative reviews, which are often the result of alleged misappropriations or conflicts of interest. Further, whistleblower investigations are being performed on an ongoing basis, both by referral from the state auditor and directly from the CSU Chancellor's Office.

In addition, the investigations unit tracks external audits being conducted by state and federal agencies, acts as a liaison for the system throughout the audit process, and offers assistance to campuses undergoing such audits. Currently, the investigations unit staff is assisting with special engagements as well as developing a fraud awareness training program to be offered to campuses to help them prevent and detect fraud.

COMMITTEES/SPECIAL PROJECTS

Members of Audit and Advisory Services serve on various chancellor's office and systemwide committees and affinity groups/workgroups. Audit and Advisory Services also provides consultation to the campuses and assist with performing special projects.

AUDIT SUPPORT

Audit Planning Process and Risk Assessment

Audit and Advisory Services has traditionally employed a calendar year audit plan which was presented at the January meeting of the Board of Trustees' Committee on Audit for review and approval.

In order to better align with the CSU's annual initiatives and goals, and the CSU fiscal year which runs from July 1 to June 30, Audit and Advisory Services will be transitioning its annual audit plan from a calendar year plan to a fiscal year plan. The 2020 annual audit plan will be extended from a 12-month plan to an 18-month plan, spanning January 1, 2020 through June 30, 2021. An additional benefit to transitioning to a fiscal year audit plan will be utilizing the additional time gained (six months from January 1, 2021 - June 30, 2021) to execute on any audits that were delayed as a result of the COVID-19 pandemic.

In order to ensure that the extended audit period for the 2020-2021 audit plan addresses relevant CSU risks, audit management is actively engaging in a risk assessment refresh which will be used to ensure that the remaining planned audits align with assessed risks. For the fiscal year 2021-2022 audit plan, audit management will engage in a comprehensive risk assessment process beginning in approximately March 2021 which will drive the audit plan to be presented at the July 2021 Board of Trustees' Committee on Audit for review and approval.

The Audit and Advisory Services annual audit planning process incorporates risk questionnaires and other surveys, management interviews, audit history, and other factors. Periodically, other audit topics are selected for review due to their high-profile nature in order to assure the board that appropriate policies and procedures are in place to mitigate risk to the system.

Administration

Day-to-day administration of the Audit and Advisory Services division includes such tasks as scheduling, personnel administration, maintenance of department standards and protocols, administration of the department's automated workpaper system and SharePoint website, and department quality assurance and improvement.

Status Report on Current and Past Audit Assignments

Campus	Category	Audit Topic	Audit Plan Year	Total # of Recommendations	*Status
Bakersfield	Audits Currently in Progress	Housing and Residential Services	2020		
	Status of Recommendations	Student Union	2019	6	6
Chancellor's Office	Status of Recommendations	Accessible Technology	2019	7	4 3
		Health and Safety	2019	5	1 4
Channel Islands	Audits Currently in Progress	Conference Services	2020		
	Status of Recommendations	Faculty Reassigned Time and Additional Employment	2019	4	4
		Health and Safety	2019	6	5 1
		Information Security	2020	9	9
Chico	Audits Currently in Progress	Academic Personnel	2020		
	Status of Recommendations	Housing and Residential Services	2019	11	3 8
Dominguez Hills	Status of Recommendations	International Activities	2018	9	9
		Health and Safety	2019	15	15
East Bay	Status of Recommendations	IT Disaster Recovery	2019	4	2 2
Fresno	Audits Currently in Progress	Professional and Continuing Education	2020		
	Status of Recommendations	Associated Students, Inc.	2019	9	9
Fullerton	Audits Currently in Progress	Service Learning	2020		
	Status of Recommendations	Accessible Technology	2019	2	2
		Associated Students, Inc.	2019	11	10 1
Humboldt	Audits Currently in Progress	University Center	2020		
	Status of Recommendations	Facilities Management	2020	6	6
Long Beach	Status of Recommendations	Construction Management	2019	3	3
		Emergency Management	2019	6	4 2
		Health and Safety	2019	15	15
		Special Investigation	2019	5	5
		Foundation	2020	3	3
Los Angeles	Audits Currently in Progress	Information Security	2020		
Maritime Academy	Status of Recommendations	IT Disaster Recovery	2019	3	3
		Foundation	2020	8	8
Monterey Bay	Audits Currently in Progress	Professional and Continuing Education	2020		
	Status of Recommendations	Health and Safety	2019	13	3 10
		Information Security	2020	11	11
Northridge	Status of Recommendations	Cloud Computing	2019	3	1 2
		Health and Safety	2019	5	5
Pomona	Audits Currently in Progress	Housing and Residential Services	2020		
	Status of Recommendations	Health and Safety	2019	7	5 2
Sacramento	Audits Currently in Progress	Accounts Payable & Disbursements	2020		
	Status of Recommendations	Associated Students, Inc.	2018	10	10
		Health and Safety	2019	5	3 2

***Status**

Closed (green) - Recommendations have been satisfactorily implemented

Open (blue) - Implementation of recommendations is in progress and within the agreed upon timeframe

Exceeds Agreed Upon Timeframe (red) - Recommendations have not been implemented within the agreed upon timeframe

Report as of September 9, 2020

Status Report on Current and Past Audit Assignments

Campus	Category	Audit Topic	Audit Plan Year	Total # of Recommendations	*Status
San Bernardino	Audits Currently in Progress	Philanthropic Foundation	2020		
	Status of Recommendations	Post Award Administration	2020	6	2 4
San Diego	Status of Recommendations	Health and Safety	2019	6	5 1
		Emergency Management	2020	7	1 6
San Francisco	Status of Recommendations	Emergency Management	2019	11	11
		Information Security	2019	9	9
		Minors on Campus	2020	5	5
San Jose	Audits Currently in Progress	Construction Management	2020		
		Fundraising and Gift Processing	2020		
	Status of Recommendations	Facilities Management	2019	5	5
		Information Security	2019	4	4
		Special Investigation	2019	8	8
Professional and Continuing Education	2020	5	5		
San Luis Obispo	Audits Currently in Progress	Associated Students, Inc.	2020		
		Construction Management	2020		
	Status of Recommendations	Sponsored Programs	2019	5	3 2
Housing and Residential Services		2020	12	12	
San Marcos	Status of Recommendations	IT Disaster Recovery	2019	4	4
		Special Investigation	2019	6	6
		Emergency Management	2020	6	2 4
Sonoma	Audits Currently in Progress	Travel and Hospitality	2020		
	Status of Recommendations	Health and Safety	2019	11	11
Accessible Technology		2020	5	5	
Stanislaus	Status of Recommendations	Post Award Administration	2019	4	4
		Emergency Management	2020	4	2 2

***Status**

Closed (green) - Recommendations have been satisfactorily implemented

Open (blue) - Implementation of recommendations is in progress and within the agreed upon timeframe

Exceeds Agreed Upon Timeframe (red) - Recommendations have not been implemented within the agreed upon timeframe

Report as of September 9, 2020

AGENDA

COMMITTEE ON COMMITTEES

Meeting: 11:40 a.m., Tuesday, September 22, 2020
Virtually via Teleconference

Wenda Fong, Chair
Debra S. Farar, Vice Chair
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor

Consent

1. Approval of Minutes of the Meeting of May 12, 2020, *Action*
2. Amendments to Board of Trustees' Standing Committee Assignments for 2020-2021, *Action*

**MINUTES OF MEETING OF
COMMITTEE ON COMMITTEES**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

May 12, 2020

Members Present

Wenda Fong, Chair
Debra S. Farar, Vice Chair
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Adam Day, Chair of the Board

Call to Order

Trustee Fong called the meeting to order.

Approval of Minutes

The minutes of April 28, 2020 were approved as submitted.

Approval of Board of Trustees' Standing Committee Assignments for 2020-2021

The committee approved the proposed resolution (RCOC 05-20-01) on consent for the standing committee assignments for 2020-2021.

Election of the Chair and Vice Chair of the Board of Trustees for 2020-2021

The committee approved the proposed resolution (RCOC 05-20-02) on consent electing Lillian Kimbell as chair and Wenda Fong as vice chair of the board for 2020-2021.

Trustee Fong adjourned the Committee on Committees.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the May 12, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

COMMITTEE ON COMMITTEES

Amendments to Board of Trustees' Standing Committee Assignments for 2020-2021

Presentation By

Wenda Fong
Chair, Committee on Committees

Summary

Due to the resignation of Trustee Jeffrey R. Krinsk on July 17, 2020 and the appointment of Student Trustee Krystal Mae Raynes on August 27, 2020, the Committee on Committees recommends amendments to the Standing Committees for 2020-2021 as noted below.

The following resolution is recommended for approval:

RESOLVED, By the Board of Trustees of The California State University, on recommendation by the Committee on Committees that the following amendments be made to the Standing Committees for 2020-2021:

AUDIT

Adam Day, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Jean P. Firstenberg
Jack McGrory
Hugo N. Morales
Peter J. Taylor

COLLECTIVE BARGAINING

Christopher Steinhauser, Chair
Douglas Faigin, Vice Chair
Debra S. Farar
Wenda Fong
Jack McGrory
Peter J. Taylor

CAMPUS PLANNING, BUILDINGS AND GROUNDS

Jane W. Carney, Chair
Lateefah Simon, Vice Chair
Larry L. Adamson
Rebecca D. Eisen
~~Jeffrey R. Krinsk~~
Krystal Raynes
Romey Sabalius
Peter J. Taylor

EDUCATIONAL POLICY

Wenda Fong, Chair
Romey Sabalius, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Maryana Khames
Christopher Steinhauser

CoC

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FINANCE

Jack McGrory, Chair

Peter J. Taylor, Vice Chair

Larry L. Adamson

Jane W. Carney

Rebecca D. Eisen

Wenda Fong

Maryana Khames

~~Jeffrey R. Krinsk~~

Hugo N. Morales

Krystal Raynes

Lateefah Simon

GOVERNMENTAL RELATIONS

Debra S. Farar, Chair

Maryana Khames, Vice Chair

Silas H. Abrego

Douglas Faigin

~~Jeffrey R. Krinsk~~

Jack McGrory

Krystal Raynes

Romey Sabalius

Lateefah Simon

INSTITUTIONAL ADVANCEMENT

Jean P. Firstenberg, Chair

Douglas Faigin, Vice Chair

Adam Day

Hugo N. Morales

Romey Sabalius

Lateefah Simon

ORGANIZATION AND RULES

Larry L. Adamson, Chair

~~Jeffrey R. Krinsk, Vice Chair~~

Adam Day, *Vice Chair*

Jean P. Firstenberg

Maryana Khames

Krystal Raynes

Christopher Steinhauser

UNIVERSITY AND FACULTY

PERSONNEL

Silas H. Abrego, Chair

Debra S. Farar, Vice Chair

Adam Day

Rebecca D. Eisen

Jean P. Firstenberg

Wenda Fong

Hugo N. Morales

Christopher Steinhauser

AGENDA

COMMITTEE ON EDUCATIONAL POLICY

Meeting: 12:15 p.m., Tuesday, September 22, 2020
Virtually via Teleconference

Wenda Fong, Chair
Romey Sabalius, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Maryana Khames
Christopher Steinhauser

- Consent**
1. Approval of Minutes of the Meeting of July 21, 2020, *Action*
 2. Recommended Amendment to Title 5 Regarding Ethnic Studies, *Information*
 3. California State University Board of Trustees Policy for Awarding Honorary Degrees, *Action*
 4. Academic Master Plan Update for a Fast-Track Program at San José State University, *Action*
- Discussion**
5. Research, Scholarship and Creative Activity, *Information*
 6. Graduation Initiative 2025, *Information*

**MINUTES OF MEETING OF
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 21, 2020

Members Present

Wenda Fong, Chair
Romey Sabalius, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Maryana Khames
Christopher Steinhauser
Lillian Kimbell, Chair of the Board
Timothy P. White, Chancellor

Trustee Fong called the meeting to order.

Approval of Minutes

The minutes from May 12, 2020 were approved as submitted.

Recommended Amendment to Title 5 Regarding Residency Reclassification – Financial Independence

A summary of the relevant sections of Title 5 regarding determination of California residency was provided by Loren J. Blanchard, executive vice chancellor, and Ray Murillo, systemwide director of Student Affairs Programs. Mr. Murillo continued by presenting background on the student residency reclassification process for tuition purposes. The presentation highlighted proposed criteria in which a failure to demonstrate financial independence would not be an automatic denial of an application for residency reclassification. Such a change would better meet the needs of students with unique situations and create alignment with existing financial aid definitions.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Two amendments, including criteria for student populations for whom financial independence shall not be considered in a reclassification, were proposed to the committee as an information item during the May 2020 Board of Trustees meeting. During this meeting, the amendments were presented for board adoption.

Trustees inquired how consideration of COVID-19 might affect the proposed changes, when the financial assistance amount was last adjusted and what costs or forgone revenue might be associated with the amendments.

The committee unanimously recommended approval of the proposed resolution. **(REP 07-20-04)**.

Recommended Amendments to Title 5 Regarding Ethnic Studies and Social Justice

A summary of the history, evolution and impact of ethnic studies in the CSU was presented by Loren J. Blanchard, executive vice chancellor, and Alison Wrynn, associate vice chancellor, Academic Programs, Innovation and Faculty Development. Dr. Wrynn continued by reviewing the components of the proposed amendments to Title 5 that would modify CSU general education requirements. Adoption of the proposed amendments would result in requiring all CSU undergraduate students to complete one 3-unit lower-division course in Ethnic Studies and Social Justice as part of CSU General Education Breadth. The presentation also highlighted how an ethnic studies and social justice requirement would prepare students to live and work in a multi-cultural society, aligns with academic work occurring in California Community Colleges and how the requirement will be accommodated within the CSU General Education Breadth.

The amendments were proposed to the committee as an information item during the May 2020 Board of Trustees meeting. During this meeting, the amendments were presented for board adoption.

Trustees posed questions regarding how the academic senate and other stakeholders were engaged in the development of and consultation regarding the CSU proposal, what actions resulted from the original task force report recommendations and how the proposed requirement could be fulfilled by specific coursework. Trustees engaged in active discussion, voicing both support and concerns.

The committee recommended approval of the proposed resolution. **(REP 07-20-05)**.

Trustee Fong adjourned the Committee on Educational Policy.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendment to Title 5 Regarding Ethnic Studies

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Alison M. Wrynn
Associate Vice Chancellor
Academic Programs, Innovations, and Faculty Development

Summary

The amendment to Title 5 introduced at this meeting and presented for board action at a future meeting of the Board of Trustees proposes to amend Title 5 § 40405.1. California State University General Education – Breadth Requirements. This item proposes amending California State University General Education – Breadth Requirements (40405.1) to revise the title of the lower division requirement in Ethnic Studies and Social Justice to read Ethnic Studies in order to comply with Section 89032 of the California Education Code, created by Assembly Bill 1460 (AB 1460).

Background

AB 1460 was signed into law by Governor Newsom on August 17, 2020 and created Section 89032 of the California Education Code. In order to comply with the new statute, Title 5 § 40405.1 must be revised to include this newly titled requirement.

The following resolution is proposed for adoption:

RESOLVED, by the Board of Trustees of the California State University, acting under the authority prescribed herein and pursuant to Section 66055.8 and 89030 of the Education Code, that section 40405.1 of Title 5 of the California Code of Regulations is amended as follows:

§ 40405.1. California State University General Education – Breadth Requirements.

(a) Each recipient of the bachelor's degree completing the California State University General Education-Breadth Requirements pursuant to this subdivision (a) shall have completed a program which includes a minimum of 48 semester units or 72 quarter units of which 9 semester units or 12 quarter units shall be upper division level and shall be taken no sooner than the term in which

the candidate achieves upper division status. At least 9 of the 48 semester units or 12 of the 72 quarter units shall be earned at the campus granting the degree. The 48 semester units or 72 quarter units shall be distributed as follows:

- (1) A minimum of 9 semester units or 12 quarter units in communication in the English language, to include both oral communication and written communication, and in critical thinking, to include consideration of common fallacies in reasoning.
- (2) A minimum of 12 semester units or 18 quarter units to include inquiry into the physical universe and its life forms, with some immediate participation in laboratory activity, and into mathematical concepts and quantitative reasoning and their applications.
- (3) A minimum of 12 semester units or 18 quarter units among the arts, literature, philosophy and foreign languages.
- (4) A minimum of 9 semester units or 12 quarter units dealing with human social, political, and economic institutions and behavior and their historical background.
- (5) A minimum of 3 semester units or 4 quarter units in study designed to equip human beings for lifelong understanding and development of themselves as integrated physiological, social, and psychological entities.
- (6) A minimum of 3 semester units or 4 quarter units at the lower-division in study designed to understand ethnic studies ~~and social justice~~.

The specification of numbers of units implies the right of discretion on each campus to adjust reasonably the proportions among the categories in order that the conjunction of campus courses, credit unit configurations and these requirements will not unduly exceed any of the prescribed semester or quarter unit minima. However, the total number of units in General Education-Breadth accepted for the bachelor's degree under the provisions of this subdivision (a) shall not be less than 48 semester units or 72 quarter units unless the Chancellor grants an exception.

- (b) The president or an officially authorized representative of a college which is accredited in a manner stated in Section 40601 (d) (1) may certify the extent to which the requirements of subdivision (a) of this section have been met up to a maximum of 39 semester units (or 58 quarter units). Such certification shall be in terms of explicit objectives and procedures issued by the Chancellor.
- (c) In the case of a baccalaureate degree being pursued by a post-baccalaureate student, the requirements of this section shall be satisfied if:
 - (1) The student has previously earned a baccalaureate or higher degree from an institution accredited by a regional accrediting association; or

(2) The student has completed equivalent academic preparation, as determined by the appropriate campus authority.

Note: Authority cited: Section 89030, Education Code. Reference: Sections 66055.8 and 89030, Education Code.

COMMITTEE ON EDUCATIONAL POLICY

California State University Board of Trustees Policy for Awarding Honorary Degrees

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Alison Wrynn
Associate Vice Chancellor
Academic Programs, Innovations and Faculty Development

Summary

This item presents a revision to the current policy for awarding California State University (CSU) honorary degrees. The proposed amendments will clarify the intent of the policy adopted in 2015.

Background

The first CSU honorary degree awarded was a Doctor of Laws degree conferred on President John F. Kennedy in 1963 at San Diego State University. Since that time, 502 honorary degrees have been conferred across the system. In July 1983, the Board of Trustees, having consulted with the campus presidents and the Academic Senate of the California State University, approved a set of guidelines for awarding CSU honorary degrees. The guidelines have since been amended four times: in July 1990, January 1992, November 1994 and January 1996. In November 2015 the Board of Trustees adopted the current policy and, in the intervening years, opportunities to address ambiguities and procedural improvements have been identified.

Proposed Revisions

The first added statement clarifies that current faculty and staff, as well as members of their immediate family, are ineligible to receive an honorary degree, similar to the exclusion of incumbent members of the Board of Trustees, the incumbent chancellor and presidents.

The second addition is a procedural clarification to ensure that all parties are appropriately informed when a member of the Board of Trustees forwards a nomination. Trustees are requested to first consult with the chancellor before making a nomination.

Recommended Action

Ed. Pol.
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The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the *California State University Board of Trustees Policy for Awarding Honorary Degrees*, as amended, included in Attachment A to Agenda Item 3 of the September 22, 2020 meeting of the Trustees' Committee on Educational Policy, shall supersede the Policy for Awarding Honorary Degrees and shall be approved for immediate implementation.

**THE CALIFORNIA STATE UNIVERSITY BOARD OF TRUSTEES
POLICY FOR AWARDING HONORARY DEGREES**

Adopted November 19, 2015

I. General Provisions

- A. Honorary Degrees shall be awarded by the California State University (CSU) only at the doctoral level unless board action allows an exception.
- B. All honorary degrees shall be conferred by the Board of Trustees of the California State University, in the name of the California State University or in the names of the California State University and a campus.
- C. The board of trustees shall determine the number of honorary degrees to be awarded in any academic year. In most cases, the board will consider no more than two nominations from each campus in an academic year. Board members and the chancellor may submit nominations during the same period, with a limit of one trustee nomination for conferral at each campus during an academic year.
- D. A campus president and the chancellor will consult when a trustee submits a nomination for conferral at the president's campus. The president will advise the chancellor of any concerns. If the president and chancellor agree to support the nomination, it will be forwarded to the Trustees Subcommittee on Honorary Degrees for review and recommendation.
- E. The following honorary degree types shall be recognized for conferral by the CSU Board of Trustees:
 - 1. Doctor of Fine Arts (D.F.A.)
 - 2. Doctor of Humane Letters (L.H.D.)
 - 3. Doctor of Laws (LL.D.)
 - 4. Doctor of Letters (Litt.D.)
 - 5. Doctor of Science (Sc.D.)

Other types may be proposed to the board for consideration. However, the board will not authorize degree designations that are conventionally conferred as earned doctorates.

II. Criteria for the Awarding of Honorary Degrees

- A. Honorary degrees may be awarded to recognize achievements in all parts of the world. Honorary degrees awarded should represent an appropriate balance between local and non-local, and academic and non-academic recipients, and degrees should represent a wide diversity of fields of endeavor.
- B. Service or benefaction to the university do not alone justify the awarding of honorary degrees. However, these criteria do not preclude nominees who are benefactors of the California State University. Personal relationships alone do not justify the awarding of honorary degrees.
- C. Nominees for honorary degrees must demonstrate high moral character, be distinguished in their respective fields, and the eminence of persons nominated must be widely recognized. Nominees must have demonstrated intellectual and humane values that are consistent with the ideals of the California State University, the aims of higher education, and with the highest ideals of the person's chosen fields.
- D. The following are the criteria for awarding California State University honorary degrees:
 - 1. To recognize inspirational character, excellence and extraordinary achievement in significant areas of human endeavor that reflect the objectives and ideals of the California State University.
 - 2. To honor meritorious and outstanding service to the California State University collectively or to individual campuses, the State of California, the United States, or to humanity at large.
 - 3. To recognize individuals whose lives, conduct, and significant achievements serve as examples of the California State University's aspirations for its diverse student body.
 - 4. The honoree must be present at the appropriate California State University function to accept the degree; or in case of the honoree's severe illness, a family member must be present at the appropriate function to accept the degree.
 - 5. A family member must agree to accept a posthumous degree at an appropriate California State University function.

III. Limitations on Eligibility

Honorary degrees shall not be awarded to:

- A. Incumbent members of the Board of Trustees of the California State University;
- B. The incumbent chancellor of the California State University;
- C. Incumbent campus presidents of the California State University;
- D. A person who already has been awarded an honorary degree by the California State University;
- E. All incumbent elected officials; and
- F. Honorary degrees shall not be awarded solely on the basis of past or present employment by the California State University.
- G. *Current faculty and staff, as well as members of their immediate family, are ineligible to receive an honorary degree.*

IV. Procedures for Selecting Honorary Degree Recipients

In all steps of these procedures, utmost care is to be taken to ensure confidentiality. A breach of confidentiality could seriously embarrass the California State University and those individuals under consideration for the receipt of an honorary degree. Members of campus honorary degree selection committees and the Trustees Subcommittee on Honorary Degrees shall maintain the strictest confidences regarding nominations considered and recommended. No campus representative or trustee is to contact a prospective nominee to discuss the possibility of a nomination. Only after the chancellor officially informs the campus of trustee action may campus personnel discuss the honorary degree with the honoree.

- A. Recommendations of persons to receive honorary degrees are encouraged from any member of the California State University community, including trustees, the chancellor, presidents, faculty, students, administrative staff, alumni, campus advisory board members and other friends of the California State University. Such recommendations may include the type of honorary degree believed appropriate.
- B. *Trustees who wish to forward a nomination shall first consult with the chancellor prior to making a recommendation.*

- C. In each nomination cycle, the campus (through the president) may submit two nominations for conferral in the current or immediately subsequent academic year. An approved degree may be awarded in the academic year in which it was authorized for conferral and no later than in the year immediately following the originally approved conferral year. Campuses are responsible for maintaining the limit of conferring only two campus-nominated honorary degrees in one academic year. Trustee-nominated degrees are not included in the limits of two campus-nominated and conferred degrees per year.
- D. Recommendations originating in any of the campus communities shall be submitted through the campus president. The submission shall note whether the degree is to be conferred only in the name of the California State University or in the names of the California State University and the campus. Recommendations originating elsewhere within the California State University community at large shall be submitted through the chancellor.
- E. Each campus president, after consultation with the executive committee of the campus academic senate, shall establish an honorary degrees selection committee that includes faculty representation. The committee shall review recommendations and assist in the development and compilation of materials in support of nominations to be forwarded. Following appropriate consultation with this committee, the president shall select no more than two nominations to be forwarded to the chancellor in any given academic year. Honorary degrees awarded to couples (as individuals) shall count as only one degree of the two nominations allowed for each campus annually.
- F. The chancellor, in turn, shall review and forward all qualified nominations, with any appropriate comments to a separately constituted Trustees Subcommittee on Honorary Degrees of the Committee on Educational Policy, which meets in conjunction with the annual January meeting of the board.
- G. The Trustees Subcommittee on Honorary Degrees shall be chaired by a member of the Committee on Educational Policy and shall be named by the chair of that committee. The Subcommittee shall be staffed by a member of the Academic and Student Affairs Division and shall include:
 - 1. One additional trustee (other than the chair of the subcommittee), to be named by the board chair;
 - 2. The chancellor or designee;
 - 3. Two presidents, to be named by the chancellor; and

4. The following representatives, to be appointed by the chancellor following consultation with the respective bodies:
 - a. Two faculty members nominated by the Academic Senate, CSU;
 - b. One alumnus nominated by the California State University Alumni Council; and
 - c. One student nominated by the California State Student Association.
- H. The Trustees Subcommittee on Honorary Degrees, meeting in closed session, shall review all nominations received, and shall forward to the Committee on Educational Policy each nomination with the subcommittee's notation of "recommended" or "not recommended," using the policies and criteria set forth in this policy as the basis for the subcommittee's determinations.
- I. The full Committee on Educational Policy, following similar procedures, shall forward to the full board for final consideration no more than two nominations per campus and those nominations originating with the board and/or the chancellor, indicating in each case the full committee's determination of "recommended" or "not recommended."
- J. The Board of Trustees, meeting in closed session, shall make the final determination in each case, and will, by virtue of its exclusive authority in this matter, agree to award the degree type it considers most appropriate.

V. Conferral of Degrees

- A. Honorary degrees may be conferred during any California State University function that the board of trustees considers appropriate.
- B. If the degree is to be conferred only in the name of the California State University, the chair of the board of trustees, the chancellor, and the campus president, where appropriate, will participate in the conferral ceremony. Convention is as follows:
 1. When a campus is involved:
 - a. The chair of the board of trustees (or designee) will read the citation;
 - b. The chancellor, if present, or the campus president will confer the degree; and
 - c. The campus president (or designee) will hood the degree recipient.

2. When a campus is not involved:
 - a. The chair of the board of trustees (or designee) will read the citation;
 - b. The chancellor (or designee) will confer the degree; and
 - c. The vice chair of the board of trustees (or designee) will hood the degree recipient.
- C. If the degree is to be conferred in the names of the California State University and the campus, the chair of the board of trustees or designee will participate in the conferral ceremony. Usually the campus president or designee will confer the degree.
- D. The Chancellor's Office shall reimburse campus hood and regalia costs for trustee-nominated honorees. Costs associated with campus-nominated honorees will be the responsibility of the campus.

VI. Limits of Degree Authorization

- A. Authorization to confer an honorary degree remains in effect for two academic years after board action. If a confirmed honoree cannot agree to accept the degree at an appropriate California State University function within the year following the originally planned conferral, the authorization shall be rescinded, and the honoree may be nominated subsequently by the same campus or a different campus during a later nomination cycle.
- B. If a CSU honorary degree holder is found not to uphold the criteria and standards for which the degree was awarded, the chancellor may hold the honorary degree in abeyance, pending board action. The board of trustees shall meet in closed session and by virtue of its exclusive authority in this matter shall make the final determination regarding whether any previously conferred honorary degree shall be revoked.

VII. Amending Honorary Degree Policy

- A. Proposals for amendments to this policy may be directed to the chancellor, who will forward proposals to the chair of the board of trustees. The chair shall consider and may forward the proposed amendments to the Educational Policy Committee of the board. The Educational Policy Committee shall consider proposed changes and may present to the board a recommended action.

- B. The full board of trustees shall meet in closed session and by virtue of its exclusive authority in this matter shall make the final determination on proposed changes to honorary degree policy.

History:

Approved by the Board of Trustees July 1983

Amended by the Board of Trustees July 1990

Amended by the Board of Trustees January 1992

Amended by the Board of Trustees November 1993

Amended by the Board of Trustees January 24, 1996

Adopted as policy by the Board of Trustees November 2015

Amended by the Board of Trustees September 2020

COMMITTEE ON EDUCATIONAL POLICY

Academic Master Plan Update for a Fast-Track Program at San José State University

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Alison M. Wrynn
Associate Vice Chancellor
Academic Programs, Innovations and Faculty Development

Summary

In January of each year, campuses may expand their academic plans by submitting for trustee approval a list of proposed projections for new degree programs. A projection signals campus intention to implement a degree program, and approval authorizes the campus to begin developing a degree program proposal. Subsequent to trustee approval of projections in March, campuses may begin developing corresponding degree program proposals. To allow for an expedited proposal-review-approval-and implementation cycle, policy allows for submission of “fast-track” degree program projections each June, with trustee action following no later than the September board meeting. Fast-track proposals represent bachelor and master’s degree programs that can be implemented without major capital outlay, that do not require accreditation approval and that will require no expenditure beyond the campus’s existing resources. Trustee approval at the September meeting adds the program projection to the Academic Master Plan. This action allows the chancellor to approve the corresponding program proposal for implementation, following a system-level review indicating that the proposed degree program has been planned appropriately.

Background

To be proposed via fast-track, a degree program must meet all of the following six criteria:

1. The proposed program could be offered at a high level of quality by the campus within the campus’s existing resource base, or there is a demonstrated capacity to fund the program on a self-support basis.
2. The proposed program is not subject to specialized accreditation by an agency that is a member of the Association of Specialized and Professional Accreditors.
3. The proposed program can be adequately housed without a major capital outlay project.
4. It is consistent with all existing state and federal law and trustee policy.

5. It is either a bachelor's or a master's degree program.
6. The proposed program has been subject to a thorough campus review and approval process.

Proposed Degree

This year the Office of the Chancellor received one projection for a new degree program. A degree proposal may be developed only after trustees approve the preliminary step: a degree projection, which is a long-term plan to develop a degree program. The following fast-track proposal has been submitted by the campus, and the corresponding degree projection is proposed for inclusion in the CSU Academic Master Plan:

San José State University
Master of Arts in Teaching
Fall 2021, planned implementation

The following resolution is recommended for adoption and refers to a change in the CSU Academic Master Plan and the campus academic plan described in this agenda item.

RESOLVED, by the Board of Trustees of the California State University, that the amended projection to the Academic Plan for the California State University campus (as identified in Agenda Item 4 of the September 22, 2020 meeting of the Committee on Educational Policy) be approved and accepted for addition to the CSU Academic Master Plan and as the basis for necessary facility planning; and be it further

RESOLVED, that this projected degree program proposed to be included in the campus academic plan at San José State University be authorized for implementation, in fall 2021, subject in each instance to the chancellor's review, approval, and confirmation that there exists sufficient societal need, student demand, feasibility, financial support, qualified faculty, facilities and information resources sufficient to establish and maintain the program.

COMMITTEE ON EDUCATIONAL POLICY

Research, Scholarship and Creative Activities

Presentation By

Ganesh Raman
Assistant Vice Chancellor
Research

Mary Papazian
President
San José State University

Summary

Research, scholarship and creative activities are intrinsic to California State University (CSU), providing students with hands-on learning opportunities where they can develop and test hypotheses and push boundaries in pursuit of new knowledge benefitting California, the nation and the world. The CSU is distinctive for making this high-impact practice available to undergraduate students throughout its 23 campuses and 10 multi-campus affinity groups. As a result, CSU graduates are better prepared to meet today's opportunities and challenges and help transform tomorrow.

Research, scholarship and creative activities also provide an effective strategy for improving student success. Undergraduate research, for example, develops purposefulness, perseverance and collaboration, empowering students and leading to their academic success. Students gain opportunities for deep learning when they work side-by-side with faculty on research, scholarship and creative activities.

Background

This year has brought unprecedented change, and challenges, to CSU students and faculty. Yet in the face of a global pandemic, racial unrest, economic hardships and wildfires, the need for innovative research, ground-breaking scholarship and creative expression has never been more pronounced for our local communities, the state and the world.

Our faculty experts and undergraduates, oftentimes in partnership with regional affinity groups and government agencies, are exploring areas of directed research that are vital to our collective future. Whether it is efforts to measure and address climate change, remove barriers to student learning, gain better understanding of our universe or create deeper connections to our shared

humanity, these and so many other scholarly and creative efforts of CSU students are contributing to solve society's most urgent and vexing problems. What's more, such activities also help faculty advance their fields of expertise, providing them with opportunities to explore new possibilities and to integrate contemporary scholarship into the curriculum.

And although the scope of research and scholarship at the CSU can be immense, what remains at that heart of this effort is to advance the system's mission of student success and inclusive excellence. CSU campuses continue to pursue external funding grants specifically aimed at engaging and retaining underrepresented students in scientific and technical fields. In addition, creative activities have grown to embrace, and provide a voice for, historically oppressed groups. Through the experience of directed research and creative endeavors, the CSU is preparing a diverse and educated workforce to help lead the future of California.

External Funding Accomplishments

Examples of grants and contracts in areas of education, equity, student success, health research and creative activity received by CSU faculty during the 2019-20 academic year are listed below.

Funding for Education Programs in STEM Fields, Equity and Student Success

Many of our campuses received federal funding in the areas of education in STEM fields, equity and student success. These awards support the CSU's mission of ensuring equitable opportunity for all students, regardless of their background so that they are prepared to succeed in pursuing careers in Science, Technology, Engineering and Mathematics (STEM) fields. These grants also help in building institutional capacity for effective undergraduate and graduate education.

Selected grants are listed below:

California State University, Bakersfield

Funding agency: U.S. Department of Education

Total award: \$2,999,230

Title of proposal: Increasing Hispanic STEM Related Degree Completion

Investigators: Debra Jackson and Anna Jacobsen

California State University, Chico

Funding agency: National Science Foundation

Total award: \$2.2 million

Title of proposal: CEMUR: Cultivating a Culture of Entrepreneurial Mindset and Undergraduate Research

Investigators: David Alexander, Debra Larson, Kate McCarthy and Lorena Navarro

California State University, Dominguez Hills

Funding agency: National Science Foundation

Total award: \$1,294,133

Title of proposal: Leveraging a Faculty Community of Practice Model of Professional Learning to Enhance Diversity, Equity, and Inclusion in STEM Teaching, Learning, and Leadership

Investigator: Kim Costino

California State University, Long Beach

Funding agency: National Science Foundation

Total award: \$2,099,874

Title of proposal: An Active Learning-based Educational Program for Hispanic STEM Students through Industry-University Partnership

Investigators: Ehsan Barjasteh, Susan Gomez-Zwiep, Shahab Derakshan and Parviz Yavari

California State University, Northridge

Funding agency: National Aeronautics and Space Administration

Total award: \$2,849,950

Title of proposal: Autonomy Research Center for STEM

Investigators: Nhut Ho

California State Polytechnic University at Pomona

Funding agency: U.S. Department of Education

Total award: \$3 million

Title of proposal: Project CAMINOS: Focused on institutional capacity building for undergraduate education for URM students for student success

Investigators: Terri Gomez and Sep Eskandari

Funding agency: U.S. Department of Education

Total award: \$2.69 million

Title of proposal: Project LOGRAR: Focused on graduate education infrastructure and services to recruit and support URM students to success in graduate studies

Investigators: Laura Massa and Salomon Oldak

California State University, Sacramento

Funding agency: National Science Foundation, IUSE grant

Total award: \$2,679,250 over five years

Title of proposal: Expanding Sustainable Interdisciplinary Research to Inspire Undergraduate Success

Investigators: Kelly McDonald, Enid Gonzalez-Orta, Julie Fogarty, Catherine Ishikawa and Linda Zarzana (professor at American River College)

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Funding agency: National Science Foundation, Hispanic Serving Institutions program
Total award: \$1,814,649 over five years
Title of proposal: Achieving STEM Persistence through Peer Assisted Learning and Leadership Development
Investigators: Julie Fogarty, Jennifer Lundmark, Troy Topping and Robin Altman

California State University, Sonoma

Funding agency: National Science Foundation
Total award: \$1,400,000
Title of proposal: Transformative Inclusion in Postsecondary STEM: Towards Justice (TIPS Towards Justice)
Investigators: Dr. Brigitte Lahme, Ben Ford and Omayra Ortega.

Funding Connected to Health and COVID-19

Professor Hala Madanat of SDSU heads the evaluation of several National Institutes of Health funded grants, and is the lead principal investigator of the \$12 million San Diego State University/UCSD Cancer Center Partnership. It serves several purposes: advancing discovery in cancer research, reducing cancer health disparities in the region, and providing research opportunities for underrepresented minority students with the aim of developing a pipeline of future researchers from diverse backgrounds. Professor Madanat (with Co-Investigator Professor Corinne McDaniels-Davidson) also leads Communities Fighting COVID-19, a \$3 million contact tracing program funded by the San Diego County Health & Human Services Agency. The project focuses on underserved communities, with Black and Spanish-, Arabic- and Tagalog-speaking health workers trained to help stop the spread of COVID-19.

The National Science Foundation also provided funding of \$199,030 to Professor Claudia Luke of California State University, Fullerton through a RAPID grant to work on “The virtual field: educational mitigation for the COVID-19 pandemic.”

An effort coordinated by Dr. Frank Gomez of STEM-NET on reimagining laboratory work during COVID-19 resulted in funding of about \$200,000 for four CSU campuses (Channel Islands, East Bay, San Bernardino and San Luis Obispo).

Private Foundation Funding Benefits Students from Low Income Backgrounds

The Genentech Foundation’s recent \$10.5 million grant to San Francisco State University’s College of Science & Engineering will be distributed over five years. The grant will fund three initiatives managed by the college’s Student Enrichment Opportunities Office. Approximately 80% of the grant will support students from low-income backgrounds, with the remainder going to the management and staffing of the program. Awards will be made annually to 92 undergraduates and 20 graduate students. Recipients will be provided with opportunities to engage

in research, supportive workshops, colloquia, mentoring, special classes, speaker series, tutoring and seminars. A primary goal of the Genentech Foundation programs, designed by Professor Emeritus of Biology Frank Bayliss, is to alleviate the need for STEM students to work additional jobs, so they have time to engage in research instead. Lab experience is also often a requirement for students applying to Ph.D. programs after graduation.

Creative Activity

In the CSU, creative activities are subject to discipline-specific standards for judging academic excellence. Faculty artistic contributions undergo peer evaluation, can qualify for funding from nationally competitive grants, may be included in scholarly conferences and journals and have specific criteria for tenure and promotion. The role research plays in affecting change and solving problems may be more familiar than is the role played by the academic field of arts, which has its own disciplines, theories, critical analyses, standards of excellence, grant funders and procedures for peer review of quality.

Selected grants are listed below:

Humboldt State University

Funding agency: National Endowment for the Arts

Total award: \$15,000

Title of proposal: Big Read Humboldt

Investigator: Cyril Oberlander

California State University, Stanislaus

Funding agency: California Humanities

Total award: \$19,921

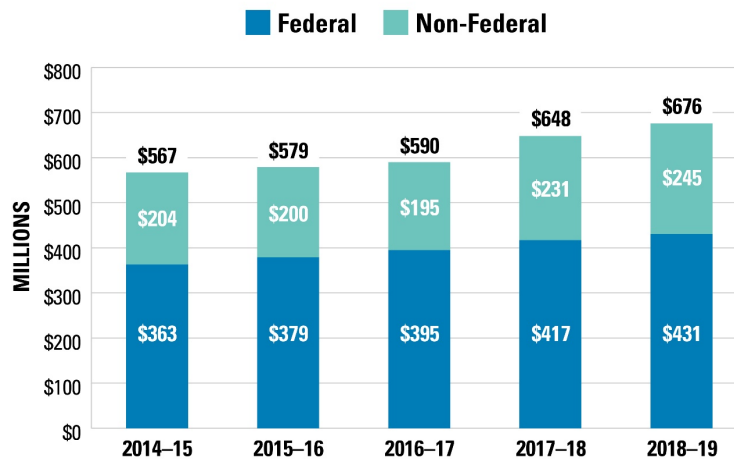
Title of proposal: Tell Our Stories: Artifacts from the Assyrian Genocide

Investigators: Erin Hughes

External Funding

As demonstrated in the chart below, total external funding—grant and contract expenditures—for CSU research and sponsored programs has increased steadily over the past several years. In 2018-19, the most recent year for which data are available, the total amount was \$676 million. This is an increase of \$28 million from the previous year's \$648 million in external grant-funded expenditures.

CSU Research & Sponsored Programs



Unlike state funds that are used exclusively for basic university operations, faculty compete for these external funds, which are used for innovative projects that benefit local communities and prepare students for 21st century careers.

These external funds include approximately \$82 million to cover institutional overhead, also known as indirect costs. Programs in research, scholarship and creative activities have associated infrastructure expenses that are recovered with indirect costs budgeted into the application for external funding.

Research in the CSU

Examples of faculty-led and student-led research can be found at all 23 CSU campuses. The following research focuses on addressing the needs facing local communities, California, the nation and the world. Selected examples are included below.

California State University, San Marcos

CSU San Marcos Professor Gerardo Dominguez is helping to unlock the mystery of the origin of water on the surfaces of asteroids. In his co-published article in *Nature Astronomy*, “Regenerative Water Sources on Surfaces of Airless Bodies” the physics professor answers the question: “How do water and hydroxyl radicals surface on asteroids sluicing through space?” The article concludes that low-temperature oxidation of organics and mineral dehydration are the source of surface water and are transformed through the impact of micrometeorites and the heat

pulses generated during an asteroid's travels. This past summer, a CSU San Marcos team led by Professor Dominguez was one of eight research teams from around the country awarded a \$10.5 million NASA grant to study the origins of ice on the moon.

California State Polytechnic University at Pomona

Cal Poly Pomona graduate student Xianmei Lei is the software lead for CATE—Cal Poly Pomona Autonomous Touring Experience. It looks like a robot and runs on a robot operating system, but it's more than that. It's a prototype for an autonomous vehicle that ultimately will serve as a driverless electric cart navigating college campus walkways. The project is a collaboration of Pomona's computer science department in the College of Science, the College of Engineering and the College of Business. Dr. Amar Raheja, computer science professor and Lei's faculty adviser, serves as a resource for this student-driven project that prepares students to conduct research and solve problems.

California State University Maritime Academy

Dr. Alejandro Cifuentes-Lorenzen, assistant professor of oceanography at CSU Maritime Academy, currently leads a National Science Foundation collaborative research award. The project focuses on the mechanical energy transfer across the air-sea interface and the role of surface gravity waves at the boundary by dynamically linking the atmosphere and ocean through a unique set of measurements. By measuring the turbulence across the air-sea interface, the researchers hope to enhance the understanding of coupled boundary layer dynamics and improve existing and future air-sea interaction parameterizations to improve ocean-atmosphere circulation and climate models. Undergraduate research experiences are being provided through an existing NSF-REU program (URI) and a new NSF-REU supported student at Cal Maritime.

California State University, Chico

The 2018 Camp Fire, which nearly destroyed the town of Paradise in Northern California, released toxic metals and chemicals into the environment as a result of burned houses, industrial structures, cars and more. What remains unknown is the impact these elements have on water quality. Dr. Sandrine Matiasek, assistant professor of geological and environmental sciences, and Dr. Jackson Webster, assistant professor of civil engineering, are collecting a benchmark set of water samples at Chico State. Currently no technologies exist for fast and cost-effective water quality monitoring outside of a controlled lab environment. Their work, in partnership with faculty at Northwestern University, implements a new synthetic biology platform to quickly monitor water quality in the field.

California State University, San Bernardino

CSU San Bernardino alumnus Bryan Castillo's (MS, Earth and Environmental Sciences, '19) award-winning master's thesis focused on the San Andreas Fault. When the largest earthquake to hit California in 20 years struck Ridgecrest last year, it was no surprise to find Castillo alongside geologists, students and researchers from across the nation investigating the damage and collecting data. The data will be shared with the volunteer-run organization, California Earthquake Clearinghouse. Castillo also worked with world-renowned geophysicist Dr. Roger Bilham of the University of Colorado Boulder to study the area. He helped set up creepmeters (instruments that measure the slow movement of fault lines) along the earthquake rupture and other nearby faults. They subsequently published their findings—Castillo's first-co-authored paper—in *Seismological Research Letters*.

California State University, San Francisco

Dr. Karina Nielsen, director of the Estuary & Ocean Science (EOS) Center and San Francisco State biology professor, is leading the first-ever efforts to perform long-term scientific monitoring of ocean acidity and carbon dioxide in the waters of the San Francisco Bay. Waters from the Pacific Ocean and the Sierra meet in San Francisco Bay. In terms of pollution, the focus traditionally has been on the water brought to the bay by rivers and runoff from the land. However, deep, cold ocean waters that upwell along the California coast may bring their own issues. A portion of the carbon dioxide released into the atmosphere by burning fossil fuels is absorbed by the world's oceans, making them more acidic. This acidity has the potential to affect a variety of marine and estuarine life. The Bay Ocean Buoy (BOB), and its companion mooring for Marine Acidification Research Inquiry (MARI), is made possible through funding obtained from the Environmental Protection Agency.

California State University, Stanislaus

Growing the understanding about the vast opportunities in the agriculture industry for students of all ages is behind the partnership between Stanislaus State and the Stanislaus County-based National Ag Science Center. The center's most prominent feature is its Ag in Motion mobile classroom. Inside are hands-on learning labs that 15,000 students, all seventh- and eighth-graders in Stanislaus County, experience throughout the school year. Activities include "CSI Strawberry," where students extract DNA from one of the 200-seed pieces of fruit and "Astronaut Farmer," where students determine whether crops can be grown on the moon. A microscope allows students to look at magnified bugs in the "Zombie Bug Lab." Professor Oluwarotimi Odeh, the Rolland Starn Endowed Chair in Agriculture, oversees the partnership that includes Stan State students serving as Ag Ambassadors.

Scholarship and Creative Activities in the CSU

Faculty scholarship benefits students, particularly as faculty weave their research into curricula and include students in the research and scholarship process. Between 2014 and 2019, CSU faculty authored 38,000 journal publications, the majority of which included student co-authors. Creative activities are subject to discipline-specific standards for judging academic excellence. Faculty artistic contributions undergo peer evaluation, can qualify for funding from nationally competitive grants, may be included in scholarly conferences and journals and may be judged by specific criteria for tenure and promotion.

Below are some prime examples of those scholarly and creative works at the CSU.

California State University, Bakersfield

A native of Delano, California, Maria Rodriguez found herself wanting to learn more about the grape boycott and creation of the United Farm Workers that originated from her hometown and which helped to birth the Chicano movement. Her passion, which sparked as an undergraduate at CSU Bakersfield, only grew when she returned to complete her master's degree in Spanish. Her thesis on the effect of the Chicano movement evolved into the documentary, "5 Decades Later: The Aftermath of the Grape Strike." In the film, she explores the lasting impact of the Chicano movement. Ultimately, she hopes that legacy will be preserved and shared with local schools as a teaching resource.

California State University Channel Islands

A 17-foot California condor sculpture, created by CSU Channel Islands art students Isela Munoz, Jenica Zeta and Maria Zuart soars over Bitter Creek National Wildlife Refuge. They created the project for CSUCI art professor Matt Furmanski's capstone class in partnership with the U.S. Fish and Wildlife Service. In addition to the sculpture, the trio created murals depicting native flora and fauna for the refuge's bunkhouse used by researchers, volunteers, rangers and staff. The 23,572-acre refuge is located approximately two hours north of CSUCI in the Los Padres National Forest at Dough Flat. In 1985, the U.S. Fish and Wildlife Service began acquiring land in the area to conserve threatened and endangered plants and wildlife. The refuge provides habitat for several listed species, but its primary goal is to preserve essential foraging and roosting habitat for the endangered condor.

San José State University

San José State Associate Professor of Journalism Duane "Michael" Cheers, a great-grandson of slaves, is digitally preserving nearly 1,000 damaged photographs and personal documents belonging to W.E.B. Du Bois, the first African American to earn a doctorate from Harvard University and a co-founder of the NAACP. During a 2016 visit to the W.E.B. Du Bois Centre for

Pan African Culture in Accra, Ghana, Professor Cheers noticed ants and termites had eaten away at the materials Du Bois had brought to the home where he died in 1963. The institute granted his request to digitize the materials for preservation. Ultimately, the artifacts belonging to the sociologist, civil rights activist and historian will be added to the collection at the Dr. Martin Luther King, Jr. Library.

Systemwide Collaborations

The CSU is uniquely positioned to have a statewide impact through collaborative research across disciplines and campuses. Through a number of multi-campus partnerships, the CSU brings together researchers from across the 23 campuses to share expertise, initiatives and facilities. As a result of these collaborations, faculty advance knowledge and expose their students to diverse perspectives, regional issues and innovative partnerships.

Affinity Groups

The CSU has 10 multi-campus affinity groups that support research collaborations on a breadth of topics that are important to California.

Agricultural Research Institute

Through the power of the CSU, the Agricultural Research Institute (ARI) enables applied research that benefits California agriculture, natural resources and food systems while cultivating the next generation of agricultural leaders. The ARI maximizes research dollars and provides actionable, quality research that solves real world challenges and builds opportunity.

Six campuses comprise ARI: Chico, Fresno, Humboldt, Monterey Bay, Pomona and San Luis Obispo. The ARI also engages faculty from multiple disciplines across the entire CSU system to address challenges and opportunities facing California agriculture. ARI faculty conduct research issues related to climate change and sustainability—and growing areas of research, including supply chain efficiency, automation and mechanization, transportation/logistics and human nutrition. ARI students are scientists- and agricultural-leaders-in-training. Working closely with faculty on research projects, they gain comprehensive understanding about their discipline and build critical thinking skills. CSU students are the backbone of the research conducted by the ARI. Each year the ARI funds approximately 125 projects, and virtually all projects support student training. In fiscal year 2019-20, 236 students benefited from 58,000 hours of paid career mentoring and scientific training that prepared them to enter the workforce with necessary experience and skills.

By providing matching funds to industry and agency research dollars, the ARI leverages resources and research funds to provide actionable, quality research that solves real world challenges and builds opportunity. Reflecting the nature of agriculture, its projects address broad issues facing agriculture, with a common goal to advance knowledge, offer solutions and develop future leaders to sustain California's agricultural and natural resource industries well into the future.

Council on Ocean Affairs, Science and Technology

The CSU Council on Ocean Affairs, Science & Technology (COAST) is the umbrella organization for marine, coastal and coastal watershed-related activities within the CSU. COAST provides funding to CSU faculty members and students to conduct research that advances our knowledge of marine and coastal resources and the processes that affect them.

COAST is deeply committed to student engagement and development. This year, COAST initiated a sustained campaign to promote equity and inclusion of students from underrepresented groups in marine science. COAST envisions a future in which more students of color go on to pursue advanced degrees and successfully join the marine science workforce, particularly as educators where they can serve as mentors to future students.

In early 2020, COAST launched the State Science Information Needs Program, a new initiative that focuses directly and exclusively on supporting California's highest priority marine, coastal, and coastal watershed related needs for scientific information. In the coming months, COAST will award \$1.6 million to CSU faculty members conducting research on both microplastics and sea-level rise.

CSU Program for Education and Research in Biotechnology

The CSU Program for Education and Research in Biotechnology (CSUPERB) mission is to develop a professional biotechnology workforce by catalyzing and supporting collaborative CSU student and faculty research, innovating educational practices and partnering with the life science industry. CSUPERB faculty are committed to ensuring that all CSU biotechnology students have access to an education that integrates experiential learning, especially team-based research and entrepreneurial projects.

Howell-CSUPERB Scholars conduct CSU faculty-mentored undergraduate research in their third or fourth year of college and are supported by CSUPERB and philanthropic partner, the Doris A. Howell Foundation for Women's Health (DAHF). After 20 years of data, we can trace career trajectories of CSU alumni as they enter graduate and medical schools, accept jobs in biotechnology and pharmaceutical companies, and begin practicing as physicians and become assistant professors. In Spring 2020, \$642,855 was awarded to undergraduate researchers (2000-2020), of which \$334,287 of those funds were donated by DAHF. Marisa Briones is an example

of a Howell-CSUPERB scholar who graduated from California State University, Northridge, went on to complete her Ph.D. from UCLA and has cofounded BDH Pharma.

California Desert Studies Consortium

The CSU Desert Studies Consortium is a collection of seven CSU campuses—Dominguez Hills, Fullerton, Los Angeles, Long Beach, Northridge, Pomona and San Bernardino. The Consortium hosts several desert-related education, research and outreach activities each year, as well as operates the CSU Desert Studies Center (DSC) located in the Mojave National Preserve.

The Desert Studies Center is a premier location and resource for research and education in geology, geography, biology and anthropology—among other areas—pertaining to California’s deserts and the American West. Typically, more than 20 research groups use the DSC annually, with more than 30 CSU field courses basing their field work from the station. In 2019-20, only half the usual visitors used the station owing to its closure during the COVID-19 pandemic.

In 2019-20, the Consortium hired a new education and research coordinator to be based at the DSC, Dr. Anne Kelly. Dr. Kelly has embraced the disruption caused by the pandemic to initiate and facilitate new remote learning programs based from the DSC. One of these programs, titled the Virtual Field Project, is a National Science Foundation-funded collaboration with colleagues from Sonoma State. The program will film field experiences at research stations globally (including the DSC) to teach field-based skills that would otherwise be difficult to demonstrate in the classroom.

CSU Shiley Institute for Palliative Care

As the population ages, the CSU Shiley Institute for Palliative Care works to train healthcare professionals through evidence-based, online and in-person palliative care programs for all clinical disciplines. The Institute, located at CSU San Marcos, includes CSUSM, Fresno State, and CSU Monterey Bay as formal partners, and is in discussions with several more campuses. The Institute supports palliative care education throughout the CSU by collaborating with campus partners, developing faculty resources and hosting an annual national symposium to advance palliative care research, teaching, and practice.

With funding from the California Health Care Foundation, the Institute members created a faculty toolkit for palliative care curriculum integration. The toolkit is a web-based repository of teaching and learning resources that can be used in any classroom. Twenty CSU faculty members piloted the toolkit in 2019, reaching 1,170 students in departments including psychology, nursing, social work, sociology, human development and gerontology. The Institute is working to expand the toolkit and disseminate it more widely across the CSU and beyond.

Dr. Pam Kohlbry, the Institute's director of university relations and research, has also recruited a work group representing about 10 CSU campuses to develop a collaborative proposal related to pending federal legislation and funding that would support palliative care education and faculty training.

Moss Landing Marine Laboratories

Moss Landing Marine Laboratories (MLML) is a field station with state-of-the-art research equipment and is a department of San José State University (SJSU) delivering a Master of Science for CSU campuses in central California. MLML is known for its hands-on, field-oriented approach that places students and researchers at the forefront of marine science worldwide. With funding from the National Oceanic and Atmospheric Administration and COAST, MLML/SJSU is collaborating with CSU Monterey Bay on effects of ocean acidification and hypoxia on fishes. MLML scientists also are working with Humboldt State and Cal Poly to monitor marine protected areas statewide. A CSU Agricultural Research Institute project with CSU Monterey Bay is using metagenomic methods to characterize microbial gene activity in groundwater, and CA Sea Grant is funding work between MLML and San Diego State assessing vessel impacts on rhodolith beds.

Ocean Studies Institute

The Ocean Studies Institute (OSI) is a consortium of CSU campuses that is pooling resources to more effectively explore the ocean and coastal regions. It is based out of the Los Angeles Harbor and includes nine campuses—Channel Islands, Dominguez Hills, Fullerton, Long Beach, Los Angeles, Northridge, Pomona, San Bernardino and San Marcos—addressing research and education on urban ocean and coast sciences.

A recent example of OSI research is a continuing investigation into the life history of juvenile giant sea bass raised in captivity by Dr. Larry Allen, professor of biology at CSU Northridge. Despite the on-going COVID-19 crisis, Dr. Allen and his students in cooperation with Dr. Chris Lowe of the CSU Long Beach's Shark Lab are inserting acoustic tags into 25, one-year old giants in order to track their movements for up to one year after release. The young fish will be tracked using an array of acoustic receivers near the Los Angeles Harbor's Federal Breakwater.

Social Science Research and Instructional Center

CSU's Social Science Research and Instructional Center (SSRIC) supports the development and use of quantitative research skills among CSU students, faculty and staff. Activities include hosting an undergraduate research conference each spring, allowing students to present their work, receive feedback and gain experience presenting to others. It also provides awards that facilitate CSU faculty participation in statistical training workshops and allows CSU faculty to place questions on *CALSPEAKS* surveys of California public opinion. Additional stipends are provided to CSU

faculty for developing new instructional materials—including teaching modules, exercises, free textbooks and statistical software guides—that are made freely available to CSU students and faculty member via the SSRIC website. The center also provides all CSU campuses access to more than 70,000 social science databases.

Science, Technology, Engineering, and Mathematics Network (STEM-NET)

Within the next few years, California will have more STEM jobs than any other state in the nation. Hence, it is critical for today's students to have a strong foundation in STEM to meet the workforce needs and the needs of California's burgeoning innovation economy. The CSU is the largest four-year public university system in the United States with more than 480,000 students and is the largest supplier of engineers and leading supplier of top-tier talent to California's high-tech companies.

STEM-NET connects and strengthens faculty research and educational collaborations across the CSU system and across disciplines thereby building research and educational capacity. It expands opportunities for active learning, innovative pedagogy and supports CSU faculty in developing grant proposals with potential for scaling and sustainability with high impact and fundability. STEM-NET provides opportunities for students to pursue STEM careers via involvement in research activities. Through this experience, students learn teamwork and problem solving while also gaining skills to be successful in their future studies and careers. Working with all 23 campuses, STEM-NET helps to inspire students in STEM fields thereby strengthening the California workforce of tomorrow.

Water Resources and Policy Initiatives (WRPI)

Founded in 2008, Water Resources and Policy Initiatives (WRPI) is developing and executing solutions for sustainable water resource management that change the way California manages water. It is composed of more than 250 water experts from all 23 campuses across the CSU and is focused on developing water management solutions through research, partnerships, education and training, while providing students directed research opportunities. Through WRPI, the CSU has developed internship programs with the U.S. Department of Agriculture and the Environmental Protection Agency so that students enter the workforce ready to develop solutions for business, government and the public.

In 2018-2019, WRPI provided more than 210 individuals from 20 CSU campuses with faculty research incentives, internship programs and the opportunity to participate in an annual conference. Additionally, WRPI collaborated with other water agencies to co-host symposia on arsenic in water, homelessness and juvenile salmon bioenergetics. WRPI also commercializes new ideas and services in water industries that are making irrigation more efficient than ever. Finally, WRPI and partners are working with communities to promote water education with a WaterTalks toolkit program in the Los Angeles and Ventura regions.

Conclusion

CSU research, scholarship and creative activities contribute to the intellectual and creative vibrancy of campus life while offering solutions to real-world problems. As a high-impact practice, these activities are critical to the success of Graduation Initiative 2025 and to fulfilling the CSU mission of student success, faculty excellence and service to California and beyond.

COMMITTEE ON EDUCATIONAL POLICY

Graduation Initiative 2025

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Jeff Gold
Assistant Vice Chancellor
Student Success Strategic Initiatives

Bill Covino
President
California State University, Los Angeles

Summary

As California State University's (CSU) signature program designed to increase degree completion rates and eliminate equity gaps for students from historically underserved communities, Graduation Initiative 2025 continues to drive change systemwide. This information item provides an update on how campuses have prioritized student success in creative ways – such as leveraging technology to reimagine student learning and advisement – despite the challenges created by a global pandemic. Also highlighted in this item are resources provided to staff, faculty and administrators to inform and inspire these efforts including the Certificate Program in Student Success Analytics and the Graduation Initiative 2025 Fall Convening. Additional data, including updated figures on four- and six-year graduation rates and progress in eliminating equity gaps, will be presented at the November 2020 meeting of the Board of Trustees.

Background

The last six months have brought unprecedented change to the CSU as a result of COVID-19. On the guidance of government and public health officials, CSU leaders made the difficult decision to suspend in-person activities on campuses last March. In less than one month, all 23 campuses – from Humboldt State in the north to San Diego State in the south – successfully transitioned to online instruction and virtual student support. Since then, students, faculty and staff have had to recalibrate what it means to learn and thrive in a virtual environment. Many faculty have embraced their role as learners as they add new tools and modalities to their instructional repertoire. Unfortunately, the pandemic has exacerbated disparities in public health and employment for

already vulnerable populations. According to the Pew Research Center, one quarter of young adult workers (ages 16-24) lost their jobs from February to May. Many CSU students, facing job loss or reduced income for themselves or family members, have turned to campuses to meet a growing demand for basic needs that now include access to learning technology such as laptops and reliable WiFi.

Initiative Priorities

What has not changed in the face of these challenges is the CSU's commitment to improving learning outcomes for all students and reducing equity gaps across all campuses. The following represents the CSU's aspirational goals with respect to the six operational priorities that inform Graduation Initiative 2025:

- **Academic preparation:** We will provide CSU students, including those who require additional academic support, the opportunity and support needed to complete 30 college-level semester units – 45 quarter units – before beginning their second academic year.
- **Enrollment management:** We will ensure students are able to enroll in the courses they need, when they need them.
- **Student engagement and well-being:** We will continue to address the well-being of all CSU students while fostering a strong sense of belongingness.
- **Financial support:** We will ensure that financial need does not impede student success.
- **Data-informed decision making:** We will use evidence and data to identify and advance the most successful academic support programs.
- **Administrative barriers:** We will identify and remove unnecessary administrative impediments.

One critical academic and student support strategy that cuts across all six priorities is advising. From assisting students in exploring academic and career options and supporting students in navigating the college experience to ensuring that students have a consistent connection to the campus community, the CSU faculty, staff and peer students who provide advisement services help anchor a student's undergraduate journey. Prior to the COVID-19 pandemic, campuses worked diligently to implement a balance of "high-tech" and "high-touch" student advising services at scale. CSU campuses have been national leaders in the adoption of technology platforms which provide students with accessible, real-time academic planning and navigation tools. With the power of these technology tools already in place, the "high touch" human element of advising has fostered powerful new connections with students.

Meeting the Moment

The following are just three examples where faculty, staff and administrators have collaborated to provide students with innovative solutions to existing and emerging challenges in timely degree completion. In these specific cases, campuses have leveraged a set of tools from the Education Advisory Board (EAB), an organization that supports more than 1,400 schools, colleges, and universities on topics such as enrollment management, student success, institutional operations and strategy. EAB Navigate, a student success management system, helps campuses to successfully pivot to online support services and address student needs proactively. EAB links administrators, faculty, staff and advisors in a coordinated care network to support students from enrollment to graduation and beyond. In just over three years, the CSU system has gone from seven campuses to 18 campuses using EAB Navigate. In June 2019, the Chancellor's Office expanded the original agreement to offer campuses a new student facing feature of Navigate called "Smart Guidance". Currently, there are five pilot campuses implementing this tool which includes a mobile application, a pre-populated academic planner and schedule optimizer for registration. The Smart Guidance pilot project is targeted for completion in time for fall 2021 registration, with several of the pilot campuses conducting a soft launch during fall 2020. The early investment in EAB provided CSU a head start in supporting students in a virtual learning environment, as highlighted by the successful experiences of Cal State L.A., Pomona and Sonoma outlined below.

California State University, Los Angeles

Meeting students where they are at has been a longstanding mindset at Cal State L.A. and has taken on additional resonance during the last six months. By removing barriers, improving access, building relationships and promoting equity, the campus has experienced significant success. In fact, the pandemic provided Cal State L.A. with the opportunity to accelerate its transition from a reactive to a proactive advising mindset. Whereas in the past students would seek out advisors, advisors now regularly reach out to students. Students have now established relationships with a person, not a center or department. Communication outreach has been rewired from "send and wait" email outreach to an intentional schedule of email communications, phone calls and follow-ups. Student difficulties that would normally trigger a response are mitigated now through student progress reports that signal the need for proactive intervention *before* an issue arises. Initial results have been positive, with an increase of 12% in scheduled advising appointments and a decrease of 9% in cancelled or no-show appointments compared to last year.

Cal State L.A. has also reimagined new student registration. Last year, students would have attended a one-day event with general information sessions in the morning followed by large-scale class registration sessions in the afternoon. This summer, new students experienced a "bridge to Cal State L.A." beginning with a personal welcome from their advisor, followed by multiple touchpoints ultimately leading to the first day of remote learning. In addition to building stronger relationships with an advisor, Cal State L.A. has made academic support more visible

with real-time Zoom reception rooms available on the Center for Academic Success website, and evening and weekend tutoring to better support students' schedules. Online webinars in topics such as time management, study strategies and career resources have rounded out personal advisement and have been highly popular with students. All new students had access to an introductory remote learning experience in Canvas prior to the first day of class. It has already been activated by 3,640 first-year students and 2,785 transfer students out of a total of 4,012 first-year and 3,391 transfer students. This early, and proactive, outreach offers students the opportunity to acclimate to new virtual learning modalities and should yield ongoing benefits to them throughout the fall term.

California Polytechnic State University, Pomona

During the 2019-2020 academic year, Cal Poly Pomona completed Phase II implementation of EAB Navigate (branded as *CPP Connect*). While Phase I launched with staff advisors and tutoring centers, Phase II focused on expanding the tool to additional supplemental advisors (in Athletics, Student Support and Equity Programs, and Kellogg Honors College), as well as the cultural center coordinators, academic coaches and a pilot group of faculty advisors. CPP also piloted the well-being care unit which included staff members from the Integrated Care Network as well as the Disability Resource Center and the Poly Pantry (food pantry). These efforts resulted in some key successes during this challenging year:

- 22,630 distinct students were recorded as having connected with one of the three care units in 2019-20 (85% of all undergraduates);
- College Advising Centers recorded meetings with 17,910 distinct students (73% of all undergraduates);
- The Bronco Advising Center recorded meetings with 5,355 distinct students (21% of all undergraduates); and
- Six university-wide and 130 college-based pro-active outreach campaigns were implemented.

In spring 2020, in response to COVID-19, Cal Poly Pomona's Office of Student Success coordinated the launch of the institution's first university-wide progress report campaign to identify students who were struggling in their classes as a result of the change to virtual instruction and/or challenges related to COVID-19. The progress reports were sent to faculty at the beginning of April and, as a result, nearly 3,400 students were identified in need of additional support.

The Office of Student Success (OSS) partnered with academic affairs and student affairs colleagues from across campus to engage in personalized outreach to these students. Students who were marked as at-risk due to housing or food insecurity, mental or physical health concerns or financial instability were automatically escalated to the well-being care unit via the case management system. The Integrated Care Network team then connected with these 113 students to offer support.

Additionally, 621 students received an invitation for a one-on-one meeting with a staff member to explore additional supports, and 2,895 students received a tailored email communication with resources on tutoring and other academic supports.

In alignment with the California State University System's Graduation Initiative 2025, Cal Poly Pomona has been working to improve graduation rates for our students. To this end, the Office of Student Success (OSS) implemented campus-wide, coordinated campaign strategies in the 2019-2020 academic year to target students in academic difficulty to provide them with support.

In order to coordinate this effort, OSS established guidelines for college-based retention and graduation specialists to implement the campaigns. These guidelines included campaign naming conventions, timelines, communication templates, search criteria and goals. The specialists then conducted the campaigns throughout spring and fall 2019. The academic standing campaign requirements included the completion of a Blackboard-based module titled "Getting Back on Track" as well as meetings with the specialists to explore the challenges they faced in the previous term and to develop a plan for academic success moving forward. OSS met regularly with the specialists to ensure that they had the support needed to carry out the campaigns. These efforts resulted in several key outcomes for students experiencing academic difficulty:

- Improved re-enrollment rates for spring 2020: 66.7% of students who met with an advisor enrolled in spring 2020 compared with 18.2% of students who did not meet with an advisor.
- Average overall GPA for spring 2020: Students who met with an advisor earned an average of a 2.47 overall GPA in spring 2020, compared with students who did not meet with an advisor who earned a 2.36 overall GPA.
- Average units earned for spring 2020: Students who met with an advisor earned an average of 9.25 units in spring 2020, compared with students who did not meet with an advisor with 7.00 units.

Sonoma State University

During the spring semester and summer term, Sonoma State advisors ran re-enrollment campaigns to closely monitor continuing students who had not yet re-enrolled for fall 2020 by using EAB Navigate Intelligence, multi-channel communications and pro-active outreach tools. Students who initially indicated they were not planning to return were frequently experiencing issues with inadequate technology and/or space to study as well as financial challenges. Advisors helped each non-enrolled student overcome issues related to their re-enrollment challenges such as registration holds and quickly connected them to other support services such as basic needs and housing, academic technology services and/or financial aid.

As a result, Sonoma State had 91.5% of all undergraduate students re-enroll from spring to fall which exceeds their overall enrollment projections for fall, despite having fewer new students committed to enrollment. During the fall semester, proactive outreach work will continue, especially as the campus has launched a new mobile application for “Smart Guidance”. Sonoma is planning to focus primarily on the population of students with undeclared majors as this has historically been the group least likely to be retained. Students will be encouraged to use the major explorer within the mobile application to help undeclared students explore and select a major and connect them to career counselors.

Data-Informed Decision-Making

An integral part of Graduation 2025 efforts is measuring campus progress through tangible metrics. The Certificate Program in Student Success Analytics is an innovative professional development program created by the CSU that provides faculty, staff and administrators with a set of strategies to better understand the data being collected in order to delineate what is working well for students and what needs to be improved to increase student success. The program immerses participants in system and campus data – contextualized within national research on student success in higher education – with the goal of fostering a more intentional and equity-minded approach to meeting the needs of students. The curriculum includes eight interactive web conferences that take place over a three-month period during the spring. Throughout the program, participants study and closely examine applicable student data, consult with national experts and complete an action research project to apply their learning to solve critical equity challenges existing on their campuses.

Since its inception in 2018, the certificate program has grown precipitously. It is now comprised of approximately 450 total participants, including faculty, staff and administrators from the CSU, UC and out-of-state universities. According to program participant Erica Wildy, from Cal State East Bay, “I believe there is more we can do as an institution, as well as specific programs and even individuals, to help reduce the achievement gap and support the Graduation Initiative. So, I see data gathered during our collective initiatives as contributing towards those efforts.”

Looking Ahead: Graduation Initiative 2025 Fall Convening

In October, the Chancellor’s Office will host the first virtual Graduation Initiative 2025 Fall Convening. This online celebration of the CSU’s commitment to student success will feature updates on systemwide progress as well as inspirational stories of how campuses and individuals are serving our students in new and innovative ways. The theme, The Time Is Now, highlights the urgency to act boldly to ensure that more CSU students – particularly those from historically underserved backgrounds – are provided with the support and care needed to earn a high-quality college degree. Registration is free and open to the public. The event will be livestreamed on October 23, 2020 at www.calstate.edu/GradInitiative2025Convening.

Conclusion

Now, more than ever, Graduation Initiative 2025, is a guiding force for the CSU. As faculty, staff and administrators work diligently to address both existing and emerging barriers to graduation, innovative thinking and collaborative partnerships have created new opportunities to better support student success. Advising services and programs provide a set of navigation tools, technologies and resources to guide students on their academic journey. Despite the unexpected and potentially unfamiliar territory created by the COVID-19 pandemic, CSU faculty, staff and peer students are advising and assisting students through a re-envisioned culture and network of care and support that has positioned the CSU to effectively serve students virtually and in-person after the COVID-19 pandemic is behind us.

AGENDA

JOINT COMMITTEE ON GOVERNMENTAL RELATIONS AND EDUCATIONAL POLICY

Meeting: 1:15 p.m., Tuesday, September 22, 2020
Glenn S. Dumke Auditorium

Committee on Governmental Relations

Debra S. Farar, Chair
Maryana Khames, Vice Chair
Silas H. Abrego
Douglas Faigin
Jack McGrory
Romey Sabalius
Lateefah Simon

Committee on Educational Policy

Wenda Fong, Chair
Romey Sabalius, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Maryana Khames
Christopher Steinhauser

Discussion 1. Proposition 16: Allows Diversity as a Factor in Public Employment, Education, and Contracting Decisions. Legislative Constitutional Amendment, *Action*

**JOINT COMMITTEE ON
GOVERNMENTAL RELATIONS AND EDUCATIONAL POLICY**

Proposition 16: Allows Diversity as a Factor in Public Employment, Education, and Contracting Decisions. Legislative Constitutional Amendment.

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Loren Blanchard, PhD
Executive Vice Chancellor
Academic and Student Affairs

Summary

This item contains a resolution endorsing Proposition 16, a legislative constitutional amendment that will appear on the November 3, 2020, general election ballot.

Background

During the 2019-2020 legislative session, Assembly Constitutional Amendment 5 (ACA 5) authored by Assembly Member Dr. Shirley Weber passed the Assembly and Senate with the support of more than two-thirds of each legislative house and will appear on the November 3, 2020, ballot. If Proposition 16 is passed by voters, public agencies would no longer be restricted by the state constitutional provision from considering race, sex, color, ethnicity or national origin to address diversity concerns in public employment, public contracting or public education due to the repeal of article I, section 31, of the California Constitution, which was added by Proposition 209 in 1996. The CSU supported ACA 5.

If passed by voters, the repeal of article I, section 31 of the California Constitution would not alter other state or federal laws guaranteeing equal protection and prohibiting unlawful discrimination. The CSU would continue to be required to comply with these other laws. The Legislative Analyst's Office (LAO) has determined that there is no direct fiscal effect on state and local entities because the measure does not require any change to current policies or programs. The LAO further states that the possible fiscal effects would depend on future decisions by state and local entities to implement policies or programs that consider race, sex, color, ethnicity or national origin in public education, public employment and public contracting.

Potential CSU Impacts of Proposition 209 Repeal

While it is unknown how other public agencies may change their policies or what state legislation may be advanced in future years if Proposition 209 is repealed, the CSU is most interested in exploring ways to provide appropriate additional targeted supports to students and faculty, when based on data and thorough analysis.

Since launching Graduation Initiative 2025 four years ago, the CSU has achieved year-over-year historic gains in increasing completion rates for all students. One of the primary goals of the initiative that is yet to be realized, however, is the *elimination* of equity and opportunity gaps. To address equity gaps based on race and ethnicity, one potential opportunity to be considered is to employ race-conscious or race-attentive strategies and practices. Many potential strategies to improve educational equity and completion outcomes are directly prohibited by Proposition 209, such as:

- Focused student recruitment that considers race/ethnicity or sex;
- Externally funded scholarship programs that consider race/ethnicity or sex;
- Programs to recruit or retain CSU faculty and teacher candidates that consider race/ethnicity or sex; and
- Student retention programs that consider race/ethnicity or sex.

In light of the restrictions imposed by Proposition 209 and other nondiscrimination laws, the university and its campuses have not considered race/ethnicity and instead have used information such as a student's family income and parents' educational level in their policies and practices. For example, the Education Opportunity Program (EOP) used race as one of a number of factors for program eligibility prior to the passage of Proposition 209 and could consider whether that factor should again be used. Since the passage of Proposition 209, the program has instead utilized family income and parents' educational level to determine eligibility. While income has often been used as an alternative attribute for program qualification or additional consideration for many programs, disparities in college access and completion outcomes differ for White, Latinx and African American students, even within the same income groups.

To address and eliminate opportunity gaps, should Proposition 16 pass, the CSU may decide after careful evaluation that it is appropriate—in a narrow, tailored manner that does not harm other students—to employ certain race-conscious or race-attentive strategies. For example, the CSU may decide to explore options that would allow a campus to begin to accept donations for scholarships where race, ethnicity or sex is one factor of a donor's requirements. A campus that had to discontinue a student retention program focused on women in engineering due to Proposition 209 could now consider whether such a program is again warranted to address disparities in enrollment by sex in engineering disciplines. Or campuses may explore whether it is permissible to adopt or modify an affirmative action plan for employment in areas where women or people of color are

underrepresented. Or the university and its campuses may intentionally design retention programs to address the interests of students of a particular race or ethnicity, if an evaluation of the data shows disparities by race and it is determined that consideration of race is necessary to address the cause of these disparities. Any activity that considers the race, ethnicity and/or sex of students or employees must be undertaken very carefully in order to avoid unlawful discrimination.

While the CSU and its campuses have implemented initiatives designed to increase diversity, the repeal of Proposition 209 will allow the CSU to explore whether it is possible to expand and improve on these existing initiatives and programs. For example, the California Pre-Doctoral Program is designed to increase the pool of potential CSU faculty by supporting the doctoral aspirations of CSU students who have experienced economic and educational disadvantages. The repeal of Proposition 209 may allow for expansion of the scope of such programs by permitting the CSU to also consider applicants' race, ethnicity or sex. As part of a holistic and balanced employment process, the repeal of Proposition 209 may allow the CSU's workforce to become increasingly diverse.

Finally, the CSU may have discretion to participate in federal procurement and contracting programs, such as Minority Business Enterprises and Women Owned Business Enterprises, that are currently not permitted. Before modifying or adjusting any contracting practices, the Business and Finance Division would conduct a thorough analysis to understand benefits, costs and what is allowable under remaining state and federal law.

Next Steps if Proposition 209 is Repealed

The CSU recognizes that the repeal of Proposition 209 would mean that an absolute prohibition of some activities which consider race, ethnicity and/or sex will be removed, while other non-discrimination laws remain. Existing non-discrimination laws prohibit discrimination but do allow consideration of race, ethnicity or sex in narrow, limited ways. They require the entity wishing to consider race, ethnicity or sex in hiring or other decisions to engage in careful study and prepare a detailed analysis of problems that could be ameliorated by the consideration of race, ethnicity or sex, such as underrepresentation of women or people of color in a particular job category. Use of race, ethnicity or sex in university decisions is never a decision to be taken lightly or in the absence of extensive study and careful consideration of all options and impacts. Should Proposition 209 be repealed, the CSU commits to undertaking these careful studies to determine whether any consideration of race, ethnicity or sex in decisions related to hiring, student success or contracting is warranted in our pursuit of inclusive excellence.

Support and Opposition

Support

The Opportunity for All Coalition, also known as Yes on Prop. 16, is leading the campaign in support of Proposition 16. They argue that a “yes” vote would reverse the ban on equal opportunity policies like affirmative action so that elected leaders can design programs that provide good jobs, better wages and access to great schools for all Californians. Chairpersons include Thomas Saenz (MALDEF), Vincent Pan (Chinese for Affirmative Action) and Eva Paterson (Equal Justice Society). Supporters include the University of California Board of Regents, the California State Student Association, the California Faculty Association, the California Teachers Association, ACLU of California, many elected and formerly elected officials, and [others](#).

Opposition

Californians for Equal Rights, also known as No on 16, is leading the campaign in opposition to Proposition 16. They argue that California has made great strides in promoting diversity since 1996 and that Proposition 16 legalizes discrimination in violation of federal law. Opponents include Ward Connerly (chair), Senator Ling Ling Chang, Senator Melissa Melendez, the American Civil Rights Institute, Students for Fair Admissions, Inc. and [others](#).

Additional Information

Additional information on Proposition 16 can be found on the Secretary of State’s website at: <https://vig.cdn.sos.ca.gov/2020/general/pdf/complete-vig.pdf>

Recommended Action

Should the Trustees choose to endorse Proposition 16 on the November 3, 2020, general election ballot, the following resolution is recommended:

WHEREAS, Proposition 16 is a legislative constitutional amendment that repeals the provisions of Proposition 209 so that it is possible, where appropriate, to consider race, sex, color, ethnicity or national origin in public employment, education and contracting decisions; and

WHEREAS, the California State University desires more options to consider toward its goals of inclusive excellence for students and employees; now, therefore, be it

RESOLVED, by the Board of Trustees of the California State University, that the board endorses Proposition 16, the legislative constitutional amendment authorized by Assembly Constitutional Amendment 5, which will appear on the November 3, 2020, general election ballot.

AGENDA

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Meeting: 1:45 p.m., Tuesday, September 22, 2020
Virtually via Teleconference

Jane W. Carney, Chair
Lateefah Simon, Vice Chair
Larry L. Adamson
Rebecca D. Eisen
Romey Sabalius
Peter J. Taylor

- Consent**
1. Approval of Minutes of the Meeting of July 21, 2020, *Action*
 2. California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition, *Action*
- Discussion**
3. Preliminary Multi-Year Capital Program, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 21, 2020

Members Present

Jane W. Carney, Chair
Lateefah Simon, Vice Chair
Larry L. Adamson
Rebecca D. Eisen
Romey Sabalius
Peter J. Taylor
Lillian Kimbell, Chair of the Board
Timothy P. White, Chancellor

Trustee Jane W. Carney called the meeting to order.

Public Comment

Due to the virtual format of the July 21-22, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. Public comment pertaining to the Committee on Campus Planning, Buildings and Grounds was made by five public speakers representing the City of Fullerton, the CSU Fullerton student body, and the local academic, philanthropic, and business communities spoke in support of the CSU Fullerton Master Plan.

Approval of Minutes

The minutes of the May 12, 2020 meeting of the Committee on Campus Planning, Buildings and Grounds were approved as submitted.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

California State University, Long Beach Housing Expansion Phase 1 – Housing Administration and Commons Building Project Supplemental Environmental Impact Report

This item shared information and requested approval and certification of the Supplemental Environmental Impact Report for the Long Beach Housing Administration & Commons Building project. This project is the second portion of a project that the Board of Trustees approved in November 2018 to increase student housing and replace existing office space. The Board of Trustees approved the schematic design and financing for the new student housing component in July 2019 and that phase of the project has moved forward to construction. This item addressed the additional environmental review needed for the remaining project scope to replace the Hillside housing office and commons space.

The committee recommended approval of the proposed resolution (RCPBG 07-20-03).

California State University, Fullerton Master Plan Update and Enrollment Ceiling Increase

Information was shared and approval requested for the California State University, Fullerton Campus Master Plan Update and Certification of the Final Environmental Impact Report. The last master plan revision for the campus occurred in 2003.

Following the presentation, the trustees asked about enrollment growth and how convenience spaces were designed into the plan given the confined available space.

The committee recommended approval of the proposed resolution (RCPBG 07-20-04).

California State University Enrollment Demand, Capacity Assessment, and Cost Analysis Report for Campus Sites

The findings of the Capacity Assessment report were presented. The study was conducted in response to the 2019-2020 Budget Act, in which the legislature called for the study of projected enrollment, physical capacity to serve students, and workforce needs to assess the need for a new CSU campus in the cities of Concord, Chula Vista, Palm Desert, and the Counties of San Mateo and San Joaquin.

Following the presentation, the trustees asked about the subject cities' involvement in the sessions facilitated by the consultants and the extent to which the current COVID-19 pandemic and on-line learning were considered in the report. The trustees also asked how future enrollment and population changes were estimated, what the estimated costs for building branch campuses is, and the role of the Chancellor's Office in helping off-campus centers analyze how to best serve their local communities.

Affordable Housing at the California State University

A presentation on the CSU's Student Housing was made, as requested by the Board of Trustees in July 2019. The scope of the report expanded further based on legislative interest and language in the Budget Act of 2019-2020 related to an affordable housing report for the CSU.

Following the presentation, the trustees commented on the possibility of including affordability as a consideration when proposing new housing projects and utilization of financing to keep housing rates more affordable. The trustees also commented on the need to analyze per bed operating costs, the need to engage in value engineering to drive down cost per square foot, the high rent some students must pay at non-metropolitan campuses, and the need to re-examine requirements for students to live on campus.

The trustees also inquired about the impact of COVID-19, and if the CSU is increasing housing assistance to families whose livelihoods have been affected by the pandemic.

Trustee Carney adjourned the Committee on Campus Planning, Buildings and Grounds.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Tomás D. Morales
President
California State University, San Bernardino

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

This item requests the California State University Board of Trustees approve schematic plans for the California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition. In November 2018, the Board of Trustees added the project to the 2019-2020 Five-Year Capital Outlay Plan and approved financing for the project.

College of Arts and Letters/Theater Building Renovation and Addition Schematic Design

CM at Risk Contractor: Rudolph and Sletten

Architect: HGA Architects and Engineers

Background and Scope

California State University, San Bernardino wishes to proceed with design and construction of the College of Arts and Letters/Theater Building Renovation and Addition, which consists of a new theater building (#20A) located south of the existing Performing Arts building (#20¹), a faculty office building for the College of Arts and Letters (#52) to the west, and renovation of select vacated space within the existing Performing Arts building. The academic building has been situated to preserve the landscaped area west of the existing Performing Arts building which will create a College of Arts and Letters courtyard for congregation and collaboration.

¹ The facility number is shown on the master plan map and recorded in the space and facilities database.

The existing Performing Arts building was constructed in 1977 and is functionally obsolete as a music and theater arts teaching and performance facility. The practice rooms and rehearsal space do not accommodate the current student population and lack appropriate acoustical soundproofing.

Theater Building

The new three-story 39,711 assignable square foot (ASF)/74,817 gross square foot (GSF) theater building will include a 500-seat little theater, support spaces, music practice and rehearsal spaces, and 14 faculty teaching offices. The first floor will be highlighted by the theater and include instructional activity space including a large instrumental rehearsal hall, medium choral rehearsal hall, percussion room, and scene shop. The second floor will efficiently fill in areas not in use from the double volume height of the first floor to house faculty teaching offices. The garden level will include the stage trap, dressing rooms, green room, small and medium practice rooms, recording studio, and instrument storage lockers.

College of Arts and Letters Building

The 10,043 ASF/19,020 GSF College of Arts and Letters academic building will provide a home for the College and relocate the communications department, dean's suite, and the college advising office from University Hall (#26). The new two-story building will provide 30 faculty offices and increase capacity to serve 140 full-time equivalent students (FTES).

The first floor will house the dean's suite, college advising office, and a 60-seat lecture room while the second floor will include faculty offices for the communications department. Pedestrian improvements to the green space west of the existing Performing Arts building will provide access and gathering space between the new and existing buildings.

The theater building will be a steel braced frame structure with an aluminum storefront and building skin featuring glass, composite concrete and metal panel. The academic building will also be a braced frame structure with an aluminum storefront and building skin featuring a combination of glass, metal and ceramic panels, and limestone plaster. Roof coverings for both buildings will use a single-ply membrane roofing system with conduits and supports installed for future solar photovoltaic installation. The architectural vocabulary of the buildings reflects surrounding and recently constructed campus buildings.

Performing Arts Renovation

This project will also backfill and renovate 11,631 ASF/12,145 GSF in the existing Performing Arts building adding capacity for 137 FTES. The renovation will refresh highly utilized areas within the building interior. The first-floor renovation will expand the costume shop, repurpose existing rehearsal spaces to create a 60-seat lecture room, expand the theater design studio, and relocate the acting studio and puppet shop from Chaparral Hall. The second-floor renovation will repurpose small practice rooms and music faculty offices to relocate the college information technology support office from University Hall.

Sustainable design features include LED lighting fixtures, low-flow plumbing fixtures, indirect natural daylighting, an energy-efficient HVAC system, and drought-tolerant landscaping. Infrastructure for potential future rooftop solar photovoltaic installation will also be in place as part of this project. The project will seek LEED Silver Certification.

Timing (Estimated)

Preliminary Plans Completed	December 2020
Working Drawings Completed	June 2021
Construction Start	November 2021
Occupancy	September 2024

Basic Statistics

Theater Building Addition

Gross Building Area	74,817 square feet
Assignable Building Area	39,711 square feet
Efficiency	53 percent

College of Arts and Letters Building

Gross Building Area	19,020 square feet
Assignable Building Area	10,043 square feet
Efficiency	53 percent

Theater Building Renovation

Gross Building Area to be renovated	12,145 square feet
Assignable Building Area to be renovated	11,631 square feet
Efficiency	96 percent

Cost Estimate – California Construction Cost Index (CCCI) 6840²

Theater Addition Building Cost (\$797 per GSF)	\$59,639,000
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Systems Breakdown

	<i>(\$ per GSF)</i>
a. Substructure (Foundation)	\$ 49.47
b. Shell (Structure and Enclosure)	\$ 212.87
c. Interiors (Partitions and Finishes)	\$ 156.30
d. Services (HVAC, Plumbing, Electrical, Fire)	\$ 210.45
e. Built-in Equipment and Furnishings	\$ 47.81
f. Special Construction and Demolition	\$ 6.54
g. General Conditions and Insurance	\$ 113.70

² The July 2018 *Engineering News-Record* California Construction Cost Index (CCCI). The CCCI is the average Building Cost Index for Los Angeles and San Francisco.

College of Arts and Letters Building Cost (\$571 per GSF) \$10,860,000

<i>Systems Breakdown</i>	<i>(\$ per GSF)</i>
a. Substructure (Foundation)	\$ 26.39
b. Shell (Structure and Enclosure)	\$ 174.29
c. Interiors (Partitions and Finishes)	\$ 101.89
d. Services (HVAC, Plumbing, Electrical, Fire)	\$ 171.98
e. Built-in Equipment and Furnishings	\$ 17.14
f. Special Construction and Demolition	\$ 0.00
g. General Conditions and Insurance	\$ 79.28

Theater Renovation Building Cost (\$265 per GSF) \$3,222,000

<i>Systems Breakdown</i>	<i>(\$ per GSF)</i>
a. Shell (Structure and Enclosure)	\$ 17.29
b. Interiors (Partitions and Finishes)	\$ 51.05
c. Services (HVAC, Plumbing, Electrical, Fire)	\$ 136.02
d. Built-in Equipment and Furnishings	\$ 7.66
e. Special Construction and Demolition	\$ 16.88
f. General Conditions and Insurance	\$ 33.88

Site Development (includes landscaping) \$6,273,000

Construction Cost \$79,994,000
 Fees, Contingency, Services 24,506,000

Total Project Cost (\$606 per GSF) \$105,000,000
 Fixtures, Furniture and Movable Equipment 6,500,000

Grand Total \$111,000,000

Cost Comparison

Theater Building

This project's theater/performing arts new construction building cost of \$797 per GSF is higher than the \$620 per GSF for the CSU Northridge Performing Arts Center approved in March 2006, and lower than the \$913 per GSF for the performance hall component for the Sonoma State Green Music Center approved in January 2005, and all adjusted to CCCI 6840.

College of Arts and Letters Building

This project's academic new construction building cost of \$571 per GSF is lower than the CSU, Long Beach College of Continuing and Professional Education building at \$576 per GSF and higher than the \$534 per GSF music and faculty office component of the Sonoma State Green Music Center, all adjusted to CCCI 6840.

One of the primary areas of cost difference is in building services which includes HVAC. These buildings include a radiant floor heating and cooling system as well as a ventilation system to provide temperature control. Although this provides for higher first cost, it proves more economical in a life cycle cost analysis.

Funding Data

The project funding will be in part from campus designated capital reserves (\$7.1 million), with the balance (\$103.9 million) financed by the CSU Systemwide Revenue Bond Program.

California Environmental Quality Act (CEQA) Action

This project was analyzed in the Final Environmental Impact Report (EIR) prepared for the California State University, San Bernardino Campus Master Plan. The EIR was certified by the Board of Trustees in September 2017. The university completed a Finding of Consistency in June 2020 for this project, which identified minor changes and determined that implementation of this project would not result in any new or substantially more severe impacts as outlined in Section 15164(a) of the CEQA Guidelines. This project is consistent with all required mitigation measures as previously certified. The Finding of Consistency is available on the CSU, San Bernardino Master Plan website.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The September 2017 Final EIR for the California State University, San Bernardino Master Plan and the June 2020 Finding of Consistency prepared for the College of Arts and Letters/Theater Building Renovation and Addition project have been prepared in accordance with the requirements of the California Environmental Quality Act.
2. The California State University, San Bernardino College of Arts and Letters/Theater Building Renovation and Addition is consistent with the Campus Master Plan approved in September 2017.

CPB&G

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3. The project will benefit the California State University.
4. The schematic plans for California State University, San Bernardino College of Arts and Letters/Theater Building Renovation and Addition are approved at a project cost of \$111,000,000 at CCCI 6840.
5. The campus will continue to work with Capital Planning, Design and Construction to evaluate the design and materials selection to lower project costs. Any project savings realized shall be applied to renovation of the existing Performing Arts Building.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Preliminary Multi-Year Capital Program

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

This item provides information on the California State University Preliminary Multi-Year Capital Program for facilities renewal, modernization, and improvements. The preliminary program can be found at: <https://www2.calstate.edu/csu-system/doing-business-with-the-csu/capital-planning-design-construction/Pages/cpdc-resource-library.aspx?&FilterField1=FormType&FilterValue1=Major Capital Outlay Program>. The Final Multi-Year Capital Program will be presented to the Board of Trustees in November 2020 for approval.

The preliminary list of capital projects is included as Attachment A. The Infrastructure Improvement Program, which is a subset of the Multi-Year Capital Program and generally includes smaller projects that address critical infrastructure replacements and upgrades, is detailed beginning on page 2 of Attachment A. The projects are listed in alphabetical order and will be prioritized in the November submission based on available funding and systemwide need. The campuses have identified a need of over \$23 billion as part of the Multi-Year Capital Program.

Since 2014, more than \$5.9 billion has been approved to fund or finance projects to modernize existing facilities and support increased student enrollment. The initial recommendation for the development of the 2021-2022 operating budget request is to include a base budget increase of \$10 million to fund approximately \$180 million in facilities infrastructure and improvements. Given the tremendous need and likelihood that many projects will go unfunded, the board will be asked in November to approve the priority list with the expectation it will take multiple years to fund. This approach will reduce future campus workload in the development and submittal of their five-year facilities improvement plan.

Preliminary Multi-Year Capital Program Overview

The primary objective of the Capital Outlay Program is to develop facility plans appropriate to the CSU's educational programs, create environments conducive to learning, and ensure that the quality and quantity of facilities at each of the 23 campuses serve the students equally well. The CSU Board of Trustees has established Categories and Criteria to set capital program priorities to inform campuses as they develop and consider proposed campus projects. The Categories and Criteria¹ place an emphasis on projects that correct structural and health and safety code deficiencies by addressing infrastructure integrity, fire and life safety problems and promoting code compliance in existing facilities.

The Preliminary Multi-Year Capital Program is submitted to the state legislature and the Department of Finance each September with a final plan to be submitted in December. After the preliminary plan is submitted to the Board of Trustees, the Chancellor's Office staff continues to work with campuses to review the scope, budget, and schedule of the proposed projects in order to submit final project descriptions and justifications to the Board of Trustees in November and to the state in December.

The program identifies the campuses' capital project priorities to address facility deficiencies and accommodate student enrollment growth. Campuses have identified a funding need of \$23 billion for the five-year period beginning in 2021-2022, including \$16.4 billion for academic facilities and \$6.6 billion for self-support facilities. The priority projects campuses have requested for 2021-2022 includes requests for \$3.7 billion to address academic facilities and \$65 million for self-support facilities.

Funding the Multi-Year Capital Outlay Program

Funding to address CSU's facilities needs relies primarily on an increase to the CSU base operating budget. Absent additional funding, most projects on the academic project Priority List will not move forward. However, we are seeking Board of Trustees approval for these projects in case funding becomes available, for instance from federal or state funding.

Our operating budget request could include a \$10 million increase in base funding to support capital projects, which would finance approximately \$180 million for capital improvement projects. Given the need to annually reinvest in campus facilities as buildings age and systems reach the end of their useful life, this amount is not sufficient. However, it will enable campuses to make continued progress to extend the use of existing facilities. With the full fiscal impacts of COVID-19 still uncertain, campuses are working to manage their reserves and determine if funds budgeted for deferred maintenance and capital improvements remain available. As a result, the system is no longer requiring campuses to provide 10 percent co-funding on planned projects.

¹ Approved by the board in March 2019, RCPBG 03-19-02.

We have in the past submitted an annual Multi-Year Capital Program to the Board of Trustees. This year, in an effort to streamline our processes and limit the administrative burden on campuses, we propose that the Program submitted to the Board of Trustees for action in November 2020 be considered for a two-year approval, which will allow projects to occur over a longer timeframe to more closely match available capital outlay funding.

2020-2021 Facilities Funding Update

The 2020-2021 facilities improvement plan was developed based on a general obligation bond that unfortunately was not successful. After the failure of Proposition 13, we planned to fund projects with a mix of campus funding and systemwide revenue bonds. However, due to the recession and support budget reductions anticipated over the next few years, we propose delaying 6 approved projects and focusing on funding infrastructure priorities across the 23 campuses. These priorities include making campuses more resilient in the event of power shutoffs or shortages; protection from severe weather; and improved fire life safety.

Capacity Assessment and Future Planning

The development of the Multi-Year Capital Program will be guided by past practice, current events and data from the recently released [*California State University Enrollment Demand, Capacity Assessment, and Cost Analysis for Campus Sites*](#) (Capacity Study). The Capacity Study determined that the projected 2035 enrollment demand is greater than current capacity by 43,000 FTE. However, the projected increase alone does not justify the development of a new campus at any of the five evaluated locations, assuming all 23 campuses are fully built-out to their approved master plans. With uncertainty in the availability of funding for such construction, the CSU will have to look for other ways to meet the projected 2035 enrollment demand.

The impact COVID-19 will have on our long-term space usage and needs continues to evolve. During the pandemic, the creativity of our faculty and staff demonstrated that we can effectively deliver content virtually. We will continue to evaluate how this affects our physical capacity needs and investigate ways to continue a remote learning strategy.

We will also look for ways to address capacity challenges and accommodate increasing demand through summer enrollment. This approach could support students in attaining their goals and allow the CSU to further leverage existing physical capacity. A long-term funding commitment would enable a greater number of students and faculty to participate in the summer term, particularly at campuses where high-cost impacted degree programs are needed to fulfill projected workforce demand.

Given the projected enrollment increase, CSU will need to continue to support traditional and non-traditional teaching environments to provide student access to instructional delivery. The reduced funding levels from the state impair CSU's stewardship and reinvestment in keeping up with the renewal needs of aging buildings and reinforces the need to re-think academic program delivery as austere times will continue as the state recovers from the pandemic.

Conclusion

The Final Multi-Year Capital Program will be presented for approval at the November 2020 meeting of the Board of Trustees.

Preliminary 2021-2022 Capital Outlay Program

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS LIST - ALPHA ORDER

(Dollars in 000s)

Priority Order	Category	Campus	Project Title	FTE	Phase	Campus Reserves/ Other	SRB-AP ¹	Total Budget	Cumulative Total Budget	Cumulative SRB-AP Budget
1	IA	Statewide	Infrastructure Improvements ³	N/A	PWC	75,356	1,065,321	1,140,677	1,140,677	1,065,321
2	II	Bakersfield	Energy and Engineering Innovation Building ⁴	336	PWCE	4,660	71,324	75,984	1,216,661	1,136,645
3	IB	Chico	Butte Hall Replacement	224	PWCE	9,651	89,012	98,663	1,315,324	1,225,657
4	IA	Chico	Utilities Infrastructure ⁵	N/A	PWC	6,742	82,896	89,638	1,404,962	1,308,553
5	IB	Dominguez Hills	Natural Sciences & Math Bldg. (Seismic) & Classroom Reno.	400	WCE	0	74,619	74,619	1,479,581	1,383,172
6	II	Dominguez Hills	Child Care & Child Development Center	N/A	PWCE	0	33,826	33,826	1,513,407	1,416,998
7	IA	East Bay	Library Seismic (West Wing Relocations)	N/A	PWCE	2,297	20,671	22,968	1,536,375	1,437,669
8	IB	Fresno	Central Plant/HVAC Replacement, Ph. 2 & 3	N/A	PWC	0	106,316	106,316	1,642,691	1,543,985
9	IB	Fullerton	Science Laboratory Replacement (Seismic)	1,719	PWCE	7,864	84,913	92,777	1,735,468	1,628,898
10	IB	Humboldt	Science Replacement Building	299	PWCE	5,243	62,344	67,587	1,803,055	1,691,242
11	IB	Long Beach	Peterson Hall 1 Replacement Bldg. (Seismic)	-2,131	PWCE	10,000	134,628	144,628	1,947,683	1,825,870
12	IA	Los Angeles	Classroom Replacement	5,907	PWCE	0	97,112	97,112	2,044,795	1,922,982
13	II	Maritime Academy	Academic Building A/Learning Commons, Part 1	36	PWCE	1,823	83,477	85,300	2,130,095	2,006,459
14	II	Monterey Bay	Academic Building IV	657	PWCE	10,066	100,161	110,227	2,240,322	2,106,620
15	IB	Sacramento	Engineering Replacement Building	80	PWCE	18,846	90,238	109,084	2,349,406	2,196,858
16	IB	San Diego	Life Science North Replacement	N/A	PWcCE	50,097	101,711	151,808	2,501,214	2,298,569
17	IB	San Francisco	Thornton Hall Renovation	354	PWCE	17,904	161,139	179,043	2,680,257	2,459,708
18	IB	San Luis Obispo	Kennedy Library Renovation	0	PWCE	4,120	37,082	41,202	2,721,459	2,496,790
19	II	San Marcos	Classroom/Lab/Office Building	1,024	PWCE	2,258	55,916	58,174	2,779,633	2,552,706
20	IB	Sonoma	Ives Hall Renovation	0	PWC	0	42,900	42,900	2,822,533	2,595,606
21	II	Stanislaus	Classroom II	1,917	PWCE	3,688	84,912	88,600	2,911,133	2,680,518
Total Academic Projects				10,822		\$ 230,615	\$ 2,680,518	\$ 2,911,133	\$ 2,911,133	\$ 2,680,518

SELF-SUPPORT / OTHER PROJECTS LIST

(Dollars in 000s)

Alpha Order	Category	Campus	Project Title	Spaces	Phase	Campus Reserves/ Other Budget	SRB-SS ²	Total Budget	Cumulative Total Budget	Cumulative SRB-SS Budget
1	IB	Monterey Bay	Stadium Repairs	N/A	PWC	9,034	0	9,034	9,034	0
2	IB	San Francisco	Seven Hills Conference Center Sprinklers	N/A	PWCE	0	1,209	1,209	10,243	1,209
3	II	San José	Spartan Athletics Center	N/A	PWCE	50,000	0	50,000	60,243	1,209
4	IB	San Luis Obispo	Baggett Stadium/Janssen Field Improvements	N/A	PWCE	1,000	0	1,000	61,243	1,209
5	IB	San Luis Obispo	Innovation Sandbox Relocation	TBD	PWCE	1,000	0	1,000	62,243	1,209
6	II	Sonoma	FIGR Learning Center at Fairfield Osborn Preserve	N/A	PWC	2,850	0	2,850	65,093	1,209
Total Self-Support / Other Projects				0		\$ 63,884	\$ 1,209	\$ 65,093	\$ 65,093	\$ 1,209
Grand Total Academic and Self-Support Projects				10,822		\$ 294,499	\$ 2,681,727	\$ 2,976,226	\$ 2,976,226	\$ 2,681,727

A = Acquisition P = Preliminary Plans W = Working Drawings c = Partial Construction C = Construction E = Equipment

Categories:

- I Existing Facilities/Infrastructure
 - A. Critical Infrastructure Deficiencies
 - B. Modernization/Renovation
- II Growth/New Facilities

Notes:

- ¹ SRB-AP: Systemwide Revenue Bonds - Academic Program
- ² SRB-SS: Systemwide Revenue Bonds - Self-Support Program
- ³ The Infrastructure Improvements Program addresses smaller scale utility, building systems renewal, ADA, seismic strengthening, and minor upgrades. Projects are listed separately on the following page. [The list does not include State Deferred Maintenance or Cap & Trade funding requests.]
- ⁴ Projects in *italics* have previously received approval by the Board of Trustees and are included relative to the project funding total.
- ⁵ Projects in *red italics* have been approved by DOF and are included only for funding information.

Preliminary 2021-2022 Infrastructure Improvements Program Project List

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS¹

Campus	Project Title	Phase	Campus Reserves/ Other Budget	SRB-AP Budget	Total Project Budget	Cumulative Total Project Budget
Bakersfield	Health Center Icardo Plaza Ada & Road Repair	C	0	53,000	53,000	53,000
Bakersfield	PE Building Women's Team Locker Room Remodel	PWCE	999,000	792,000	1,791,000	1,844,000
Bakersfield	Fire Alarm Upgrades, Ph. 2	PWC	0	1,345,000	1,345,000	3,189,000
Bakersfield	Classroom Building (#1) Remodel for Faculty Offices	PWCE	0	2,545,000	2,545,000	5,734,000
Bakersfield	Lecture Building (#3) Remodel for Offices	PWCE	0	1,306,000	1,306,000	7,040,000
Bakersfield	Housing West (6 Buildings) Acquisition	A	0	3,000,000	3,000,000	10,040,000
Bakersfield	Housing West Remodel, Ph. 1	PWCE	0	6,132,000	6,132,000	16,172,000
Bakersfield	Dining Commons Remodel	PWCE	0	2,777,000	2,777,000	18,949,000
Bakersfield	Housing West Remodel, Ph. 2	PWCE	0	6,343,000	6,343,000	25,292,000
Bakersfield	Roof Replacement - Science 1, Nursing, PE Bldg., Science 2	PWC	0	2,512,000	2,512,000	27,804,000
Bakersfield	Student Access Enhancement & Cable Modernization	PWC	0	3,720,000	3,720,000	31,524,000
Bakersfield	ADA Survey - Campuswide	PW	0	500,000	500,000	32,024,000
Channel Islands	North Campus Hydronic Loop Extension-NE Corner	PWC	399,000	2,937,000	3,336,000	35,360,000
Channel Islands	Roof Repair & Replacement	PW	150,000	0	150,000	35,510,000
Channel Islands	Campus Road Repair & Maintenance	PW	7,000	0	7,000	35,517,000
Channel Islands	ADA Access Improvements	PWC	20,000	180,000	200,000	35,717,000
Channel Islands	Telecom Modernization	PW	10,000	0	10,000	35,727,000
Channel Islands	Ironwood Hall Shops Emergency Exit Door Installations	PW	10,000	0	10,000	35,737,000
Channel Islands	CI Boating Center Maintenance Repairs	PW	39,000	0	39,000	35,776,000
Chico	Physical Sciences Building Demolition (Seismic)	PWC	0	7,747,000	7,747,000	43,523,000
Chico	Main Switchgear, Battery & Electrical System	PWC	0	13,810,000	13,810,000	57,333,000
Chico	University Services Building	PWC	2,302,000	6,447,000	8,749,000	66,082,000
Chico	Meriam Library Building Renewal	PWC	500,000	5,000,000	5,500,000	71,582,000
Chico	Langdon Building Renewal	PWC	500,000	5,000,000	5,500,000	77,082,000
Chico	Meriam Library HVAC Upgrades, Ph. 1	PWCE	0	625,000	625,000	77,707,000
Chico	Meriam Library HVAC Upgrades, Ph. 2	PWCE	0	350,000	350,000	78,057,000
Chico	Meriam Library HVAC Upgrades, Ph. 3	PWCE	0	650,000	650,000	78,707,000
Chico	Meriam Library IT Infrastructure Upgrades	PWC	0	8,157,000	8,157,000	86,864,000
Chico	IT Upgrades, Various Buildings	PWC	0	7,419,000	7,419,000	94,283,000
Chico	Wireless, Smart Classroom & Security Upgrades	PWC	0	15,292,000	15,292,000	109,575,000
Dominguez Hills	Electrical Power Substation Upgrade	PWC	0	43,666,000	43,666,000	153,241,000
Dominguez Hills	Theater OSHA Costume-Scene Shop Fire/Life Safety	PWC	0	13,143,000	13,143,000	166,384,000
Dominguez Hills	West Walkway Life Safety	PWC	0	2,950,000	2,950,000	169,334,000
Dominguez Hills	La Corte Hall & Health Center Fire/Life Safety	PWC	0	3,612,000	3,612,000	172,946,000
Dominguez Hills	Virtual Classrooms Systems	PWC	0	5,500,000	5,500,000	178,446,000
Dominguez Hills	University Theater Performance Technology	PWC	0	6,323,000	6,323,000	184,769,000
Dominguez Hills	Security & Surveillance Systems	PWC	0	4,162,000	4,162,000	188,931,000
Dominguez Hills	Path of Travel Upgrade	PWC	0	2,750,000	2,750,000	191,681,000
Dominguez Hills	Campus Switchgears & Feeder Replacement	PWC	0	9,822,000	9,822,000	201,503,000
Dominguez Hills	Kinesiology/Gym Pool & Basement Safety	PWC	0	2,500,000	2,500,000	204,003,000
East Bay	Resilient Microgrid	PWC	0	1,578,000	1,578,000	205,581,000
East Bay	Contra Costa Campus Resilient Microgrid	PWC	0	1,578,000	1,578,000	207,159,000
East Bay	Meiklejohn Hall Deck Correction	PWC	362,000	3,258,000	3,620,000	210,779,000
East Bay	Campuswide Fire/Life Safety System Upgrades	PWC	170,000	1,529,000	1,699,000	212,478,000
East Bay	Boiler Replacement	PWC	316,000	2,847,000	3,163,000	215,641,000
East Bay	Accessibility Upgrades	PWC	335,000	3,017,000	3,352,000	218,993,000
East Bay	Chiller Replacement	PWC	313,000	2,816,000	3,129,000	222,122,000
East Bay	Contra Costa Campus HVAC Upgrade	PWC	225,000	2,025,000	2,250,000	224,372,000
East Bay	Natural Gas Distribution System Replacement	PWC	123,000	1,111,000	1,234,000	225,606,000

Preliminary 2021-2022 Infrastructure Improvements Program Project List

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS¹ continued

Campus	Project Title	Phase	Campus Reserves/ Other Budget	SRB-AP Budget	Total Project Budget	Cumulative Total Project Budget
East Bay cont'd	Electrical Infrastructure Improvement, Ph. 2D	PWC	0	9,469,000	9,469,000	235,075,000
East Bay	Copper Fiber Outside Plant Rehabilitation	PWC	0	1,416,000	1,416,000	236,491,000
East Bay	Wireless Access Point Expansion	PWC	0	6,851,000	6,851,000	243,342,000
East Bay	MPOE UPS and Cooling	PWC	0	1,522,000	1,522,000	244,864,000
East Bay	MPOE Fire Suppression	PWC	0	451,000	451,000	245,315,000
Fresno	Campuswide Life/Fire Safety	PWC	0	30,262,000	30,262,000	275,577,000
Fresno	Campuswide Health & Safety	PWC	0	8,866,000	8,866,000	284,443,000
Fresno	Campuswide ADA Upgrades	PWC	0	7,907,000	7,907,000	292,350,000
Fresno	Telecommunications Interbuilding Improvements	PWC	0	1,669,000	1,669,000	294,019,000
Fresno	Telecommunications Safety	PWC	0	7,700,000	7,700,000	301,719,000
Fresno	Parking Lots - Wi-Fi	PWC	0	18,400,000	18,400,000	320,119,000
Fullerton	McCarthy Hall Life Safety Upgrades	PWC	2,652,000	23,702,000	26,354,000	346,473,000
Fullerton	Kinesiology & Health Science Pool Safety Imp., Ph. 2	PWC	547,000	3,889,000	4,436,000	350,909,000
Fullerton	Life Safety & ADA Code Upgrades	PWC	130,000	1,070,000	1,200,000	352,109,000
Fullerton	ADA Code Upgrades (Restrooms, Path of Travel, etc.)	PWC	136,000	1,118,000	1,254,000	353,363,000
Fullerton	Physical Plant Improvements	PWC	213,000	1,875,000	2,088,000	355,451,000
Fullerton	Electrical Transformer Replacement	PWC	80,000	647,000	727,000	356,178,000
Fullerton	Campus Gas Line Repair	PWC	140,000	1,170,000	1,310,000	357,488,000
Fullerton	Campuswide Landscape, Hardscape, Irrigation Imp.	PWC	130,000	1,067,000	1,197,000	358,685,000
Fullerton	Domestic Water Line Upgrades	PWC	417,000	3,675,000	4,092,000	362,777,000
Fullerton	Campus Infrastructure Improvements	PWC	942,000	131,000	1,073,000	363,850,000
Fullerton	Campuswide Life Safety (including doors, hardware)	PWC	130,000	0	130,000	363,980,000
Fullerton	Campuswide Interior Hallway Improvements	PWC	0	2,410,000	2,410,000	366,390,000
Fullerton	Campuswide Energy & Sustainability Efficiency Controls	PWC	0	996,000	996,000	367,386,000
Fullerton	Campus Backbone Cabling	PWC	237,000	2,056,000	2,293,000	369,679,000
Fullerton	Campus Telecom Infrastructure Upgrades	PWC	133,000	1,109,000	1,242,000	370,921,000
Fullerton	Secondary MDF (Backbone Cabling Dist. Point)	PWC	117,000	963,000	1,080,000	372,001,000
Fullerton	IDF Backbone Cabling Upgrade	PWC	96,000	826,000	922,000	372,923,000
Humboldt	Gist Hall Renewal	PWCE	646,000	5,879,000	6,525,000	379,448,000
Humboldt	Accessibility Improvements	PWC	142,000	1,280,000	1,422,000	380,870,000
Humboldt	Resilient Microgrid	PWC	500,000	5,000,000	5,500,000	386,370,000
Long Beach	Horn Center-Reno. for Classrooms (Surge Space), Ph. 2B	C	0	6,000,000	6,000,000	392,370,000
Long Beach	LA1 Renovations for Geography (Surge Space), Ph. 3	PWCE	498,000	5,359,000	5,857,000	398,227,000
Long Beach	Shelter in Place Locks at Classrooms	PWC	218,000	3,016,000	3,234,000	401,461,000
Long Beach	UMC Renovation & Infrastructure Replacement, Ph. 1	PWCE	23,900,000	0	23,900,000	425,361,000
Long Beach	Window Replace for Energy Efficiency, (LA1, FO2), Ph. 1	PWC	179,000	1,991,000	2,170,000	427,531,000
Long Beach	Pneumatic Control Conversion to DDC	PWC	37,000	349,000	386,000	427,917,000
Long Beach	Domestic & Fire Water Infrastructure Repairs	PWC	883,000	11,183,000	12,066,000	439,983,000
Long Beach	SSPA Replace AHUs, Ductwork & VAVs	PWC	182,000	2,318,000	2,500,000	442,483,000
Long Beach	LA5 Replace AHUs, Convert Pneumatic VAV to DDC	PWC	219,000	2,433,000	2,652,000	445,135,000
Long Beach	FO3 Replace AHU, Retrofit DDC for VAVs	PWC	74,000	700,000	774,000	445,909,000
Long Beach	Convert Baseball Field to Multi-Use Field	PWC	367,000	4,108,000	4,475,000	450,384,000
Los Angeles	Greenlee Plaza Waterproofing, Structural & Code Repairs	PWC	2,123,000	8,100,000	10,223,000	460,607,000
Los Angeles	Anna Bing Arnold Childcare Center (Def. Renewal Elect.)	PWC	0	163,000	163,000	460,770,000
Los Angeles	Anna Bing Arnold Childcare Center (Plant Adaption Fire/Life Safety)	PWC	0	314,000	314,000	461,084,000
Los Angeles	Telecom - Data Center	PWC	0	3,011,000	3,011,000	464,095,000
Los Angeles	Campuswide Fire/Life Safety Upgrades	PWC	0	6,564,000	6,564,000	470,659,000
Los Angeles	Campus Emergency Phones	PWC	0	262,000	262,000	470,921,000
Los Angeles	Campuswide ADA Accessibility Improvements	PWC	0	300,000	300,000	471,221,000
Los Angeles	Campuswide Ceiling & Lighting Upgrades	PWC	0	4,872,000	4,872,000	476,093,000
Los Angeles	Telecom VoIP Core	PWC	0	3,444,000	3,444,000	479,537,000

Preliminary 2021-2022 Infrastructure Improvements Program Project List

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS¹ continued

Campus	Project Title	Phase	Campus Reserves/ Other Budget	SRB-AP Budget	Total Project Budget	Cumulative Total Project Budget
Maritime	Eastern Hillside Emergency Stabilization	PWC	1,053,000	6,126,000	7,179,000	486,716,000
Maritime	Maritime Academy Drive Walkway Replacement	PWC	363,000	2,431,000	2,794,000	489,510,000
Maritime	Resilient Microgrid	PWC	470,000	8,048,000	8,518,000	498,028,000
Maritime	Boat Basin & Pier Extension for NSMV	PWC	1,014,000	18,705,000	19,719,000	517,747,000
Maritime	Library & Rizza Auditorium Roof Repairs	PWC	0	754,000	754,000	518,501,000
Maritime	Maritime Academy Drive & Morrow Cove Drive Repaving	PWC	0	1,350,000	1,350,000	519,851,000
Maritime	Upper Residence Hall Drive Repairs	PWC	188,000	3,800,000	3,988,000	523,839,000
Maritime	Lower Campus ADA Improvements	PWC	18,000	348,000	366,000	524,205,000
Maritime	Upper Campus ADA Improvements	PWC	18,000	348,000	366,000	524,571,000
Monterey Bay	Seismic Projects	PWC	327,000	4,219,000	4,546,000	529,117,000
Monterey Bay	Infrastructure Improvements	PWC	262,000	3,380,000	3,642,000	532,759,000
Monterey Bay	ADA Projects	PWC	310,000	3,704,000	4,014,000	536,773,000
Monterey Bay	Energy Efficiency Projects	PWC	1,309,000	0	1,309,000	538,082,000
Northridge	EOC Resiliency Emergency Preparedness	PWC	0	9,869,000	9,869,000	547,951,000
Northridge	Plummer Darby Intersection	PWC	1,436,000	1,436,000	2,872,000	550,823,000
Northridge	Sewer Replacement	PWC	121,000	1,954,000	2,075,000	552,898,000
Northridge	Solar Power, Ph. 1, 2, 3	PWC	0	6,418,000	6,418,000	559,316,000
Pomona	Smart Classroom Renewal Technology/Physical Renovation	PWC	595,000	9,011,000	9,606,000	568,922,000
Pomona	Campus Roads Renewal, Ph. 2	PWC	403,000	5,890,000	6,293,000	575,215,000
Sacramento	Art Sculpture Lab Replacement	PWCE	0	10,080,000	10,080,000	585,295,000
Sacramento	ADA Upgrades	PWC	134,000	1,376,000	1,510,000	586,805,000
Sacramento	Sequoia Hall Improvements	PWCE	682,000	4,819,000	5,501,000	592,306,000
Sacramento	Fire/Life Safety Upgrades	PWC	245,000	2,074,000	2,319,000	594,625,000
Sacramento	Chilled Water Line, Ph. 1	PWC	523,000	2,771,000	3,294,000	597,919,000
Sacramento	Domestic Water Upgrades, Ph. 1	PWC	339,000	2,143,000	2,482,000	600,401,000
Sacramento	Infrastructure Perimeter Loop, Ph. 1	PWC	630,000	4,178,000	4,808,000	605,209,000
Sacramento	Telecom Upgrades, Ph. 1	PWC	238,000	2,527,000	2,765,000	607,974,000
Sacramento	Human Anatomy Lab Relocation	PWCE	531,000	4,190,000	4,721,000	612,695,000
Sacramento	Sequoia Hall Vertebrate Collection Relocation	PWCE	223,000	892,000	1,115,000	613,810,000
Sacramento	Sequoia Hall 4th Floor Stock Room Renovation	PWCE	230,000	1,289,000	1,519,000	615,329,000
Sacramento	Sequoia Hall 5th Floor Stock Room Renovation	PWCE	270,000	1,789,000	2,059,000	617,388,000
Sacramento	Sequoia Hall Restroom ADA Upgrades	PWC	99,000	965,000	1,064,000	618,452,000
Sacramento	Hornet Stadium West Side Structural Replacement	PWC	541,000	5,563,000	6,104,000	624,556,000
San Bernardino	Critical Data Communication (Second MPOE)	PWC	0	1,705,000	1,705,000	626,261,000
San Bernardino	Resilient Microgrid	PWC	0	12,546,000	12,546,000	638,807,000
San Bernardino	HVAC Controls Replacement	PWC	200,000	6,426,000	6,626,000	645,433,000
San Bernardino	Pfau Library Access Improvement	PWC	100,000	1,970,000	2,070,000	647,503,000
San Bernardino	University Police ER Response Communication Modernization	PWC	100,000	4,400,000	4,500,000	652,003,000
San Bernardino	Palm Desert-Indian Wells Center Energy Retrofits	PWC	70,000	1,130,000	1,200,000	653,203,000
San Bernardino	Pathways & Wireless Infrastructure	PWC	0	7,100,000	7,100,000	660,303,000
San Bernardino	Data Communication Redundancy	PWC	0	3,000,000	3,000,000	663,303,000
San Bernardino	BDF & IDF Modernization	PWC	0	3,100,000	3,100,000	666,403,000
San Bernardino	Access Barrier Removal	PWC	100,000	900,000	1,000,000	667,403,000
San Diego	Critical Infrastructure 3	PWcC	2,311,000	20,795,000	23,106,000	690,509,000

Preliminary 2021-2022 Infrastructure Improvements Program Project List

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS¹ continued

Campus	Project Title	Phase	Campus Reserves/ Other Budget	SRB-AP Budget	Total Project Budget	Cumulative Total Project Budget
San Francisco	Hensill Hall Sprinkler & Fire Alarm	PWC	385,000	4,508,000	4,893,000	695,402,000
San Francisco	Fire Alarm Renewal Campuswide ADA & Code Upgrades	PWC	124,000	7,652,000	7,776,000	703,178,000
San Francisco	Data Center Fire Suppression	PWC	39,000	116,000	155,000	703,333,000
San Francisco	Student Advising Center	PWC	69,000	3,354,000	3,423,000	706,756,000
San Francisco	Campus Perimeter Electronic Access Control	PWC	48,000	1,834,000	1,882,000	708,638,000
San Francisco	Restroom Conversion & ADA Upgrades	PWC	100,000	998,000	1,098,000	709,736,000
San Francisco	Humanities & Creative Arts Mechanical System Renewal	PWC	55,000	2,296,000	2,351,000	712,087,000
San Francisco	Tiburon Site & Infrastructure	PWC	94,000	5,388,000	5,482,000	717,569,000
San Francisco	Fine Arts & Creative Arts Improvements	PWC	74,000	3,815,000	3,889,000	721,458,000
San Francisco	NAGPRA Storage & Workspace	PWC	38,000	1,088,000	1,126,000	722,584,000
San Francisco	Student Services Fiber Redundancy	PWC	0	362,000	362,000	722,946,000
San Francisco	Emergency Public Address System	PWC	0	1,230,000	1,230,000	724,176,000
San Francisco	Corporation Yard Fiber Redundancy	PWC	0	1,319,000	1,319,000	725,495,000
San Francisco	Outdoor Emergency Phone System	PWC	0	1,425,000	1,425,000	726,920,000
San Francisco	Public Branch Exchange to Voice Over Internet Protocol Telecom	PWC	0	5,274,000	5,274,000	732,194,000
San José	Engineering Building Renewal	PWC	201,000	1,812,000	2,013,000	734,207,000
San José	LED Lighting Upgrade	PWC	0	1,510,000	1,510,000	735,717,000
San José	Sweeney Hall HVAC Upgrade	PWC	631,000	6,300,000	6,931,000	742,648,000
San José	Music Hall HVAC Upgrade	PWC	406,000	4,071,000	4,477,000	747,125,000
San José	MLK Library Lighting Upgrade	PWC	2,547,000	3,700,000	6,247,000	753,372,000
San José	Campuswide Roof Replacement	PWC	200,000	1,812,000	2,012,000	755,384,000
San José	Duncan Hall Steam Station and Pumps	PWC	200,000	1,812,000	2,012,000	757,396,000
San Luis Obispo	Fremont Hall Emergency Landslide Remediation	PWC	0	8,053,000	8,053,000	765,449,000
San Luis Obispo	Water Purchase and Conveyance	APWC	700,000	6,343,000	7,043,000	772,492,000
San Luis Obispo	Higher Capacity Boiler Expansion Tanks-Eng. South	PWC	79,000	714,000	793,000	773,285,000
San Luis Obispo	Resilient Microgrid	PWC	0	1,510,000	1,510,000	774,795,000
San Luis Obispo	Chase Hall ADA Upgrades	PWC	181,000	1,643,000	1,824,000	776,619,000
San Luis Obispo	Campus Cloud Gateway	PWC	402,000	3,643,000	4,045,000	780,664,000
San Luis Obispo	Preschool Learning Lab Upgrade	PWC	231,000	2,097,000	2,328,000	782,992,000
San Luis Obispo	Old Power House Abatement	PWC	200,000	1,810,000	2,010,000	785,002,000
San Luis Obispo	Classroom Modernization & Technology Upgrades	PWCE	200,000	1,828,000	2,028,000	787,030,000
San Luis Obispo	ADA Upgrades	PWC	91,000	915,000	1,006,000	788,036,000
San Luis Obispo	Substation Redundancy	WC	1,438,000	14,413,000	15,851,000	803,887,000
San Luis Obispo	Kennedy Library Lighting Retrofit	PWC	0	1,898,000	1,898,000	805,785,000
San Luis Obispo	Sports Field LED Lighting Retrofit	PWC	0	2,659,000	2,659,000	808,444,000
San Marcos	Science Hall 1 Elevator Addition (ADA)	PWC	239,000	3,284,000	3,523,000	811,967,000
San Marcos	Arts Elevator Addition	PWC	357,000	5,180,000	5,537,000	817,504,000
San Marcos	Pedestrian Safety Improvements	PWC	0	299,000	299,000	817,803,000
San Marcos	Generator Upgrades	PWC	0	755,000	755,000	818,558,000
Sonoma	Salazar Renewal (Second Floor)	PWCE	0	3,316,000	3,316,000	821,874,000
Sonoma	Fairfield Osborn Preserve Fire-Life Safety Upgrades	PWC	0	846,000	846,000	822,720,000
Sonoma	Schulz Data Center UPS Replacement	PWC	0	227,000	227,000	822,947,000
Sonoma	Schulz Waterproofing	PWC	0	10,112,000	10,112,000	833,059,000
Sonoma	Domestic Water Distribution Pipes & Valves	PW	0	400,000	400,000	833,459,000
Sonoma	City Water Connection Redundancy	P	0	181,000	181,000	833,640,000
Sonoma	IT Wireless Access Point Expansion Outdoors	PW	0	79,000	79,000	833,719,000
Sonoma	Fire Suppression Connect	PW	0	267,000	267,000	833,986,000
Sonoma	Darwin IDEC Unit Replacement & BMS Controls	PW	0	682,000	682,000	834,668,000
Sonoma	Salazar IDEC Unit Replacement & BMS Controls	PW	0	582,000	582,000	835,250,000

Preliminary 2021-2022 Infrastructure Improvements Program Project List

Cost Estimates are at Engineering News Record California Construction Cost Index 7528 and Equipment Price Index 4281

ACADEMIC PROJECTS¹ continued

Campus	Project Title	Phase	Campus Reserves/ Other Budget	SRB-AP Budget	Total Project Budget	Cumulative Total Project Budget
Sonoma cont'd	Ives BMS Controls & Fire Alarm System	PWC	0	6,128,000	6,128,000	841,378,000
Sonoma	Salazar Lighting Controls	PWC	0	2,291,000	2,291,000	843,669,000
Sonoma	Metering & Energy Conservation	PWC	0	772,000	772,000	844,441,000
Sonoma	Darwin Hall Lobby Expansion North	PWC	762,000	0	762,000	845,203,000
Sonoma	Underground Utilities CHW Pipes/Valves Replacement	PW	0	302,000	302,000	845,505,000
Sonoma	Underground Utilities HW Pipes/Valves Replacement	PW	0	407,000	407,000	845,912,000
Sonoma	Underground Utilities Sanitary Sewer Main	PW	0	375,000	375,000	846,287,000
Sonoma	Sanitary Sewer Bi-annual Jetting/Sewer Management	PWC	0	823,000	823,000	847,110,000
Sonoma	Hazardous Material Abatement Ives, Nichols, PE	PWC	0	2,391,000	2,391,000	849,501,000
Sonoma	Physical Education Building Pool Doors	PWC	0	402,000	402,000	849,903,000
Sonoma	Pedestrian Safety Crossings ADA	PWC	0	1,896,000	1,896,000	851,799,000
Sonoma	Fairfield Osborn Preserve Septic Upgrades	PWC	0	188,000	188,000	851,987,000
Sonoma	Storm Drain Upsizing & Catch Basin Drain Additions	P	0	296,000	296,000	852,283,000
Sonoma	Corp Yard & Facilities Management Improvements	PWCE	2,001,000	0	2,001,000	854,284,000
Sonoma	SSU Emergency Center	PWC	0	1,104,000	1,104,000	855,388,000
Sonoma	Fire Alarm Tie-in Campuswide (11 Buildings)	PW	0	323,000	323,000	855,711,000
Sonoma	12kV Electrical for North, East, West for Redundancy & Loop	P	0	405,000	405,000	856,116,000
Sonoma	Annual Electrical Winter Deferred Maintenance	C	0	90,000	90,000	856,206,000
Sonoma	Elevator Upgrades	PW	0	616,000	616,000	856,822,000
Sonoma	Deferred Maintenance	C	0	9,318,000	9,318,000	866,140,000
Sonoma	Campuswide Roof Repairs	PW	0	792,000	792,000	866,932,000
Sonoma	Campuswide Accessibility Upgrades	PWC	0	190,000	190,000	867,122,000
Stanislaus	Stockton Lecture Expansion (Acacia Surge)	PWC	4,250,000	11,403,000	15,653,000	882,775,000
Stanislaus	Naraghi Hall Lighting System Replacement	PWC	184,000	2,397,000	2,581,000	885,356,000
Stanislaus	Air Handler Replacement-Gym & FH Locker Rooms	PWC	97,000	870,000	967,000	886,323,000
Stanislaus	Naraghi Hall Ventilation Reduction	PWC	109,000	981,000	1,090,000	887,413,000
Stanislaus	Groundwater Recharge Station	PWC	164,000	1,473,000	1,637,000	889,050,000
Stanislaus	ADA Barrier Removal	PWC	89,000	802,000	891,000	889,941,000
Stanislaus	Naraghi Hall Chiller Plant Pumps	PWC	70,000	632,000	702,000	890,643,000
Stanislaus	Telecom-Stockton IDF, MPOE, Redundancy, Wireless	PWC	0	3,849,000	3,849,000	894,492,000
Stanislaus	Telecom-Fiber and Tertiary Pathway Infrastructure	PWC	0	6,185,000	6,185,000	900,677,000
Systemwide	HVAC & Electrical Upgrades	PWC	0	60,000,000	60,000,000	960,677,000
Systemwide	Life Safety/Security Solutions	PWC	0	100,000,000	100,000,000	1,060,677,000
Systemwide	Critical Infrastructure	PWC	0	60,000,000	60,000,000	1,120,677,000
Systemwide	Seismic Evaluations	P	0	20,000,000	20,000,000	1,140,677,000
Total ACADEMIC Infrastructure Improvements Program			\$ 75,356,000	\$ 1,065,321,000	\$ 1,140,677,000	\$ 1,140,677,000

A = Acquisition P = Preliminary Plans W = Working Drawings c = Partial Construction C = Construction E = Equipment

Notes:

¹ The Infrastructure Improvements Program addresses smaller scale utility, building systems renewal, ADA, seismic strengthening, and minor upgrades.
 [The list does not include State Deferred Maintenance or Cap & Trade funding requests.]

AGENDA

COMMITTEE ON FINANCE

Meeting: 2:15 p.m., Tuesday, September 22, 2020
Virtually via Teleconference

Jack McGrory, Chair
Peter J. Taylor, Vice Chair
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Wenda Fong
Maryana Khames
Hugo N. Morales
Lateefah Simon

- Consent** 1. Approval of Minutes of the Meeting of July 21, 2020, *Action*
2. California State University Quarterly Investment Report, *Information*
- Discussion** 3. Planning for the 2021-2022 Operating Budget, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON FINANCE**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 21, 2020

Members Present

Jack McGrory, Chair
Peter J. Taylor, Vice Chair
Larry L. Adamson
Jane W. Carney
Rebecca D. Eisen
Wenda Fong
Maryana Khames
Hugo N. Morales
Lateefah Simon
Lillian Kimbell, Chair of the Board
Timothy P. White, Chancellor

Trustee Jack McGrory called the meeting to order.

Public Comment

Due to the virtual format of the July 21-22, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. Related to the Committee on Finance, speakers made comments about the handling of CARES act reimbursements to students and the ongoing need for student support resources. Students expressed discontent with certain fees being charged to students while in virtual learning and asked for a reduction in fees.

Consent Agenda

The minutes of the May 12, 2020 meeting of the Committee on Finance were approved as submitted.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Fin.

Agenda Item 1

September 20-23, 2020

Page 2 of 2

Item number two - California State University, Sacramento Conceptual Approval of Two Public Private Partnerships for the Development of Real Property was approved as submitted (RFIN 07-20-02).

Item number three - California State University Doctorate of Occupational Therapy Tuition was approved as submitted (RFIN 07-20-03).

Appointment of the California State University Investment Advisory Committee Chair

The Board of Trustees was informed that Trustee Jack McGrory has been appointed as chair of the California State University Investment Advisory Committee. Executive Vice Chancellor and Chief Financial Officer Steve Relyea thanked the outgoing chair, Trustee Peter J. Taylor for his leadership and contributions to the management of the CSU's investment portfolio.

2020-2021 Final Budget

Information about the state's current budget conditions and resulting impacts on funding for the CSU was shared. The CSU will employ several strategies to reduce expenses.

Following the presentation the trustees asked how the budget reduction was allocated to campuses. They were informed that half of the reduction was prorated by the campus' share of the total CSU budget. The second half of the reduction was allocated by student demographics, where campuses with students with higher financial need (i.e. using federal Pell grant student eligibility criteria) would receive a smaller portion of that cut. The trustees inquired if offering early retirement incentives for tenure faculty has been considered. Executive Vice Chancellor Relyea and Vice Chancellor Evelyn Nazario shared some of the pros and cons to offering this type of program. The trustees also asked about the status of CSU investments and reserves. They were informed that reserves for economic uncertainty are \$400 million and that campuses have already been using their reserves to help mitigate the impacts of COVID-19. Detailed information about the performance of CSU investments was provided and in general remain stable. They also discussed changes in student enrollment and to federal financial aid.

The trustees further inquired about the CARES Act, how funds are being used, and if auxiliaries are also eligible to receive funds. They were informed that the first portion of CARES Act funding went directly to the campuses and can be used with some flexibility to reimburse costs and revenue losses related to COVID-19. It was also shared that limited federal funds were made available to auxiliaries in the form of federal loans. Trustee Jack McGrory added that losses to the CSU have been compounded because in addition to the loss of funding from the state, the campuses are also experiencing loss in revenue from self-supporting enterprises like housing and parking.

Trustee McGrory adjourned the meeting of the Committee on Finance.

COMMITTEE ON FINANCE

California State University Quarterly Investment Report

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

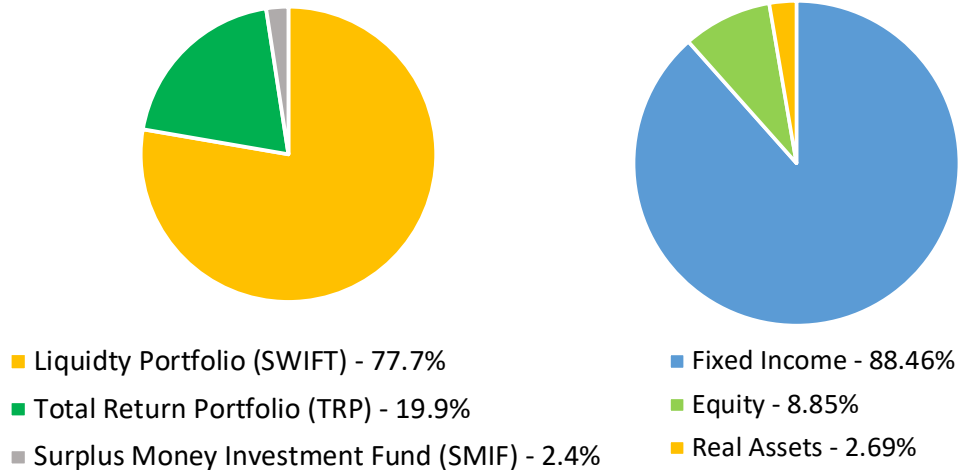
This item provides the quarterly investment report to the California State University Board of Trustees for the fiscal quarter ended March 31, 2020. The information in Attachment A provides the entire quarterly investment report regarding CSU investments as required by Education Code 89726.

Background

Pursuant to the California State University Master Investment Policy (included as Attachment B) CSU investments as of March 31, 2020 consisted of investments in the Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT), the Total Return Portfolio (TRP), and the State of California Surplus Money Investment Fund (SMIF). Except for amounts held at the State in SMIF, all CSU investments are held by US Bank, the custodian bank for the CSU. Neither state general fund nor CSU auxiliary organization funds are included in CSU investments. In addition, this report does not include approximately \$1.51 billion in bond proceeds, which by state law are required to be held by the state and are invested in SMIF.

**CSU Investments – Balances, Allocations, and Returns
 March 31, 2020**

	Balance	% of CSU Investments	Twelve Month Returns
Liquidity Portfolio (SWIFT)	\$3.764 billion	77.7%	3.42%
Total Return Portfolio (TRP)	\$962.3 million	19.9%	-7.54%
Surplus Money Investment Fund (SMIF)	\$117.4 million	2.4%	1.92%
CSU Investments	\$4.84 billion	100%	



CSU Investment Portfolios

For detailed information on the investment performance and characteristics of the CSU investment portfolios please see Attachment A.

CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT)

The purpose of the Liquidity Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objective is safety of principal and liquidity.

The Liquidity Portfolio is managed through contracts with two investment management firms, US Bancorp Asset Management and Wells Capital Management, each of whom provides investment management services for the program. While the custodian, US Bank, holds the funds invested in the Liquidity Portfolio, for investment management purposes additions to the portfolio are split evenly between the investment managers and invested according to permitted investments outlined in the Government Code of the State and the Liquidity Portfolio investment policy. Consistent with state law, the Liquidity Portfolio is restricted to high quality, fixed income securities.

CSU Total Return Portfolio (TRP)

Legislation effective January 1, 2017 expanded the CSU investment authority to allow investment in mutual funds (including equity mutual funds) and real estate investment trusts. The Total Return Portfolio was created to take advantage of the new investment authority.

The purpose of the TRP is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objective is to achieve a prudent return within a moderate risk level.

Under State law, investment of funds in the TRP is subject to the CSU meeting certain conditions regarding investment oversight, reporting, and use of earnings, and may not be more than thirty percent of eligible CSU investments. The CSU Investment Advisory Committee (IAC), established by the Board of Trustees in September 2017, oversees the TRP and focuses on key issues such as investment policy, asset allocation, investment manager oversight, and investment performance.

The TRP investment policy provides a framework for the investment of portfolio funds in the TRP and includes the following key elements as further described in the TRP Investment Policy:

Investment Objectives	Investment Manager Selection
Spending Policy	Roles & Responsibilities
Time and Investment Horizon	Environmental, Social and Governance Framework
Risk Tolerance	Risk Management
Expected Return	Monitoring and Control Procedures
Asset Allocation	
Benchmarks	

The IAC has adopted an investment schedule for the TRP that utilizes a dollar-cost averaging approach and provides regular monthly contributions to the TRP. An initial investment of \$33.5 million into the TRP was made on April 1, 2018, and additional investments allowed the TRP to reach the fiscal year 2018-2019 statutory limit of \$600 million in the first half of 2019. After June 30, 2019, a new investment schedule was adopted by the IAC and staff, with the goal of funding the TRP to as much as 30 percent of CSU investments by mid-2020. However, in April of 2020, the IAC approved a reduced investment schedule in the amount of \$20 million total between April and July of 2020 in order to preserve liquidity in the CSU Liquidity Portfolio in response to the COVID-19 pandemic. The investment schedule may also be adjusted by the IAC at any time depending on market conditions.

Since the TRP Inception date¹ through March 31, 2020, the TRP investment earnings were approximately negative \$87.7 million. During this period, the TRP total return trailed the CSU Liquidity Portfolio (SWIFT) total return by 3.64% annualized (net of fees) or negative \$120.2 million cumulative during the time period.

While the TRP saw significant declines in market value during the quarter ending March 31, 2020, these declines were consistent with broad market declines for numerous asset classes and a decline in the TRP Policy Benchmark. Subsequent to the quarter ending March 31, 2020, the TRP has recovered almost all of this decline in value. Details of the recovery in TRP market value and performance will be included in future CSU investment reports.

Surplus Money Investment Fund (SMIF)

The State Treasurer also provides investment vehicles that may be used for CSU funds. The Surplus Money Investment Fund (SMIF) is used by the State Treasurer to invest state funds, or funds held by the state on behalf of state agencies, in a short-term pool. In order to facilitate certain expenditures, the CSU maintains small amounts of funds with the State. The portfolio includes Certificates of Deposit, U.S. Treasuries, Commercial Paper, Corporate Bonds, and U.S. Government Agencies.

Next Steps

With oversight from the IAC, staff will continue monitoring market conditions to determine if any changes in the TRP investment schedule are warranted. Staff is also working on developing the Intermediate Duration Portfolio, consistent with the CSU Master Investment Policy, however the implementation timeline for this portfolio has been delayed due to developments related to COVID-19. The next investment report to the board is scheduled for the November 2020 meeting and will provide information on the CSU Annual Investment Report for the fiscal year ending June 30, 2020.

¹ The TRP Inception Date was April 1, 2018.

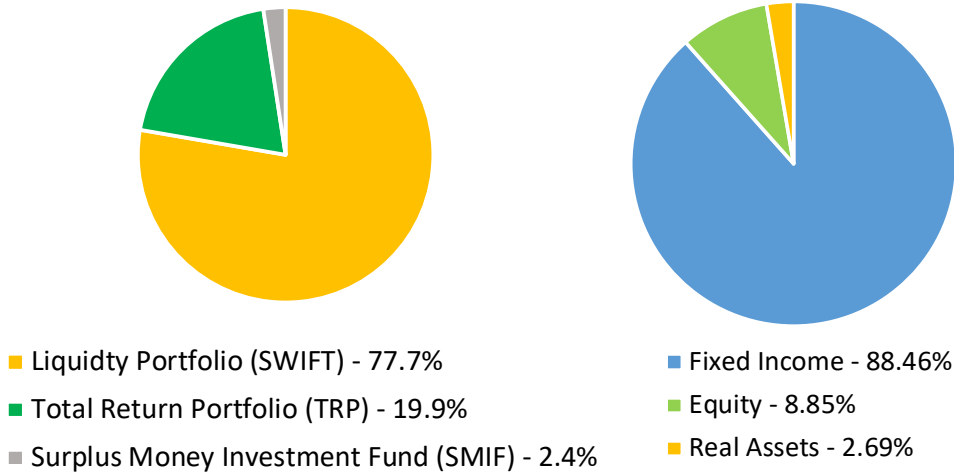
CSU Quarterly Investment Report

For the Fiscal Quarter Ended March 31, 2020

CSU investments as of March 31, 2020 consisted of investments in the CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT), the Total Return Portfolio (TRP), and the State of California Surplus Money Investment Fund (SMIF). All CSU investments (except for funds invested in SMIF) are held by US Bank, the custodian bank for the CSU. Neither state general fund nor CSU auxiliary funds are included in CSU investments. In addition, this report does not include approximately \$1.51 billion in bond proceeds, which by state law are required to be held by the state and are invested in SMIF.

Balances and Allocations as of March 31, 2020

	Balance	% of CSU Investments
Liquidity Portfolio (SWIFT)	\$3.764 billion	77.7%
Total Return Portfolio (TRP)	\$962.3 million	19.9%
Surplus Money Investment Fund (SMIF)	\$117.4 million	2.4%
CSU Investments	\$4.84 billion	100%



For the quarter ended March 31, 2020, direct investment management fees¹, advisory, and custodial fees totaled just over \$1.6 million, or about 0.033 percent (3.3 basis points) on CSU investments total balance as of March 31, 2020.

¹ Direct investment management fees exclude TRP mutual fund investment management fees. TRP mutual fund investment management fees are included as mutual fund expenses and reported as a percent of total fund assets. See TRP Fund Expense Ratio (Fee) in the table on page 4.

CSU Consolidated Investment Portfolio

The performance returns below are for the CSU Consolidated Investment Portfolio which includes the Liquidity Portfolio (SWIFT) and the Total Return Portfolio (TRP).²

	<u>CSU Consolidated Investment Portfolio</u>
1 Year Annualized Return ³	0.93%
3 Year Annualized Return	1.46%
5 Year Annualized Return	1.19%
10 Year Annualized Return	0.92%
Annualized Since Inception Return ⁴	1.32%

CSU Liquidity Portfolio (Systemwide Investment Fund Trust or SWIFT)

The purpose of the Liquidity Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objective is safety of principal and liquidity. Consistent with state law, the portfolio is restricted to high quality, fixed income securities.

	<u>CSU Liquidity Portfolio</u>	<u>Benchmark⁵</u>
1 Year Annualized Return ⁶	3.42%	4.65%
3 Year Annualized Return	2.22%	2.51%
5 Year Annualized Return	1.64%	1.71%
10 Year Annualized Return	1.15%	1.25%
Annualized Since Inception Return ⁷	1.50%	1.82%
Yield	1.02%	0.16%
Duration (Years)	1.23	1.41
Average Credit Rating	AA-	Aaa/AA+

Holdings by Asset Type (% of CSU Liquidity Portfolio):

Treasuries	43.01%	CD's and Cash Equivalents	7.82%
U.S. Corporate Bonds	27.97%	Asset-Backed Securities	1.11%
U.S. Government Agencies	20.02%	Municipal Obligations	0.07%

² CSU Consolidated Investment Portfolio returns will also include the Intermediate Duration Portfolio (IDP) once this portfolio is inception. CSU Consolidated Investment Portfolio returns exclude SMIF.

³ CSU Consolidated Investment Portfolio Returns reported gross of fees and as total return, including income and gains (realized and unrealized).

⁴ The CSU Consolidated Investment Portfolio utilizes the July 1, 2007 inception date of the CSU Liquidity Portfolio (SWIFT).

⁵ Benchmark for the CSU Liquidity Portfolio (SWIFT) is the Bank of America Merrill Lynch 0-3 Year Treasury Index.

⁶ CSU Liquidity Portfolio (SWIFT) Returns reported gross of fees and as total return, including income and gains (realized and unrealized).

⁷ Inception Date for the CSU Liquidity Portfolio (SWIFT) was July 1, 2007.

CSU Total Return Portfolio (TRP)

The purpose of the Total Return Portfolio is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objective is to achieve a prudent return within a moderate risk level. Consistent with state law, the TRP is invested in mutual funds subject to registration by, and under the regulatory authority of the United States Securities and Exchange Commission or in United States registered real estate investment trusts.

	CSU Total Return Portfolio	Strategic Benchmark⁸	Policy Benchmark⁹
3 Months Return	-15.61%	2.01%	-15.37%
1 Year Return	-7.54%	6.68%	-7.50%
Annualized Since Inception Return ¹⁰	-0.68%	6.65%	-1.60%

The negative returns for the TRP as of March 31, 2020 were due largely to significant declines in the equity markets during the quarter. These negative returns resulted in underperformance compared to the Strategic Benchmark, which is not impacted by market volatility, and mixed performance compared to the TRP Policy Benchmark, which is impacted by market volatility.

Since the TRP Inception date through March 31, 2020, the TRP investment earnings were approximately negative \$87.7 million. During this period, the TRP total return trailed the CSU Liquidity Portfolio (SWIFT) total return by 3.64% annualized (net of fees) or negative \$120.2 million cumulative during the time period.

While the TRP saw significant declines in market value during the quarter ending March 31, 2020, these declines were consistent with broad market declines for numerous asset classes and the TRP Policy Benchmark. Subsequent to the quarter ending March 31, 2020, the TRP recovered almost all of this decline in value. Details of the recovery in TRP market value and performance will be included in future CSU investment reports.

Holdings by Asset Type (% of CSU Total Return Portfolio):

Equity Mutual Funds	44.6%	Passive Index Mutual Funds	76%
Fixed Income Mutual Funds	41.9%	Actively Managed Mutual Funds	24%
			11
Real Asset Mutual Funds	13.5%		

⁸ The TRP Strategic Benchmark is Inflation (Core Consumer Price Index) plus 4.5% per annum. The long-term rate of inflation is assumed at 2.5% per annum.

⁹ The TRP Policy Benchmark is a blend of passive indices whose weights match the TRP target asset allocation.

¹⁰ TRP Inception Date was April 1, 2018.

¹¹ The percent of Actively Managed Mutual Funds is likely to increase in the future while the percent of Passive Index Mutual Funds would decrease consistent with the TRP implementation plan.

Values, Holdings & Fees (CSU Total Return Portfolio)

Asset Class	Strategy Name	Ticker	Value (millions)	% of Total Return Portfolio	TRP Fund Expense Ratio (Fee)	Universe Median Expense Ratio (Fee)
Fixed Income						
	Vanguard Total Bond Market Index Fund	VBMPX	238.9	25%	0.030%	0.450%
	Vanguard Inflation-Protected Securities Fund	VIPIX	53.6	6%	0.070%	0.455%
	Lord Abbett High Yield Fund	LHYOX	54.8	6%	0.620%	0.720%
	Pacific Funds Floating Rate Income Fund	PLFRX	29.2	3%	0.720%	0.770%
	Payden Emerging Markets Bond Fund	PYEIX	13.5	1.4%	0.690%	0.850%
	T. Rowe Emerging Markets Bond Fund	TREBX	13.3	1.4%	0.700%	0.850%
Equity						
	Vanguard Total Stock Market Index Fund	VSMPX	228.0	24%	0.020%	0.760%
	Vanguard Developed Markets Index Fund	VDIPX	112.2	12%	0.040%	0.900%
	Driehaus Emerging Markets Growth Fund	DIEMX	54.4	6%	1.180%	1.115%
	DFA Emerging Markets Value Fund	DFEVX	25.6	3%	0.510%	1.115%
	RWC Global Emerging Equity Fund	RWCCEX	8.7	1%	1.250%	1.115%
Real Assets						
	Vanguard Real Estate Index Fund	VGSNX	60.7	6%	0.100%	0.895%
	Vanguard Energy Index Fund	VENAX	14.8	2%	0.100%	0.990%
	Vanguard Materials Index Fund	VMIAX	18.7	2%	0.100%	0.950%
	First State Global Listed Infrastructure Fund	FLIIX	35.9	4%	0.950%	0.970%
Cash			0.0	0%	NA	NA
Total			962.3	100%		

Surplus Money Investment Fund (SMIF)

The Surplus Money Investment Fund (SMIF) is managed by the State Treasurer to invest State funds, or funds held by the State on behalf of State agencies, in a short-term pool. The portfolio includes Certificates of Deposit, Treasuries, Commercial Paper, Corporate Bonds, and U.S. Government Agencies.

<u>Apportionment Annual Yield¹²</u>	
Trailing 12 month as of 03/31/20	1.92%
Average (FYE 06/30/07 – FYE 03/31/20)	1.15%

¹² Annual Yield calculated by CSU Treasury Operations based on the quarterly apportionment yield rates published by the State Controller's Office.



Master Investment Policy For The California State University

Approved on November 8, 2017
By The Board of Trustees of The California State University

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I. Scope and Purpose

This California State University Master Investment Policy (“MIP”) governs the investment of California State University (“CSU”) funds. CSU investments are centrally managed on behalf of the CSU System and its campuses.

The purpose of the MIP, together with investment policies that govern individual Portfolios (as defined in Section IV) and are created pursuant to delegated authority contained herein, is to provide a framework for the investment of CSU funds consistent with the goals of the CSU Board of Trustees (the “Board”) and the educational mission of the CSU.

The MIP sets forth objectives, guidelines, and responsibilities that the Board deems to be appropriate and prudent in consideration of the needs of, and the legal requirements applicable to, the CSU’s investment program. The MIP is also intended to ensure that the Board, and any parties to whom the Board delegates authority, are fulfilling their fiduciary responsibilities in the oversight of CSU investments.

The MIP is a dynamic document and will be reviewed from time to time. The MIP will be modified, if necessary, to reflect the changing nature of the CSU’s assets and investment program, organizational objectives, and economic conditions.

II. Compliance with Law and Adherence to Policy

CSU investments are to be managed in full compliance with all applicable laws, rules, and regulations from various local, state, federal, and international political entities that may impact the CSU’s assets, including but not limited to the provisions of the California Education Code and California Government Code applicable to the investment of CSU funds, and in accordance with the policy objectives, guidelines, and responsibilities expressed herein.

III. Background and Investment Objectives

The investment objectives for the investment of CSU funds have been established in conjunction with a comprehensive review of current and projected financial requirements. The Board desires to provide the Chancellor, the Chancellor’s Staff, and the IAC (as defined in Section V) with the greatest possible flexibility to maximize investment opportunities. However, as agents of the Board, the Chancellor, the Chancellor’s Staff, and the IAC must recognize the fiduciary responsibility of the Board to conserve and protect the assets of the CSU investment program, and, by prudent management, prevent exposure to undue and unnecessary risk.

The following objectives shall govern the investment of CSU funds:

1. Safeguard the principal.
2. Meet the liquidity needs of the CSU.
3. Obtain the best possible return commensurate with the degree of risk the CSU is willing to assume in obtaining such return.

The Board acknowledges that these objectives may be weighted or prioritized differently for individual Portfolios depending upon the purpose of the Portfolio.

IV. Investment Portfolios

Consistent with its investment objectives, the Board has determined that CSU funds may be invested in three investment portfolios (individually, a “Portfolio” and together, the “Portfolios”) created by the CSU, with oversight by the Chancellor, the Chancellor’s Staff, and the IAC, and each with its own investment policy.

The three Portfolios and general purpose of each Portfolio are as follows:

Liquidity Portfolio (Systemwide Investment Fund—Trust or “SWIFT”)

The purpose of this Portfolio is to provide sufficient and immediate liquidity to meet the operating needs of the CSU. The investment objectives for this Portfolio shall be safety of principal and liquidity. The existing CSU Systemwide Investment Fund—Trust (SWIFT) shall serve as the Liquidity Portfolio and shall be comprised of investments authorized pursuant to California Government Code Sections 16330 or 16430.

Intermediate Duration Portfolio (IDP)

The purpose of this Portfolio is to provide opportunity for modest, additional risk adjusted returns on CSU funds not needed for immediate liquidity. The investment objectives for this Portfolio shall be safety of principal, liquidity and return. The Intermediate Duration Portfolio shall be comprised of investments authorized pursuant to California Government Code Sections 16330 or 16430.

Total Return Portfolio (TRP)

The purpose of this Portfolio is to provide opportunity for additional risk adjusted returns on CSU funds over a full market cycle. The investment objectives for this Portfolio shall be to achieve a prudent total return within a moderate risk level. The

Total Return Portfolio shall be comprised of investments authorized pursuant to California Government Code Sections 16330, or 16430 or California Education Code Sections 89724 or 89725.

The acceptable allocations for the Portfolios are as follows:

<u>Portfolio</u>	<u>Min – Target – Max</u>
Liquidity – Systemwide Investment Fund Trust (“SWIFT”)	5% - 20% - 100%
Intermediate Duration Portfolio (“IDP”)	0% - 52% - 95%
Total Return Portfolio (“TRP”)	0% - 28% - 30%¹

In addition, the CSU may invest any amount (from 0% to 100%), in any California State Treasury investment option, available now, or in the future, that the IAC and the Staff deem prudent, including, but not limited to:

- Surplus Money Investment Fund (SMIF)
- Local Agency Investment Fund (LAIF)

V. Roles and Responsibilities

Board of Trustees

The Board assumes fiduciary responsibility to conserve and protect the investment assets of the CSU, and by prudent management, to prevent exposure to undue and unnecessary risk. However, the Board also acknowledges investments are inherently risky with risk of loss and, as such, are viewed with a long-term time horizon.

As a fiduciary, the primary responsibilities of the Board are to:

1. Maintain and approve the MIP.

¹ Percentage allocations to the TRP are subject to annual phase-in restrictions through June 30, 2020 per state law.

2. Ensure that CSU investments are prudently diversified in order to obtain the best possible return commensurate with the degree of risk that the CSU is willing to assume.
3. Report annually to the California state legislature and the California Department of Finance regarding the investment of CSU funds.

The Board shall have oversight responsibility for investment of the assets and has delegated investment authority to the Chancellor, the Chancellor's Staff, and the IAC.

Chancellor and Chancellor's Staff

As agents of the Board, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor responsible for CSU investments, and their designees (the "Chancellor's Staff"), recognize the fiduciary responsibility of the Board to conserve and protect the investment assets of the CSU and, by prudent management, to prevent exposure to undue and unnecessary risk.

The Chancellor and the Chancellor's Staff are hereby authorized to establish policies and procedures to implement the provisions of this MIP, including, but not limited to, the following activities:

1. Overseeing and implementing general administrative and investment operations for the Portfolios.
2. Informing the IAC on the overall investments of the CSU and each of the Portfolios to assist the IAC in fulfilling its duties.
3. Developing and implementing policies that are suitable for achieving the strategic objectives for each Portfolio, including coordination with the IAC in developing and implementing policies for the TRP.
4. Selecting, contracting with, and monitoring third party service providers, including, but not limited to, investment advisors, investment managers, and custodians. For the TRP, such actions will be based on the recommendations of the IAC.
5. Directing the investment of funds, including the ordering of purchase and sale transactions to, from and between the Portfolios to meet investment objectives and strategic asset allocations.

6. Monitoring and reviewing the performance of the Portfolios to their stated objectives.
7. Reporting to the Board regarding the investment of CSU funds as requested, but no less than quarterly.
8. Controlling and accounting for all investment, record keeping, and administrative expenses associated with the Portfolios.
9. Identifying the need for updates, monitoring the Portfolios for legal and policy compliance, and acting on the recommendations of the IAC, as appropriate.
10. All other duties designated or delegated by the Board or the IAC.

Investment Advisory Committee

As required by state law, the CSU has created an Investment Advisory Committee (the "IAC"), to provide investment advice and expertise to the Board, particularly with respect to the management of the TRP.

The IAC shall be an advisory body and shall make recommendations, as appropriate, to the Board for approval or to the Chancellor and the Chancellor's Staff for implementation. The IAC shall be responsible for overseeing all aspects of the TRP and is hereby authorized to recommend policies and procedures for the creation and implementation of the TRP, including, but not limited to, the following activities:

1. Understanding the overall investments of the CSU and each of the Portfolios as informed by the Chancellor's Staff, investment advisors and/or investment managers.
2. Developing and approving an IAC charter to establish guidelines for operations of the IAC.
3. Developing, approving, and overseeing the implementation of an investment policy statement for the TRP.
4. Reviewing and approving target asset allocations and ranges for the TRP.
5. Monitoring and reviewing the performance of the TRP to its stated objectives.
6. Prudently reviewing, selecting, monitoring, and replacing investment management firms engaged to manage the TRP's assets.
7. Monitoring and supervising all service vendors and fees for the TRP.

8. Any other investment or administrative duties deemed necessary to prudently oversee the investment program for the TRP.

Prudence, Ethics and Conflict of Interest

All participants in the investment process shall act responsibly. The standard of prudence applied by the Board, the Chancellor, the Chancellor's Staff, and the IAC, as well as any external service providers, shall be the "prudent investor" rule. The "prudent investor" rule in part, states, "A trustee shall invest and manage trust assets as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the trust. In satisfying this standard, the trustee shall exercise reasonable care, skill and caution. A trustee's investment and management decisions respecting individual assets must be evaluated not in isolation but in the context of the trust portfolio as a whole and as part of an overall investment strategy having risk and return objectives reasonably suited to the trust."

Furthermore, all participants in the investment process shall use the same care, skill, prudence, and due diligence under the circumstances then prevailing that a prudent person acting in a like capacity and fully familiar with such matters would use in the conduct of an enterprise of like activities for like portfolios with like aims and in accordance and compliance and all other applicable laws, rules and regulations.

All investment personnel shall refrain from personal business activity which could create a conflict with proper execution of the investment program, or which could impair the ability to execute impartial investment decisions. All investment personnel shall disclose to the Chancellor's Staff or the IAC any material financial interests in financial institutions which conduct business within the jurisdiction and shall disclose any material financial investment positions which could be related in a conflicting manner to the performance of the Portfolios. All investment personnel shall report any potential conflicts of interest consistent with Government Code Section 87200. Further, the Chancellor shall report to the Board in writing any issues that could reflect any conflict in the performance of the Portfolios.

Document Acceptance of the Investment Policy Statement

The Chancellor's Staff shall provide a copy of this MIP, and the relevant Portfolio investment policy, to each firm retained to provide investment services to the CSU and each such firm shall acknowledge in writing receipt of the document and accept its content.

VI. Environmental, Social and Governance Framework

The Board acknowledges the importance of understanding the potential risks and value that environmental, social, and governance (“ESG”) factors may have on CSU investments. Therefore, the Board expects that the consideration of ESG factors shall be integrated into the investment decision processes of the CSU.

Approved:

The California State University Board of Trustees
November 8, 2017

COMMITTEE ON FINANCE

Planning for the 2021-2022 Operating Budget

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Ryan Storm
Assistant Vice Chancellor
Budget

Summary

The California State Constitution requires the submittal of the governor's budget proposal each year by January 10. In order to meet consequent deadlines of the Department of Finance, it is necessary to commence planning for the 2021-2022 California State University Operating Budget. The CSU Board of Trustees will be provided preliminary assumptions for purposes of preparing the 2021-2022 budget request to the governor. The final budget request will be presented to the Board of Trustees for review and approval in November 2020.

State Budget Overview

As the 2020-2021 budget year begins, and the CSU looks to the 2021-2022 fiscal year, California's state budget picture has dramatically changed. The arrival of COVID-19 in California created a swift and immediate recession. Not long ago, economic forecasts suggested modest continuous growth. For example, the governor's January 2020 state budget proposal recognized a \$5.6 billion surplus for 2020-2021. COVID-19 created significant economic hardships and those forecasts have been significantly revised. COVID-19 created a \$54.3 billion state multi-year budget deficit. Now, the most ominous forecasts anticipate state budget deficits every year through 2023-2024. However, there are more optimistic forecast scenarios that suggest that the budget deficit may not be as profound and that economic recovery may be more rapid.

State leaders employed a wide array of deficit-narrowing strategies to balance the 2020-2021 state budget. Examples include: (1) the use of the state's rainy-day fund, (2) reductions to existing program and agency funding, (3) reductions to civil servant compensation (non-CSU employees), (4) loans from state special funds, and (5) deferrals of expenses across fiscal years. With continued economic uncertainty for 2021-2022, it is difficult to determine if the governor and legislature will again employ similar strategies to balance the budget.

Recent Funding of the CSU

Prior to COVID-19, Governor Newsom’s January 2020 budget proposal would have provided \$199 million in new recurring funds. But the economic decline due to COVID-19 required a change in course with the governor and legislature removing \$299.1 million recurring from the CSU budget. Combined with a decline in tuition revenue due to student behavior and enrollment patterns, the CSU’s budget declined by \$323.2 million yielding a total operating budget of \$6.9 billion for 2020-2021. Recent changes in the CSU operating fund budget are detailed in the table below:

Fiscal Year	State General Fund	% Change	Gross Tuition & Fees	% Change	Total Operating Fund Budget	% Change
2017-2018	\$3,474,230,000	-	\$3,275,294,300	-	\$6,749,524,300	-
2018-2019	\$3,772,707,000	8.6%	\$3,277,627,000	0.1%	\$7,050,334,000	4.5%
2019-2020	\$4,021,849,000	6.6%	\$3,155,887,000	-3.7%	\$7,177,736,000	1.8%
2020-2021	\$3,722,806,000	-7.4%	\$3,140,097,000	-0.5%	\$6,862,903,000	-4.4%

The Impacts of State Funding Reductions and COVID-19 on the CSU

The state’s decision to reduce funding for the university, other negative financial consequences associated with COVID-19 affecting CSU enterprise activities (e.g. parking and student housing) and auxiliaries, and CSU mitigation efforts represent a mix of CSU fiscal opportunities and challenges.

Fortunately, CSU campuses have prudently grown reserves to meet certain strategic goals, one of which has been preparation for possible economic uncertainty. The CSU has already drawn upon these reserves on a one-time basis and will continue to do so in the coming years to maintain operations in programs impacted by COVID-19. Other one-time opportunities are the use of remaining federal CARES Act funds and continued implementation of efficiencies and savings. Certainly, the early decision to primarily offer virtual modes of instruction for the Fall 2020 term enabled key decisions on operations, revenue, and cost management.

However, there are several fiscal challenges the campuses and Chancellor’s Office face today.

The state’s \$299.1 million operating budget reduction is significant and will require ongoing, permanent reductions throughout the system. Compounding this challenge is the unprecedented impact that COVID-19 has on enterprise programs like parking and student housing. These traditionally are financially stable CSU programs that typically are not impacted by an economic downturn. But COVID-related requirements for physical distancing and virtual modalities have caused significant revenue shortfalls for these programs. Campuses are using one-time CARES

Act funding and portions of their reserves now and in the coming years to cover debt service on facilities and are managing operating costs like salaries and benefits in these self-support programs. In tandem with the use of one-time funds, campuses may have to reduce hours or consider layoffs due to lack of work or lack of funds. These unfortunate steps are consistent with long-established CSU policy, collective bargaining agreements, and state law.

There are other steps the CSU is taking because of the current circumstances. For example, there is a systemwide slow-down in hiring of new employees and campuses are deferring non-critical capital projects.

In summary, the CSU will continue to use one-time funds to bridge the path to permanent budget cuts. The CSU has been a good steward of resources and is prepared to address the real financial problems and impacts today. However, the CSU does not have the resources today to address the many aspirational investments of the university.

2021-2022 CSU Operating Budget—Preliminary Planning Approach

Over the last several weeks, Chancellor's Office staff have met with many stakeholder groups to solicit feedback on the potential contents of the 2021-2022 CSU Operating Budget Request. Based on that feedback, the preliminary 2021-2022 budget plan would provide a level of assurance to CSU students, families, and employees during these particularly uncertain times. The 2021-2022 budget request recognizes that the state continues to value the CSU and will take the opportunity to invest in the CSU if the state's economic condition were to improve more quickly than anticipated.

It is very early in the budget development process and appropriate for the Board of Trustees to begin discussion of CSU priorities for the 2021-2022 Operating Budget Request. The planning approach presented below represents funding levels that can be balanced to communicate the university's key funding needs. These estimated incremental funding amounts provide an opportunity for discussion about priorities and would add to the 2020-2021 base budget of \$6.9 billion.

Preliminary Expenditure Plan Increase - \$237.5 Million

Graduation Initiative 2025 (Year 4 and 5) - \$150 million

The CSU will continue to invest in people, programs, technologies, and strategies that have demonstrated success in improving graduation rates, shortening time-to-degree, and eliminating equity gaps. Each campus has developed multi-year plans to reach their Graduation Initiative 2025 goals that will require multi-year investments across the system in the six pillars of the initiative:

- Academic preparation
- Enrollment management
- Financial aid
- Data-driven decision making
- Administrative barriers
- Student engagement and well-being

Graduation Initiative 2025 is the CSU's most important priority and the university is on the right path to improving student success. Students have greater access to the courses they need, the equity gap is closing, and campuses are supplying academic and student support services that foster engagement and well-being. To support Graduation Initiative 2025, the CSU originally developed a \$450 million investment plan phased in over a six-year period (i.e. \$75 million a year for 6 years = \$450 million). From 2017-2018 through 2019-2020, \$195 million in recurring funding has been invested in the initiative. The state paused its investment in 2020-2021 and with the potential for a continued pause in 2021-2022, the initiative's positive momentum and aspirational timeline are at risk. Therefore, it is important that the CSU budget plan include a significant financial commitment to Graduation Initiative 2025. Campuses could reasonably spend \$150 million on their student success and completion priorities.

Mandatory Costs - \$47.5 million

The governor signed Assembly Bill 1460, which requires every CSU campus to offer ethnic studies courses and every CSU student to complete a three-unit course in ethnic studies in order to graduate. Campuses that have no ethnic studies courses in the areas articulated in the law must develop them and campuses that have ethnic studies departments or courses must expand them to ensure that every student has access to an ethnic studies course in order to graduate.

As had been regularly shared with the legislature and governor as this bill made its way through the legislative process, the CSU estimates that the new law will create \$16.5 million of recurring costs and just over \$1.0 million in one-time costs. Per the gubernatorial administration's budget policies, it is incumbent on the administration to propose and ensure funding for all legislation signed by the governor with cost implications. It also is the duty of the legislature to do so.

Staff anticipate that other mandatory cost increases for existing university commitments will be approximately \$31 million in 2021-2022. These include health care premium increases for CSU employees, increases to operations and maintenance of newly-constructed facilities and other compensation-related costs, such as state wage law changes. If operating budget requests do not include these types of mandatory cost increases, campuses must redirect resources from existing programs, services and priorities to meet those cost increases.

Facilities and Infrastructure Needs - \$10 million

Since the Great Recession, the restructuring of the CSU's facilities and infrastructure debt combined with previous support from the state in one-time and recurring funds has enabled construction of \$3.2 billion in new and revitalized facilities as well as a reduction in the deferred maintenance backlog. The systemwide academic facility and related critical infrastructure improvement needs total approximately \$16.4 billion (excluding self-funded enterprise programs). Had Proposition 13—the education facilities general obligation bond—been approved by California voters in March 2020, it would have authorized \$2 billion for CSU projects.

Agenda Item 3 of the September 20-23, 2020 meeting of the Committee on Campus Planning, Buildings and Grounds includes the preliminary Multi-Year Capital Program. The program prioritizes critical infrastructure and utility renewal projects and facility renovation to support the academic needs. Like other components of this preliminary budget plan, it is presumed that the state will have limited ability to invest new funds in these types of projects. The addition of \$10 million in recurring funds would finance approximately \$180 million of the most critical facility and infrastructure projects in the Multi-Year Capital Program.

Requesting \$10 million recurring to pay the annual debt service on projects is an important fiscal strategy that will supplement other current CSU strategies in helping address the most pressing facility and infrastructure needs.

Restore 10 Percent of 2020-2021 General Fund Reductions - \$30 million

As reported earlier in this item, the state reduced the CSU recurring appropriation by \$299.1 million—a 7.4 percent reduction in state funding. As previously reported to the Board of Trustees, the CSU is utilizing a number of one-time sources to temporarily support that funding drop for 2020-2021. Examples include the use of designated balances and reserves, the federal CARES Act funding, a slowdown in hiring, and a halt on travel. Those one-time solutions, however, cannot be sustained into 2021-2022 and beyond because the \$299 million recurring reduction would require permanent, ongoing cost reductions. Created in the 1800's, the CSU is a fundamental function of state government and its millions of graduates have been critical to the success of the state for well over a century. As a result, the state should look to restore or invest in the university in 2021-2022. The preliminary plan includes a \$30 million dollar recurring general fund restoration, which represents a very modest 10 percent restoration of the nearly \$300 million budget cut the university is experiencing right now.

Preliminary Revenue Plan

At this preliminary stage, the planning effort focuses on stating the CSU's budget priorities and needs. The preliminary plan's range of new investments would require additional new ongoing revenues from the state of \$237.5 million.

Operating Fund Designated Balances and Reserves

Designated balances and reserves in CSU's operating fund are used in several ways to deal with non-recurring expenses by managing short-term obligations and commitments, providing funding for capital infrastructure repairs and maintenance, and helping to ensure that operating costs can be paid during times of catastrophic events and economic and budgetary uncertainty. Designated balances and reserves are determined and reported annually by the campuses and the system office and are published on CSU's financial transparency portal. Designated balances and reserves are not used to fund recurring expenses, such as salary increases. The use of one-time monies to pay recurring, permanent expenses can lead to structural deficits where recurring resources are insufficient to pay for recurring costs.

The CSU has prudently grown designated balances and reserves to meet certain strategic goals, one of which has been to prepare for possible economic uncertainty. The CSU has already drawn upon these reserves on a one-time basis to maintain operations in programs impacted by COVID-19. It is anticipated that a measured use of reserves over the next several years will be necessary until the economy and state budget rebound.

As of June 30, 2020, designated balances and reserves in the operating fund totaled \$1.7 billion and are held for short-term obligations, capital needs, catastrophic events and economic uncertainties.

Designated Balances for Short Term Obligations

Amounts held for short-term obligations are designated for payments for open contracts and purchase orders, near-term debt service payments, financial aid obligations, and programs that are in development. Balances for short-term obligations totaled \$949 million at the end of last year and cover expected needs, which are typically paid in the following fiscal year.

Designated Balances for Capital

Designated balances for capital are for new projects and to repair current buildings, as well as planning costs and equipment acquisition associated with those buildings. Balances for capital fall well short of the expected need, which is at least ten percent of the cost of academic projects approved in the most recent five-year capital plan. Capital designated balances totaled \$318 million.

Designated Balances for Catastrophic Events

These designated balances are to be used in the event of a natural disaster or other catastrophic event, including annual insurance premiums or self-insured retentions and total \$23 million.

Reserves for Economic Uncertainties

Reserves for economic uncertainties are designated to provide a prudent reserve to sustain operations during periods of economic uncertainty such as short-term recessionary cycles or state budget fluctuations. Operating reserves are intended to be used in the present and the future as one-time supplements to help manage the often-rapid decline in state resources, allowing time to appropriately adjust operating budgets to balance reductions and minimizing disruptions to students' education as much as possible. Designated reserves for operations set aside for economic uncertainty total \$400 million and are less than one month of operating expenses, well below the need to maintain three to six months of operating expenses.

Conclusion

This is an information item presenting a preliminary framework for the 2021-2022 CSU Operating Budget Request to the governor and the Department of Finance. Using feedback provided by the Board of Trustees at the September 2020 meeting, Chancellor's Office staff will present an updated and detailed operating budget recommendation for Board of Trustees' approval in November 2020.

AGENDA

COMMITTEE OF THE WHOLE

Meeting: 3:30 p.m., Tuesday, September 22, 2020
Virtually via Teleconference

Lillian Kimbell, Chair
Wenda Fong, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Adam Day
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Maryana Khames
Jack McGrory
Hugo N. Morales
Krystal Raynes
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Timothy P. White, Chancellor

- Consent** 1. Approval of Minutes of the Meeting of July 22, 2020, *Action*
- Discussion** 2. Conferral of Title of Trustee Emerita—Rebecca D. Eisen, *Action*
3. Conferral of Title of Trustee Emeritus—Hugo N. Morales, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE OF THE WHOLE**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 22, 2020

Members Present

Lillian Kimbell, Chair
Wenda Fong, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Adam Day
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Juan F. Garcia
Maryana Khames
Jeffrey R. Krinsk
Jack McGrory
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Timothy P. White, Chancellor

Chair Kimbell called the meeting to order and announced that item 2 regarding violation of Trustees' Code of Conduct was withdrawn from the committee's agenda.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

Whole
Agenda Item 1
September 20-23, 2020
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Consent Agenda

Chair Kimbell presented the consent agenda – consisting of the minutes of the May 12, 2020 meeting – for approval. There was a motion and a second. The consent agenda was approved.

Discussion Agenda

Conferral of Title of Student Trustee Emeritus—Juan Fernando García

Chair Kimbell presented the action item to confer the title of Student Trustee Emeritus on Juan Fernando García. There was a brief video presentation honoring Student Trustee García for his exemplary service to the CSU on behalf of the students, faculty, staff, presidents and alumni. The resolution (RCOW 07-20-02) was approved unanimously by a roll call vote.

Conferral of Title of Vice Chancellor Emeritus—Larry Mandel

Chair Kimbell presented the action item to confer the title of Vice Chancellor Emeritus on Larry Mandel. There was a brief video presentation honoring Vice Chancellor Mandel for his more than five decades of exemplary service, commitment and leadership to the CSU. The resolution (RCOW 07-20-03) was approved unanimously by a roll call vote.

Chair Kimbell adjourned the meeting of the Committee of the Whole.

COMMITTEE OF THE WHOLE

Conferral of Title of Trustee Emerita–Rebecca D. Eisen

Presentation By

Lillian Kimbell
Chair of the Board

Summary

It is recommended that Trustee Rebecca D. Eisen, whose term expires on September 30, 2020, be conferred the title of Trustee Emerita for her service, commitment and leadership to the California State University. The granting of emerita status carries the title, but no compensation.

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that this board confers the title of Trustee Emerita on Rebecca D. Eisen, with all the rights and privileges thereto.

COMMITTEE OF THE WHOLE

Conferral of Title of Trustee Emeritus–Hugo N. Morales

Presentation By

Lillian Kimbell
Chair of the Board

Summary

It is recommended that Trustee Hugo N. Morales, whose term expires on September 30, 2020, be conferred the title of Trustee Emeritus for his service, commitment and leadership to the California State University. The granting of emeritus status carries the title, but no compensation.

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that this board confers the title of Trustee Emeritus on Hugo N. Morales, with all the rights and privileges thereto.

AGENDA

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Meeting: 4:00 p.m., Tuesday, September 22, 2020
Virtually via Teleconference

Jean P. Firstenberg, Chair
Douglas Faigin, Vice Chair
Adam Day
Hugo N. Morales
Romey Sabalius
Lateefah Simon

Consent 1. Approval of Minutes of the Meeting of July 22, 2020, *Action*
Discussion 2. 2020-2021 California State University Trustees' Award for Outstanding
Achievement, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

July 22, 2020

Members Present

Jean P. Firstenberg, Chair
Douglas Faigin, Vice Chair
Adam Day
Hugo N. Morales
Romey Sabalius
Lateefah Simon
Lillian Kimbell, Chair of the Board
Timothy P. White, Chancellor

Trustee Firstenberg called the meeting to order.

Approval of Minutes

The minutes of May 12, 2020, were approved as submitted.

Trustee Firstenberg recognized the CSU recipients of the 2020 Council for Advancement and Support of Education (CASE) Awards.

Naming of the Nicholas and Lee Begovich Center for Gravitational-Wave Physics and Astronomy – California State University, Fullerton

Garrett Ashley, vice chancellor for university relations and advancement, reported that the proposed naming recognizes the bequest of the Begovich Automobile Collection, which was sold for \$10 million. The proceeds from the sale of the collection will be used for two quasi-endowment funds to provide resources for the Center for Gravitational-Wave Physics and Astronomy and to enhance the electrical engineering curriculum and program in the College of Engineering and Computer Science.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

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California State University, Fullerton President Fram Virjee thanked Mrs. Lee Begovich and recognized her late husband, Mr. Nicholas Begovich, for their contributions and dedication to the university.

The committee recommended approval by the board of the proposed resolution (RIA 07-20-07) that the Center for Gravitational-Wave Physics and Astronomy at California State University, Fullerton be named as the Nicholas and Lee Begovich Center for Gravitational-Wave Physics and Astronomy.

Trustee Firstenberg adjourned the meeting.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

2020-2021 California State University Trustees' Award for Outstanding Achievement

Presentation By

Jean Firstenberg
Trustee

Timothy P. White
Chancellor

Summary

Each year, the California State University (CSU) Board of Trustees provides scholarships to high-achieving students who have demonstrated financial need and overcome profound personal hardships to attain an education from the CSU. These students have superior academic records and are also providing extraordinary service to their communities.

Background

Since its inception, over 380 students have received the CSU Trustees' Awards for Outstanding Achievement. Thanks to donor generosity, 23 students will receive an award this year. The most outstanding recipient is designated the Trustee Emeritus Ali C. Razi Scholar.

These distinguished awards are funded by contributions from the CSU trustees, employees and friends of the university. Scholarships range from \$6,000 to \$15,000. Eighteen runners-up received \$2,000 scholarships.

The recipients of the 2020-2021 CSU Trustees' Award for Outstanding Achievement are:

Allison Cheatwood

California State University, Bakersfield
Ron and Mitzi Barhorst Scholar

Skye Harris

California State University, Dominguez Hills
Edison International Scholar

Patricio Ruano

California State University Channel Islands
Trustee Emeritus Kenneth Fong Scholar

Celeste Morales

California State University, East Bay
Chancellor Timothy P. White Scholar

Breanna Holbert

California State University, Chico
Trustee Emeritus Ali C. Razi Scholar

Jose Alvarez

California State University, Fresno
Trustee Emeritus Peter Mehas Scholar

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An Thien Le
California State University, Fullerton
*Trustee Wenda Fong and Daniel Fetterly
Scholar*

Julia Jones
Humboldt State University
William Randolph Hearst Scholar

Jayden Maree
California State University, Long Beach
Relyea Family Scholar

Lessly Tapia Torres
California State University, Los Angeles
Michael A. and Debe Lucki Scholar

Natalie So
California State University Maritime Academy
William Randolph Hearst Scholar

María Zapata
California State University, Monterey Bay
William Randolph Hearst Scholar

Anthony Lawson
California State University, Northridge
*Chancellor Emeritus Charles B. and Catherine
Reed Scholar*

Christopher Ornelas
California State Polytechnic University, Pomona
TELACU Scholar

Mahdi (Aiden) Jahami
California State University, Sacramento
Wells Fargo Scholar

Nora Nickoel Ortega
California State University, San Bernardino
Sycuan Band of the Kumeyaay Nation Scholar

Deva Reign
San Diego State University
Trustee Emeritus Murray L. Galinson Scholar

Yuri Madenokoji
San Francisco State University
SchoolsFirst Federal Credit Union Scholar

Jobelle Abellera
San José State University
*Trustee Emeritus William Hauck and Padget
Kaiser Scholar*

Thayara Almeida
California Polytechnic State University, San
Luis Obispo
Trustee Jack McGrory Scholar

Kayla Nguyen
California State University San Marcos
Trustee Emerita Claudia H. Hampton Scholar

Therese Azevedo
Sonoma State University
Stauffer Foundation Scholar

Robin Fredeking
California State University, Stanislaus
Santé Health System Scholar

AGENDA

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Meeting: 9:00 a.m., Wednesday, September 23, 2020
Glenn S. Dumke Auditorium

Silas H. Abrego, Chair
Debra S. Farar, Vice Chair
Adam Day
Rebecca D. Eisen
Jean P. Firstenberg
Wenda Fong
Hugo N. Morales
Christopher Steinhauser

- Consent** 1. Approval of Minutes of the Meeting of March 24, 2020, *Action*
Discussion 2. Executive Compensation: Chancellor-select – California State University, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, California**

March 24, 2020

Members Present

Debra S. Farar, Chair
Rebecca D. Eisen
Juan F. Garcia
Hugo N. Morales
Romey Sabalius
Adam Day, Chair of the Board
Timothy P. White, Chancellor

Trustee Farar called the meeting to order and announced that item 3 on compensation for executives was withdrawn from the committee's agenda.

Approval of Minutes

The minutes of the January 28, 2020 meeting were approved as submitted.

CSU Salary Schedule

With the concurrence of the committee, Trustee Farar presented agenda item 2 on the consent agenda. The item was approved as submitted. (RUF 03-20-02)

Trustee Farar adjourned the committee meeting.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the March 24, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Executive Compensation: Chancellor-select, California State University

Presentation By

Silas H. Abrego
Chair, Committee on University and Faculty Personnel

Lillian Kimbell
Chair of the Board

Summary

This action item establishes the compensation for Dr. Joseph I. Castro as chancellor of the California State University system.

Executive Compensation

This item recommends that Dr. Castro receive an annual salary of \$625,000 effective on or before January 4, 2021, the date of his appointment as chancellor of the California State University. Additionally, Dr. Castro will receive a \$7,917 monthly housing allowance of non-state funds to supplement the cost of a residence. (This is the same housing allowance as the current CSU Chancellor.)

In accordance with existing policy of the California State University, Dr. Castro will receive the following benefits:

- An auto allowance of \$1,000 per month;
- Standard benefit provisions afforded CSU Executive classification employees;
- An executive transition program provided he meets the eligibility requirements of the Executive Transition II program passed by the Board of Trustees on November 15, 2006 (RUF 11-06-06); and
- Reimbursement for actual, necessary and reasonable travel and relocation expenses.

Dr. Castro will hold the academic rank of full professor with tenure, subject to faculty consultation; the respective department and campus to be named.

AMENDED

U&FP

Agenda Item 2

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Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that Dr. Joseph I. Castro shall receive a salary set at the annual rate of \$625,000 effective on or before January 4, 2021, the date of his appointment as chancellor of the California State University; and be it further

RESOLVED, Dr. Castro shall receive a \$7,917 monthly housing allowance of non-state funds to supplement the cost of a residence; and be it further

RESOLVED, Dr. Castro shall receive additional benefits as cited in Agenda Item 2 of the Committee on University and Faculty Personnel at the September 20-23, 2020 meeting of the Board of Trustees.

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

California State University
Office of the Chancellor
Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, CA 90802

September 23, 2020

Presiding: Lillian Kimbell, Chair

9:45 a.m. Board of Trustees Virtually via Teleconference

Call to Order

Roll Call

Chair's Report

Chancellor's Report

Academic Senate CSU Report: *Chair—Robert Keith Collins*

California State Student Association Report: *President—Zahraa Khuraibet*

California State University Alumni Council Report: *President—Michelle Power*

Consent

- Action* 1. Approval of Minutes of the Meeting of July 22, 2020
Action 2. Approval of Committee Resolutions as follows:

Committee on Committees

2. Amendments to Board of Trustees' Standing Committee Assignments for 2020-2021

Committee on Educational Policy

3. California State University Board of Trustees Policy for Awarding Honorary Degrees
4. Academic Master Plan Update for a Fast-Track Program at San José State University

Joint Committee on Governmental Relations and Educational Policy

1. Proposition 16: Allows Diversity as a Factor in Public Employment, Education, and Contracting Decisions. Legislative Constitutional Amendment.

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Order N-25-20 issued on March 12, 2020, some or all of the members of the Board of Trustees may participate in this meeting remotely, either by telephonic or video conference means. The Dumke Auditorium shall nonetheless be open and available for members of the public to attend, observe the proceedings of the meeting (including the audio/video transmissions of trustees participating from remote locations), and offer public comment pursuant to the published protocol for "Addressing the Board of Trustees."**

**The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

Committee on Campus Planning, Buildings and Grounds

2. California State University, San Bernardino, College of Arts and Letters/Theater Building Renovation and Addition

Committee of the Whole

2. Conferral of Title of Trustee Emerita—Rebecca D. Eisen
3. Conferral of Title of Trustee Emeritus—Hugo N. Morales

Committee on University and Faculty Personnel

2. Executive Compensation: Chancellor-select, California State University

***PLEASE NOTE: Due to the Governor’s proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor’s Executive Order N-25-20 issued on March 12, 2020, some or all of the members of the Board of Trustees may participate in this meeting remotely, either by telephonic or video conference means. The Dumke Auditorium shall nonetheless be open and available for members of the public to attend, observe the proceedings of the meeting (including the audio/video transmissions of trustees participating from remote locations), and offer public comment pursuant to the published protocol for “Addressing the Board of Trustees.”**

**The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

MINUTES OF THE MEETING OF BOARD OF TRUSTEES

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium***
**401 Golden Shore
Long Beach, California**

July 22, 2020

Trustees Present

Lillian Kimbell, Chair
Wenda Fong, Vice Chair
Silas H. Abrego
Larry L. Adamson
Jane W. Carney
Adam Day
Rebecca D. Eisen
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Maryana Khames
Jack McGrory
Hugo Morales
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter J. Taylor
Timothy P. White, Chancellor
Superintendent Tony K. Thurmond

Chair Lillian Kimbell called the meeting of the Board of Trustees to order.

Public Comment

Due to the virtual format of the July 21-22, 2020 meeting, all public comment took place at the beginning of the meeting's open session prior to all committees. For the purposes of public record, the board heard from the following individuals during the revised public comment period:

***PLEASE NOTE: Due to the Governor's proclamation of a State of Emergency resulting from the threat of COVID-19, and pursuant to the Governor's Executive Orders N-25-20 and N-29-20 issued on March 12, 2020 and March 17, 2020, respectively, all members of the Board of Trustees may participate in meetings remotely, either by telephonic or video conference means. Out of consideration for the health, safety and well-being of the members of the public and the Chancellor's Office staff, the July 21-22, 2020 meeting of the CSU Board of Trustees was conducted entirely virtually via Zoom teleconference.**

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Matt Foulkes, Fullerton Community Dev Director; Marcus Reveles, ASI President, CSU Fullerton; Theresa Harvey, President/CEO North Orange County Chamber; Francis Colwell, President, Friends of the Fullerton Arboretum; Greg Schulz, President, Fullerton College; Linda Vasquez, Sr. Public Affairs Director, College Campaign; Gregory Thomas; Staff Physician, Cal PolySLO; Ejmin Hakobian, CSULA Alum; Yahaira Victorino, Student, Sacramento State; Alejandro Bupara, Student, Cal Poly SLO; Melissa Jerez, Student, Chico State; Kaila Moore-Jones, Student, CSU Northridge; Kay Gamo, Physician, San Francisco State; Antonio Canzona, CSULA Alum; Evelyn Jimenez, Student (CSUN); Shirley Weber, Assemblymember, 79th District; Jerald Bolden, Sac State Alum; Monique Crawford, Sac State Alum; Erynn Turner, Sac State Alum; Miles Meckling, Sac State Student; William Schmidt, Sac State Alum; Marie Williams, Sac State Student; Brennan Pearson, Founder/CEO, Verus Advising; Mario (Teresa) Guerrero, Faculty (Cal Poly Pomona); Max Johnson, Student (SDSU); Charles Toombs, President, CFA (SDSU); Kim Geron, CFA Chapter PA/Leg Chair (East Bay); Theresa Montañó, CFA Teacher Ed Caucus Chair (CSUN); Sharon Elise, CFA AVP, Racial & Social Justice (CSUSM); Steven Filling, CFA Political Action Legislative Committee Chair (Stanislaus); Craig Stone, Faculty (CSULB); Leece Oliver, Faculty (Fresno); Meghan O' Donnell, CFA AVP, Council of Lecturers (CSUMB); Siana Fields, Student (SQE); Jeff Blutinger, Faculty (CSULB); Teresa Wright, Faculty (CSULB); David Shafer, Faculty (CSULB); Vanessa Chicaiza, CSU Student (Bakersfield); Frankie de la Pena, CSU Student (Bakersfield); Sneha Guduru, CSU Student (Bakersfield); Divyang Motavar, CSU Student (Bakersfield); Maria Espinoza, CSU Student (Bakersfield); Nadia Mendoza-Mendez, CSU Student (Bakersfield); Anastasia Nesbitt, Alum (CSULB); Stephen Sobonya, CSU Student (Sacramento); Cecilia Arzaga, Member of the Public; Rocky Sanchez, VP for Representation, CSUEU; Tessa Reese, Chair Bargaining Unit 2, CSUEU; Pam Robertson, Vice Chair Bargaining Unit 2, CSUEU; Don Moreno, Vice Chair Bargaining Unit 5, CSUEU; Tony Spraggins, Chair Bargaining Unit 7, CSUEU; Rich McGee, Chair Bargaining Unit 9, CSUEU; Martin Brenner, Vice Chair Bargaining Unit 9, CSUEU; Neil Jacklin, President, CSUEU; Drew Scott, Teamsters Local 2010; Cal Mason, Teamsters Local 2010

Chair's Report

Chair Kimbell's complete report is available online at the following link:

<https://www2.calstate.edu/csu-system/board-of-trustees/reports-of-the-chair/Pages/july-2020.aspx>

Chancellor's Report

Chancellor Timothy P. White's report is available online at the following link:

<https://www2.calstate.edu/csu-system/board-of-trustees/chancellor-reports/Pages/july-22-2020.aspx>

Report of the Academic Senate CSU

CSU Academic Senate Chair, Robert Keith Collin's complete report is available online at the following link:

<https://www2.calstate.edu/csu-system/faculty-staff/academic-senate/Pages/ASCSU-Chairs-Report.aspx>

Report from the California State Student Association

CSSA President Zahraa Khuraibet's complete report is available online at the following link:

<https://www.calstatestudents.org/public-documents/#president>

Report of the California State University Alumni Council

Alumni Council President Michelle Power's report is available online at the following link: <https://www2.calstate.edu/impact-of-the-csu/alumni/council/board-of-trustee-reports/Pages/default.aspx>

Board of Trustees

The minutes of the meeting of May 12, 2020 were unanimously approved by roll call vote as submitted.

Prior to the approval of the consent agenda, Trustee Abrego requested that item 3, Recommended Amendments to Title 5 Regarding Ethnic Studies and Social Justice - from the Committee on Educational Policy - be removed from the consent agenda for separate discussion and approval.

Chair Kimbell asked to move all the remaining consent agenda items for approval. There was a second. There was a roll call vote and the Board of Trustees unanimously approved the following resolutions:

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendment to Title 5 Regarding Residency Reclassification – Financial Independence (REP 07-20-04)

RESOLVED by the Board of Trustees of the California State University that Title 5, California Code of Regulations section 41905.5 be amended as follows:

Title 5. Education
Division 5. Board of Trustees of the California State Universities
Chapter 1. California State University
Subchapter 5. Administration
Article 4. Nonresident Tuition

§ 41905.5. Residence Reclassification - Financial Independence Requirement.

~~Any~~ A nonresident student requesting reclassification to resident for tuition purposes must demonstrate financial independence. A student shall be considered financially independent if ~~s/he~~ the student has not and will not be claimed as an exemption for state and federal tax purposes by ~~his/her~~ a parent or legal guardian in the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application; has not and will not receive more than seven hundred fifty dollars (\$750) per year in financial assistance from ~~his/her~~ a parent or legal guardian in the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application, and; has not lived and will not live for more than six weeks in the home of ~~his/her~~ a parent or legal guardian during the calendar year the reclassification application is made and in any of the three calendar years prior to the reclassification application. ~~A nonresident student who has been appointed to serve as a graduate student teaching assistant, graduate student research assistant, or graduate student teaching associate on any California State University campus and employed on a 0.49 or more time basis is exempt from this requirement.~~

Financial independence shall not be considered in a reclassification analysis if the student meets at least one of the following criteria:

- A. Dependent on a parent who has California residence (pursuant to Cal.Educ. §68060 through §68062) for more than one year immediately preceding the residence determination date for the academic term for which reclassification is requested
- B. Enrolled in a graduate or post-baccalaureate program, regardless of age
- C. At least 24 years of age by the residence determination date for the academic term for which reclassification is requested
- D. Married or in registered domestic partnership as of the residence determination date, regardless of age
- E. All active duty (including National Guard members and reservists) members serving in the U.S. Armed Forces
- F. Veteran of the U.S. Armed Forces
- G. Has a legal dependent other than a spouse or registered domestic partner
- H. Status as a ward of the court or foster youth at any time since turning the age of 13, or both parents are deceased
- I. Declared by a court to be an emancipated minor
- J. Status as an unaccompanied youth who is homeless or at risk of becoming homeless pursuant to federal financial aid rules

Note: Authority cited: Sections 68044 and 89030, Education Code. Reference: Section 68044, Education Code.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

**California State University, Long Beach Housing Expansion Phase 1 – Housing Administration and Commons Building Project Supplemental Environmental Impact Report
(RCPBG 07-20-03)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the Supplemental Environmental Impact Report (SEIR) to the 208 Campus Master Plan Update EIR has been prepared in accordance with the requirements of the California Environmental Quality Act.
2. The SEIR addresses the proposed project and all the discretionary actions related to the project as identified in the SEIR.
3. The Board of Trustees hereby certifies the SEIR for the California State University, Long Beach Housing Expansion Phase 1 – Housing Administration and Commons Building Project dated July 2020.
4. Prior to the certification of the SEIR, the Board of Trustees reviewed and considered the above SEIR and finds that it reflects the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the SEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For purposes of CEQA, the administrative record includes the following:
 - a. The Final SEIR for the California State University, Long Beach Housing Expansion Phase 1 – Housing Administration and Commons Building Project;
 - b. The Final SEIR, including comments received on the Draft SEIR, responses to comments, and revisions to the Draft SEIR in response to comments received;
 - c. The previously approved 2008 Master Plan Update EIR;
 - d. The proceedings before the Board of Trustees relating to the subject proposed project, including testimony and documentary evidence introduced at such proceedings; and
 - e. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (d) above.
5. This resolution is adopted pursuant to the requirements of Section 21081 of Public Resources Code and Section 15091 of the State CEQA Guidelines which require that the Board of Trustees make findings prior to the approval of a project.

BoT

Agenda Item 1

September 20-23, 2020

6. The Board of Trustees hereby adopts the CEQA Findings of Fact and Mitigation and Monitoring Reporting Program, including all mitigation measures identified therein, for Agenda Item 2 of the July 21-22, 2020 meeting of the Committee on Campus Planning, Buildings and Grounds, which identifies the specific impacts of the proposed project and related mitigation measures, which are hereby incorporated by reference. The mitigation measures identified in the Mitigation and Monitoring Reporting Program shall be monitored and reported in accordance with the requirements of CEQA.
7. The Board of Trustees hereby adopts the Statement of Overriding Considerations stating that the project benefits to the California State University outweigh the remaining significant and unavoidable cultural (built historical) resource impacts from implementation of the Housing Expansion Phase 1 – Housing Administration and Commons Building Project as disclosed in the SEIR.
8. The Final SEIR has identified potentially significant impacts that may result from implementation of the proposed project. However, the Board of Trustees, by adopting the Findings of Fact, finds that the inclusion of certain mitigation measures as part of the project approval will reduce most, but not all, of those effects to less than significant levels. Those impacts which are not reduced to less than significant levels are identified as significant and unavoidable and are overridden due to specific project benefits to the CSU identified in the Findings of Fact and Statement of Overriding Considerations.
9. The project will benefit the California State University.
10. The chancellor or his designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the Final Supplemental Environmental Impact Report for the California State University, Long Beach Housing Expansion Phase 1 – Housing Administration and Commons Building Project.

California State University, Fullerton Master Plan Update and Enrollment Ceiling Increase (RCPBG 07-20-04)

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the 2020 FEIR has been prepared in accordance with the requirements of the California Environmental Quality Act.
2. The FEIR addresses the proposed Master Plan Update and all discretionary actions related to the project as identified in the FEIR.
3. The Board of Trustees hereby certifies the FEIR for the California State University, Fullerton Master Plan Update dated July 2020.

4. Prior to the certification of the FEIR, the Board of Trustees reviewed and considered the above FEIR and found it to reflect the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the FEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For purposes of CEQA and the State CEQA Guidelines, the administrative record includes the following:
 - a. The DEIR for the California State University, Fullerton Master Plan Update;
 - b. The FEIR, including comments received on the DEIR, responses to comments, and revisions to the DEIR in response to comments received;
 - c. The proceedings before the Board of Trustees relating to the proposed Master Plan Update, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
5. This resolution is adopted pursuant to the requirements of Section 21081 of the Public Resources Code and Section 15091 of the State CEQA Guidelines which require the Board of Trustees to make findings prior to the approval of the project.
6. The Board of Trustees hereby adopts the CEQA Findings of Fact and Mitigation and Monitoring Program, including the mitigation measures identified therein for Agenda Item 3 of the July 21-22, 2020 meeting of the Committee on Campus Planning, Buildings and Grounds, which identifies the specific impacts of the proposed Master Plan Update and related mitigation measures, hereby incorporated by reference. The required mitigation measures shall be monitored and reported in accordance with the Mitigation and Monitoring Reporting Program, which meets the requirements of CEQA.
7. The Board of Trustees hereby adopts the Statement of Overriding Considerations stating that project benefits to The California State University outweigh the remaining significant and unavoidable air quality, greenhouse gas emissions, and cultural resources impacts.
8. The FEIR has identified potentially significant impacts that may result from implementation of the proposed Master Plan Update. However, the Board of Trustees, by adopting the Findings of Fact, finds that the inclusion of certain mitigation measures as a part of the project approval will reduce most, but not all, of these effects to less than significant levels. Those impacts which are not reduced to less than significant levels are identified as significant and unavoidable and are overridden due to specific project benefits to the CSU identified in the Findings of Fact and Statement of Overriding Considerations.

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9. The project will benefit The California State University.
10. The California State University, Fullerton 2039 Campus Master Plan revision dated July 2020 is approved.
11. The chancellor or his designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the FEIR for the California State University, Fullerton Master Plan Update.

**JOINT COMMITTEE ON FINANCE AND
CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**California State University, Fullerton Student Housing Phase 4 - Approval to Amend the 2020-2021 Capital Outlay Program; Approval of Schematic Design; Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments
(RFIN/CPBG 07-20-03)**

RESOLVED, by the Board of Trustees of the California State University that:

1. The project before the Board of Trustees is consistent with the previously certified Master Plan Update Final EIR.
2. The 2020-2021 Capital Outlay Program is amended to include \$122,504,000 for preliminary plans, working drawings, construction, and equipment for the California State University, Fullerton Student Housing Phase 4 project.
3. The schematic plans for the California State University, Fullerton Student Housing Phase 4 project are approved at a project cost of \$122,504,000 at CCCI 6840.
4. Financing for the California State University, Fullerton Student Housing Phase 4 project as described in this Agenda Item 2 of the Joint Committees on Finance and Campus Planning, Buildings and Grounds at the July 21-22, 2020 meeting of the CSU Board of Trustees is approved. Orrick, Herrington & Sutcliffe LLP, as bond counsel, is preparing resolutions to be presented at this meeting that authorize interim and permanent financing for the Project described in this Agenda Item 2 and provide for the following:
 - a. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related debt instruments, including shorter term and variable rate debt, floating and fixed rate loans placed directly with banks, and bond anticipation notes (BANs) to support interim financing under the CSU commercial paper (CP) program, in an aggregate amount not-to-exceed \$113,230,000 and certain actions relating thereto.

- b. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the revenue bonds or related debt instruments.
5. The chancellor or his designee is requested under the Delegation of Authority granted by the Board of Trustees to file the Notice of Exemption for the project.

COMMITTEE ON FINANCE

California State University, Sacramento Conceptual Approval of Two Public Private Partnerships for the Development of Real Property (RFIN 07-20-02)

RESOLVED, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of two public-public partnerships for the Ramona property at California State University, Sacramento;
2. Authorize the chancellor and the campus to enter into negotiations for agreements as necessary to develop final plans for the public-public partnerships as explained in Agenda Item 2 of the July 21-22, 2020 meeting of the Committee on Finance;
3. Will consider the following additional action items relating to the final plan:
 - a) Certification of Final California Environmental Quality Act (CEQA) documentation.
 - b) Approval of development and financial plans negotiated by the campus with the advice of the chancellor;
 - c) Approval of any amendments to the Non-State Capital Outlay Program;
 - d) Approval of the schematic designs.

California State University Doctorate of Occupational Therapy Tuition (RFIN 07-20-03)

RESOLVED, By the Board of Trustees of the California State University, that the CSU Occupational Therapy Doctorate Tuition Fee is hereby established; and, be it further

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RESOLVED, That the tuition fee rate approved for the 2022-2023 academic year and Summer 2023 shall be \$8,598 per semester campus term. Students will be assessed the Occupational Therapy Doctorate Tuition Fee rate each term, irrespective of the number of units taken. Students enrolled in Occupational Therapy Doctorate degree programs also shall be subject to campus-based mandatory fees; and, be it further

RESOLVED, That recommended increases in the CSU Occupational Therapy Doctorate Tuition Fee will be based on increased costs of the programs, and be it further

RESOLVED, That the chancellor is delegated authority to further adopt, amend, or repeal the CSU Occupational Therapy Doctorate Tuition Fee rate if such action is required by the budget act, and that such changes made by the chancellor are communicated promptly to the trustees.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

**Naming of the Nicholas and Lee Begovich Center for Gravitational-Wave Physics and Astronomy – California State University, Fullerton
(RIA 07-20-07)**

RESOLVED, by the Board of Trustees of the California State University, that the Center for Gravitational-Wave Physics and Astronomy at California State University, Fullerton be named as the Nicholas and Lee Begovich Center for Gravitational-Wave Physics and Astronomy.

COMMITTEE OF THE WHOLE

**Conferral of the Title of Student Trustee Emeritus–Juan Fernando García
(RCOW 07-20-02)**

RESOLVED, by the Board of Trustees of the California State University, that this board confers the title of Student Trustee Emeritus on Juan Fernando García, with all the rights and privileges thereto.

**Conferral of Title of Vice Chancellor Emeritus–Larry Mandel
(RCOW 07-20-03)**

RESOLVED, by the Board of Trustees of the California State University, that this board confers the title of Vice Chancellor Emeritus on Larry Mandel, with all the rights and privileges thereto.

Prior to the approval of the consent agenda, Trustee Abrego requested that item 3, Recommended Amendments to Title 5 Regarding Ethnic Studies and Social Justice - from the Committee on Educational Policy - be removed from the consent agenda for separate discussion.

Trustee Abrego made a motion to suspend the action item regarding amendments to Title 5 regarding ethnic studies and social justice from the Committee on Educational Policy and postpone the board action to the next meeting in September. There was a second on the motion. Trustee Abrego expressed concerns regarding the proposal noting the importance for unity and shared governance with faculty, particularly faculty from the CSU Council on Ethnic Studies. Trustee Abrego stated that he felt there needed to be additional consultation with faculty and stakeholders on the proposed Title 5 amendments to ensure curriculum meets the proposed ethnic studies and social justice requirements.

Trustee Taylor directed the trustees to refer to the written agenda item listing the consultation and meetings that took place to inform the proposal that was before the board for action and encouraged a no vote to postpone the action. Trustee Simon echoed Trustee Abrego's concerns for broader consultation with not only CSU stakeholders but with national stakeholders in the ethnic studies communities. She further stated her concern for students to be able to forego an ethnic studies course and meet the requirement with a social justice course and instead viewed the proposal as a social justice requirement with ethnic studies course offerings. While recognizing the tremendous amount of work, study and collaboration that went in to preparing the proposal, without broad support from the ethnic studies community she stated that in its present form she could not support the proposal. Trustee Morales concurred with Trustee Simon's statement that the proposal was more reflective of a social justice requirement and that he applauds and supports a movement towards a social justice requirement, however noting that he also did not agree that the current proposal was an ethnic studies requirement. Trustee Khames agreed with Trustee Morales' and Trustee Simon's concerns that the proposal was more a social justice requirement with ethnic studies as a component but not an explicit requirement on its own. She further stated that she supports the motion to postpone the action in order to continue broad consultation with stakeholders to ensure the proposal reflects the current needs of CSU communities and the country at this time.

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Trustee Eisen echoed Trustee Taylor's comments that the time for the board to take action on the item was before them and they should not postpone the vote. She referenced language from proposed Assembly Bill 1460 (Weber) that the rationale for the legislation was to ensure students of the CSU "acquire the knowledge and skills that will help them comprehend the diversity and Social Justice history of the United States and of the society in which they live to enable them to contribute to that society as responsible and constructive citizens." She stated that the intent and rationale of the CSU's proposed Title 5 amendments also seeks to serve the CSU students from a broader lens with as many varied perspectives as possible. She further stated that it would be the CSU faculty who would be the ones to best determine and develop the curricula that has ethnic studies as an anchor to fulfill not only the requirement but also the intent of best serving students with a robust, quality education.

Chancellor White commented that CSU faculty would continue to define the work necessary to shape the general education requirement as the proposal, if approved, would be implemented over the many months ahead. He noted with regard to consultation, that each of the 23 campuses were invited to complete a survey and provide input and to specifically engage their ethnic studies faculty. He affirmed that the proposal champions the study for historical racial and ethnic groups and gives students a chance to connect with other marginalized groups as well as the tools and resources to put their knowledge into action, with ethnic studies as the anchor, and think deeply about students whose identities cover many different areas. Chancellor White reminded the board that the work to develop this proposal had been conducted over a six-year period and the full board should have the opportunity to vote at this time.

Superintendent Thurmond acknowledged the broader conversations taking place around the country regarding racial injustice and the need for healing as much as justice. He expressed his appreciation for the many students voicing their support for ethnic studies courses as a way to help foster that healing and continue these critical conversations. He encouraged the board to support Trustee Abrego's motion to adopt more time to engage in the discourse around ethnic studies, not just of the four main disciplines, but as a broader movement that has spanned decades. He stated he welcomed more consultation, conversation and collaboration with the ethnic studies communities and experts to best broaden and better understand the ethnic studies movement and urged trustees to support the motion.

Following the discussion, Chair Kimbell called for a roll call vote. Superintendent Thurmond called for a point of order prior to the roll call vote for clarification that supporting the motion would in effect kill the item as the Assembly would be voting on legislation within a few weeks on an ethnic studies requirement that would then go to the governor for signature. Chancellor White addressed the question by stating that AB 1460 (Weber) would be brought before the Assembly for a vote and if the board postponed their action to September the governor would be presented with this bill without having a CSU proposal before him as well. Chancellor White noted that our governor champions a California for all and that the proposal before the board is really a CSU for all; that it is grounded in ethnic studies but also broader and more inclusive. So

to the point of order, if the board was to not act and AB 1460 moved forward the motion to postpone the vote would essentially not allow trustees to vote on the proposal. He stressed the importance of giving the governor an opportunity to support all of our students and communities as does this board by having the opportunity to vote at this meeting. Trustee Abrego stated that the vote needed to be on the merits of the proposal and not on whether the governor would sign the bill. Superintendent Thurmond spoke in favor to Trustee Abrego's statement that the board should make this vote solely on the proposal as presented and not on being in support or opposed to the proposed legislation that the governor may or may not sign. He acknowledged Chancellor White's comments articulating the urgency for board action, though added that given the proposed legislation there may be further opportunities for continued discussion and collaboration on both proposals addressing such an important topic that all parties could benefit from. Trustee Sabalius concluded the discussion on the point of order that the action by the board at this meeting would not mark an end but a beginning for further discussion on the Title 5 amendment creating the framework to establish the new requirement. He strongly urged the Chancellor's Office to continue to engage in extensive and meaningful consultation with the faculty especially the Statewide Academic Senate as the elected represented body of the faculty as well as disciplinary experts. Chancellor White affirmed the commitment to do exactly what Trustee Sabalius requested.

Chair Kimbell again called for the roll call vote. There were five votes to support the motion (Trustees Abrego, Khames, Morales, Simon and Superintendent Thurmond), fourteen votes opposed (Trustees Adamson, Carney, Day, Eisen, Faigin, Farar, Firstenberg, Fong, Kimbell, McGrory, Sabalius, Steinhauer, Taylor and Chancellor White), and no abstentions. The motion to postpone the action item to the September meeting did not pass.

Trustee Morales made a motion to amend the proposed Title 5 language to remove ethnic studies and only include social justice for the requirement to instead read as "A minimum of 3 semester units or 4 quarter units at the lower-division in study designed to understand ~~ethnic studies and~~ social justice." There was a second. Trustee Morales stated that with this amendment it would allow him to support the proposal for social justice, as he has been an advocate and champion of for decades, as it would better reflect in his opinion what Trustee Simon noted in earlier discussions that the proposal is more of a social justice focus with ethnic studies as a component of that broader framework. Superintendent Thurmond commented that the amendment presented a reasonable compromise by referring to the requirement as social justice it would broaden the scope of what many consider ethnic studies but also allow opportunities for even broader conversations around social justice. He thanked Trustee Morales for his amendment and urged trustees to support the motion. Trustee Simon also expressed her support for the amendment and stated that by not changing the language and including ethnic studies in the requirement – when there is not broad support from the ethnic studies community within the CSU – would be disingenuous as the proposal, as she interpreted, does not have ethnic studies as a defined core group of study as the proposed language would suggest and therefore would support the motion to amend the language.

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Dr. Loren Blanchard, executive vice chancellor for academic and student affairs, provided further clarification that ethnic studies has been at the core of the proposed requirement. He further added that in all consultation with CSU stakeholders they were presented with an opportunity to provide input on a proposal for an ethnic studies and social justice general education requirement and removing ethnic studies at this juncture would be disingenuous to the years of conversations focused on both critical aspects of the proposed requirement. He further noted that if the CSU proposal passes – and AB 1460 also passes – that it would then require six hours of the 48 hours of general education coursework in effect having to reduce three coursework hours from another area in order to accommodate both an ethnic studies and social justice requirement. Based on conversation and consultation with the CSU community regarding this requirement over the years, he noted that this was not the intent but instead the focus was to underscore the importance of ethnic studies as the anchor across the four disciplines tied directly to other oppressed groups and the synergy and the intersection with social justice as well.

Trustee Abrego expressed his support in amending the language to include social justice as reflective of a broader, more inclusive requirement. Trustees Carney, Taylor and Eisen all opposed removing ethnic studies from the Title 5 language. Trustee Eisen mentioned from her experience on the General Education Task Force that to add an additional three units – if the CSU proposal was amended to reflect a social justice requirement and AB 1460 passed to require an ethnic studies course – that could create an undue additional burden for students to timely complete their general education course requirements and ultimately progress towards their degree. Trustee Morales and Superintendent Thurmond both commented that the amendment to change the requirement to a broader social justice framework could potentially align with the proposed AB 1460 requirement without duplicating coursework.

Chancellor White stated that further amending the language to remove ethnic studies could potentially have unintended costs and consequences to the general education requirements. Trustee Carney added that by supporting the motion it would fundamentally change the core substance of the CSU proposal and encouraged trustees to support the proposal without amending the language. Trustee Faigin noted that the proposed amendment to change the language would better reflect a broader definition that is inclusive of ethnic studies under a social justice requirement. Trustee McGrory then called the question to vote on the motion by Trustee Morales.

Following discussion, Chair Kimbell called for the roll call vote. There were six votes to support the motion (Trustees Abrego, Faigin, Khames, Morales, Simon and Superintendent Thurmond), thirteen votes opposed (Trustees Adamson, Carney, Day, Eisen, Farar, Firstenberg, Fong, Kimbell, McGrory, Sabalius, Steinhauser, Taylor and Chancellor White), and no abstentions. The motion to amend the Title 5 language and remove ethnic studies did not pass.

Trustee Taylor made a motion to approve staff's original proposal as approved in committee. There was a second. Chair Kimbell called for the roll call vote. There were thirteen votes to support the motion (Trustees Adamson, Carney, Day, Eisen, Faigin, Farar, Firstenberg, Fong,

Kimbell, McGrory, Steinhauser, Taylor and Chancellor White), five votes opposed (Trustees Abrego, Khames, Morales, Simon and Superintendent Thurmond), and one abstention (Trustee Sabalius). The motion passed. The following resolution was approved by the board:

**Recommended Amendment to Title 5 Regarding Ethnic Studies and Social Justice
(REP 07-20-05)**

RESOLVED, by the Board of Trustees of the California State University, acting under the authority prescribed herein and pursuant to Section 66055.8 and 89030 of the Education Code, that section 40405.1 of Title 5 of the California Code of Regulations is amended as follows:

§ 40405.1. California State University General Education - Breadth Requirements.

(a) Each recipient of the bachelor's degree completing the California State University General Education-Breadth Requirements pursuant to this subdivision (a) shall have completed a program which includes a minimum of 48 semester units or 72 quarter units of which 9 semester units or 12 quarter units shall be upper division level and shall be taken no sooner than the term in which the candidate achieves upper division status. At least 9 of the 48 semester units or 12 of the 72 quarter units shall be earned at the campus granting the degree. The 48 semester units or 72 quarter units shall be distributed as follows:

(1) A minimum of 9 semester units or 12 quarter units in communication in the English language, to include both oral communication and written communication, and in critical thinking, to include consideration of common fallacies in reasoning.

(2) A minimum of 12 semester units or 18 quarter units to include inquiry into the physical universe and its life forms, with some immediate participation in laboratory activity, and into mathematical concepts and quantitative reasoning and their applications.

(3) A minimum of 12 semester units or 18 quarter units among the arts, literature, philosophy and foreign languages.

(4) A minimum of ~~12~~ 9 semester units or ~~18~~ 12 quarter units dealing with human social, political, and economic institutions and behavior and their historical background.

(5) A minimum of 3 semester units or 4 quarter units in study designed to equip human beings for lifelong understanding and development of themselves as integrated physiological, social, and psychological entities.

(6) A minimum of 3 semester units or 4 quarter units at the lower-division in study designed to understand ethnic studies and social justice.

The specification of numbers of units implies the right of discretion on each campus to adjust reasonably the proportions among the categories in order that the conjunction of campus courses,

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credit unit configurations and these requirements will not unduly exceed any of the prescribed semester or quarter unit minima. However, the total number of units in General Education-Breadth accepted for the bachelor's degree under the provisions of this subdivision (a) shall not be less than 48 semester units or 72 quarter units unless the Chancellor grants an exception.

(b) The president or an officially authorized representative of a college which is accredited in a manner stated in Section 40601 (d) (1) may certify the extent to which the requirements of subdivision (a) of this section have been met up to a maximum of 39 semester units (or 58 quarter units). Such certification shall be in terms of explicit objectives and procedures issued by the Chancellor.

(c) In the case of a baccalaureate degree being pursued by a post-baccalaureate student, the requirements of this section shall be satisfied if:

(1) The student has previously earned a baccalaureate or higher degree from an institution accredited by a regional accrediting association; or

(2) The student has completed equivalent academic preparation, as determined by the appropriate campus authority.

Note: Authority cited: Section 89030, Education Code. Reference: Sections 66055.8 and 89030, Education Code.