

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor—Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802**

**Agenda
March 19-21, 2018**

Time*	Committee	Location¹
<i>MONDAY, MARCH 19, 2018</i>		
7:30 a.m.	<u>Call to Order</u>	
7:30 a.m.	<u>Board of Trustees—Closed Session</u> Executive Personnel Matters Government Code §11126(a)(1)	Hilton Long Beach
<i>TUESDAY, MARCH 20, 2018</i>		
8:30 a.m.	<u>Board of Trustees—Closed Session</u> Consideration of Honorary Degree Award, <i>Action</i> Government Code §11126(c)(5) Executive Personnel Matters Government Code §11126(a)(1) Pending Litigation Donselman/Keller v. CSU City of Hayward v. CSU Burns v. CSU Coe v. CSU Volk v. CSU Mandel v. CSU	Munitz Conference Room
9:30 a.m.	<u>Committee on Collective Bargaining—Closed Session</u> Government Code §3596(d)	Munitz Conference Room

¹ All committees meet in the Dumke Auditorium unless otherwise noted.

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TUESDAY, MARCH 20, 2018 (cont.)

10:00 a.m. Committee on Finance

Consent

Action 1. Approval of Minutes

Information 2. California State University Annual Debt Report

Discussion

Information 3. Doctor of Audiology Tuition Rate

Information 4. Status of the Sustainable Financial Model Task Force Report
Recommendations

Information 5. 2018-2019 Operating Budget Update and Consideration of
Expenditure and Revenue Options

11:30 a.m. Luncheon

12:30 p.m. Committee on Educational Policy

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Recommended Amendments to Title 5 Regarding Exemption from
Nonresident Tuition

Action 3. Enrollment Management

Action 4. Academic Planning

Information 5. Recommended Amendments to Title 5 Regarding Doctor of Nursing
Practice Degree Programs

Information 6. Academic Preparation

2:30 p.m. Committee on Governmental Relations

Consent

Action 1. Approval of Minutes

Discussion

Information 2. State Legislative Update

3:00 p.m. Committee on Institutional Advancement

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Naming of The Vincent E. Petrucci Viticulture Building – California
State University, Fresno

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TUESDAY, MARCH 20, 2018 (cont.)

3:20 p.m. Committee on Audit

Consent

Action 1. Approval of Minutes

Discussion

Information 2. Status Report on Current and Follow-up Internal Audit Assignments

3:40 p.m. Committee on Organization and Rules

Consent

Action 1. Approval of Minutes

Action 2. Approval of the California State University Board of Trustees' Meeting Dates for 2019

Action 3. Approval of Proposed Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval

3:45 p.m. Committee on Campus Planning, Buildings and Grounds

Consent

Action 1. Approval of Minutes

Discussion

Action 2. California State University, Dominguez Hills Student Housing Phase 3

Information 3. Progress Towards Environmental Sustainability Goals

WEDNESDAY, MARCH 21, 2018

8:30 a.m. Committee on University and Faculty Personnel

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Executive Compensation: President – California State University, Bakersfield

Action 3. Executive Compensation: President – California State University, Dominguez Hills

Action 4. Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over

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WEDNESDAY, MARCH 21, 2018 (cont.)

9:05 a.m. Committee on Collective Bargaining—Open Session

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Ratification of the Extension of the Collective Bargaining Agreement with Bargaining Unit 6, Teamsters 2010

Action 3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW)

9:45 a.m. Committees of the Whole

Consent

Action 1. Approval of Minutes

Action 2. Appointment of Five Members to the Committee on Committees for 2018-2019

Information 3. General Counsel's Annual Litigation Report

Discussion

Information 4. The Role of Higher Education in California's Future: A Presentation by the Public Policy Institute of California

10:30 a.m. Board of Trustees

Call to Order

Roll Call

Public Speakers

Chair's Report

Report of the Academic Senate CSU: *Chair—Christine Miller*

Report of the California State Student Association: *President—Maggie White*

Report of the California State University Alumni Council: *President—Manolo P. Morales*

Chancellor's Report

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Consent

Action

1. Approval of Minutes
2. Approval of Committee Resolutions as follows:

Action

Committee on Educational Policy

2. Recommended Amendments to Title 5 Regarding Exemption from Nonresident Tuition
3. Enrollment Management
4. Academic Planning

Committee on Institutional Advancement

2. Naming of The Vincent E. Petrucci Viticulture Building – California State University, Fresno

Committee on Organization and Rules

2. Approval of California State University Board of Trustees’ Meeting Dates for 2019
3. Approval of Proposed Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval

Committee on Campus Planning, Buildings, and Grounds

2. California State University, Dominguez Hills Student Housing Phase 3

Committee on University and Faculty Personnel

2. Executive Compensation: President – California State University, Bakersfield
3. Executive Compensation: President – California State University, Dominguez Hills
4. Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over

Committee of the Whole

2. Appointment of Five Members to the Committee on Committees for 2018-2019

12:00 p.m. Board of Trustees—Closed Session
Executive Personnel Matters
Government Code §11126(a)(1)

Munitz Conference Room

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Addressing the Board of Trustees

Members of the public are welcome to address agenda items that come before standing and special meetings of the board, and the board meeting. Comments should pertain to the agenda or university-related matters and not to specific issues that are the subject of collective bargaining, individual grievances or appeals, or litigation. Written comments are also welcome and will be distributed to the members of the board. The purpose of public comments is to provide information to the board, and not to evoke an exchange with board members. Questions that board members may have resulting from public comments will be referred to appropriate staff for response.

Members of the public wishing to speak must provide written or electronic notice to the Trustee Secretariat by **two working days before the committee or board meeting** at which they desire to speak. The notice should state the subject of the intended presentation. An opportunity to speak before the board on items that are on a committee agenda will only be provided where an opportunity was not available at that committee, or where the item was substantively changed by the committee.

In fairness to all speakers who wish to speak, and to allow the committees and Board to hear from as many speakers as possible, while at the same time conducting the public business of their meetings within the time available, the committee or board chair will determine and announce reasonable restrictions upon the time for each speaker, and may ask multiple speakers on the same topic to limit their presentations. In most instances, speakers will be limited to no more than three minutes. The totality of time allotted for public comment at the board meeting will be 30 minutes, and speakers will be scheduled for appropriate time in accord with the numbers that sign up. Speakers are requested to make the best use of the public comment opportunity and to follow the rules established.

Note: Anyone wishing to address the Board of Trustees, who needs any special accommodation, should contact the Trustee Secretariat at least 48 hours in advance of the meeting so appropriate arrangements can be made.

Trustee Secretariat
Office of the Chancellor
401 Golden Shore, Suite 136
Long Beach, CA 90802
Phone: 562-951-4020
Fax: 562-951-4949
E-mail: trusteesecretariat@calstate.edu

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AGENDA

COMMITTEE ON FINANCE

Meeting: 10:00 a.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

Peter J. Taylor, Chair
John Nilon, Vice Chair
Jane W. Carney
Adam Day
Hugo N. Morales
Jorge Reyes Salinas
Lateefah Simon

Consent 1. Approval of Minutes of the Meeting of January 30, 2018, *Action*
2. California State University Annual Debt Report, *Information*

Discussion 3. Doctor of Audiology Tuition Rate, *Information*
4. Status of the Sustainable Financial Model Task Force Report Recommendations,
Information
5. 2018-2019 Operating Budget Update and Consideration of Expenditure and
Revenue Options, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON FINANCE**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 30, 2018

Members Present

Peter J. Taylor, Chair
John Nilon, Vice Chair
Jane W. Carney
Adam Day
Hugo N. Morales
Jorge Reyes Salinas
Lateefah Simon
Rebecca Eisen, Chair of the Board
Timothy P. White, Chancellor

Trustee Peter J. Taylor called the meeting to order.

Public Comment

Representatives of the California State University Employees Union spoke about the need for equal pay for women. They also expressed concern over the possible contracting out of custodial services at CSU Dominguez Hills. Ms. Lilian Taiz of the CFA urged everyone to come together and fight for additional funding from the state. Representatives from Students for Quality Education made comments regarding the tuition fee increase proposal and urged the trustees and administrators to lobby strongly for a sustainable funding source for the CSU.

Approval of Minutes

The minutes of the November 7, 2017 meeting were approved as submitted.

Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for Projects at California State University, Los Angeles and California State University Maritime Academy

Trustee Taylor presented agenda item two as a consent action item. The committee recommended approval of the proposed resolution ((RFIN 01-18-01).

University Operational Effectiveness Initiatives

Information about the CSU's efforts to reduce expenses and increase its operational effectiveness was shared. Examples of special systemwide projects and initiatives were reviewed, including projects with Amazon and the University of California for information technology and purchasing. Reports from external sources citing several CSU campuses as best value colleges were shared.

Following the presentation, trustees made comments regarding affordability of textbooks and e-textbooks. They also asked questions about alternate insurance models, cloud based technology, and process improvement strategies.

2018-2019 Operating Budget and Consideration of Expenditure and Revenue Options

The 2018-2019 Governor's Budget outlook and proposal for the CSU was reported. The governor is proposing a \$92 million dollar allocation for the CSU, which is \$171 million short of the trustees' support budget request. Various options for addressing the funding gap were shared.

Following the presentation, trustees made comments about the effects that not receiving full state funding would have on fair compensation for faculty and staff, enrollment, and wrap around services provided to students. They encouraged all stakeholder to create new ways to communicate the importance of adequately funding the CSU and increase pressure on the governor and elected officials in the legislature. The trustees offered various possible solutions such as multi-year tuition, increase in non-resident tuition, limiting enrollment to the top 33 percent of California high school graduates, and consideration of structural reform to secure a dedicated funding source, such as a tax, for the CSU. The trustees requested an update on the use of the 2017-2018 tuition fee increase revenue and the effect of the tuition increase on students.

Trustee Taylor adjourned the meeting on Finance Committee.

COMMITTEE ON FINANCE

California State University Annual Debt Report

Presentation By

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

This item reports on the debt of the California State University Systemwide Revenue Bond program in accordance with the CSU Policy on Financing Activities (RFIN/CPBG 11-14-01).

Background

The Systemwide Revenue Bond (SRB) program, under the provisions and authorities of The State University Revenue Bond Act of 1947 (Education Code Sections 90010-90083), was established by the CSU Board of Trustees at its March 2002 meeting. Since the inception of the SRB program, the CSU Policy on Financing Activities has set forth the principles that serve as the basis for the SRB program and has provided the chancellor with authority to establish procedures for the management of the SRB program consistent with the board's objectives for the use of debt. The chancellor has established such procedures through the issuance of executive orders. The current CSU Policy on Financing Activities (RFIN/CPBG 11-14-01) was amended by the board in November 2014 and is included as Attachment A. The current executive order governing the SRB program (Executive Order 994) is included as Attachment B.

The SRB program provides capital financing for projects of the CSU approved by the trustees, including student housing, parking, student union, health center, continuing education facilities, certain auxiliary projects, and other academic facilities. Revenues from these programs and revenues approved by the trustees, including CSU operating funds, are used to meet operational requirements for the projects and to pay debt service on the bonds issued to finance the projects. A strength of the SRB program is its consolidated pledge of gross revenues to the bondholders, which has resulted in strong credit ratings and low borrowing costs for the CSU.

Since the inception of the SRB program, the CSU has also issued commercial paper (CP) primarily to provide campuses with short term, lower cost capital financing on projects until long term bonds are sold. The CSU Institute, a systemwide auxiliary of the CSU, issues the CP, which is secured by Bond Anticipation Notes issued by the CSU. The CSU currently has a CP program in the amount of \$300 million, although both the board and the CSU Institute have authorized a CP program up to \$500 million. The CP program is supported by letters of credit from State Street and Wells Fargo N.A. that expire in June 2020.

SRB and CP Portfolio Profile

As of June 30, 2017 and December 31, 2017, outstanding SRB debt of the CSU was approximately \$5.8 billion and approximately \$5.7 billion, respectively.

Key characteristics of the SRB portfolio are as follows:

Debt Ratings:	Aa2 (Moody's) AA- (Standard & Poor's)
Weighted Average Cost of Capital:	3.65%
Weighted Average Maturity:	15.2 Years
Interest Rate Mix:	96% Long Term Fixed Rate 4% Short Term Fixed Rate

As of December 31, 2017, outstanding CP was \$110.4 million at a weighted average interest rate of 0.98 percent

SRB Operating Performance and Debt Service Coverage Ratios

For the fiscal years ended June 30, 2015, June 30, 2016, and June 30, 2017, operating performance and debt service coverage ratios for the SRB program were as follows (amounts in millions):

	<u>June 30, 2015</u>	<u>June 30, 2016</u>	<u>June 30, 2017</u>
Operating Revenues ¹	\$1,701	\$4,852	\$4,939
Operating Expenses ¹	<u>1,232</u>	<u>1,502</u>	<u>1,688</u>
Net Revenues	469	3,350	3,251
Annual Debt Service	\$266	\$274	\$301
Debt Service Coverage ²	1.76	12.23	10.80

(1) June 30, 2016 and June 30, 2017 Operating Revenues and Operating Expenses reflect the addition of student tuition fee revenue to the SRB pledge of gross revenues and the addition of associated expenses, effective April 2016.

(2) The minimum benchmark for the system, as established by Executive Order 994, is 1.45.

Activity since the March 2017 Annual Debt Report

The CSU has neither issued new debt nor refinanced existing debt since the last debt report to the trustees at the March 2017 meeting.

**CSU Policy for Financing Activities
Board of Trustees' Resolution
RFIN/CPBG 11-14-01**

WHEREAS, The Board of Trustees of the California State University ("the Board" or "the Trustees") finds it appropriate and necessary to use various debt financing programs afforded to it through the methods statutorily established by the legislature, and to use to its advantage those programs available to it through debt financing by recognized auxiliary organizations of the California State University; and

WHEREAS, The Board recognizes the capital needs of the CSU require the optimal use of all revenues to support its academic mission; and

WHEREAS, The Board wishes to establish and maintain policies that provide a framework for the approval of financing transactions for the various programs that enable appropriate oversight and approval by the Trustees; and

WHEREAS, Within a policy framework, the Board desires to establish appropriate delegations that enable the efficient and timely execution of financing transactions for the CSU and its recognized auxiliary organizations in good standing; and

WHEREAS, The Board recognizes that there is a need from time to time to take advantage of rapidly changing market conditions by implementing refinancings or restructurings; and

WHEREAS, The Board finds it appropriate to use the limited debt capacity of the CSU in the most prudent manner; and

WHEREAS, There are certain aspects of the tax law related to the reimbursement of up-front expenses from tax-exempt financing proceeds that would be more appropriately satisfied through a delegation to the Chancellor without affecting the Trustees' ultimate approval process for such financings; now, therefore be it

RESOLVED, by the Board of Trustees of the California State University as follows:

Section 1. General Financing Policies

1.1 The State University Revenue Bond Act of 1947 (1947 Bond Act) and Education Code Sections 89770-89774 (EC 89770-89774) (collectively, the "CSU Bond Acts") provide the Board of Trustees with the ability to acquire,

construct, finance, or refinance projects funded with debt instruments repaid from various revenue sources.

1.2 The long-term debt programs of the Board of Trustees established pursuant to the CSU Bond Acts shall be managed by the Chancellor, to the greatest extent possible, to credit rating standards in the "A" category, at minimum.

1.3 The intrinsic rating of any debt issued by the Trustees shall be at investment grade or better.

1.4 The Trustees' debt programs should include the prudent use of variable rate debt and commercial paper to assist with lowering the overall cost of debt.

1.5 The Trustees' programs shall be designed to improve efficiency of access to the capital markets by consolidating bond programs where possible.

1.6 The Chancellor shall develop a program to control, set priorities, and plan the issuance of all long-term debt consistent with the five-year capital outlay program.

1.7 The Chancellor shall annually report to the Trustees on the activity related to the issuance of long-term debt.

Section 2. Financing Structure of the CSU's Debt Programs

2.1 To use the limited debt capacity of CSU in the most cost effective and prudent manner, all on-campus student, faculty, and staff rental housing, parking, student union, health center, and continuing education capital projects will be financed by the Trustees using a broad systemwide multi-source revenue pledge under the authority of the CSU Bond Acts in conjunction with the respective authority of the Trustees to collect and pledge revenues.

Other on-campus and off-campus projects, including academic and infrastructure support projects, will also be financed through this structure under the authority of the CSU Bond Acts, unless there are compelling reasons why a project could not or should not be financed through this structure (see Section 3 below).

2.2 The Chancellor is hereby authorized to determine which revenues may be added to the broad systemwide multi-source revenue pledge under the authority granted by the CSU Bond Acts, to determine when such revenues may be added,

and to take appropriate action to cause such additional revenues to be pledged to CSU debt in accordance with the CSU Bond Acts.

2.3 The Chancellor shall establish minimum debt service coverage and other requirements for financing transactions undertaken under the CSU Bond Acts and/or for the related campus programs, which shall be used for implementation of the Trustees' debt programs. The Chancellor shall also define and describe the respective campus program categories.

2.4 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the Trustees, to take any and all actions necessary to issue bonds pursuant to the CSU Bond Acts to acquire or construct projects. Authorized Representatives of the Trustees, with the advice of the General Counsel, are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such financing transactions.

2.5 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the trustees, to take any and all actions necessary to refinance any existing bonds issued pursuant to the CSU Bond Acts. Authorized Representatives of the Trustees, with the advice of the General Counsel, are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such refinancing transactions.

Section 3. Other Financing Programs

3.1 The Board recognizes that there may be projects, or components of projects, that a campus wishes to construct that are not advantaged by, or financing is not possible for, or are inappropriate for financing under the CSU Bond Acts. A campus president may propose that such a project be financed as an auxiliary organization or third party entity financing, if there is reason to believe that it is more advantageous for the transaction to be financed in this manner than through the CSU Bond Acts financing program.

3.1.1 Such financings and projects must be presented to the Chancellor for approval early in the project's conceptual stage in order to proceed. The approval shall be obtained prior to any commitments to other entities.

3.1.2 These projects must have an intrinsic investment grade credit rating, and shall be presented to the Trustees to obtain approval before the financing transaction is undertaken by the auxiliary organization or other third party entity.

3.1.3 If a project is approved by the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to assist with the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

3.2 The Chancellor may require campus presidents to establish campus procedures applicable to campus auxiliary organizations for the issuance of debt instruments to finance or to refinance personal property with lease purchase, line-of-credit, or other tax-exempt financing methods. The procedures issued by the

Chancellor need not contain a requirement for approval of the Trustees or the Chancellor but may include authority for campus presidents to take all actions to assist the auxiliary organization on behalf of the Trustees to complete and qualify such financing transactions as tax-exempt.

Section 4. State Public Works Board Lease Revenue Financing Program

4.1 The authorizations set forth in this section shall be in full force and effect with respect to any State Public Works Board project which has been duly authorized by the legislature in a budget act or other legislation and duly signed by the Governor and which is then in full force and effect.

4.2 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and Assistant Vice Chancellor for Capital Planning, Design and Construction each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all construction agreements, equipment agreements, equipment leases, site leases, facility leases and other documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to provide for the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

Section 5. Credit of the State of California

5.1 The delegations conferred by this resolution are limited and do not authorize the Chancellor or other Authorized Representatives of the Trustees to establish any indebtedness of the State of California, the Board of Trustees, any CSU campus, or any officers or employees of any of them. Lending, pledging or otherwise using the credit established by a stream of payments to be paid from funds appropriated from the State of California for the purpose of facilitating a financing transaction associated with a capital project is permitted only if specifically authorized by a bond act or otherwise authorized by the legislature.

Section 6. Tax Law Requirement for Reimbursement of Project Costs

6.1 For those projects which may be financed under the authority of the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Deputy Assistant Vice Chancellor for Financing, Treasury, and Risk Management, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized to make declarations on behalf of the Trustees solely for the purposes of establishing compliance with the requirements of Section 1.150-2 of the U.S. Treasury Regulations; provided, however that any such declaration:

6.1.1 Will not bind the Trustees to make any expenditure, incur any indebtedness, or proceed with the project or financing; and

6.1.2 Will establish the intent of the Trustees at the time of the declaration to use proceeds of future indebtedness, if subsequently authorized by the Trustees, to reimburse the Trustees for expenditures as permitted by the U.S. Treasury Regulations.

Section 7. Effective Date and Implementation

7.1 Within the scope of this financing policy, the Chancellor is authorized to further define, clarify and otherwise make and issue additional interpretations and directives as needed to implement the provisions of this policy.

7.2 This resolution supersedes RFIN 03-02-02 and shall take effect immediately.

THE CALIFORNIA STATE UNIVERSITY
OFFICE OF THE CHANCELLOR



BAKERSFIELD

CHANNEL ISLANDS

October 23, 2006

CHICO

MEMORANDUM

DOMINGUEZ HILLS

EAST BAY

TO: CSU Presidents

FRESNO

FROM: Charles B. Reed
Chancellor

FULLERTON

SUBJECT: Financing and Debt Management Policy – Project Development and the Systemwide Revenue Bond Program Executive Order No 994

HUMBOLDT

LONG BEACH

Attached is a copy of Executive Order No 994 relating to the CSU's Financing and Debt Management Policy.

LOS ANGELES

MARITIME ACADEMY

In accordance with policy of the California State University, the campus president has the responsibility for implementing executive orders where applicable and for maintaining the campus repository and index for all executive orders.

MONTEREY BAY

NORTHBRIDGE

If you have questions regarding this executive order, please contact Colleen Nickles, Senior Director, Financing & Treasury, at (562) 951-4570 or cnickles@calstate.edu.

POMONA

SACRAMENTO

CBR/tr

SAN BERNARDINO

Attachment

SAN DIEGO

cc: Vice Presidents for Business/Administration
Executive Staff, Office of the Chancellor

SAN FRANCISCO

SAN JOSÉ

SAN LUIS OBISPO

SAN MARCOS

SONOMA

STANISLAUS

THE CALIFORNIA STATE UNIVERSITY
Office of the Chancellor
401 Golden Shore
Long Beach, California 90802-4210
(562) 951-4570

Executive Order: 994
Effective Date: October 23, 2006
Supersedes: Executive Order No. 876
Title: Financing and Debt Management Policy — Project Development and the Systemwide Revenue Bond Program

Section 1: General Policies Regarding Financing Activities of the CSU

1.1 Authority: This policy statement provides information and procedures in connection with financing activities of campuses and auxiliary organizations. It is issued pursuant to Standing Orders of the Board of Trustees, Section 2, and the authority delegated to the Chancellor in the Trustees CSU Policy for Financing Activities, (RFIN 03-02-02; see Attachment B).

1.2 General Rule: Use of the capital markets to finance revenue-based, and in some limited cases, non-revenue-based non-state funded capital outlay projects of CSU campuses, auxiliaries, and other affiliated organizations shall be limited to the use of the Chancellor's Office tax-exempt or taxable commercial paper programs and the issuance of notes, bonds and other instruments, as approved by the Trustees, within the CSU Systemwide Revenue Bond Program as described below, hereafter referred to as the SRB Program. Additionally, the tax-exempt or taxable commercial paper program may also be used for the purpose of financing Chancellor's Office, campus, auxiliaries, and other affiliated organizations' personal property needs. The aspects of the Systemwide Revenue Bond Program and this policy are based on the fact that debt management is a dynamic undertaking, that evaluation of debt capacity and credit quality involves many different measures, and that the choice to use the specific criteria and measures in this policy may require change over time.

1.3 Types of Debt: The Trustees have traditionally issued variable-rate, short-term commercial paper for the construction period of a project, and fixed-rate, long-term debt for the permanent financing of a project. With the introduction of the commercial paper program use for personal property financing, the Trustees may not refinance these commercial paper issuances with long-term, fixed-rate debt, and the financed amounts will be amortized while the issuance remains in commercial paper.

Given this change in approach, the Trustees will establish a parameter that not more than 25% of its debt be unhedged variable rate debt, including commercial paper, to be consistent with rating agency expectations and market targets appropriate for the CSU's debt rating.

1.4 Alternative Financing Activities: An alternative financing structure to Section 1.2 above may be utilized if the Chancellor's Office or the campus is able to demonstrate significant benefits and if the Trustees approve the alternative structure. The Chancellor's Office or campus must not only demonstrate benefits for the use of an alternative structure, but must

also identify the detailed structure of the proposed financing. In reviewing the proposed structure, the Trustees shall evaluate such things as 1) impacts on the CSU's financial statements, 2) the extent to which the financing will be counted as a use of the Trustees' credit, 3) the relative cost of the proposed financing, 4) the proposed use of financing techniques that involve greater repayment risk than are typically used in the SRB Program, and 5) any other short-term or long-term impacts to the Trustees' credit profile.

Section 2: Definitions

2.1 "Project": Construction of a facility or group of facilities related to the same use and constructed at the same approximate time (example; one or more dormitories constructed with one construction contract). Project may also be defined as personal property with a dollar value greater than \$100,000.

2.2 "Stand-Alone Project": For a campus, a Stand-alone Project is a campus self-supporting activity supported by an Established CSU Fee that provides the source for repayment of debt for only one campus-related Project (e.g. the first campus-operated student housing facility). For an auxiliary organization a Stand-alone Project is a single Project operated by the auxiliary that is supported by the project-related revenue, or all of the auxiliary organization's available revenue (e.g. the first auxiliary-operated bookstore facility).

2.3 "Debt Program": For a campus, a Debt Program is a campus self-supporting activity funded by an Established CSU Fee that provides the source for repayment of debt for more than one campus-related Project (e.g. two or more separately financed campus-operated student housing facilities). For an auxiliary organization, a Debt Program is a program operated by the auxiliary that provides the source for repayment of debt for more than one auxiliary-operated Project (e.g. two separately financed auxiliary-operated food service facilities). Note that a general revenue pledge of all available auxiliary organization revenue makes it possible for the entire auxiliary organization program to be classified as a single Debt Program.

2.4 "Established CSU Fees": The following fee categories established in the Education Code have been pledged to the repayment of bonds issued by the SRB Program:

- Parking Fees (Education Code Section 89701)
- Student Body Center Fees (i.e., Student Union Fees) (Education Code Section 89304)
- Rental Housing Fees (Education Code Section 89703)
- Health Center Facility Fees (Education Code Section 89702)
- Continuing Education Revenue Fund Fees (Education Code Section 89704)

2.5 "Net Revenue Debt Service Coverage Ratios" (DSCR): A DSCR consists of annual gross revenue, less annual operating expenses divided by annual debt service. This ratio serves as a benchmark at the systemwide and campus level for decisions about new debt and the management of debt (See Section 4).

2.6 "Operating Expenses": For a Project or Program, Operating Expenses are defined as all costs related to providing a good or service, including regular maintenance charges, expenses of reasonable upkeep, a properly allocated share of charges for insurance, direct or special administrative expenses directly chargeable to the Project or Program, and all other expenses incident to the operation of the Project or Program, but excluding depreciation expense and

other non-cash charges, general administrative expenses of the Board or the State, Extraordinary Expenses and Major Maintenance and Repairs, and Debt Service.

2.7 "Extraordinary Expenses and Major Maintenance and Repairs": For a Project or Program, Extraordinary Expenses and Major Maintenance and Repairs will not be included in the DSCR, and the expenses are expected to be paid from Building Maintenance and Equipment Reserves or from Prior Year Fund Balances.

Note: Operating Expenses, as defined in the SRB indenture, include extraordinary repairs in the calculation of debt service coverage; the indenture requires the Board to set rates, charges, and fees for all Projects so that Net Income Available for Debt Service is at least equal to Aggregate Debt Service for all indebtedness. Sections 2.5, 2.6, and 2.7 are intended for internal operations purposes and shall not result in a conflict with indenture requirements. Campuses are expected to monitor their Programs to ensure overall compliance with the indenture requirements for annual DSCR tests.

Section 3: Systemwide Revenue Bond Program (SRB)

3.1 Trustee Approval: Each issuance of debt instruments under the SRB Program shall be approved by the Trustees.

3.2 Gross Revenue Pledge: Bonds issued under the SRB Program are secured by a gross revenue pledge of all Established CSU Fees.

3.2.1 Lawfully available revenue may be pledged from a campus, auxiliary, or other organization through a formal binding agreement if approved by the Trustees.

3.3 Commercial Paper Program: Within the capacity of the CSU Chancellor's Office commercial paper program, each non-state funded capital outlay or personal property project may receive acquisition or construction funding through the issuance of commercial paper.

3.4 Auxiliary Organization Projects: Except as indicated in Section 1.3, Projects of auxiliary or other organizations (special purpose governmental units, such as a joint powers authority) shall be financed through the SRB Program.

3.4.1 Each auxiliary or other organization SRB project financing shall be supported by the execution of a financing lease between the auxiliary organization and the CSU with a legal structure that is permitted by the provisions of the State University Bond Act and the SRB Master Resolution.

3.4.1.1 For auxiliary or other organizations with no existing debt obligations, the lease shall contain provisions that 1) pledge all available corporation revenue to the Trustees for payment of the lease obligations; 2) require deposit of all pledged revenues (i.e., all revenues) into a pledged "gross revenue fund" bank account; 3) establish criteria for issuance of additional bonds; and 4) covenant that the auxiliary or other organization will set rates or otherwise maintain pledged income that will generate the required net revenue (See Section 4.4).

3.4.1.2 For auxiliary or other organizations with existing debt obligations, the lease shall contain provisions that 1) require the corporation to abide by the criteria of existing bonds for the issuance of "parity" debt; 2) establish that Trustees share in

pledged revenue with all other bondholders on a parity basis; and 3) require that Trustees receive the same covenants as existing bondholders for the issuance of additional bonds and the same coverage required for a rate covenant for the existing bonds.

3.4.1.3 The financing lease shall be considered parity debt with all other, existing auxiliary or other organization debt.

3.4.1.4 The financing lease payment from the auxiliary or other organization to the CSU shall be calculated to include: 1) debt service associated with the bonds including the cost of participation in the commercial paper program, interest and principal on bonds issued to permanently finance the project and other debt management related costs of the CSU; and 2) any costs incurred by the auxiliary organization's campus for operation and maintenance for the financed facility. (See Executive Order No. 753)

3.4.2 At each campus the aggregate annual direct and indirect debt service for other third-party financings and for auxiliary or other financings that are either part of or separate from the SRB Program is limited to a maximum amount of 25% of the respective allocation of debt capacity to the respective campus (See Section 5).

3.5 Structure and Timing of Bond Transactions: The structure and timing of each issuance of SRB bonds shall be determined by the Chancellor's Office.

3.6 Allocation of Costs: Debt service and other debt management costs shall be allocated to campuses on the basis of a formula determined by the Chancellor's Office.

Section 4: DSCR Benchmarks

4.1 Systemwide (DSCR): For the system, the DSCR is computed using the total of the gross revenue of the Established CSU Fee plus any pledged revenue supporting SRB capital lease payments from auxiliary or other organizations. Operating expenses and debt service for the computation consist of the total operating expenses and debt service relating to these programs. The systemwide DSCR should be maintained at or above 1.45. If the SRB systemwide DSCR falls below 1.45, the campus benchmarks may be changed to strengthen the credit position of the Program. (See also Attachment A)

4.2 Combined Campus and Auxiliary Organizations (DSCR): At the combined campus and auxiliary organization level, the DSCR is similar to the systemwide DSCR test except that the amounts of pledged revenue, operating expenses, and debt service are related to the combined pledged revenues of the campus and auxiliary organizations' Established CSU Fees plus pledged revenue, operating expense and debt service that is related to the specific auxiliary organization Debt Program. The minimum requirement of the DSCR for a Combined Campus and its Auxiliary Organizations is 1.35.

4.3 Campus Debt Program (DSCR): The DSCR for a campus Debt Program must be equal to a minimum of 1.10. The DSCR for a campus Stand-alone Project must be equal to a minimum of 1.10. For these requirements the DSCR is computed from pledged revenue, operating expense and debt service that is related to the specific Debt Program or the Stand-alone Project.

4.4 Auxiliary Organization Project and Debt Program (DSCR): The DSCR for a campus auxiliary organization Debt Program must be equal to a minimum of 1.25. The DSCR for a campus SRB auxiliary organization Stand-alone Project must equal a minimum of 1.25. For these requirements the DSCR is computed from pledged revenue, operating expense and debt service that is related to the specific auxiliary organization Debt Program or the Stand-alone Project.

4.5 DSCR and Effective Year: The chief financial officer of a campus is responsible to implement plans and budgets so that the required DSCRs for campus CSU Established Fee programs and campus auxiliary organizations be supportable and maintained at or above the minimum level for the first operating year, and at or above the minimum for all subsequent years of operation for Stand-alone or Debt Program Projects.

Section 5: Debt Capacity

5.1 General Rule: Financing shall not be recommended by the Chancellor's Office if the issuance of new bonds will cause the total amount of issued and outstanding SRB bonds to exceed the CSU's debt capacity as determined by the Trustees.

5.2 Calculation of the CSU's Debt Capacity: Debt service on all issued and outstanding SRB bonds shall not at any time exceed an amount that would cause the quality of the CSU's credit to fall below a minimum level as determined by the Trustees.

5.3 Allocation of Debt Capacity to Campuses: Capacity, as measured by debt service on campus debt, shall be allocated to CSU campuses as follows:

5.3.1 Campus general allocation: The aggregate debt service related to a campus' individual projects shall not exceed an amount computed from its net unrestricted expenditures times two-thirds (2/3) of the same ratio that the Trustees have recognized as appropriate for the system.

5.3.2 Chancellor's Office special allocation: With concurrence of the Trustees, the Chancellor's Office may allocate portions of up to an additional one-third (1/3) of the CSU's debt service capacity to individual campuses for special priority purposes.

Section 6: General Financial Planning Principles For Projects

6.1 Project Size: The CSU SRB Program is intended to provide a mechanism to finance revenue based, and in some limited cases, non-revenue-based non-state capital outlay projects pursuant to the State University Revenue Bond Act of 1947 and the issuance of debt to the public through a complex legal structure and financial marketing process. As such, the Program is suitable for projects of greater than \$3 million, and with a useful life of greater than ten years. For personal property financed through the commercial paper program, financings should be \$100,000 to \$5,000,000, with a useful life of 1-8 years. See Section 7 for program-related costs that should be funded through a reserve plan rather than through the issuance of debt.

6.2 Allocation of Debt Service: The plan of finance for SRB Projects shall assume level debt service and allocation of long-term debt over 25 or 30 years unless the useful life of the asset

financed is less. In some cases, the debt service may be structured to allow for accelerating debt service, bullet repayments of principal, shorter repayment terms, or other special arrangements as determined appropriate for a project. The Trustees will be notified in the Financing item at the time of approval if an alternative debt service repayment schedule will be utilized.

6.3 Timing of Bond Sale: The plan of finance shall assume the sale of long-term debt at the time of initiation of construction (i.e., including capitalized construction period interest) to meet net revenue debt coverage ratio tests.

6.4 Interest Rate Assumptions: The plan of finance for Projects shall incorporate a moderate interest rate contingency for unfavorable changes in interest rates between the time of the initial financial plan and the time long-term bonds will be sold.

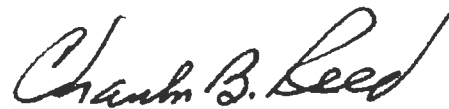
6.5 Consistency of Computations: Upon request the Chancellor's Office will provide the debt service information to be used in all financial plans relating to debt issuance in order to ensure that information regarding the debt is consistently prepared.

Section 7: Reserves

7.1 Reserve Development: The campus president and chief financial officer are responsible for developing and maintaining a campus policy to provide reserves from Project revenues for projects funded by debt issued by the Board of Trustees. The campus reserve policies, at a minimum, should address the following needs:

- Major Maintenance and Repair/Capital Renovation and Upgrade
- Working Capital
- Capital Development for New Projects
- Catastrophic Events

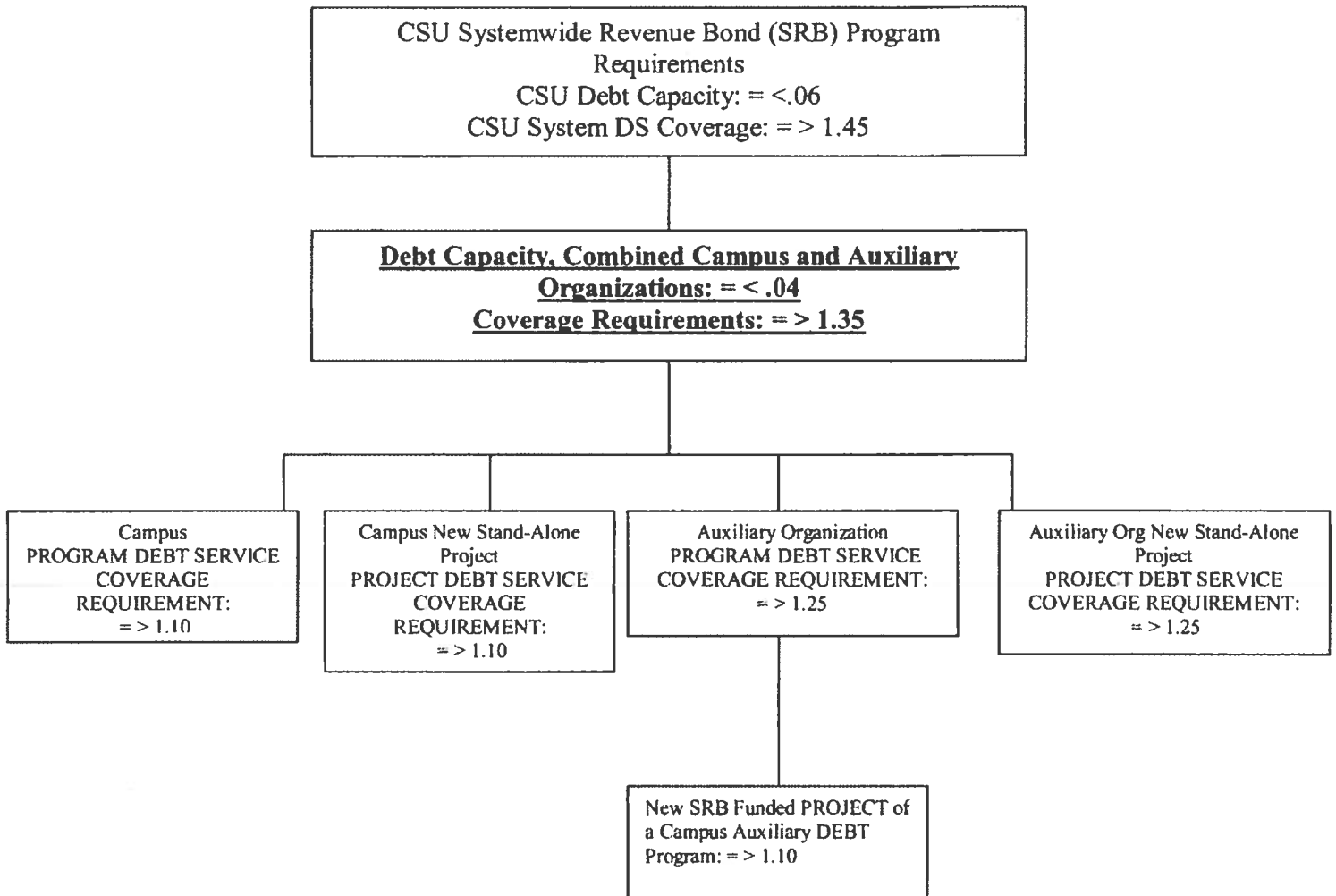
7.2 Reserve Review: At a minimum of once every three years, each campus shall conduct an in-depth review to assess the adequacy of the reserves and the campus reserve policies applicable to the projects funded by debt, and shall make necessary adjustments and changes to account for changing conditions. For Major Maintenance and Repair/Capital Renovation and Upgrade Reserves, the reviews should include formal studies of facility systems and necessary funding levels to cover all aspects of cost of replacement through the reserve-funding plan.



Charles B. Reed, Chancellor

Date: October 23, 2006

Attachment A



Attachment B

**CSU Policy for Financing Activities
Board of Trustees' Resolution
RFIN 03-02-02**

WHEREAS, The Board of Trustees of The California State University ("the Board" or "the Trustees") finds it appropriate and necessary to use various debt financing programs afforded to it through the methods statutorily established by the legislature, and to use to its advantage those programs available to it through debt financing by recognized auxiliary organizations of the California State University; and

WHEREAS, The Board wishes to establish and maintain policies that provide a framework for the approval of financing transactions for the various programs that enable appropriate oversight and approval by the Trustees; and

WHEREAS, Within a policy framework the Board desires to establish appropriate delegations that enable the efficient and timely execution of financing transactions for the CSU and its recognized auxiliary organizations in good standing; and

WHEREAS, The Board recognizes that there is a need from time to time to take advantage of rapidly changing market conditions by implementing refinancings that will lower the cost of debt financing for the CSU and its auxiliary organizations and that such refinancings could be better implemented by reducing the time required to authorize such refinancings; and

WHEREAS, The Board finds it appropriate to establish the lowest cost debt financing programs for the CSU, and to use the limited debt capacity of the CSU in the most prudent manner; and

WHEREAS, There are certain aspects of the tax law related to the reimbursement of up-front expenses from tax-exempt financing proceeds that would be more appropriately satisfied through a delegation to the Chancellor without affecting the Trustees' ultimate approval process for such financings; now, therefore be it

RESOLVED, by the Board of Trustees of The California State University as follows:

Section 1. General Financing Policies

1.1 The State University Revenue Bond Act of 1947 (Bond Act) provides the Board of Trustees with the ability to acquire, construct, or refinance projects funded with debt instruments repaid from various revenue sources.

1.2 The long-term debt programs of the Board of Trustees established pursuant to the Bond Act shall be managed by the Chancellor to credit rating standards in the "A"e category.

1.3 The intrinsic rating of any debt issued by the Trustees shall be at investment grade or better.

1.4 The Trustees debt programs should include the prudent use of variable rate debt and commercial paper to assist with lowering the overall cost of debt.

1.5 The Trustees programs shall be designed to improve efficiency of access to the capital markets by consolidating revenue bond programs where possible.

1.6 The Chancellor shall develop a program to control, set priorities and plan the issuance of all long-term debt consistent with the five-year non-state capital outlay program.

1.7 The Chancellor shall annually report to the Trustees on the activity related to the issuance of long-term debt.

Section 2. Financing Program Structure of the CSU's Debt Program

2.1 To use the limited debt capacity of CSU in the most cost effective and prudent manner, all on-campus student, faculty and staff rental housing, parking, student union, health center, and continuing education capital projects will be financed by the Trustees using a broad systemwide multi-source revenue pledge under the authority of the Bond Act in conjunction with the respective authority of the Trustees to collect and pledge revenues.

Other revenue-based on-campus and off-campus projects will also be financed through this program and the Bond Act unless there are compelling reasons why a project could not or should not be financed through this program (see Section 3 below).

2.2 The Chancellor shall establish minimum debt service coverage and other requirements for Bond Act financing transactions and/or for the related campus programs, which shall be used for implementation of the Trustees' debt programs. The Chancellor shall also define and describe the respective campus program categories.

2.3 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized and directed, for and in the name and on behalf of the trustees, to take any and all actions necessary to refinance any existing bonds issued pursuant to the Bond Act of 1947 if the refinancing transaction will result in net present value savings, as determined by an Authorized Representative of the Trustees and which determination shall be final and conclusive. Authorized Representatives of the Trustees are authorized to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, all bond resolutions, bond indentures, official statements and all other documents, certificates, agreements and information necessary to accomplish such refinancing transactions.

Section 3. Other Financing Programs

3.1 The Board recognizes that there may be projects, or components of projects, that a campus wishes to construct that are not advantaged by, or financing is not possible, or is inappropriate for the Bond Act financing program. A campus president may propose that such a project be financed as an auxiliary organization or third party entity financing if there is reason to believe that it is more advantageous for the transaction to be financed in this manner than through the Bond Act financing program.

3.1.1 Such financings and projects must be presented to the Chancellor for approval early in

the project's conceptual stage in order to proceed. The approval shall be obtained prior to any commitments to other entities.

3.1.2 These projects must have an intrinsic investment grade credit rating, and shall be presented to the Trustees to obtain approval before the financing transaction is undertaken by the auxiliary organization or other third party entity.

3.1.3 If a project is approved by the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to assist with the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

3.2 The Chancellor may require campus presidents to establish campus procedures applicable to campus auxiliary organizations for the issuance of debt instruments to finance or to refinance personal property with lease purchase, line-of-credit, or other tax-exempt financing methods. The procedures issued by the Chancellor need not contain a requirement for approval of the Trustees or the Chancellor but may include authority for campus presidents to take all actions to assist the auxiliary organization on behalf of the Trustees to complete and qualify such financing transactions as tax-exempt.

Section 4. State Public Works Board Lease Revenue Financing Program

4.1 The authorizations set forth in this section shall be in full force and effect with respect to any State Public Works Board project which has been duly authorized by the Legislature in a budget act or other legislation and duly signed by the Governor and which is then in full force and effect.

4.2 The Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees") are hereby authorized and directed, for and in the name and on behalf of the Trustees, to execute, acknowledge and deliver, and to prepare and review, as each of them deems appropriate, any and all construction agreements, equipment agreements, equipment leases, site leases, facility leases and other documents and agreements with such insertions and changes therein as such Authorized Representatives of the Trustees, with the advice of the General Counsel, may require or approve, such approval to be conclusively evidenced by the execution and delivery thereof, in order to provide for the planning, design, acquisition, construction, improvement, financing, and refinancing of the projects.

Section 5. Credit of the State of California

5.1. The delegations conferred by this resolution are limited and do not authorize the Chancellor or other Authorized Representatives of the Trustees to establish any indebtedness

of the State of California, the Board of Trustees, any CSU campus, or any officers or employees of any of them. Lending, pledging or otherwise using the credit established by a stream of payments to be paid from funds appropriated from the State of California for the purpose of facilitating a financing transaction associated with a capital project is permitted only if specifically authorized by a bond act or otherwise authorized by the legislature.

Section 6. Tax Law Requirement for Reimbursement of Project Costs

6.1 For those projects which may be financed under the authority of the Trustees, the Chancellor, the Executive Vice Chancellor and Chief Financial Officer, the Assistant Vice Chancellor Financial Services, the Senior Director of Financing and Treasury, and each of them (collectively, "Authorized Representatives of the Trustees"), are hereby authorized to make declarations on behalf of the Trustees solely for the purposes of establishing compliance with the requirements of Section 1.150-2 of the U.S. Treasury Regulations; provided, however that any such declaration:

6.1.1 Will not bind the Trustees to make any expenditure, incur any indebtedness, or proceed with the project or financing; and

6.1.2 Will establish the intent of the Trustees at the time of the declaration to use proceeds of future indebtedness, if subsequently authorized by the Trustees, to reimburse the Trustees for expenditures as permitted by the U.S. Treasury Regulations.

Section 7. Effective Date and Implementation

7.1 Within the scope of this financing policy, the Chancellor is authorized to further define, clarify and otherwise make and issue additional interpretations and directives as needed to implement the provisions of this policy.

7.2 This resolution supercedes RFIN 11-98-18 and shall take effect immediately. However, the Chancellor shall have the authority to authorize on a individual basis, auxiliary organization projects that are in the planning stage as of the adoption of this policy to proceed under the previous policy in order to prevent situations that would result in additional project costs or additional time-to-completion.

COMMITTEE ON FINANCE

Doctor of Audiology Tuition Rate

Presentation By

Ryan Storm
Assistant Vice Chancellor for Budget

Summary

This item proposes a California State University (CSU) Doctor of Audiology (AuD) tuition rate in order to implement the provisions of Assembly Bill (AB) 2317 (Mullin), which authorized the CSU to offer AuD degree programs independent from partnerships with other institutions. At the March 2017 board meeting, the Board of Trustees approved changes to the CSU Academic Master Plan to include development of AuD program proposals at four campuses (REP 03-17-02). The proposed tuition rate is required to offer the AuD without diminishing the quality of support for CSU undergraduate programs.

Background

Pursuant to Education Code § 89700, the CSU Board of Trustees has the authority to establish, adjust, and abolish systemwide tuition and fees. This agenda item recommends adoption of a tuition rate for new audiology degree programs that the chancellor may approve in response to recent authorizing legislation. On September 29, 2016, Governor Jerry Brown signed into law AB 2317, which through Education Code § 66041 expanded the degree-granting authority of the CSU to include AuD degree programs. Prior to the passage of this legislation, the CSU could only offer AuD programs in joint partnership with the University of California (UC) or with California's private institutions of higher education. CSU AuD programs will focus on the preparation of audiologists to diagnose, manage, and treat a patient's hearing, balance, or ear problems.

The U.S. Bureau of Labor Statistics (BLS) forecasts that audiologists will be in high demand, with employment opportunities between 2016 and 2026 growing much faster than the average for all jobs nationally. Advancements in diagnosis and treatment for patients across the lifespan may increase the job growth in audiology. For example, routine neonatal hearing screening and the early identification and diagnosis of hearing disorders, as well as advances in more appealing and effective hearing aid design may lead to a greater need and increased demand for audiologists. Most audiologists work in healthcare facilities, such as physicians' offices, audiology clinics, and hospitals. Some work in schools, school districts, or in health and personal care stores.

A 2016 BLS report cites an average mean salary of \$94,760 for audiologists practicing in California, one of the 10 highest audiology-wage states in the country.

Education Code § 66041.1 stipulates that the CSU shall provide any startup and operation funding needed for AuD programs from within existing budgets and without diminishing the quality of program support offered to CSU undergraduate programs. Enrollment in these programs shall not diminish enrollment growth in undergraduate CSU programs, and funding of the programs shall not result in reduced undergraduate enrollments.

There are currently only two AuD programs offered in California: the joint San Diego State University (SDSU) and University of California, San Diego (UCSD) program and one offered by University of the Pacific. Per Education Code § 66041.1(c), the CSU may charge AuD tuition no higher than the rate charged for students in state-supported UC AuD programs, including the joint SDSU-UCSD AuD program. The four-year SDSU-UCSD program has an estimated program cost of \$81,082 for students who entered in fall 2017. The only other AuD program in California is offered at University of the Pacific, where the three-year program costs \$123,390 to complete.

The proposed AuD tuition rate supports curriculum development and delivery, faculty resources, highly specialized faculty, doctoral advising and mentoring, program administration, facilities, equipment, and library resources and a small student-to-faculty ratio required in doctoral programs. Tuition also supports provisions to carry out professional mandates and national professional accreditation, and the creation of our regional accreditor's required "doctoral culture," typified by academic rigor, intellectual exchange, and a research-and-scholarship environment appropriate to a doctoral-granting institution.

Based on program-cost analysis conducted in consultation among the Chancellor's Office and CSU AuD campuses, the CSU Doctor of Audiology tuition rate for 2018-2019 is recommended to be assessed at \$7,371 per term for the four-year, 11-term program, or \$14,742 per academic year. Tuition for the summer term will be the same as for a fall or spring term. At that scheduled rate, the total tuition price for the four-year AuD program would be \$81,081. Fall 2018 is the earliest term by which an approved AuD program could begin instruction.

Conclusion

The tuition recommendation includes the following:

1. The tuition rate will be established as the CSU Doctor of Audiology Tuition for students enrolled in CSU AuD degree programs.
2. Students enrolled in AuD degree programs shall be subject to campus-based mandatory fees.
3. Students will be assessed the AuD tuition rate each term, irrespective of the number of units taken.

4. Proposals to the trustees to increase the CSU Doctor of Audiology tuition rate will be based on program costs and/or price increases for the joint SDSU/UCSD AuD program.
5. AuD degree programs are full-time study programs, planned for postbaccalaureate students who are not yet qualified to begin careers as audiologists. Based on these considerations, the mandatory financial aid set-aside from student AuD tuition will be 25 percent of the tuition revenue collected for this program. Set-aside funds not distributed for need-based aid shall be applied toward program operational costs.

The recommended AuD tuition will generate sufficient revenue to fund program costs while keeping CSU doctoral programs in audiology as affordable as possible, given the high cost to offer the programs. An agenda item to approve the CSU Doctor of Audiology tuition rate will be presented for board approval at the May 15-16, 2018 Board of Trustees meeting.

COMMITTEE ON FINANCE

Status of the Sustainable Financial Model Task Force Report Recommendations

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Summary

In October 2014 Chancellor Timothy White formed a task force co-chaired by two campus presidents and the executive vice chancellor and chief financial officer. The charge to the task force was to propose a sustainable financial model for the university, recognizing the changes in state funding of higher education, our inability to meet demand by qualified students, and critical faculty and facility needs for instruction and support. The report of the Sustainable Financial Model for the California State University Task Force was presented to the Board of Trustees in draft form at the January 2016 meeting, revised based on that discussion, and presented in final form at the March 2016 meeting.

In fall 2017, the chancellor formed a workgroup “to obtain updates on initiatives related to the task force report recommendations and consider other related recommendations that support the financial strength of the university.” Attachment A to this item is the letter sent by the workgroup to the chancellor summarizing results of the workgroup meetings and providing the current status of the each of the twenty-one recommendations included in the March 2016 task force report.

Memorandum

Date: February 22, 2018

To: Timothy P. White
Chancellor

From: Peter Taylor
Trustee

Steve Relyea
Executive Vice Chancellor and Chief Financial Officer

Subject: Status of the Sustainable Financial Model Task Force Report Recommendations

In Fall 2017, you brought together a workgroup “to obtain updates on initiatives related to the task force report recommendations, and consider other related recommendations that support the financial strength of the university.” Members of the workgroup included:

Jane Carney, Trustee

John Nilon, Trustee

Lateefah Simon, Trustee

Peter Taylor, Trustee

Emily Hinton, Trustee

Leroy Morishita, President, California State University, East Bay

Tom McCarron, Vice President, Business and Financial Affairs and Chief Financial Officer, San Diego State University

Christine Miller, Chair, Academic Senate of the California State University

Chris Mallon, Assistant Vice Chancellor, Academic Programs and Faculty Development

Steve Relyea, Executive Vice Chancellor and Chief Financial Officer

This memorandum describes results of discussions of the workgroup, provides the current status of the each of the twenty-one recommendations included in the March 2016 task force report, and notes other related recommendations by the workgroup that support the financial strength of the university.

Overview

The final task force report provided recommendations across five major areas including resource allocation, administrative effectiveness, managing costs, and revenue generation all in the context of supporting a quality education and student achievement. For each area, the final report included

CSU Campuses
Bakersfield
Channel Islands
Chico
Dominguez Hills
East Bay

Fresno
Fullerton
Humboldt
Long Beach
Los Angeles
Maritime Academy

Monterey Bay
Northridge
Pomona
Sacramento
San Bernardino
San Diego

San Francisco
San José
San Luis Obispo
San Marcos
Sonoma
Stanislaus

Chancellor White
February 22, 2018
Page 2 of 13

a brief review of the background challenges, provided a conceptual proposal to address the challenges, summarized the rationale for the proposal, and provided specific recommendations. Since the March 2016 publication of the final report of the Sustainable Financial Model for the California State University Task Force, substantial progress has been made to implement recommendations included in the report. The twenty-one recommendations are arranged in Table 1 by duration and purpose emphasizing short-term expense reduction, long-term revenue generation, or long-term expense reduction.

Table 1.
Task Force Report Recommendations by Duration and Purpose

Recommendations Emphasizing Short-Term Expense Reduction

1. Student Access - Early Start
7. Improve Administrative Systems
10. Internal Allocations for Enrollment
11. Capital Financing Policy

Recommendations Emphasizing Long-Term Revenue Generation

5. Research and Grants
9. Public Private Partnerships (P3)
12. Capital Financing Fees
15. Alternative Measures for Allocation
18. Expand CSU's Investment Authority
19. The Critical Role of Philanthropy
20. Tuition model
21. Market Based Non-Resident Tuition Rates

Recommendations Emphasizing Long-Term Expense Reduction

2. Student Access – Redirection
3. Student Access – Technology
4. Student Access – Facilities
6. Policies and Procedures
8. Maximize Use of Facilities
13. Capital Financing Policy
14. Capital Financing Reserves
16. Health Premiums and Pension Benefit Costs
17. State University Grant Allocation Procedures

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Detailed Status of Recommendations

Following is a restatement of each recommendation included in the original report, shown in italics, and a brief summary of actions taken regarding each recommendation. Most of the recommendations have been completed or are considered on an on-going basis as part of the annual budget process and two of the recommendations are in-process, meaning that efforts are underway to implement aspects of the report recommendation. The remaining recommendations warrant ongoing reevaluation as state fiscal circumstances evolve.

Recommendation 1. Supporting a Quality Education - Student Access Early Start

Category: Short-Term Expense Reduction

“First, build on current efforts to reduce the need for additional English and mathematics preparation for entering freshmen, within the context of the new Common Core State Standards and new K-12 assessments. The workgroup should also explore new intersegmental strategies to further reduce the numbers of admitted students who are not ready for college level work to enhance systemwide implementation of best practices, such as current Summer Bridge and Early Start Programs, and to increase the number of students completing their college preparation work prior to beginning their coursework in the fall.”

Executive Order 1110 was issued on August 2, 2017 concerning assessment of academic preparation and placement in first-year general education written communication and mathematics/quantitative reasoning courses implements key aspects of this recommendation beginning in fall 2018 with implementation of all changes to the Early Start Program not later than fall 2019.

Recommendation 2. Supporting a Quality Education - Student Access Redirection

Category: Long-Term Expense Reduction

“Second, develop a robust process that provides options for students who are CSU-eligible but unable to attend campuses that are at enrollment capacity. A CSU admissions redirection program would provide denied eligible students, who find their preferred campus is at capacity, with options to attend another CSU campus. The task force recognizes that many students are place-bound and it may be difficult to attend another CSU campus. A review of regional demand and local service area policies will facilitate the conversation about where and when to redirect applications and help balance enrollment demand and capacity across the system.”

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A new student application system was implemented for Fall 2018 applications, which allows applications to be redirected among the 23 campuses. Policy recommendations were presented to the Board of Trustees for information at the January 2018 meeting. The proposed policies for redirection apply to all CSU-eligible undergraduate applicants who have not been admitted to a CSU campus and will be presented to the Board of Trustees for action at the March 2018 meeting.

Recommendation 3. Supporting a Quality Education - Student Access Technology

Category: Long-Term Expense Reduction

“Third, identify a set of best practices for campuses to adopt in using technology and data-driven decision making to enhance student retention and progress to degree. These new techniques can support early identification of problems, enhance advising strategies, support students who are facing challenges, and encourage students to graduate as soon as they acquire sufficient units.”

Most campuses have implemented data-driven decision-making capabilities, including the development and implementation of enhanced advising and automated course planning. Ongoing efforts continue, including the consideration of a comprehensive systemwide student information system.

Recommendation 4. Supporting a Quality Education - Student Access Facilities

Category: Long-Term Expense Reduction

“Fourth, identify scheduling and online instructional approaches that maximize the use of our facilities given campus facility capacity limitations. Analysis should be done to determine to what extent these problems could be alleviated by alternative scheduling including extending the instructional week, offering a full summer term, and expansion of Friday and Saturday classes. Consideration of alternative scheduling approaches should take into account the costs and benefits of space utilization, faculty and administrative staffing, utilities, infrastructure, and maintenance needs.”

Coordinating academic schedules across the CSU system is one way to provide students with additional options. Toward this end, two more campuses will complete the transition to semester systems in Fall 2018, with the final campus to complete the phased-in transition in Fall 2020, leaving only one campus on the quarter system. Campuses are also expanding summer term enrollment on a limited basis to facilitate graduation requirement completion, as limited funding is made available for enrollment growth. Further expansion of summer session requires funding for enrollment growth and is unlikely to occur without additional funding.

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Recommendation 5. Supporting a Quality Education - Research and Grants

Category: Long-Term Revenue Generation

“The task force recommends that the Office of Research Initiatives and Partnerships at the Chancellor’s Office collaborate with campus Research and Sponsored Programs offices to identify and implement strategies designed to expand funding opportunities from federal, state, local, and private entities to support the CSU’s mission regarding research, scholarship, and creative activities.”

An additional position has been authorized in the Chancellor’s Office to support more focused efforts to obtain federal research grants. Significant growth in the number and size of federal and state grants has already occurred from expanded collaborative approaches.

Recommendation 6. Administrative Effectiveness - Policies and Procedures

Category: Long-Term Expense Reduction

“The task force recommends that a workgroup be appointed to review California codes and regulations, as well as all CSU policies and procedures with a financial or operational impact and recommend changes to the chancellor for consideration. In some cases, proposed changes may require action by the Board of Trustees. A comprehensive evaluation is critical to assure that the resulting recommendations strive to remove bureaucratic regulations and impediments regarding all aspects of the CSU’s financial and administrative operations.”

A workgroup comprised of campus chief financial officers and Chancellor’s Office staff has been formed to identify potential statutory and regulatory changes that would have positive financial and operational consequences. Potential changes identified initially include statutory changes to remove existing fund restriction to allow more flexible utilization of auxiliary enterprise resources to support the academic mission, regulatory changes to permit the CSU to participate in Community Choice Aggregation (CCA) electricity purchase programs to reduce utility costs, and budget act changes to exempt CSU capital projects from Department of Finance review. Recommended changes will be presented to the Board of Trustees for consideration as part of future legislative programs.

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Recommendation 7. Administrative Effectiveness - Improve Administrative Systems

Category: Short-Term Expense Reduction

“The task force recommends the chancellor charge separate workgroup(s) to evaluate and develop a set of recommendations on: existing and potential improvements in applications software and hardware support that can enable better administrative services while containing or reducing costs; cost reduction strategies in the areas of strategic procurement, multi-segment collaboration, and network infrastructure; current statutes and regulations that restrict efforts to reduce energy consumption and costs, and becoming more self-reliant with conventional and renewable energy sources; and, cost reduction strategies in the area of library management systems.”

Development and implementation of the Common Human Resource Management System (CHRS) continues with formal design proposals scheduled to begin in spring 2018. Recent changes made to the systemwide data center serving all 23 campuses have resulted in enhanced operational capability at a reduced cost. Statutory changes will be proposed in response to recommendation 6 to facilitate more efficient and less costly utility acquisitions.

Recommendation 8. Administrative Effectiveness - Maximize Use of Facilities

Category: Short-Term Expense Reduction

“The task force recommends that campuses and the system explore the viability of year-round operations on select campuses and address issues such as faculty hiring and deployment processes, the application and admission process, and financial aid across the full college year. In addition to operational considerations, campuses will need to re-envision campus culture and academic pathways to promote student success under the year-round model. Such change must be accomplished in partnership with faculty and within the framework of the collective bargaining environment. Year-round operations may be an optimal forward-looking path for some CSU campuses.

Enrollment growth achieved through year-round operations should not come at the expense of growth for other campuses following the traditional academic-year model and the state should provide supplemental enrollment growth funding to support expansion of the summer term.”

Campus are expanding summer term enrollment on a limited basis to facilitate graduation requirement completion, as limited funding is made available for enrollment growth. Further

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expansion of summer session requires funding for enrollment growth and is unlikely to occur without additional funding.

Recommendation 9. Administrative Effectiveness - Public Private Partnerships (P3)

Category: Long-Term Revenue Generation

“The task force endorses increased consideration and use of public-private partnerships to advance the CSU's mission, with careful attention to potential risks, meaningful consultation, and campus governance policies, as well as compliance with systemwide policies. In challenging times and with limited resources, public-private partnerships provide tested alternative tools to deliver facilities, generate revenue, and potentially transfer some project risks to private partners. The success of public-private partnerships depends upon a sound business plan with realizable revenues, a committed and knowledgeable team of personnel, and senior leadership to support its purpose in meeting institutional objectives.

While the task force reached general consensus on this recommendation, one member was cautious about the involvement of private profit-driven entities in campus development activities, which may conflict with the educational mission of the campus.”

The number and scope of public private partnership projects presented to the Board of Trustees for approval has increased dramatically since the original report was published. A dedicated professional has been hired in the Chancellor's Office to coordinate and support these complex projects; systemwide master enabling agreements with financial, developmental and design professionals have been issued to enable campuses to hire expertise when required, and a workgroup of campus chief financial officers and chancellor's office staff has been formed to identify opportunities to improve processes used to evaluate, propose, and obtain approval of public-private and public-public partnership projects.

Recommendation 10. Resource Allocation - Internal Allocations for Enrollment

Category: Short-term Expense Reduction

“The task force recommends that the chancellor modify the internal resource allocation methodology to address the funding of enrollment growth in a direct and transparent manner. Ideally, a fixed dollar amount should be allocated to campuses for every additional full-time equivalent student (FTES) and allocations for enrollment growth should not be reduced as other revenue sources grow. As a separate part of the allocation methodology, the chancellor may allocate additional

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funds to support specific needs of campuses to address financial or physical infrastructure challenges.”

Changes in campus allocations were made beginning with the 2016-2017 budget to make enrollment growth funding more transparent, direct, and predictable.

Recommendation 11. Resource Allocation - Capital Financing Policy

Category: Short-term Expense Reduction

“CSU policy should acknowledge the new capital financing authorities and the impact on operating revenues by providing each campus with the flexibility and authority to allocate available resources to meet its operating and capital needs. CSU policy should allow each campus to establish the priority of its needs, within the broader mission priorities established by the Board of Trustees.”

The Board of Trustees approved a revised capital funding policy in conjunction with approval of the 2017-2018 capital outlay program that directed capital funding to campus priorities in accord with Board priorities.

Recommendation 12. Resource Allocation - Capital Financing Fees

Category: Long-term Revenue Generation

“In consultation with key stakeholders including students, faculty, and the state, the CSU should pursue ongoing and one-time state funds, as well as future general obligation bonds with debt service paid by the state general fund. The task force deliberated on possible solutions in the event that additional state support is not provided for capital needs, including consideration of a capital facilities fee to sustain safe and adequate facilities. While additional capital funding is critical, as a result of consultation with faculty, students, and legislative representatives, the task force determined that the recommendation to consider a future capital facilities student fee was inconsistent with the principles of state-funded public higher education. Passing the cost along to students puts pressure on affordable access to a high-quality education. The buildings that make up the CSU were built by the state and should be maintained by the state for future use by California students. As a result, the task force decided not to recommend further consideration of a capital facilities student fee.”

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Although the task force did not recommend moving forward with a capital facilities fee, further reevaluation of a dedicated capital fee may be warranted in the future as state funding parameters evolve.

Recommendation 13. Resource Allocation - Capital Financing Policy

Category: Long-term Expense Reduction

“CSU policy should require that each campus contribute funding towards the cost of campus capital projects in an amount at least equal to an established minimum percentage for each project, taking into consideration specific campus circumstances and project characteristics.”

The Board of Trustees approved a revised capital funding policy in conjunction with approval of the 2017-18 capital outlay program that required campuses to begin establishing reserves at least equal to 10% of the cost of capital projects approve for funding from systemwide revenue bond financing.

Recommendation 14. Resource Allocation - Capital Financing Reserves

Category: Long-term Expense Reduction

“CSU policy should require that each campus set aside cash reserves annually, over and above the amount needed to meet debt service payments, to support such debt service payments in an amount at least equal to an established minimum percentage of annual debt service.”

A reserve policy was issued by the executive vice chancellor and chief financial officer that allows campuses to establish designated reserves for short-term requirements, operational needs, and capital requirements.

Recommendation 15. Resource Allocation - Alternative Measures for Allocation

Category: Long-term Expense Reduction

“The task force recommends that the CSU consider additional measures for funding and that the chancellor direct committees and workgroups to further analyze and develop a set of potential student success and completion measures.”

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Changes in campus allocations were made beginning with the 2016-2017 budget to consider factors such as campus size, number of PELL eligible students, and progress made regarding Graduation Initiative 2025 goals.

Recommendation 16. Managing Costs - Health Premiums and Pension Benefit Costs

Category: Long-term Expense Reduction

“The CSU should conduct a comprehensive assessment of all major cost categories, including an evaluation of the structure and cost of health care and retirement programs within the context of a total compensation strategy to ensure the long-term viability of these programs relative to the overall financial condition of the CSU.”

Recent changes in collective bargaining agreements extend the vesting period required to qualify for post-employment health benefits from five to ten years. Public Employees’ Retirement Law sets defined benefit retirement levels for state employees and retirement benefits provided for in the law can be changed through legislation.

Recommendation 17. Managing Costs - State University Grant Allocation Procedures

Category: Long-term Expense Reduction

“The task force recommends that the chancellor or his designee set the discretionary parameters for the State University Grant program as part of the budget allocation process that allows campuses, at a minimum, to continue to meet existing student financial need.

The task force also recommends the Chancellor’s Office monitor the rate of growth of tuition discounts from 2015-2016 to 2017-2018. During this period, the Chancellor’s Office should review and consider options for the program, including expansion of the Cal Grant Program to provide additional need-based grants to students. If the rate of growth of tuition discounts is not slowed, more significant changes in the state university grant program, possibly requiring changes in Board of Trustees’ policy, should be considered, including renaming the program to more accurately describe the use of tuition discounts rather than grants-in-aid. Any changes to the program will seek to minimize cost to the university while meeting the financial need of CSU students.”

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State University Grant funding and allocation procedures were reviewed as part of the 2017-2018 tuition increase and campus allocations were adjusted to reflect tuition rate adjustments. Similar reviews will be completed each fiscal year based on budget and tuition considerations.

Recommendation 18. Revenue - Expand CSU's Investment Authority

Category: Long-term Revenue Generation

“The task force recommends the CSU seek legislative changes that will expand its investment authority, establish an investment advisory committee to the board and enhance investment performance reporting. Furthermore, the task force recommends that the CSU incorporate environmental, social, and governance principles as part of its investment policy.”

While the task force reached a strong consensus on the recommendation to expand CSU's investment authority, one member expressed concern that broadening investment options may result in a loss of principal and expose the CSU to inappropriate market risk.”

The Board of Trustees established the new investment advisory committee at the September 2017 meeting and approved the master investment policy during the November 2017 meeting that implements the new investment authority. A status report is included in the March 2018 Committee on Finance agenda that describes specific investment program implementation steps that are underway.

Recommendation 19. Revenue - The Critical Role of Philanthropy

Category: Long-term Revenue Generation

“The task force recommends that the CSU develop strategies to increase its investment in alumni, corporate and foundation relations; to focus on the support of quality programs and facilities; and to increase applied learning opportunities.”

The most recent annual report on philanthropic support was presented to the Board of Trustees at the January 2018 meeting. The agenda item reported a record-breaking \$501 million in new gift commitments (gifts received and pledges) in 2016-2017, achieving more than 30 percent increases in both alumni and parent giving, with an additional 6,800 alumni making gifts compared to the prior year.

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Recommendation 20. Revenue - Tuition model

Category: Long-term Revenue Generation

“The task force recommends that the Board of Trustees conduct an annual review of tuition as part of the budget preparation process. The intent would be to move away from the past practice of large and unpredictable tuition spikes and move towards a practice of smaller, planned tuition adjustments over time. The result would be fairer to students because it would not penalize students who happened to enter CSU at the unanticipated implementation of a large tuition increase. Coupled with significantly increased general fund investment by the state, including identification of new dedicated revenue streams, small increases in tuition will improve the ability of the CSU to provide affordable access to a high-quality education for a growing number of students. This recommendation is not intended to result in automatic annual tuition adjustments but rather an annual review of tuition rates by the Board of Trustees in conjunction with development of the annual budget.”

During consideration of the tuition increased for 2017-2018, the Board of Trustees discussed the recommendation of the Sustainable Financial Model task force, which calls for annual modest and predictable fee increases that would avoid large spikes in price and allow students and families to plan accordingly. The trustees determined that tuition rates and support budget needs should be reviewed annually in the context of the available state support.

Recommendation 21. Revenue - Market Based Non-Resident Tuition Rates

Category: Long-term Revenue Generation

“The task force recommends that the Board of Trustees and chancellor give CSU campuses the authority to propose campus-specific, market-based tuition for non-resident domestic and international students. The task force proposes that increases in these non-resident tuition rates apply to incoming students so that currently enrolled non-resident domestic and international students would not be impacted. The CSU should continue to closely monitor enrollment of nonresident and international students to ensure their numbers do not increase disproportionately to California students.”

The Chancellor’s Office is considering a pilot program on a single campus that would restrict non-resident enrollment at or below existing levels and charge non-resident students an additional campus-based fee by cohort. The additional revenue would be used to help eliminate the achievement gap.

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Other Related Recommendations of the Workgroup

In addition to reviewing the status of the twenty-one recommendations made in the Sustainable Financial Model report, the workgroup considered several other ideas to support the financial strength of the CSU in light of changes in state funding of higher education in California. The workgroup discussed approaches that would provide designated state revenue streams to support higher education including oil severance taxes, estate taxes, or levies on purchases of cannabis and cannabis products. The workgroup also considered the challenges faced in developing and implementing a state initiative to implement a new statewide tax and suggested that staff explore further how an initiative might be crafted and a coalition developed to support the long-term effort.

The workgroup also carefully considered cost categories that should be reviewed including modifications to the state university grant program designed to limit the growth of institutional financial aid, a careful and thorough review of discretionary or outdated programs, enrollment reductions, and modifications to defined benefit programs that provide lower cost alternatives.

Lastly, the workgroup emphasized the need to develop a multi-year budget forecast to support more effective planning, including the development of a long-term tuition and fee policy that would improve the ability of students and their families to plan as well as provide additional revenue to support a quality education.

COMMITTEE ON FINANCE

2018-2019 Operating Budget Update and Consideration of Expenditure and Revenue Options

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Ryan Storm
Assistant Vice Chancellor
Budget

Summary

There are three purposes for this item and subsequent presentation.

One purpose is to share the report to the state regarding progress on Graduation Initiative 2025. Specifically, the report describes the new investments in the initiative in the current academic year. A copy of the report is included with this item as Attachment A.

Another purpose is to provide the trustees with the latest developments by the state on the California State University (CSU) budget plan for 2018-2019. Informational budget hearings were held by the senate and assembly in Sacramento in February and March, no action was taken. It is unlikely that the governor, assembly, and senate will take any budget action until late May.

Another purpose is to facilitate additional trustee discussion about CSU expenditure and revenue options. At the January 2018 meeting, the trustees discussed options and scenarios that included seeking additional state funding, not investing in certain elements of the trustees' 2018-2019 budget plan, a possible tuition increase, and potential reductions to campus programs and services. Trustees indicated a desire to continue their discussion on these topics at the March 2018 meeting.

Additional information will be presented at the March 2018 meeting to facilitate continuing discussion on these topics by the trustees.



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January 17, 2018

Honorable Holly Mitchell, Chair
Joint Legislative Budget Committee
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Sacramento, CA 95814
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State Capitol, Room 3196
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RE: CSU Graduation Initiative 2025 Progress

Provision 1.3 of Item 6610-001-001 of the Budget Act of 2017 requires the California State University (CSU) to report to the Legislature no later than January 15, 2018, regarding (a) Opportunities for campuses to make more courses available by reducing the number of units earned in excess of degree requirements **AND** (b) The specific activities undertaken, and spending for each activity undertaken, to meet the state's goals for student success, including activities to close achievement gaps by improving outcomes for low-income students, first-generation college students, and students from underrepresented minority groups.

In response to this requirement, enclosed is a report detailing efforts undertaken by the CSU in support of Graduation Initiative 2025. Should you have any questions about this report, please contact Nathan Evans, chief of staff and senior advisor, Academic and Student Affairs at (562) 951-4624 or nevans@calstate.edu.

CSU Campuses
Bakersfield
Channel Islands
Chico
Dominguez Hills
East Bay

Fresno
Fullerton
Humboldt
Long Beach
Los Angeles
Maritime Academy

Monterey Bay
Northridge
Pomona
Sacramento
San Bernardino
San Diego

San Francisco
San José
San Luis Obispo
San Marcos
Sonoma
Stanislaus

CSU Graduation Initiative 2025 Progress
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Sincerely,



Loren J. Blanchard
Executive Vice Chancellor

LJB:nse

Full report posted to www.calstate.edu/budget/fybudget/legislative-reports/

- c: Members, California State Legislature (if applicable)
 - Paul Steenhausen, Principal Fiscal & Policy Analyst, Legislative Analyst's Office
 - Timothy P. White, Chancellor, California State University
 - Loren J. Blanchard, Executive Vice Chancellor, Academic and Student Affairs
 - Garrett Ashley, Vice Chancellor, University Relations and Advancement
 - Ryan Storm, Assistant Vice Chancellor for Budget
 - Kathleen Chavira, Assistant Vice Chancellor, Advocacy & State Relations
 - Kara Perkins, Executive Budget Director
 - Nathan Evans, Chief of Staff and Senior Advisor, Academic and Student Affairs



Graduation Initiative 2025 Progress

Student Success Activities and Opportunities

1 INTRODUCTION

The California State University (CSU) is more than a year into Graduation Initiative 2025, its ambitious plan to ensure student success, increase graduation rates and eliminate achievement and equity gaps for all students. Through this initiative, the CSU is ensuring that all students have the opportunity to graduate in a timely manner, positively impacting their lives, families and communities. In doing so, the CSU will continue to fulfill its founding mission to produce the graduates needed to power California's future prosperity.

As the nation's largest and most diverse four-year public university system, the CSU plays an essential role in driving the economy and innovation in California and the United States. Indeed, half of California's teachers earn their credentials through the CSU and more than half of all California bachelor's degrees in agriculture, public administration, engineering, criminal justice and business are earned at the CSU.

The CSU's role in California's higher education framework has never been more important. According to the Public Policy Institute of California, the state faces a deficit of 1.1 million bachelor's degrees by 2030. With sufficient annual investment of new general funds to grow enrollment and support student success, Graduation Initiative 2025 will allow the CSU to meet its share of the projected workforce need, graduating an additional 500,000 students by 2025. The achievements would mark a doubling of four-year graduation rates.

While every student will benefit from the collective effort driving Graduation Initiative 2025, the CSU is specifically measuring progress based on six systemwide goals:

- A 40 percent 4-year freshman graduation rate
- A 70 percent 6-year freshman graduation rate
- A 45 percent 2-year transfer graduation rate
- An 85 percent 4-year transfer graduation rate
- Elimination of the graduation rate gap between Pell-eligible students and their peers
- Elimination of the graduation rate gap between underrepresented minority students and their peers.

To achieve the CSU's Graduation Initiative 2025 goals, all 23 campuses developed and are implementing individual student success plans. Simultaneously, the CSU is making systemwide changes to be even more innovative and strategic to better support students. The CSU is focused on six operational priority areas that have a tremendous impact on student success and completion, particularly addressing graduation rate gaps:

- **Academic preparation:** The CSU will provide all students, including those who arrive academically underprepared, the opportunity and support needed to complete 30 college-level semester units – 45 quarter units – before beginning their second academic year.
- **Enrollment management:** The CSU will ensure that students are able to enroll in the courses they need, when they need them.
- **Student engagement and well-being:** The CSU will continue to address the well-being of all CSU students while fostering a strong sense of belongingness on campus.

- **Financial support:** The CSU will ensure that financial need does not impede student success.
- **Data-driven decision making:** The CSU will use data-rich evidence to identify and advance the most successful academic support programs.
- **Administrative barriers:** The CSU will identify and remove unnecessary administrative impediments.

Over the past year, the CSU has made great progress toward its Graduation Initiative 2025 goals, aided by the state's \$35 million in one-time funding. Nearly 99,000 CSU students earned their bachelor's degree in the 2016-17 academic year. This represents an all-time high for the CSU, with an additional 7,000 students crossing the commencement stage compared to the previous year.

The progress demonstrated over the past year is due to the hard work that is occurring on campuses and systemwide as the CSU moves forward with Graduation Initiative 2025. This includes strengthening advising services to help students develop efficient academic paths, hiring more tenure-track faculty to teach additional courses and strategically targeting academic support services to students who need it most.

Provision 1.3 of Item 6610-001-001 of the Budget Act of 2017 requires the CSU to report to the Legislature no later than January 15, 2018, regarding (a) Opportunities for campuses to make more courses available by reducing the number of units earned in excess of degree requirements **AND** (b) The specific activities undertaken, and spending for each activity undertaken, to meet the state's goals for student success, including activities to close achievement gaps by improving outcomes for low-income students, first-generation college students, and students from underrepresented minority groups.

2 MEETING THE STATE'S GOALS FOR STUDENT SUCCESS

CSU investments in Graduation Initiative 2025 are categorized into three broad areas that aim to support student success and graduation for all students, and to close the achievement gaps for low-income students, first-generation students and students from underrepresented minority groups:

- Hiring additional tenure-track faculty and offering more course sections
- Hiring new advisors
- Investing in student and academic support programs to close the achievement gap

At the close of the fall 2017 term, CSU campuses reported on these areas with total new investments of more than \$88 million. Campuses re-prioritized existing budgets, and with the addition of \$75 million in new funding for the 2017-18 fiscal year, were able to achieve the goals endorsed by the CSU Board of Trustees to hire 400 new faculty, offer 3,000 additional course sections, add academic advisors and invest in programs specific to reducing time to degree and closing the achievement gap.

2.1 FACULTY HIRING AND OFFERING MORE COURSE SECTIONS - \$46 MILLION

The CSU continues to hire new tenure-track faculty, including replacing those faculty who retire or leave for other opportunities. Adding net-new faculty to improve tenure density within our faculty ranks, while also ensuring we have enough faculty to meet the needs of our student course demands, is critical as we work toward our Graduation Initiative 2025 goals. New tenure-track faculty and lecturers are key

to achieving the second goal of offering more sections so average unit load can increase for all undergraduates.

2.0.1 Tenure-Track Faculty Hiring

For the 2017-18 academic year, preliminary data show that more than 700 new tenure-track faculty were hired, with another 700 searches underway. Of those, approximately 25 percent represent new positions above retirements and separations. This equates to nearly 400 new tenure-track faculty positions already added across the CSU in 2017-18, with an estimated total cost of just over \$46 million.

2.0.2 Adding Course Sections

With the addition of new faculty, each campus can offer additional course sections. As a result, students have more access to the classes they need to graduate and are able to enroll in more units each term. In addition to tenure-track faculty, campuses hire thousands of lecturers to teach anywhere from one to five sections per term. In the 2017-18 academic year, campuses have added more than 3,200 new course sections.

In one example, CSU Sacramento's "Finish in Four" and "Through in Two" programs encourage students to earn a degree in four years (for first-time freshmen) or two years (for transfer students). As a result of the campus' efforts, the number of first-year students taking 15 units or more during fall semester has risen from 27 percent in 2013 to 84 percent in 2017. To help ensure these students can enroll in the courses they need, CSU Sacramento added 658 course sections this past year.

2.2 INVESTING IN ADVISING - \$18.2 MILLION

One of the primary strategies for improving completion rates is providing greater access for students to their advisors to have a clear roadmap to graduation. As a student moves through their academic career at the CSU, the ability to regularly meet with an advisor and get timely information about course opportunities and scheduling are critical to success. Even with stronger technology-based tools available, regular engagement and communication with an advisor is a best practice for student success, especially for first-generation students.

With Graduation Initiative 2025 funding for 2017-18, campuses are continuing to reduce their student-to-advisor ratios. In so doing, 142 advisors have already been hired, and another 86 searches are open or will open in the coming months. These 228 new staff members will be a critical component in closing achievement gaps and helping all students navigate through general education and into their majors. The investment in these new positions totals more than \$18 million.

Campuses are also thinking more strategically about how best to ensure students can access advising. For example, San Diego State is changing its class registration process, moving registration earlier in the semester. Beginning with the fall 2018 semester, this change will make it easier for students to meet with advisors and develop academic schedules during critical pre-registration periods.

2.3 STUDENT AND ACADEMIC SUPPORT PROGRAMS - \$24.2 MILLION

Campuses continue to develop and refine their academic and student support programs to ensure that they are meeting the changing needs of their unique student populations. In 2017-18, with an emphasis on closing achievement gaps, campuses are spending approximately \$24.2 million on these efforts.

2.0.1 Focusing on Academic Support

Student academic support – including learning centers, tutoring centers, supplemental instruction and the redesign of high-demand, high-failure rate courses to improve student outcomes – remain institutional priorities. CSU campuses have shown the value of these efforts on improvement of student course outcomes, with the largest gains often attained by students from historically underserved communities.

2.0.2 Investing in Mentoring Programs

Campuses have invested in mentoring programs (peers, alumni, faculty, staff and administrators) that allow for development of greater connectivity to the university and its community. Students gain a better sense of how their experiences, challenges and opportunities are like those experienced by their mentors and at the same time gain the advantage of potential paths to success that might otherwise have gone unseen. These programs increase academic and social integration with the university and have been shown to improve student outcomes for all students and particularly for students from historically underserved communities.

Campuses have invested in mentoring programs created in response to the recognized national need to address African American and Latino males' success, persistence and graduation rates. For example, Cal Poly Pomona used a portion of its funding to invest in its Project SUCCESS. Now in its second year, Project SUCCESS is mentoring male African American and Latino students, connecting them with faculty, staff, alumni and the community to help them successfully navigate the educational process.

2.0.3 Incentivizing Intersession and Summer Session Enrollment

Offering courses in winter/spring intersession and summer session provides students additional opportunities to enroll in the classes they need for graduation, allowing a student to increase the number of units they complete each year if they so desire. Campuses are offering seniors nearing graduation financial support or incentives to encourage enrollment in intersession and summer session. This financial support – beyond the traditional student financial aid package – enables students to complete their degree in the summer when they otherwise would have been delayed at least one additional term.

Based on available resources, CSU campuses have shown the value of financial incentives on a small scale, with larger gains made by students from historically underserved communities. At Fresno State, for example, micro-grants are available to students who are on track to graduate but face unexpected financial challenges, particularly during their last planned term of enrollment.

2.0.4 Reducing Administrative Barriers

CSU campuses have also invested to reduce administrative barriers that can keep an otherwise qualified student from graduating. Through coordinated communication to – and proactive tracking of – students nearing graduation, graduation planning outreach and improvements to graduation evaluation and processing, these efforts have provided gains for students from historically underserved communities who may not otherwise understand the administrative requirements that need to be met before an earned degree is awarded.

2.0.5 Addressing Student Well-being

Food insecurity and housing insecurity impact many CSU students, just as each affect countless communities across the state and nation. When a students' basic needs are not addressed, it can have an impact on their personal and academic growth. Campuses have provided leadership, coordination, strategic planning and communication to address and ensure appropriate basic needs services and programs for students experiencing food or housing insecurity.

All 23 CSU campuses have a food pantry or food distribution program for students. For example, CSU Bakersfield opened its food pantry in September 2017, and by November 3, nearly 600 students had utilized the pantry. Additionally, campuses have developed emergency housing programs, and many have launched websites that list local housing and food resources available to students.

2.0.6 Targeted Support

To address and support the unique circumstances and needs of specific student groups, campuses have developed targeted efforts enabling them to be more responsive to their student populations. This includes staffing and support for new or expanded Dream Centers for undocumented students on more than two-thirds of CSU campuses. It also includes new and expanded initiatives for minority male outreach and support programs as well as other targeted retention programs and personalized academic support services.

2.0.7 Data-driven Decision Making

The CSU is making additional investments in campus data fellows programs to enhance data literacy and build educational research expertise among faculty and staff. These programs engage diverse campus constituents in meaningful inquiries to enhance student success and facilitate cross-unit/division collaboration. These efforts promote a culture of data-driven decision making through education and communication.

Data-informed campuses can identify, address and resolve issues affecting all students. For example, CSU Fullerton used a portion of its funding to develop a data dashboard that will better predict course demand, improving student access to the classes required for graduation.

2.0.8 Nurturing a Sense of Belonging on Campus

Campuses promote, foster and enhance self-advocacy, empowerment and leadership among students from historically underrepresented backgrounds. Integrated into programming on all campuses are efforts to raise awareness of the social, political, economic, historical and cultural realities of the CSU's diverse student populations. Student centers on CSU campuses build a strong foundation that enrich cultural identity and develop a sense of family within the campus. A sense of identity and belonging promotes retention and degree completion.

3 INCREASING AVAILABLE COURSES BY REDUCING THE NUMBER OF UNITS EARNED IN EXCESS OF DEGREE REQUIREMENTS

Like institutions nationwide, the CSU regularly assesses the average and range of units taken by students completing baccalaureate degrees at their institutions. Calculations of units completed at graduation are complex, particularly considering averages across multiple institutions and an expansive

range of academic disciplines. With nearly 100,000 graduates each year, the CSU “average” represents only a high-level aggregation of the multiple pathways taken to earn a bachelor’s degree.

The CSU has taken many steps in the last five years to prioritize and emphasize the most expeditious pathways to degree, make students’ options and choices clearer, and to address areas in which the CSU could make a policy or process change to reduce administrative barriers. These efforts are integral parts of Graduation Initiative 2025, and the CSU will continue to make improvements to better serve students and provide efficient pathways to graduation.

3.0.1 Advisement

The CSU has improved advisor-to-student ratios and increased access to technology-enabled advising resources (eAdvising) across the system. As a result, the CSU has improved the messages and reinforcement students receive as they navigate their respective paths to degrees and made significant improvements in course availability, scheduling and sequencing.

In 2013, while all CSU campuses had rudimentary degree audit systems to allow students to verify completion of total units and basic degree requirements, only three campuses provided term-specific degree planning tools for students. By 2017, 20 campuses had implemented or are implementing degree-planning systems, with the remaining three campuses planning an implementation after their quarter-to-semester conversions are complete.

During the same period, all campuses implemented student scheduling software, allowing students to maximize their class schedule each term and better manage time constraints. For example, the [College Scheduler](#)—designed by a Chico State alumnus—is used at 21 CSU campuses and is one of many e-advising strategies used by the CSU to help place students in classes that fit their academic needs and busy schedules.

As more students begin their education at the CSU with access to these critical tools, we expect to see small, sustained and incremental decreases in excess units over time. Collectively, each of these efforts provide opportunities for individual students to make more timely progress toward their degree and accumulate only those units necessary for graduation.

3.0.2 Academic Programs

Through several years of intentional efforts, the CSU has successfully reduced nearly all baccalaureate degree requirements to the minimum of 120 semester units. In 2016-17, 96 percent of the more than 2,600 baccalaureate degree options and concentrations required exactly 120 semester units.

Efforts to provide more structured, formal degree pathways have also expanded across the CSU in recent years. As the CSU and the California Community Colleges work to increase the number of students transferring with a completed Associate Degree for Transfer (ADT), this will impact the total number of units completed by transfer students pursuing a CSU baccalaureate degree. The ADT pathway provides students with the opportunity to earn a bachelor’s degree by completing 60 semester units at a California community college prior to transfer and then completing 60 semester units in a similar degree program after transfer to a CSU campus. The share of new transfer students completing ADT pathways increased from 14 percent in 2014-15 to 30 percent in 2016-17. Currently, there are more than 99,000 pathways available through the ADT between the 114 California community colleges and 23 CSU campuses.

CSU campuses have also implemented and expanded the California Promise Program and similar pledge programs to provide clear, articulated plans for students who elect a structured and predictable degree plan. The California Promise Program enables CSU campuses to establish pledge programs for new students who are both interested and able to complete baccalaureate degrees in four years if they enter as freshmen, or two years as transfer students. Students who commit to enter either the four-year or two-year pledge are given priority registration for each academic year enrollment period and provided with routine academic advisement. To remain in the program, students must meet with their advisors as prescribed, develop an enrollment plan and complete 30 semester units (or the quarter equivalent) within each academic year, including summer. Participating campuses may stipulate additional requirements as well.

While some CSU campuses had existing pledge programs, the California Promise Program was formalized through legislation in September 2016. Growth in student participation is expected to result in increasing numbers of students completing baccalaureate degrees with fewer, if any, excess units.

3.0.3 Institutional Policies

The CSU has also taken steps to examine institutional policies and practices which impact educational equity, retention and degree completion. Work groups, comprised of students, faculty, staff and external constituents, have been tasked with examining each of the six operational priorities driving Graduation Initiative 2025 efforts to identify opportunities for institutional change to improve student success. In addition, systemwide policies directly impacting baccalaureate degrees have been reviewed and revised. For example, two executive orders (EO) were issued in August 2017 that address other policy-related barriers that could lead some students to earn excess units.

[EO 1100](#) revised systemwide General Education (GE) breadth requirements to: (1) provide clarity, (2) ensure equitable opportunity for student success and (3) facilitate timely graduation. The revised policy requires double-counting of courses which satisfy both major and GE requirements and ensures equivalent degree requirements for CSU students, regardless of entry as a first-year freshman or transfer student.

[EO 1110](#) institutes the use of multiple measures for placement in GE mathematics and English courses, so that more students begin their first semester earning units that count toward graduation.

Together, these policy changes help students streamline the path to degree, and remove policy barriers that affect student course-taking patterns.

3.2 REDUCING TOTAL UNITS EARNED – A SAMPLE MODEL

As the CSU continues a multifaceted approach to improve degree completion and decrease average units completed by students, it is important to consider the context and scale of the CSU. With nearly 500,000 students, the impacts of each effort will be incremental.

To illustrate the scale of the CSU, a sample model is shared to approximate the resulting full time equivalent student(s) (FTES) and class sections generated by a reduction of one earned unit by 100,000 students earning a baccalaureate degree. For comparison, in 2016-17, 98,771 bachelor's degrees were earned by CSU students. One hundred thousand degree recipients earning one fewer semester unit results in 100,000 'saved' units. These saved units would equate to 3,333 FTES preserved.

Course sections vary in size and FTES generation. For this sample, we assume a three-semester unit undergraduate course with 25 students as an approximation of an average CSU course section. The 3,333 FTES represents 1,333 additional course sections that could be offered in summer, fall, winter or spring across the 23 campuses. While the ability to offer 1,333 course sections across the CSU would provide real opportunities for students, it represents an increase of only one percent in total class sections systemwide during a college year. Assuming the additional sections are distributed proportionally across CSU campuses, increases would range from three at CSU Maritime Academy to 115 at CSU Fullerton spread across the entire college year.

3.3 CHANGES IN ENROLLMENT PATTERNS

Over the past five years, across the CSU, average unit load for undergraduate degree-seeking students has increased from 13.1 (fall 2013) to 13.3 (fall 2017). At the same time, undergraduate enrollments have grown from 387,000 to just over 426,000. While a two-tenths increase in units per student seems small, when combined with an increase in undergraduate students enrolled, it results in the need for more than 600,000 additional units or 40,000 additional FTES. Over that same period, state budgets provided new baseline resources for only slightly more than 5,000 of these FTES.

3.4 COMPLEXITIES

Student course-taking behavior and paths to degree reflect multiple and sometimes competing considerations. Some of the factors affecting course-taking behavior and total units taken over a typical undergraduate academic career include:

- Entry as a first-year student versus as a transfer student
- Student academic interests, including external requirements for certification or licensure
- Timing of declaration of a major and changes of major
- Academic advisement
- Financial aid and academic progress requirements
- General education or unique campus or degree requirements
- Course availability and sequencing
- Preparation for graduate or post-baccalaureate education
- Participation in academic research, co-curricular or extra-curricular activities
- Work commitments
- Family and other non-academic obligations and commitments.

Beyond the personal choices and preferences of students, external factors impacting student behavior also exist. Federal and state financial aid unit requirements for need-based grant aid remain an often-conflicting matter for students. To receive need-based grant aid, a student must enroll in six or more units for a partial financial aid award or twelve or more for full allocation, regardless of the actual number of units needed for the term.

With strong financial and academic advising, academic support and clear degree plans, students ultimately determine their own curricular path and, as a result, the total number of units earned at the time of their graduation.

3.5 LOOKING AHEAD

Each year, CSU campuses assess the average and range of units taken by students completing baccalaureate degrees. As a result of actions taken by campuses and systemwide – coupled with ongoing Graduation Initiative 2025 efforts – units per earned degree in the CSU should continue to decline in the near term in small and incremental, but measurable, ways.

Campus investments in academic advisors and the use of degree-planning and advisement tools coupled with course and space management tools should result in improved student progress to degree. Increased student participation in more structured degree plans, such as the ADT and California Promise Program will also likely contribute to ongoing, incremental decreases in excess units completed. Further, purposeful campus efforts to ensure strong starts by new undergraduates through improved orientations and student communications focused on timely degree should further reduce units per earned degree. And finally, elimination of pre-baccalaureate quantitative reasoning and written communication courses in favor of supported baccalaureate credit courses should also provide additional declines in units per earned degree.

4 CONCLUSION

When Chancellor Timothy P. White officially launched Graduation Initiative 2025 in January 2016, he contended that “a student’s chances of graduating should not be determined by their family income or their ethnicity but by their willingness to work hard and our determination to help them achieve their goals.”

Two years later, the CSU is even more focused on providing all students the opportunity and support to be successful, earn a degree in a timely manner and reach their life and career goals. This report details a number of actions taken systemwide and by campuses, including investments in additional faculty, advisors and courses, and efforts to provide students efficient pathways to a degree. While expansive, the actions described in this report represent only a fraction of the ongoing and innovative work occurring throughout the entire CSU in support of this initiative.

Through the continued efforts of the CSU and its faculty and staff – reliant upon predictable, sustainable and sufficient state investment – Graduation Initiative 2025 will continue to improve outcomes for all students, allowing them to grow, innovate, teach and build an inclusive and prosperous California.

AGENDA

COMMITTEE ON EDUCATIONAL POLICY

Meeting: 12:30 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

Lillian Kimbell, Chair
Jorge Reyes Salinas, Vice Chair
Silas H. Abrego
Jane W. Carney
Douglas Faigin
Debra S. Farar
Jean P. Firstenberg
Thelma Meléndez de Santa Ana
John Nilon
J. Lawrence Norton
Romey Sabalius

- Consent** 1. Approval of Minutes of the Meeting of January 30, 2018, *Action*
- Discussion** 2. Recommended Amendments to Title 5 Regarding Exemption from Nonresident Tuition, *Action*
3. Enrollment Management, *Action*
4. Academic Planning, *Action*
5. Recommended Amendments to Title 5 Regarding Doctor of Nursing Practice Degree Programs, *Information*
6. Academic Preparation, *Information*

**MINUTES OF MEETING OF
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 30-31, 2018

Members Present

Lillian Kimbell, Chair
Jorge Reyes-Salinas, Vice Chair
Silas H. Abrego
Jane W. Carney
Rebecca D. Eisen, Board Chair
Debra S. Farar
Jean Picker Firstenberg
Thelma Meléndez de Santa Ana
John Nilon
J. Lawrence Norton
Romey Sabalius
Timothy P. White, Chancellor

Trustee Kimbell called the meeting to order.

Approval of Minutes

The minutes of November 7, 2017 were approved as submitted.

Enrollment Management

Nathan Evans, chief of staff and senior advisor for Academic and Student Affairs, introduced the information item. He noted that the 2017-18 California state budget called on the CSU to adopt policies related to two enrollment management topics and indicated that the purpose of the presentation was to present draft proposals related to redirection and first priority for local applicants in impacted programs.

Joseph Castro, president of California State University, Fresno provided insight into the process that the Admission Advisory Council used to help develop and refine the draft policy proposals responding to the legislative directives.

April Grommo, director of enrollment management services, provided an overview of the two policy proposals. The first proposal, on redirection, would apply to all CSU-eligible undergraduate applicants who have not been admitted to any CSU campus. These applicants would be offered the opportunity to be redirected to an available campus. They would have 21 days to respond with their first and second campus choices, and would receive text and email reminders to do so. Under the second proposal, every impacted program at each CSU campus would be required to provide first priority to local applicants. “First priority” means that an applicant’s GPA, eligibility index score or other requirements would receive a “bump,” providing a finite advantage over applicants from outside the local area.

Following the presentation, trustees had several questions about the proposals. Trustee Sabalius inquired about extending the 21-day window for applicants to respond with their redirection preferences. Trustee Norton asked if campuses would be required to inform first-time freshmen who weren’t admitted to their local campus about the Associate Degree for Transfer pathway. And several trustees expressed concern that the legislative directives were unfunded.

Amendment to Title 5 Regarding Exemption from Nonresident Tuition

Loren Blanchard, executive vice chancellor for Academic and Student Affairs, introduced the information item, highlighting that Assembly Bill 540 allows students – including undocumented students – who graduated from and spent a minimum of three years in a California high school to receive a nonresident tuition exemption at the CSU. Newly passed legislation, Senate Bill 68, expands the provisions of AB 540 by providing additional options through which students can qualify for the nonresident tuition exemption.

Ray Murillo, director of student programs, provided an overview of the new options for qualifying for the nonresident tuition exemption. The attendance requirement can still be met through three years of attendance at a California elementary and/or secondary school, but now attendance at California adult schools and community colleges will count toward the three years. Also, the graduation requirement can still be met through graduation from a California high school, but now it can also be met by earning an associate degree or fulfilling the minimum CSU transfer requirements at a California community college.

Following the presentation, trustees sought to clarify that these new changes apply to all students, not solely to undocumented students. Staff confirmed that the requirements for qualifying for a nonresident tuition exemption apply to all students.

The Wang Family Excellence Awards

Chancellor Timothy P. White began the award ceremony by thanking Trustee Emeritus Stanley T. Wang for his family's history of giving to the CSU. Board of Trustees Chair Rebecca Eisen also provided brief remarks thanking Trustee Emeritus Wang and the award selection committee, as well as commending all nominees considered for the award.

Chancellor White read a brief biography and introduced each 2018 Wang Family Excellence Award recipient. They included:

- Dr. Berenecea Johnson Eanes, the vice president for the Division of Student Affairs at California State University, Fullerton. Dr. Eanes helped develop and implement the university's new strategic plan and re-engineered programs through the Student Success Initiative, which have resulted in a 30 percent improvement in six-year graduation rates and a 65 percent improvement in four-year graduation rates for first-time freshmen. She was honored with the Outstanding Administrator award.
- Dr. Ivor Weiner, a professor of special education at California State University, Northridge. Dr. Weiner is the director of the university's Family Focus Resource Center, which provides support and training to more than 1,500 families that have children with disabilities. He has secured more than \$6 million in grants for the center and other campus and community programs that help children and adults with special needs. Dr. Weiner was honored with the Outstanding Faculty Service Award.
- Dr. Mary Beth Love, the executive director of the Metro College Success Program and chair of the Department of Health Education at San Francisco State University. Dr. Love has helped secure nearly \$15 million in external funding to develop, research and institutionalize the Metro program, which has been pivotal to the success of low-income, first-generation students at both San Francisco State and the sister program at City College of San Francisco. Dr. Love was honored with the Outstanding Faculty Innovator in Student Success Award.
- Dr. Suzanne Phelan, a professor of kinesiology and director of the STRIDE Center for Obesity Research at California Polytechnic State University, San Luis Obispo. Dr. Phelan has authored a body of scholarship on health and obesity in low-income families that has been published in several respected academic journals. She also leads a multi-disciplinary effort to improve health outcomes for low-income populations through the Cal Poly Women and Infants' Mobile Health Unit. Dr. Phelan was honored with the Outstanding Faculty Scholarship Award.

Ed. Pol.

Agenda Item 1

March 19-21, 2018

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- Dr. Meryll Goldberg, a professor of music and arts integration at California State University San Marcos. Dr. Goldberg focuses on the role that arts play in academic success, career development and empathy. She gained international recognition for founding the university's Center ARTES, which offers innovative programs for teaching art to young students. She also helped launch a project to train grade-school teachers to use arts to improve student reading and language skills. Dr. Goldberg was honored with the Outstanding Faculty Teaching Award.

Trustee Kimbell adjourned the Committee on Educational Policy.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendments to Title 5 Regarding Exemption from Nonresident Tuition

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Summary

All students enrolled at a California State University (CSU) campus are charged the same systemwide tuition, depending on their enrollment status as an undergraduate, credential student or graduate student. However, some students (generally, students who are residents of another U.S. state or nation) are required to pay nonresident tuition in addition to the base tuition.

Existing law and regulation exempt an individual—other than current nonimmigrant visa holders—from paying nonresident tuition at the CSU if that person:

- Attended high school in California for three or more years, or attended elementary and/or secondary schools in California for three or more years and attained academic credits from California high schools equivalent to three or more years of full-time coursework;
- Graduated from a California high school or attained its equivalent;
- Registered at, or attends, an accredited institution of higher education in California; and
- Filed a California Nonresident Tuition Exemption Request and affidavit.

On January 1, 2018, Senate Bill 68, *Public postsecondary education: exemption from nonresident tuition*, went into effect. This legislation amends Section 68130.5 of the Education Code, relating to exemption from nonresident tuition. The amendment provides additional methods of meeting the attendance and graduation requirements by:

- Allowing time spent at California adult schools and California community colleges to count toward the attendance requirement; and
- Allowing the attainment of an associate's degree or fulfillment of the minimum CSU transfer requirements from a California community college to count toward the graduation requirement.

The board discussed this matter as an information item during the January 2018 meeting.

Proposed Revision

The proposed Title 5 amendments provide guidance for campuses regarding the full-time attendance at a California adult school and the minimum CSU requirements for students transferring from a California community college, as it relates to nonresident tuition exemptions. The proposed amendment also removes language that restates, without clarifying, portions of Section 68130.5 of the Education Code.

The following resolution is proposed to modify Title 5 by amending sections 40756.1 – Nonresident Tuition Exemption Based on Prior California Education, and 41906.6 – Nonresident Tuition Exemption for Crime Victims:

RESOLVED by the Board of Trustees of the California State University that Title 5, California Code of Regulations sections 40756.1 and 41906.6 be amended as follows:

Title 5. California Code of Regulations
Division 5. Board of Trustees of the California State Universities
Chapter 1. California State University
Subchapter 5. Administration
Article 4. Nonresident Tuition

§ 41906.5. Nonresident Tuition Exemption Based on Prior California Education

(a) As used in Education Code Section 68130.5, fulfillment of the minimum transfer requirements for students transferring from a California Community College campus shall mean meeting the undergraduate transfer admission requirements established in Title 5, sections 40803, 40804 or 40804.1.

(b) When a California adult school does not measure attendance by class hours, campuses should consult with that school to determine whether a student has met the minimum 420 class hours of attendance for each school year in classes or courses authorized pursuant to Education Code Section 41976 or Penal Code Sections 2053 to 2054.2.

~~(a) Any student, other than a student who is nonimmigrant alien under Title 8, United States Code, Section 1101(a)(15), shall be exempt from paying nonresident tuition at any California State University campus if he or she:~~

~~(1) Satisfied either of the following:~~

~~(A) High school attendance in California for three or more years (grades 9-12); or~~

~~(B) Attainment of credits earned in California from a California high school, with those credits being equivalent to three or more years of full-time high school coursework, and a total of three or more years of attendance in California elementary schools, California secondary schools, or a combination of those schools.~~

~~(2) Graduated from a California high school or attained the equivalent of such graduation; and~~

~~(3) Registered for or enrolled in a course offered by a California State University campus for any term commencing on or after January 1, 2002.~~

~~(c)(b)~~ Any student seeking an exemption under ~~subdivision (a)~~ Education Code section 68130.5 shall complete a questionnaire furnished by the California State University campus ~~of enrollment~~ verifying eligibility for this nonresident tuition exemption. Supplemental documentation ~~and~~ may be required to provide additional verification ~~documentation in addition to the information required by the questionnaire~~. Nonpublic student information so provided shall not be disclosed except pursuant to law.

~~(d)(c)~~ ~~In addition to the requirements of subdivision (a),~~ Any student without lawful immigration status shall file with the California State University campus an affidavit of enrollment on a form furnished by the campus stating that the student ~~he or she~~ has filed an application to legalize his or her immigration status or will file such an application as soon as he or she is eligible to do so.

~~(e)(d)~~ A student seeking ~~this~~ tuition exemption has the burden of providing evidence of compliance with the requirements of Education Code section 68130.5 and this section.

~~(f)(e)~~ Nothing herein modifies eligibility standards or requirements for any form of student financial aid.

Title 5. California Code of Regulations
Division 5. Board of Trustees of the California State Universities
Chapter 1. California State University
Subchapter 5. Administration
Article 4. Nonresident Tuition
§ 41906.6. Nonresident Tuition Exemption for Crime Victims.

In accordance with Education Code section 68122(a), ~~§~~ students who are victims of trafficking, domestic violence, and other serious crimes who have been granted T or U visa status, under Title 8, United States Code, Sections 1101(a)(15)(T) or (U), are exempt from paying nonresident tuition if they satisfy the requirements set forth in Education Code Section 68130.5. ~~-(1) satisfied either of the following: (A) high school attendance in California for three or more years (grades 9-12), or (B) attainment of credits earned in California from a California high school, with those credits being equivalent to three or more years of full-time high school coursework, and a total of three or more years of attendance in California elementary schools, California secondary schools, or a combination of those schools; (2) graduated from a California high school or attained the equivalent; and (3) registered as an entering student or are currently enrolled at a CSU campus.~~

COMMITTEE ON EDUCATIONAL POLICY

Enrollment Management

Presentation By

Nathan Evans
Chief of Staff
Academic and Student Affairs

April Grommo
Director of Enrollment Management Services
Student Academic Services

Background

The 2017-18 California state budget requires the California State University (CSU) Board of Trustees to adopt policies, by May 2018, that are designed to mitigate against the effects of impaction by: (i) requiring campuses to give priority to local CSU-eligible applicants seeking to enroll in impacted programs; and (ii) redirecting all CSU-eligible applicants to non-impacted programs on other campuses if not initially admitted.

At the September and November 2017 Board of Trustees meetings, information items were presented on the main governing structures of enrollment management and specific enrollment management tools—including campus strategies to maximize student access to courses and academic support, impaction and redirection.

At the January 2018 Board of Trustees meeting, draft policies on first priority for local applicants in impacted programs and redirection were presented to the board as information items. These policies are now being presented during the March meeting for board action.

Methodology for Policy Creation

The CSU Admission Advisory Council meets regularly, advising the chancellor about admission issues and reviewing systemwide admission policy and practices to ensure compliance with Board of Trustees policy and state statute. The council consists of:

- Two campus presidents;
- One provost/vice president for Academic Affairs;
- One vice president for Student Affairs;
- One assistant vice president for Academic Affairs;

- Five faculty members (including three representatives from the Academic Senate CSU, one representative from the CSU Math Council and one representative from the CSU English Council);
- Two representatives from the Office of the Chancellor; and
- One representative from the California State Student Association (CSSA).

Due to its subject matter expertise and representative membership, the Admission Advisory Council was tasked with consulting on the development of the draft policy proposals to respond to the policy requirements included in the 2017-18 state budget act. Over a series of meetings, the Admission Advisory Council landed on the policy proposals that were presented at the January meeting.

While developing the policy proposals, the Admission Advisory Council sought to accomplish three main goals:

- Meet the requirements set forth by the legislature;
- Develop proposals that account for the unique differences between the CSU's 23 campuses and provide the necessary flexibility; and
- Ensure individual students have a voice in the admission process.

The draft policies also benefited from the input of a variety of additional students, faculty, staff and administrators, including:

- CSU Board of Trustees;
- CSSA;
- Academic Preparation and Educational Programs Committee, Academic Senate CSU;
- CSU Council of Presidents;
- CSU provosts;
- CSU vice presidents of Student Affairs;
- Associate vice presidents of Enrollment Management; and
- Directors of Admission.

The following sections detail the proposed systemwide policies on redirection and first priority for local applicants in impacted programs that are being presented for board action.

Local Admission Priority Policy

Every impacted program at all CSU campuses will be required to provide first priority to local applicants. *First priority* means a finite admission advantage for local applicants. At a minimum, this priority includes a meaningful weighting of grade point average (GPA) or eligibility index that gives admission preference to local, CSU-eligible undergraduate applicants over non-local applicants.

Local applicants will continue to be subject to the same supplemental impactation criteria imposed on other applicants to impacted campuses and programs, specifically major preparation for transfer students and high school preparation for first-time freshmen.

Each campus will be required to clearly publish this information on its website for both first-time freshmen and upper-division transfer students.

Further, campuses will be required to: (a) inform first-time freshmen who are not admitted to their local campuses of their California Community College options; (b) inform first-time freshmen of the Associate Degree for Transfer opportunities; and (c) encourage applicants to transfer to a CSU in the future.

The first priority criteria will become part of each campus' annual impactation plan. Any change to existing procedure will be subject to the annual impactation process and will comply with section 89030.5 of the California Education Code.

Redirection Policy

This redirection policy will apply to all CSU-eligible undergraduate applicants who are not admitted to any CSU campus. Applicants who are admitted to at least one CSU campus will not be eligible for redirection.

To provide scalable organization to the process and best support applicants and their families, a set of systemwide dates and deadlines will be required. The following outlines the steps for a fall application cycle. If the proposal is approved, deadlines will also be created for the spring application cycle.

- All admission decisions will be completed and communicated by April 1 for all undergraduate applicants. By this date, all applicants will be informed that they have been admitted, waitlisted, denied due to impactation or denied due to CSU ineligibility.
- CSU-eligible undergraduate applicants who are waitlisted or denied admission due to either campus or program impactation will be notified about the opportunity to be redirected to a non-impacted campus. Applicants will be informed of the available campuses and asked to select their first and second choice.
- The Office of the Chancellor will use Cal State Apply to collect applicant responses and to manage the redirection process.
- Applicants will be given approximately 21 days to respond to the request. During this time, they will receive three email messages and text message reminders following the initial email notification.

- As redirection requests are received, the Office of the Chancellor will forward applications on a regular basis to non-impacted campuses. Students will be notified when their applications are submitted to non-impacted campuses.
- Applicants who do not respond within the 21 days will be contacted for an additional 14 days and will receive email and text message reminders to respond. During these additional 14 days, additional redirection requests will be honored; however, there is no guarantee that students will be accommodated at their first or second choice campus.
- Applicants who still do not respond will be automatically redirected to a non-impacted campus that still has capacity.
- Applicants who decline to be redirected will be asked why they have declined. This information will assist with reporting requirements.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The proposed Local Admission Priority policy is adopted as herein presented.
2. The proposed Redirection policy is adopted as herein presented.

COMMITTEE ON EDUCATIONAL POLICY

Academic Planning

Presentation By

Loren J. Blanchard
Executive Vice Chancellor
Academic and Student Affairs

Christine Mallon
Assistant Vice Chancellor
Academic Programs and Faculty Development

Summary

In accordance with California State University (CSU) Board of Trustees policy established in 1963, this item summarizes the CSU academic planning process, including the long-range program planning activity that took place since January 2017. The proposed resolution approves additions and modifications to campus academic plans and the CSU Academic Master Plan.

Background

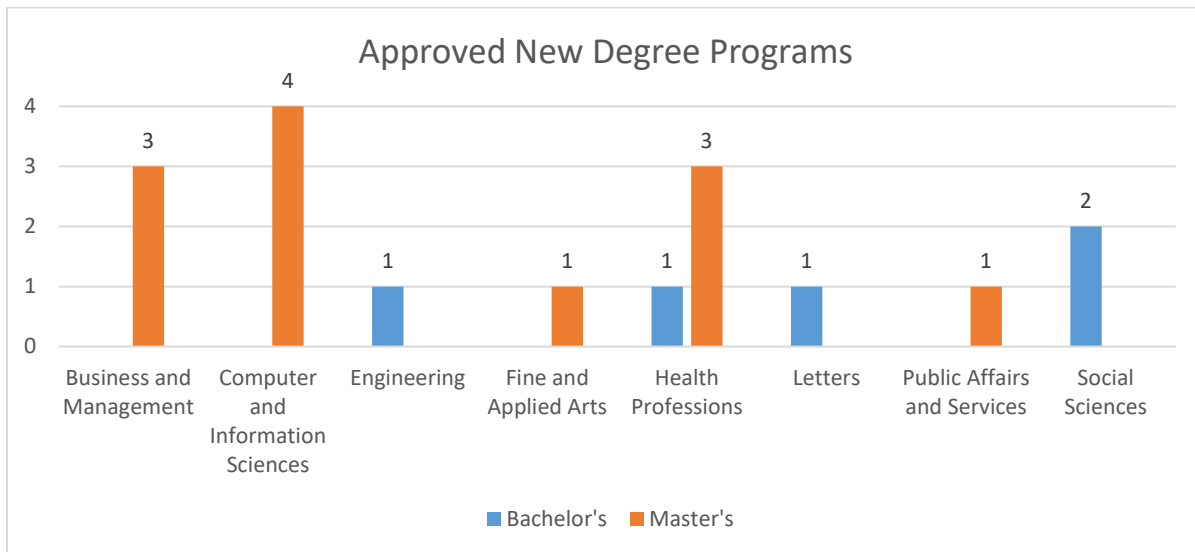
Five areas of academic planning activity are reported in this item, and a proposed resolution concerning changes to the CSU Academic Master Plan is presented. The academic planning topics include:

1. Changes to program projections
 - New projections proposed for addition to ten-year campus academic plans and to the CSU Academic Master Plan (**Attachment A**)
 - Existing projections that will be removed from the CSU Academic Master Plan and campus academic plans
2. Changes to existing degree programs
 - Degree programs suspending new admissions
 - Discontinuance of existing degree programs
3. Total units required for Bachelor of Arts (BA) and Bachelor of Science (BS) degree programs
4. Summary of Western Association of Schools and Colleges (WASC) Senior College and University Commission accreditation visits (**Attachment B**)
5. Accredited academic programs and departments (**Attachment C**)
6. CSU degree proposal, review and approval process (**Attachment D**)

Offering traditional and emerging degree programs, the CSU is an engine of social mobility, educating many students who are the first in their families to attend college. Because of the educational opportunities represented by CSU degree programs, graduates have a mid-career salary that, on average, is more than \$8,000 higher than graduates from other public universities. As a result of ongoing degree program planning and development that responds to employment demands and student interest, the CSU awards nearly 100,000 bachelor's degrees every year, adding to the 3.4 million CSU alumni who keep California's economy vibrant and growing.

Degree planning is a critical first step in the development of educational programs designed to meet the needs of California's skilled and diverse workforce. The CSU delivers more job-ready graduates into the workforce than any other public or private university in the state. The CSU produces 50 percent or more of California's graduates in engineering, business, agriculture, public administration and criminal justice. Sixty percent of nursing degrees earned in California are CSU degrees, including 43 percent of all bachelor's nursing degrees conferred in the state.

In the past year, the Office of the Chancellor has received 23 proposals for new degree programs. A degree proposal may be developed only after trustees approve the preliminary step: a degree projection, which is a long-term plan to develop a degree program. Seventeen new degree programs have been approved in the disciplines illustrated below.



To ensure that CSU degree programs are relevant and responsive to state needs, campuses consider local and statewide ongoing and emerging workforce needs. This year, new program projections reflect growth in the construction industry, among other trends. With jobs in the construction field rising to among the 100 fastest growing occupations in the state, the U.S. Bureau of Labor Statistics

emphasizes the need for leaders with analytical and management skills. Correspondingly, construction management is one of the 50 fastest-growing occupations in California. To educate those managerial professionals, three campuses are seeking trustee authorization to develop construction management degree programs: Fresno (MS), Long Beach (MS) and San Diego (BS). New programs would join those currently offered at Chico (BS), East Bay (BS and MS), Fresno (BS), Long Beach (BS), Northridge (BS), Sacramento (BS) and San Luis Obispo (BS).

The need for counselors to address mental health, substance abuse and behavioral disorders is expected to grow by more than 15 percent over the next six years. In California, such positions require master's degrees and licensure, requirements to be addressed by projected programs at Sacramento (MS Applied Behavioral Analysis) and San Marcos (MS Applied Behavioral Analysis and MS Clinical Counseling).

For the third year in a row, the online employment data center Glassdoor lists "data scientist" as the best job in America, with a median salary of \$110,000. Data scientists are experts who interpret data and give it practical significance and application. These professionals use statistics, calculus and algebra, software programming, software engineering, data-driven problem solving and data analysis and visualization skills. Forbes reports that 39 percent of data science and analytics positions are expected to require advanced degrees. This year's planning reflects the growing interest in data science and data-driven decision making, with projection proposals proposed by Bakersfield (MS Applied Economics and Analytics), Dominguez Hills (MS Business Analytics), Pomona (MS Business Analytics), Sacramento (MS Business Analytics) and San José (MS Data Analytics).

1. Changes to Program Projections

New Projections Proposed for Addition to Ten-Year Campus Academic Plans and to the CSU Academic Master Plan

The Academic Programs and Faculty Development Department at the Office of the Chancellor maintains the CSU Academic Master Plan, a comprehensive list of projected programs, existing degree programs and program-review schedules for authorized degree programs. The CSU Academic Master Plan, which guides program, faculty and facility development, will be updated to reflect the resolution adopted by the board at the March 2018 meeting. The CSU Academic Master Plan and each campus academic plan will thereafter be posted online as resources for university planning.

In addition to the CSU Academic Master Plan, the Office of the Chancellor maintains the CSU Degrees Database, an online inventory of all authorized degree programs and associated concentrations (focused areas of study within the degree program). The CSU Degrees Database informs the public CSU Search Degrees website (<http://degrees.calstate.edu>), a tool for exploring the bachelor's and graduate degree programs and concentrations currently offered at CSU campuses.

The projections listed below and in **Attachment A** indicate campus intention to develop degree programs within the coming decade. Across the system, 43 new projections are proposed, 13 at the undergraduate level and 30 at the graduate level. New programs are planned in response to student demand, employer need, faculty interest, and licensure and accreditation requirements.

Campuses have also removed eight existing program projections from their academic plans for a variety of reasons, including shifting priorities or resources. These projections will therefore not be developed into degree proposals. The number of existing degree programs enrolling students has also decreased this year, with 19 degree programs having been changed to “suspended admission” status—meaning that additional students will not be admitted to those programs until further notice. Additionally, 12 degree programs have been “discontinued,” meaning the campus will no longer offer the programs after all currently enrolled majors have completed their degree requirements. In all cases, students already matriculated into a degree program are allowed to complete their degrees, even though the program has been discontinued or is not allowing new students to enroll.

Recommendations for board approval of campus academic plans (including proposed projected programs) follow Office of the Chancellor review of campus projection proposals. Review includes consideration of “declared policy of the board to encourage broadly based degrees of high academic quality and to avoid unnecessary proliferation of degrees and terminologies” (REP-91-03). Projected programs are removed from campus academic plans if a full degree proposal is not submitted to the Office of the Chancellor within five years of the date originally projected for implementation.

After the board approves a projection, the campus may begin developing a full degree implementation proposal, which is submitted to the Office of the Chancellor for review and final approval as a program. With confirmation from the Office of the Chancellor, a pilot degree program may enroll students for five years. Pilot programs subsequently may be proposed for conversion to permanent status, which requires the chancellor’s approval. Traditional, fast track, and pilot degree-proposal processes are described in **Attachment D**. In **Attachment A**, a ten-year overview of projected degree programs – by campus – is presented.

Bakersfield

- BA Latina/o and Latin American Studies
- BS Public Health
- MS Accounting
- MS Applied Economics and Analytics
- MS Finance

Bakersfield (continued)

MS Kinesiology
MS Logistics and Supply Chain Management
MS Technology Management

Chico

BA European Studies
BA Latinx Studies
BS Geography

Dominguez Hills

BA Asian Pacific Studies
BA Women's Studies
MS Accounting
MS Business Analytics

Fresno

MS Construction Management

Fullerton

MS Human Resource Management

Long Beach

BS Geography
MA Curriculum and Instruction in Physical Education
MS Construction Management

Los Angeles

BS Hospitality

Monterey Bay

MS Speech Language Pathology

Northridge

MA Diverse Community Development Leadership
MS Assistive Technology Engineering
MS Athletic Training

Pomona

BS Materials Engineering
MS Bioengineering

MS	Business Analytics
MUD	Urban Design

Sacramento

BFA	Photography
MA	Biotechnology
MS	Applied Behavior Analysis
MS	Business Analytics

San Bernardino

DNP	Nursing Practice
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San Diego

BS	Construction Management
MS	Athletic Training

San José

MS	Athletic Training
MS	Data Analytics
MS	Finance
MS	Informatics

San Marcos

BA	Geography
MS	Applied Behavior Analysis
MS	Clinical Counseling

Removed Projections

The following existing program projections have exceeded the five-year limit beyond their originally projected implementation date. As they have not resulted in a submitted degree proposal and have not been re-proposed as a projection with a later implementation date, these projections will be removed from the CSU Academic Master Plan and campus academic plans.

Fullerton

BA	Chinese Studies
BA	Vietnamese Studies
MA	Criminal Justice
MA	Liberal Studies

Los Angeles

MA Liberal Studies

Northridge

MA Higher Education Leadership

Pomona

BA Physics

San Diego

BFA Graphic Design

2. Changes to Existing Degree Programs

Programs Suspending New Admissions

Campuses have reported admission suspensions for the following degree programs, which remain on the CSU Academic Master Plan because admission may be reinstated during a future academic term. While no new matriculations will be allowed, continuously enrolled students in these programs will be allowed to complete their degrees within a reasonable timeframe.

East Bay

MA, MS Interdisciplinary Studies

Fullerton

BA European Studies

Los Angeles

MS Criminal Justice

Monterey Bay

BA Integrated Studies

Northridge

MA, MS Interdisciplinary Studies

MA Music

MKM Knowledge Management

MS Engineering

San Bernardino

MA Art

MAT Mathematics Teaching

MFA English

San Luis Obispo

MA Biological Sciences
MS Kinesiology
MS Printed Electronics and Functional Imaging

Sonoma

BA, BS Special Major (Interdisciplinary Studies)

Stanislaus

BA French

Discontinuance of Existing Degree Programs

The following degree programs will no longer be offered at the reporting campus after currently enrolled students have completed their degree requirements. These programs will be removed from the CSU Academic Master Plan and campus academic plans. Discontinuances are expected to be carried out in accordance with Coded Memorandum AAP-91-14 and campus policy.

Chico

MA Geography

East Bay

BA Geography
MA Sociology
MS Computer Networks

Fresno

MS Marine Science

Los Angeles

MA Theatre Arts
MBt Applied Biotechnology Studies

Monterey Bay

MA Interdisciplinary Studies

Sacramento

MA International Affairs

San Diego

MA Television, Film and New Media

San José

MA French
MA Theatre Arts

3. Total Units Required for Bachelor of Arts and Bachelor of Science Degree Programs

During Office of the Chancellor review of BA and BS degree proposals, the 120-unit limit remains a central consideration in evaluating curricular coherence and quality, student-learning outcomes, quality assurance, access, fiscal responsibility and service to students and employers. Ninety-six percent of all CSU BA and BS degree programs require no more than 120 units for degree completion. Title 5 allows for more than a 120-unit total for Bachelor of Fine Arts, Bachelor of Music, Bachelor of Architecture, Bachelor of Landscape Architecture and integrated teacher preparation (ITP) programs.

4. Summaries of WASC Senior College and University Commission Accreditation Visits

The CSU Board of Trustees adopted a resolution in January 1991 that requires an annual agenda item on academic planning and program review, including information on recent campus accreditation visits from the WASC Senior College and University Commission during the 2016-17 academic year. The accreditor granted full 10-year accreditation approval to CSU Sacramento. A summary of the WASC accreditation visit appears in **Attachment B**.

5. Accredited Academic Programs and Departments

In 1968, the CSU Board of Trustees resolved that “each State College be encouraged, in cooperation with the Office of the Chancellor, to seek accreditation of appropriate instructional programs by national professional accrediting agencies.” Campuses are therefore expected, as reasonable, to seek professional accreditation for degree programs and academic departments, schools and colleges. **Attachment C** contains the list of all reported accredited units and degree programs.

6. CSU Degree Proposal, Review and Approval Process

Attachment D details the descriptions and illustrations of procedures and policies guiding degree proposal development, review and approval.

The following resolution is recommended for adoption and refers to changes in the CSU Academic Master Plan and campus academic plans described in this agenda item.

RESOLVED, by the Board of Trustees of the California State University, that the amended projections to the Academic Plans for the California State University campuses (as identified in Agenda Item 4 of the March 19-21, 2018 meeting of the Committee on Educational Policy) be approved and accepted for addition to the CSU Academic Master Plan and as the basis for necessary facility planning; and be it further

RESOLVED, that those projected degree programs proposed to be included in campus academic plans be authorized for implementation, at approximately the dates indicated on Attachment A, subject in each instance to the chancellor's review, approval, and confirmation that there exists sufficient societal need, student demand, feasibility, financial support, qualified faculty, facilities and information resources sufficient to establish and maintain the programs; and be it further

RESOLVED, that degree programs not included in the campus academic plans be authorized for implementation only as pilot or fast-track programs or as modifications of existing degree programs, subject in each instance to Chancellor's Office approval and CSU policy and procedures.

**CSU Academic Master Plan
Ten-Year Overview of Planned Programs**

Projections Proposed to the CSU Board of Trustees
Planned for Implementation between 2018-19 and 2028-29

Planned degree programs (“program projections”) appear in bold red font and are proposed for board approval at the March 2018 meeting. Existing, previously approved program projections appear in black font. Projected degree programs may remain on the CSU Academic Master Plan for five years after the originally approved implementation date, which appears in the far right column. Within that five-year window, planned launch years may be adjusted in response to societal need or campus schedules and resources. Current planned implementation years appear in the column to the left of the degree program. Subsequent to approval of a projection, the campus may develop a full degree implementation proposal, which requires the chancellor’s approval in order for a program to enroll students.

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Bakersfield	2019	BA	Latina/o Latin American Studies	2019
		BS	Public Health	2019
		MS	Applied Economics and Analytics	2019
		MS	Computer Science	2017
	2020	MS	Accounting	2020
		MS	Finance	2020
		MS	Kinesiology	2020
	2021	MS	Technology Management	2021
	2022	MS	Logistics and Supply Chain Management	2022

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Channel Islands	2020	MA	History	2012
		MA	Psychology	2016
		MPA	Public Administration	2012
		MS	Coastal Sustainability	2012
	2021	BA	Freedom and Justice Studies	2013
		MS	Applied Sociology	2013
	2022	BA	Philosophy	2014
		MS	Nursing	2014

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Chico	2019	MA	Teaching	2015
	2020	BA	European Studies	2020
		BA	Latinx Studies	2020
		BS	Geography	2020

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Dominguez Hills	2018	MA	International Peace and Security	2018
		MS	Systems Engineering	2016
	2019	BA	Asian Pacific Studies	2019
		BA	Women's Studies	2019
		MS	Accounting	2019
		MS	Business Analytics	2019
	2020	MA	Communication Disorders	2014
		MA	Kinesiology	2014
		MA	Spanish	2016
		MHA	Healthcare Administration	2016

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
East Bay	2019	BS	Civil Engineering	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Fresno	2018	BA	Dance	2016
		BA	Liberal Arts	2017
		MS	Athletic Training	2018
	2019	BS	Emergency Management and Homeland Security	2014
		MS	Construction Management	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Fullerton	2018	BS	Software Engineering	2016
		MS	Accounting and Finance	2013
		MS	Engineering Management	2016
	2019	MS	Athletic Training	2018
		MS	Human Resource Management	2019
		MS	Human Services	2016
	2021	BS	Environmental Engineering	2017

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Humboldt	2018	BA	Child Development	2015
	2019	BS	Nursing	2017
	2020	MA	Spanish	2017

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Long Beach	2018	BFA	Acting	2018
		MA	Human Experience Design Interactions	2018
		MA	Languages and Cultures	2015
		MS	Athletic Training	2018
		MS	Chemical Engineering	2017
		MS	Information Systems	2014
	2019	BA	Biochemistry	2016
		BS	Geography	2019
		MA	Curriculum and Instruction in Physical Education	2019
		MS	Construction Management	2019
		MS	Finance	2017
		MS	Hospitality Management	2015
		MS	International Affairs	2016
		MS	Marketing	2016
	2020	BS	Computational Mathematics	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Los Angeles	2018	BS	Hospitality	2018
		AuD	Audiology	2018
	2019	BA	Women's, Gender, and Sexuality Studies	2015
		MA	Pan-African Studies	2018
		MS	Business Analytics	2018
		MS	Industrial Management	2018
MS	Materials Science and Engineering	2018		

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Maritime Academy	2020	BS	Oceanography	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Monterey Bay	2019	BS	Plant and Soil Science	2018
		BS	Public Safety	2018
		MS	Speech Language Pathology	2019
	2020	MPH	Public Health	2018
		MS	Accounting	2015
	2021	BS	Computer Engineering	2016
	2023	BA	Interdisciplinary Studies	2018
		MA	Teaching English to Speakers of Other Languages	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Northridge	2018	BA	Earth and Environmental Sciences	2017
		BFA	Visual Art	2018
		MA	Educational Therapy	2018
		MS	Entrepreneurship	2018
		AuD	Audiology	2018
	2019	MA	Diverse Community Development Leadership	2019
		MA	Instructional Design	2015
		MS	Assistive Technology Engineering	2019
		MS	Athletic Training	2019
		MS	Management	2014
		MS	Real Estate	2017
	2020	MS	Finance	2013
		MS	Information Systems Management	2020
	2021	BS	Neuroscience	2021
		MA	Sustainability	2021
PhD		Complex Systems	2021	

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Pomona	2019	BM	Music	2018
		MS	Architecture	2016
		MS	International Apparel Management	2014
	2020	BS	Materials Engineering	2020
		BS	Regenerative and Sustainable Studies	2017
		MS	Bioengineering	2020
		MS	Business Analytics	2020
		MS	Dietetics	2018
		MS	Mechatronics and Robotics Engineering	2017
MUD	Urban Design	2020		

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Sacramento	2018	BFA	Studio Art	2018
		BS	Health Services	2017
		MS	Finance	2013
		AuD	Audiology	2018
	2019	BA	Design Studies	2019
		BFA	Graphic Design	2019
		BFA	Interior Architecture	2019
		BFA	Photography	2019
		MA	Biotechnology	2020
		MFA	Studio Art	2019
		MS	Applied Behavior Analysis	2019
MS	Business Analytics	2019		

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Bernardino	2018	MS	Finance	2017
		MS	Information Systems and Technology	2016
		MS	Kinesiology	2015
	2019	DNP	Nursing Practice	2019
	2020	MA	World History and Comparative Civilizations	2017
		MS	Entrepreneurship and Innovation	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Diego	2019	PhD	Hearing Science (with UC San Diego)	2014
	2018	MS	Big Data Analytics	2018
	2019	BS	Construction Management	2019
		MS	Athletic Training	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Francisco	2018	MS	Business Analytics	2018
	2019	BA	Race and Resistance Studies	2018
		MA	East Asian Languages and Literatures	2016
		MA	Modern European Languages and Literatures	2016
		MFA	Broadcast and Electronic Communication Arts	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San José	2018	MS	Bioinformatics	2018
		MS	Data Analytics	2018
	2019	MS	Athletic Training	2019
		MS	Finance	2019
		MS	Hospitality, Tourism and Meeting Management	2018
		MS	Informatics	2019
		AuD	Audiology	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Luis Obispo	2019	MS	Food Science	2014
	2020	BS	Environmental Product Design	2016
		BS	Sustainable Designed and Built Environments	2016
		MEng	Civil and Environmental Engineering	2015
		MS	Environmental Sciences and Management	2017

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
San Marcos	2018	BA	Arts, Media and Design	2016
		BA	Ethnic Studies	2015
		BA	Philosophy	2016
		BS	Software Engineering	2019
		BS	Wildfire Science	2017
		MS	Chemistry	2017
	2019	BA	Chicano/a Studies	2016
		BA	Geography	2019
		BA	Theatre	2015
		BS	Electrical Engineering	2024
		MS	Applied Behavior Analysis	2019
	2024	MS	Clinical Counseling	2019
		BS	Computer Engineering	2019

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Sonoma	2018	BA	Geography and Environment	2018

Campus	Currently Planned Implementation Year	Degree Designation	Title	Year Originally Approved for Implementation
Stanislaus	2019	MFA	Theatre Production	2017

**Summary of WASC Senior College and University Commission
Accreditation Visit**

California State University, Sacramento

The WASC Senior College and University Commission team visited California State University, Sacramento, on April 11-13, 2017, as part of the review process for reaffirmation of the institution's accreditation. The review team concluded that the university presented strong evidence of its commitment to continuous improvement in every area of the institution. Recent implementation of new initiatives conveyed strong commitments to student success, community engagement, diversity and inclusiveness.

CSU Sacramento was commended for placing student success as the core element of the university's mission and making efforts to close retention and graduation rate gaps for both underserved and underrepresented students. Other commendations included the development of programs that leverage the institution's location in the state capital, a transparent budget process that is well aligned with the strategic plan, and a spirit of honesty, enthusiasm and empowerment of student and faculty voices.

The Commission team identified some areas for further improvement, including structures and processes to support faculty hiring and faculty development within Academic Affairs; program review; centralizing data-reporting systems; general education assessment plans and the university website.

The visit team report was received and given due consideration at the June 21-23, 2017, meeting of the Commission, which acted to reaffirm the accreditation of California State University, Sacramento, for a period of ten years, with the next accreditation visit scheduled for spring 2027.

California State University Accredited Program, by Campus

California State University, Bakersfield

Program	First Granted	Renewal Date
Business Administration BS	not specified	2017-2018
Business Administration MBA	not specified	2017-2018
Biochemistry	not specified	2018-2019
Chemistry BS	not specified	2018-2019
Counseling MS	not specified	2021-2022
Education MA	not specified	2021-2022
Nursing BS	not specified	2021-2022
Public Administration MPA	not specified	2015-2016
Social Work MSW	not specified	2022-2023

California State University Channel Islands

Program	First Granted	Renewal Date
Biotechnology MS/MBA Dual Degree Program	2017	2027
Business BS – Options in Entrepreneurship, Finance, Global Business, Management, Marketing	2017	2027
Business MBA	2017	2027
Education MA – Administrative Services	2009	2018
Education – Administrative Services Preliminary Credential	2009	2018
Education MA – Education Specialist	2009	2018
Education – Mild/Moderate Disabilities Credential	2009	2018
Education – Mild/Moderate Disabilities Intern Credential	2009	2018
Education – Multiple Subject Credential	2009	2018
Education – Multiple Subject Intern Credential	2009	2018
Education – Single Subject Credential	2009	2018
Education – Single Subject Intern Credential	2009	2018
Nursing BS	2006	2025

California State University, Chico

Program	First Granted	Renewal Date
Art BA	not specified	2018
Art BFA	not specified	2018
Art MA	not specified	2018
Art MFA	not specified	2018
Business Administration BS	1997	2018
Business Administration MBA	1997	2018
Business Information Systems BS	1997	2018
Chemistry BS	not specified	2018
Civil Engineering BS	1968	2018
Communication Design BA–Graphic Design Option	not specified	2018
Communication Sciences and Disorders MA	2003	2018
Computer Engineering BS	1989	2018
Computer Information Systems BS	2007	2018
Computer Science BS	1987	2022
Construction Management BS	1987	2022
Education MA	not specified	2022
Electrical and Electronic Engineering BS	1971	2018
Health Science: Health Services Admin Option BS	2004	2018
Journalism BA	1997	2022
Mechanical Engineering BS	1971	2018
Mechatronic Engineering BS	1998	2018
Music BA	1995	2019
Musical Theatre BA	2009	2015
Nursing BS	1995	2018
Nursing MS	1995	2018
Nutrition and Food Sciences BS	1999	2021
Nutritional Science MS	2001	2021

Chico (continued)

Psychology: Applied/School Psychology Option MA	1998	2017
Public Administration MPA	1996	2017
Recreation, Hospitality, and Parks Management BS	1986	2019
Recreation Administration MA	1986	2019
Social Work BA	not specified	2025
Social Work MSW	not specified	2025
Sustainable Manufacturing BS	1980	2020
Theatre Arts BA	2009	2017

California State University, Dominguez Hills

Program	First Granted	Renewal Date
Clinical Science BS	1995	2026
Clinical Science BS–Cytotechnology	1993	2026
Computer Science BS	1996	2022-23
Education MA	not specified	2019
Education MA–Special Education	not specified	2019
Health Science MS–Orthotics and Prosthetics	2015	2020
Music BA	9/1/1976	2018-19
Nursing BSN	2004	2018
Nursing MSN	2004	2018
Occupational Therapy MS	2007	2023
Public Administration BS	2005	2022-23
Public Administration MPA	2005	2022-23
Social Work MSW	2007	2022
Theatre Arts BA	1987	2025-26
Chemistry BS	not specified	2017

California State University, East Bay

Program	First Granted	Renewal Date
Accountancy MS	2013	2018-19
Business Administration BS	1973-1974	2018-19
Business Analytics MS	1982-1983	2018-19
Business Administration MBA	1982-1983	2018-19
Chemistry BS	1970-1971	2018-19
Computer Engineering BS	2013-2014	2020-21
Counseling MS, Option in School Psychology	1982-1983	2022-23
Economics BS	1973-74	2018-19
Economics MS	1973-74	2018-19
Industrial Engineering BS	2006-2007	2021-22
Music BA	1973-1974	2019-20
Music MA	1973-1974	2019-20
Nursing BS	1974-1975	2025-26
Nursing MS	2018	2025-26
Social Work MSW	2006-2007	2017-18
Speech-Language Pathology MS	1992-1993	2019-20

California State University, Fresno

Program	First Granted	Renewal Date
Accountancy MS	1967, 2004	Suspended 2011
Agricultural Education BS	1967	2020
Agricultural Specialist Credential	not specified	2021
Athletic Training BS	1997	2017-2018
Business Administration BS: Accountancy, Computer Information Systems, Entrepreneurship, Finance, Human Resource Management, International Business, Logistics and Supply Chain Strategies, Management, Marketing, Real Estate and Urban Land Economics, Special, and Sports Marketing	1957, 1963	2018-19
Business Administration MBA	1974	2018-19
Civil Engineering BS	1968	2019
Civil Engineering MS	1986	2019
Clinical Rehabilitation and Mental Health Counseling MS	1976	2017-18
Communicative Disorders BA – Deaf Education Option & Speech Pathology Option	1979, 1994, 2004	2023-2024
Communicative Disorders MA – Deaf Education Option & Speech-Language Pathology Option	1979, 1994, 2004	2023-2024
Computer Engineering BS	1999	2019
Construction Management BS	1992/2008	2019-20
Counseling MS	1995	2019
Education MA	1967, 1988	2021
Education–Early Childhood Education Specialist (NAEYC Accredited, currently under review)	not specified	2023
Education–Multiple Subject Internship	not specified	2021
Education–Multiple Subject Bilingual Authorization, Spanish and Hmong	not specified	2021
Education–Preliminary Administrative Services	not specified	2021
Education–Preliminary Administrative Services Internship	not specified	2021
Education–Preliminary Multiple Subject	not specified	2021
Education–Preliminary Single Subject	not specified	2021
Education–Pupil Personnel Services, School Counseling	not specified	2021
Education–Reading/language Arts Specialist	not specified	2021

Fresno (continued)

Program	First Granted	Renewal Date
Education–Single Subject Internship	not specified	2021
Education Specialist, Mild/Moderate and Moderate/Severe	not specified	2021
Education Specialist, Deaf and Hard of Hearing -Education Specialist Clear, Mild/Moderate and Moderate/Severe -Education Specialist Level II, Mild/Moderate and Moderate/Severe	not specified	2021
Educational Leadership EdD		
UC Davis JDPEL	1991	2021
Independent DPELFS program	2007	2021
Bakersfield Joint Program	2011	2021
Electrical Engineering BS	1965	2019
Food and Nutritional Sciences BS–Dietetics and Food Administration Option	2005, 1979	2021
Food and Nutritional Sciences Certificate of Advanced Study–Internship Program	2005, 1979	2021
Geomatics Engineering BS	1979	2019
Industrial Technology, BS	2016	2020
Interior Design BA	1986	2024
Kinesiology – Physical Education Option BS	2008	2017-18
Liberal Studies BA	not specified	2021
Mechanical Engineering BS	1965	2019
Music BA, MA	1979	2019-20
Nursing BS	2005	2021
Nursing DNP	2012	2019
Nursing MS	2005	2019
Physical Therapy DPT	2012	2025
Public Administration MPA	1991	2019
Public Health MPH	1998	2020
Pupil Personnel Services, School Psychology	not specified	2021
Recreation Administration BS	1986	2021
School Nursing Advanced Credential (Clear)	not specified	2021
School Psychology EdS	1994	2017
Social Work BSW and MSW	1967	2024
Theatre Arts, BA	1989	2024

California State University, Fullerton

Program	First Granted	Renewal Date
Accounting MS	1966	2018
Art BA, MA	1974	2024
Art BFA, MFA	1994	2024
Business Administration BA	1965	2018
Business Administration MBA	1972	2018
Chemistry BS	1970	2022
Civil Engineering BS	1985	2022
Communications BA, MA	1971	2021
Communicative Disorders BA, MA	1969	2018
Computer Engineering BS	2007	2022
Computer Science BS	1988	2018
Counseling MS	2007	2023
Dance BA	1993	2024
Education Credentials	1960	2022
Education MS	1970	2022
Electrical Engineering BS	1985	2018
Human Services BS	1982	2017
International Business BA	1984	2018
Kinesiology BS (Athletic Training Program)	2001	2017
Mechanical Engineering BS	1985	2022
Music BA	1966	2024
Music BM	1975	2024
Music MA	1966	2024
Music MM	1975	2024
Nursing BS		
NLN accreditation	1981-2007	2026
Commission on Collegiate Nursing Education (CCNE)	2007	2026
Nursing DNP	2013	2019
Nursing MS	2002	2026

Fullerton (continued)

Program	First Offered	Renewal Date
Public Administration MPA	1989	2018
Public Health MPH	2008	2020
Social Work MSW	2011	2023
Taxation MS	1996	2018
Theatre Arts BA	1974	2026
Theatre Arts BFA	2005	2026
Theatre Arts MFA	1985	2026

Humboldt State University

Program	First Granted	Renewal Date
Art BA	1978	2024-25
Chemistry BA, BS	prior to 1976	2014 pending (next review: 2019)
Child Development Laboratory, Child Development	1989	2017 (pending)
School of Education–Administrative Services Credential	2002	2022
School of Education–Multiple Subjects Credential	2002	2022
School of Education–Single Subjects Credential	2002	2022
School of Education–Special Education Credential	2002	2022
School of Education and Department of Kinesiology/ Recreation Administration–Adapted Physical Education Credential	2002	2022
Environmental Resources Engineering BS	1981	2023
Forestry BS	1979	2025-26
Registered Professional Foresters (RPF) License	not specified	periodic
Music BA	1979	2021
Social Work BA, MSW	2004	2019

California State University, Long Beach

Programs	First Granted	Renewal Date
Accountancy MS	2015	2019
Aerospace Engineering BS	2001	2018
American Language Institute	2007	2017
Art BA, BFA, MA, MFA	1974	2018
Athletic Training BS	2006	2018
Biochemistry BS	2015	2018
Business Administration BS, MBA	1972	2019
Chemical Engineering BS	1980	2018
Chemistry BS	1958	2018
Civil Engineering BS	1963	2018
Computer Engineering BS	1974	2018
Computer Science BS	1995	2018
Construction Engineering Management BS	2012	2017
Dance BA, BFA, MA, MFA	1982	2022
Design BA	2007	2018
Didactic Program in Dietetics	1975	2021
Dietetic Internship	1975	2021
College of Education: Teaching Credentials and School Professionals	2001	2023 CAEP and CTC Review
Electrical Engineering BS	1963	2018
Family and Consumer Sciences BA	1977	2017
Family and Consumer Sciences MA	1977	2019
Health Care Administration BS	1992	2021
Health Care Administration MS	2002	2016
Hospitality Management BS	2010	2017
Industrial Design BS	1974	2018
Interior Design BFA	1974	2018
Journalism and Mass Communication BA	1978, 2014	2020
Mechanical Engineering BS	1963	2018
Music BA, BM, MA	1968	2023
Nursing BS	1967	2021
Nursing MS	1978	2021
Physical Therapy DPT	2012	2022
Psychology MS, Human Factors Option	2012	2018
Public Health MPH	1990	2022
Public Policy and Administration MPA	1990	2017

Long Beach (continued)

Programs	First Granted	Renewal Date
Recreation Administration MS	1976	2021
Social Work BA	1975	2017
Social Work MSW	1985	2017
Speech Language Pathology MA	1970	2019
Supply Chain Management MS	2015	2019
Theatre Arts BA, MFA	1973	2017

California State University, Los Angeles

Program	First Granted	Renewal Date
Art BA	1974	2019-20
Art MA	1974	2019-20
Art MFA	1974	2019-20
Accountancy MS	1964	2021-22
Business Administration BS	1960	2021-22
Business Administration MBA	1964	2021-22
Business Administration MS	1964	2021-22
Communicative Disorders MA Speech Language Pathology option	1987	2023-2024
Computer Information Systems BS	1964	2021-22
Computer Science BS	2005	2018-19
Counseling MS	1994	2019-2020
Counseling MS, Rehabilitation Counseling Option	1956	2019-2020
Counseling MS, School-Based Family Counseling Option	1978	2020-21 CTC and CAEP; CACREP
Criminalistics MS	2011	2017-18
Education Credentials	1959	2020-21
Education MA	1959	2020-21
Educational Administration MA	1959	2020-21
Educational Leadership EdD	2011	2020-21
Engineering, Civil BS	1965	2018-19
Engineering, Electrical BS	1965	2018-19
Engineering, Mechanical BS	1965	2018-19
Healthcare Management, MS		2021-22
Industrial Technology BS	2013	2017-18
Music BA, BM, MA	1970	2017-18
Music MM	1995	2017-18

Los Angeles (continued)

Program	First Granted	Renewal Date
Nursing BS, MS	2007	2019-20
Nursing DNP	2011	2019-20
Nutritional Science MS–Coordinated Dietetics Program	1974	2020-21
Nutritional Science MS - Didactic Program in Dietetics	1976	2020-21
Public Administration MPA	1984	2021-22
Social Work BA, MSW	1979	2018-19
Special Education MA	1959	2020-21
Special Education PhD	1971	2020-21
Teaching English to Speakers of Other Languages MA	1989	2020-21

California State University Maritime Academy

Program	First Granted	Renewal Date
Business Administration BS–International Business and Logistics	2003	2019-20
Facilities Engineering Technology BS	1999	2019-20
Marine Engineering Technology BS	1978	2019-20
Mechanical Engineering BS	2002	2019-20

California State University, Monterey Bay

Program	First Granted	Renewal Dates
School of Business	March 2017 (candidacy)	Spring 2019
Nursing	January 2016	Spring 2019
Social Work MSW	2014	2018
Preliminary Multiple Subject Teaching Credential	1994	2020
Preliminary Single Subject Teaching Credential	1994	2020
Preliminary Education Specialist Teaching Credential	2001	2020
Pupil Personnel Services Credential: School Psychology	2016	2020

California State University, Northridge

Program	First Granted	Renewal Date
Accountancy BS	1976	2019
Accountancy MS	1976	2019
Art BA, MA	1993	2020
Art MFA	2006	2020
Athletic Training BS	1995	2027
Biochemistry BS	1978	2018
Business Administration BS, MBA	1976	2019
Chemistry BS	1966	2018
Civil Engineering BS	1994	2019
Communicative Disorders MS	1976	2021
Computer Engineering BS	2006	2019
Computer Information Technology BS	2017	2019
Computer Science BS	1985	2019
Construction Management BS	2010	2019
Counseling MS	1997	2018
Counseling MS, Career Counseling Option	1996	2018
Didactic Program in Dietetics	1985	2019
Dietetic Internship	1985	2019
Education MA	1997	2018
Educational Administration MA	1997	2018
Electrical Engineering BS	1994	2019
Engineering Management BS	2017	2019
Environmental and Occupational Health BS	1972 1973	2019 2019
Environmental and Occupational Health MS	1972 1978	2019 2019
Family and Consumer Sciences BS	1973	2023
Family and Consumer Sciences BS–Interior Design option	1998	2017
Finance BS	1976	2019
Health Administration BS	1971	2022
Health Administration MS	2017	2020
Information Systems BS	1976	2019
Journalism BA	1967	2021
Management BS	1976	2019

Northridge (continued)

Program	First Granted	Renewal Date
Manufacturing Systems Engineering BS	2001	2019
Marketing BS	1976	2019
Mechanical Engineering BS	1994	2019
Music BA, BM, MM	1968	2018
Nursing BS	1999	2024
Physical Therapy DPT	1969	2025
Public Health Education MPH	1980	2018
Radiologic Sciences BS	1977	2018
Social Work MSW	2008	2020
Special Education MA	1997	2018
Taxation MS	1976	2019
Theatre BA	1991	2025
Theatre Arts MA	1991	2025
Tourism, Hospitality, and Recreation Management BS	2014	2021

Northridge Credential Programs

Program	First Granted	Renewal Date
Counseling – Pupil Personnel Services Credential	1997	2018
Educational Administration – Preliminary Administrative Services Credential	1997	2018
Educational Administrative Services Credential – Tier 1 and Tier 2	1997	2018
Elementary Education – Multiple Subject Bilingual Authorization	2011	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential	1974	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential – Blended or Intern	2002	2018
Elementary Education – Multiple Subject Preliminary Teaching Credential – CLAD	1997	2018
Elementary Education – Reading and Language Arts Specialist Credential	2002	2018

Northridge Credential Programs (continued)

Program	First Granted	Renewal Date
Secondary Education – Single Subject Teaching Credential	1974	2018
Secondary Education – Single Subject Teaching Credential– Bilingual Authorization	2011	2018
Secondary Education – Single Subject Teaching Credential - Preliminary – Blended or Intern	2002	2018
Secondary Education – Single Subject Teaching Credential- Preliminary – CLAD	1997	2018
Special Education – Education Specialist Authorization Advanced Teaching Credential	2010	2018
Special Education – Education Specialist Clear Teaching Credential	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Deaf and Hard of Hearing	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Mild/Moderate	2013	2018
Special Education – Education Specialist Clear Teaching Credential – Moderate/Severe	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Deaf/Hard of Hearing	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential Early Childhood Special Education Credential	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Mild/Moderate	2013	2018
Special Education – Education Specialist Preliminary Teaching Credential – Moderate/Severe	2013	2018
Special Education – Education Specialist Teaching Credential – Deaf/Hard of Hearing – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential – Early Childhood – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential – Mild/Moderate – Lev I and Lev II	1997	2018
Special Education – Education Specialist Teaching Credential– Moderate/Severe – Lev I and Lev II	1997	2018

California State Polytechnic University, Pomona

Program	First Granted	Renewal Dates
Accountancy MS	2015	2019-20
Accountancy MS (Accelerated)	2015	2019-20
Adapted Physical Education Authorization	1997	2021-22
Administrative Services Preliminary (Tier 1) and Preliminary (Tier 1) Intern Credentials	2002	2021-22
Aerospace Engineering BS	1970	2017-18
Agricultural Specialist Authorization	1976	2021-22
Animal Health Science BS	1997	2017-18
Architecture BArch	1981	2022-23
Architecture MArch	1978	2022-23
Art History BA	1997	2018-19
Bilingual Authorization (Chinese and Mandarin Chinese)	2011	2021-22
Business Administration BS, MBA, MS	1995	2019-20
Chemical Engineering BS	1972	2017-18
Civil Engineering BS	1970	2017-18
Civil Engineering BS, Geospatial Engineering Option	1992	2017-18
Computer Engineering BS	2004	2017-18
Computer Science BS	1994	2020-21
Construction Engineering and Management BS	1976	2017-18
Didactic Program in Dietetics	1993	2019-20
Dietetic Internship Program	1993	2019-20
Education Specialist Mild/Moderate Level I and Level II Teaching and Intern Credentials	1997	2021-22
Education Specialist Mild/Moderate Preliminary and Preliminary Intern Credential	2011	2021-22
Education Specialist Moderate/Severe Level 1 and Level II Teaching and Intern Credentials	1997	2021-22
Education Specialist Moderate/Severe Preliminary and Preliminary Intern Credential	2011	2021-22
Electrical Engineering BS	1970	2017-18
Electronic Systems Engineering Technology BS	1976	2017-18
Electromechanical Systems Engineering Technology BS	1976	2017-18
Hospitality Management BS	1994	2018-19

Pomona (continued)

Industrial Engineering BS	1976	2017-18
Interior Architecture MIA	2010	2020-21
Landscape Architecture BS	1963	2022-23
Landscape Architecture MLA	1975	2022-23
Manufacturing Engineering BS	1988	2017-18
Mechanical Engineering BS	1970	2017-18
Multiple Subject Intern Teaching Credentials	1998, 2003	2021-22
Multiple Subject Preliminary Teaching Credentials	1973, 2003	2021-22
Music BA	2013	2020-21
Public Administration MPA	2006	2019-20
Reading Certificate Authorization	2012	2021-22
Single Subject Intern Teaching Credentials	1998, 2003	2021-22
Single Subject Preliminary Teaching Credentials	1973, 2003	2021-22
Urban and Regional Planning BS	1967	2021-22
Urban and Regional Planning MURP	1971	2021-22
Visual Communication Design BFA	1997	2018-19

California State University, Sacramento

Program	First Granted	Renewal Date
Administrative Services Credential, Intern, EDLP	1974	2019/2020
Administrative Services Credential, Level I, Preliminary, EDLP	1984	2019/2020
Administrative Services Credential, Level II, Professional, EDLP	1985	2019/2020
Art BA	1974	2025
Art Education BA	~1984	2018
Art History BA, MA	1974	2025
Art Studio BA	1974	2025
Athletic Training BS	1976	2023
Business Administration BS, MBA	1963	2022
Business Administration Accountancy MS	not specified	2017
Civil Engineering BS	1965	2022
Computer Engineering BS	1987	2022
Computer Science BS	1986	2022
Construction Management BS	1989	2019
Didactic Program in Dietetics	1996	2017
Dietetic Internship	2003	2017
Education Specialist, Early Childhood Special Education, EDS	1974	2018
Education Specialist, Level II, EDS	not specified	2018
Education Specialist, Mild/Moderate, EDS	not specified	2018
Education Specialist, Mild/Moderate w/Multiple Subjects, EDS	not specified	2018
Education Specialist, Moderate/Severe and Moderate/Severe with Multiple Subjects, EDS	not specified	2018
Electrical and Electronic Engineering BS	1969	2022
English Education	<1980	2022
Graphic Design BS	2005	2025
Interior Design BA	2001	2018
Liberal Studies BA	2004	2018
Mechanical Engineering BS	1965	2022
Multiple Subjects BCLAD Emphasis (Bilingual Authorization), BMED	1975	2018

Sacramento (continued)

Program	First Granted	Renewal Date
Multiple Subjects, BMED	not specified	2018
Multiple Subjects, EDTE	not specified	2018
Music BA	1964	2021
Music Education BM	not specified	2019
Nursing Graduate MS	1986	2019 (CCNE) 2022 (BRN)
Nursing-Post Licensure BS	1962	2019 (CCNE) 2022 (BRN)
Nursing-Pre Licensure BS	1962	2019 (CCNE) 2022 (BRN)
Photography BA	2005	2025
Physical Education BS	1952	2019
Physical Therapy DPT	1997	2025
Pupil Personnel Services, School Counseling, EDC	1975	2018
Pupil Personnel Services, School Social Work	1996	2019
Pupil Personnel Services Credential, School Psychologist, EDS	1977	2018
Reading Specialist Certificate and Credential, EDTE	1974	2018
Recreation, Parks and Tourism Administration BS	1978	2021
Rehabilitation Counselor Education Program, CORE	not specified	2020
School Counseling; Career Counseling; Marital, Couple and Family Counseling, Therapy, EDC	2006	reaccreditation pending
School Psychology, EDS	2001	2018
Single Subject BCLAD Emphasis (Bilingual Authorization, BMED	1975	2018
Single Subject, BMED	not specified	2018
Single Subject, EDTE	not specified	2018
Social Science BA	not specified ~1992	2018
Social Work BA, MSW	1966	2017
Speech Pathology MS	1985	2019

California State University, San Bernardino

Program	First Granted	Renewal Date
Accountancy MS	2009	2018-19
Administration BA	1994	2018-19
Administration BS	1994	2018-19
Art BA	1983	2021-22
Business Administration MBA	1994	2018-19
Chemistry BS	1970	2019-20
Computer Engineering BS	2014	2020-21
Computer Science BS	1988	2020-21
Education Credential MA, MS	2002	2018-19
Health Science BS	2009	2019-20
Health Science BS, Environmental Health Option	2004	2018-19
Information Systems and Technology BS	2015	2018-29
Music BA	2003	2021-22
Nursing BS	1984	2022-23 (CCNE) 2017-18 (BRN)
Nursing MS	1984	2017-18 (CCNE) 2017-18 (BRN)
Nutrition and Food Sciences BS	1989	2017-18
Public Administration MPA	1989	2023-24
Public Health MPH	2014	2022-23
Robert and Frances Fullerton Museum of Art	2008	2022-23
Rehabilitation Counseling MA	1988	2023-24
Social Work BA	2006	2017-18
Social Work MSW	1993	2021-22
Theatre Arts BA	2004	2020-21

San Diego State University

Program	First Granted	Renewal Date
School of Accountancy BS, MS	1979	2017-2018
Administration, Rehabilitation and Postsecondary Education MS	1978	2022
Aerospace Engineering BS	1964	2021-2022
Art BA, MA, MFA	1975	2022
Art-Interior Design BA, MA, MFA	1984	2018
College of Business Administration BA, BS, MBA, MS, MBA/JD	1955	2018
Chemistry BS	1950	2017
Civil Engineering BS	1964	2021-2022
Computer Engineering BS	2004	2021-2022
Computer Science BS	1994	2018
Construction Engineering BS	2009	2021-2022
Education MA	1998	2018
Electrical Engineering BS	1964	2021-2022
Environmental Engineering BS	2004	2021-2022
Exercise and Nutritional Sciences BS, DPT	before 1961, 2000	2019, 2021-2022
Health Management and Policy division in the Graduate School of Public Health BS, MS, MSW, MPH, PhD	1983	2019
Journalism and Media Studies BA, MA	1971 and 1985	2021
Marriage and Family Therapy MS	2009	2022
Mechanical Engineering BS	1964	2021-2022
Nursing BS, MS	not specified	2020
Nutrition BS, DPD	~1980	2019
Policy Studies in Language and Cross-Cultural Education	1998	2018
Preventive Medicine Residency Certificate Program - SDSU/UCSD; Graduate School of Public Health MPH	1983	2017
Public Health, Graduate School MS, MPS, PhD	1983, 1985	2021

San Diego (continued)

Program	First Granted	Renewal Date
School Counseling, School Psychology MA, MS, EdS	1998, 1989	2018, 2023
Social Work BA	1974	2018
Social Work MSW, MPH, MSW/JD	1966	2018
Special Education MA	1998	2018
Speech, Language, and Hearing Sciences–Audiology Program AuD	2006	2019
Speech, Language, and Hearing Sciences–Speech-Language Pathology Credential MA, AuD	1979	2017
Speech, Language, and Hearing Sciences–Speech-Language Pathology Program PhD	1987	2019
Teacher Education BA, MA	1998	2018

San Francisco State University

Program	First Granted	Renewal Date
Accountancy MS	1979	2019
Apparel Design and Merchandising BS	2003	2023
Art BA, MA, MFA	1983	2023
Art MFA	1983	2023
Business Administration BS, BA, MBA	1963	2019
Cinema BA, MFA	1983	2023
Cinema Studies MA	1983	2023
Clinical Laboratory Science Graduate Internship Program	1977	2019
Clinical Mental Health Counseling MS	2017	2018
Communicative Disorders MS	1971	2025
Counseling MS and Marriage, Family and Child Counseling MS	1978	2018
Didactic Program in Dietetics	1987	2019
Dietetics BS and Graduate Internship Program	1991	2019
Drama BA, MA	1982	2021
Engineering, Civil BS, Electrical BS	1972	2018, in progress
Engineering, Computer BS	in progress	2018, in progress
Engineering, Mechanical BS	1987	2018, in progress
Family and Consumer Sciences BA	2003	2023
Health Education BS	2009	2025
Hospitality and Tourism Management BS	1990	2019
Interior Design BS	2003	2023
Journalism BA	1985	2020
Music BA, BM, MA, MM	1963	2018, in progress
Nursing BS, MS	2003	2023
Physical Therapy DPT	2001	2021
Public Administration MPA	2000	2021
Public Health MPH	2003	2025
Recreation, Parks and Tourism Administration BS	1990	2022

San Francisco (continued)

Social Work BA, MSW	1975, 1971	2018, in progress
Teacher Education Credential Programs in Administrative Services, Bilingual Authorization, Clinical or Rehabilitative Services, Education Specialist, Multiple Subject Instruction, Pupil Personnel Services, Reading and Language Arts Specialist, Single Subject Instruction, Speech-Language Pathology Services	1900	2020
Theatre Arts MFA: Concentration in Design and Technical Production	1982	2021

San José State University

Program	First Granted	Renewal Date
Accountancy MS	1964	2021
Advertising BS	1971	2021
Aerospace Engineering BS	1991	2018
Art BA, BFA, MA	1974	2020
Athletic Training BS	not specified	2019
Biomedical Engineering BS	2011	2022
Business Administration BS	1964	2021
Business Administration MBA	1973	2021
Chemical Engineering BS	1958	2018
Chemistry BS	not specified	2021
Civil Engineering BS	1958	2018
Communicative Disorders EDAU MA	1989	2018
Computer Engineering BS	1958	2018
Computer Science BS	1996, 2001	2018
Counselor Education Credential	1958	2020
Dance BA, BFA	1987	2019
Educational Leadership Credential	1958	2020
Electrical Engineering BS	1958	2018
Elementary Education Credential	1958	2020
Human Factors/Ergonomics MS	2014	2021
Industrial and Systems Engineering BS	1958	2018
Industrial Design BS	1974	2020
Industrial Technology BS	1980, 2010	2023
Journalism BS	1971	2021
Kinesiology MS	1989	2019
Library Information Science MLIS	1969	2021
Materials Engineering BS	not specified	2018
Mechanical Engineering BS	1958	2018
Music BA, BM, MM	1958	2017
Music Education MA	2016	2017
Nursing BS	not specified	2019
Nursing MS	1959, 1998	2019
Nutritional Science BS –Dietetics	1986	2020
Occupational Therapy MS	1991	2026
Public Administration MPA	1988	2018

San José (continued)

Program	First Granted	Renewal Date
Public Health MPH	1974, 1976	2020
Public Relations BS	1971	2021
Pupil Personnel Services Credential	1958	2020
Recreation BS	1987	2020
Secondary Education Credential	1958	2020
Social Work BS, MS	1977	2023
Special Education Credential	1958	2020
Speech Pathology Credential	1958	2018
Taxation MS	1964	2021
Teacher/Librarian Services Credential	1958	2020
Theatre Arts BA	1982	2018
Transportation Management MS	1964	2021
Urban Planning MUP	1972, 1988	2018

California Polytechnic State University, San Luis Obispo

Program	First Granted	Renewal Date
Accounting MS	2014	2017-18
Administrative Services Credential	not specified	2020
Aerospace Engineering BS	1969	2021
Agriculture Specialist Credential	not specified	2020
Architectural Engineering BS	1975	2021
Architecture BArch	1980	2017-18
Art and Design BFA	1995	2017-18
Bilingual Authorization (Spanish)	not specified	2020
Biomedical Engineering BS	2015 retroactive to 2012	2021
Bioresource and Agricultural Engineering BS	1973	2021
Business Administration BS, MBA	1981	2017-18
City and Regional Planning BS	1973	2019
City and Regional Planning MCRP	1993	2019
Civil Engineering BS	1973	2021
Computer Engineering BS	1997	2021
Computer Science BS	1986	2021
Construction Management BS	1978	2020
Economics BS	1981	2017-18
Education Specialist Mild/Moderate Credential	not specified	2020
Electrical Engineering BS	1969	2021
Engineering Management MBA/MS	2014	2017-18
Environmental Engineering BS	1971	2021
Forestry and Natural Resources BS	1994	2024
Graphic Communication BS	2003	2022
Industrial Engineering BS	1969	2021
Industrial Technology and Packaging BS	1974	2017-18
Landscape Architecture BLA	1975	2020
Manufacturing Engineering BS	1997	2021
Materials Engineering BS	1971	2021
Mechanical Engineering BS	1969	2021
Multiple Subject Teaching Credential	not specified	2020
Music BA	2003	2018-19
Nutrition BS–Applied Nutrition Concentration	2005	2023

San Luis Obispo (continued)

Program	First Granted	Renewal Date
Recreation, Parks, and Tourism Administration BS	1986	2018
Single Subject Teaching Credential	not specified	2020
Software Engineering BS	2007	2021

California State University San Marcos

Program	First Granted	Renewal Date
Education MA	1995	2022
Nursing BS	2008	2023
Nursing MS	2012	2027
Social Work MSW	2016	2020
Speech Language Pathology MS	2015	2019
Administrative Services Credential – Preliminary	2007	2022
Education Specialist: Mild/Moderate Credential – Preliminary/Traditional	2010	2022
Education Specialist: Mild/Moderate Credential – Preliminary/Intern	2010	2022
Education Specialist: Mild/Moderate Credential – Level II/Traditional	2000	2022
Education Specialist: Moderate/Severe Credential – Preliminary/Traditional	2010	2022
Education Specialist: Moderate/Severe Credential – Preliminary/Intern	2010	2022
Education Specialist: Moderate/Severe Credential – Level II/Traditional	2000	2022
Education Specialist Added Authorization: Autism Spectrum Disorder	2010	2022
Multiple Subject – Preliminary/Traditional	1992	2022
Single Subject – Preliminary/Traditional	1995	2022
Bilingual Authorization: Spanish	2011	2022
Reading and Literacy Added Authorization	2012	2022
Reading and Literacy Specialist Credential	2012	2022

Sonoma State University

Program	First Granted	Renewal Date
Art BA	1982	2019-20
Art History BA	1982	2019-20
Business Administration BS, MBA	2007	2016-17
Counseling MA	1984	2016-17
Education MA	2005	2019-20
Music BA	1972	2016-17
Nursing BS, MS	1974	2020-21

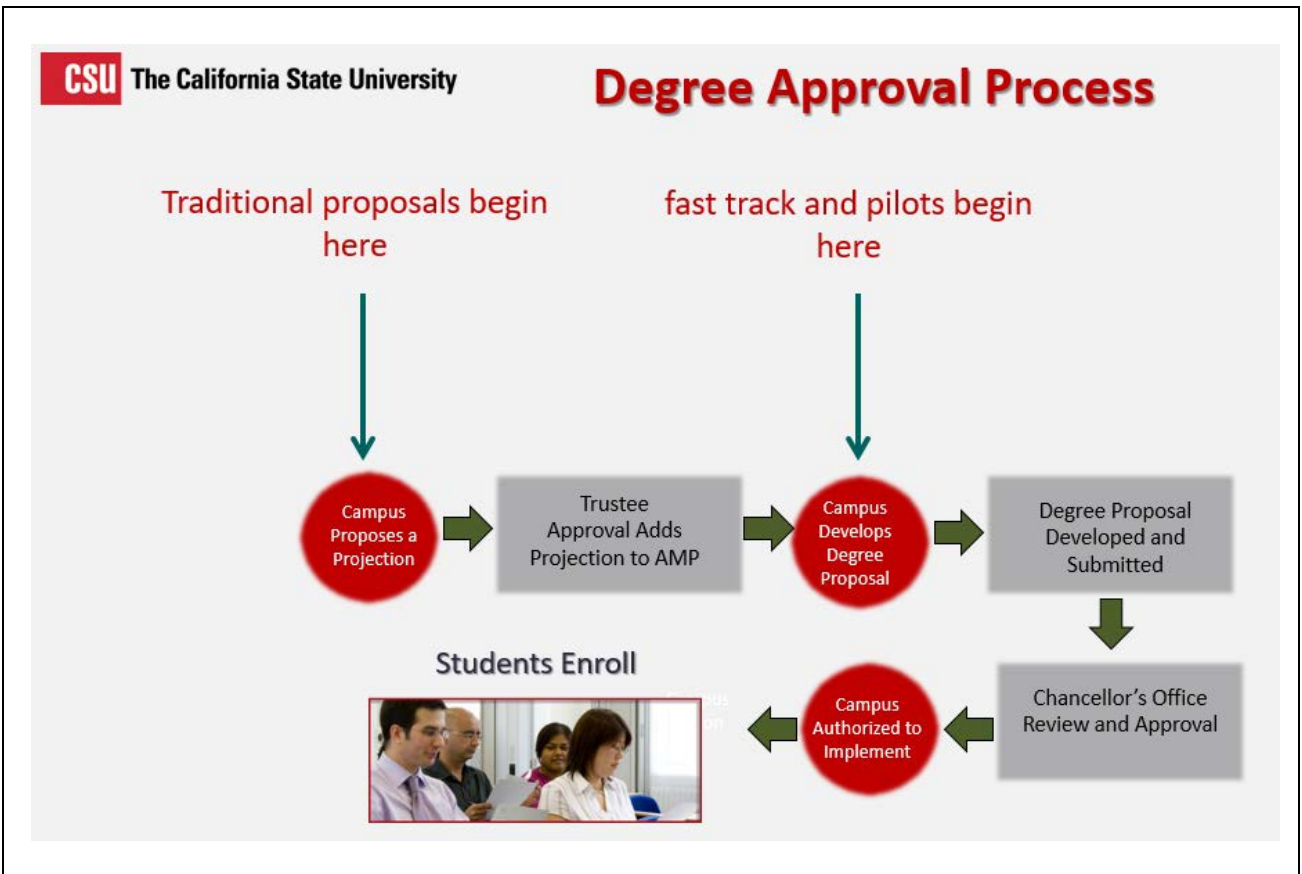
California State University, Stanislaus

Program	First Granted	Renewal Date
Art BA, BFA	1983	2019-20
Business BS, MBA, MS	2003	2017-18
Education MA	1991	2017-18
Genetic Counseling MS	2008	2025-26
Music BA, BM	1981	2022-23
Nursing BS	1986	2019-20 2026-27
Nursing MS	2010	2026-27
Public Administration MPA	1982	2016-17
Social Work MSW	1998, retroactive to class of 1996	2017-18
Theatre Arts BA	1983	2022-23

CSU Degree Proposal, Review and Approval Process

The CSU degree planning process begins with campus departmental plans and ends with a campus enrolling students in the program. Along the way, plans are subjected to review and approval by the campus, the board and the Chancellor's Office. Campuses may pursue one of three approaches to proposal review and approval, depending on the kind of program envisioned. The approaches are: (1) the traditional process; (2) the fast-track process; or (3) the pilot process. Each process will be explained in this review. The traditional process is shown in Illustration 1.

Illustration 1



The Traditional Process

Degree Projections

The traditional process begins with degree projections. Each January, campuses submit projection proposals, which are very general long-term plans to develop and implement a degree program, to the Chancellor's Office for preliminary review. Projection proposals must make a supportable case that the desired degree program will meet the following criteria in order to obtain a Chancellor's Office recommendation for board approval at the March trustees meeting.

Chancellor's Office Projection Review Criteria (All Degree Levels)

1. Degree designation and title (e.g., BS Biochemistry);
2. Date approved by the academic senate;
3. Projected implementation date;
4. Delivery mode: fully face-to-face, hybrid or fully online program;
5. A brief summary of the purpose and characteristics of the proposed degree program;
6. Support mode: state-support or self-support/extended education;
7. Anticipated student demand;
8. Workforce demands and employment opportunities for graduates;
9. Other relevant societal needs;
10. An assessment of the required resources and a campus commitment to allocating those resources; and
11. As applicable:
 - a. If the projection is a pilot program, campuses will list the academic years during which the program will operate in pilot status.
 - b. For new degree programs that are not already offered in the CSU, campuses include a compelling rationale explaining how the proposed subject area constitutes a coherent, integrated degree program that has potential value to students and meets CSU requirements for an academic program at the undergraduate or graduate level.

Additional Criteria for Projected Bachelor's Degree Programs

Projected bachelor's degrees are general, characterized by breadth and are as enduring as possible in content and title, whereas graduate programs are more appropriately specialized.

Resource: http://www.calstate.edu/APP/documents/Breadth_EPR85_13.pdf.

Additional Criteria for Projected Graduate Degree Programs

Master's degree programs should be projected only when the sponsoring department is well established and has achieved a level of quality that has been affirmed by a program review or in subjects for which national accreditation, including review by a visiting team, is available. Further requirements of new graduate programs include that:

1. There are at least five full-time faculty with the appropriate terminal degree;
2. The programs have enrollment sufficient to support offering at least four graduate-level courses each year;
3. Evidence is provided that the department can support the level of research required of a graduate program; and
4. Not less than one half of the units required for the degree shall be in courses organized primarily for graduate students.

Resources:

http://www.calstate.edu/APP/documents/Graduate_Level_EPR_82_39.pdf and

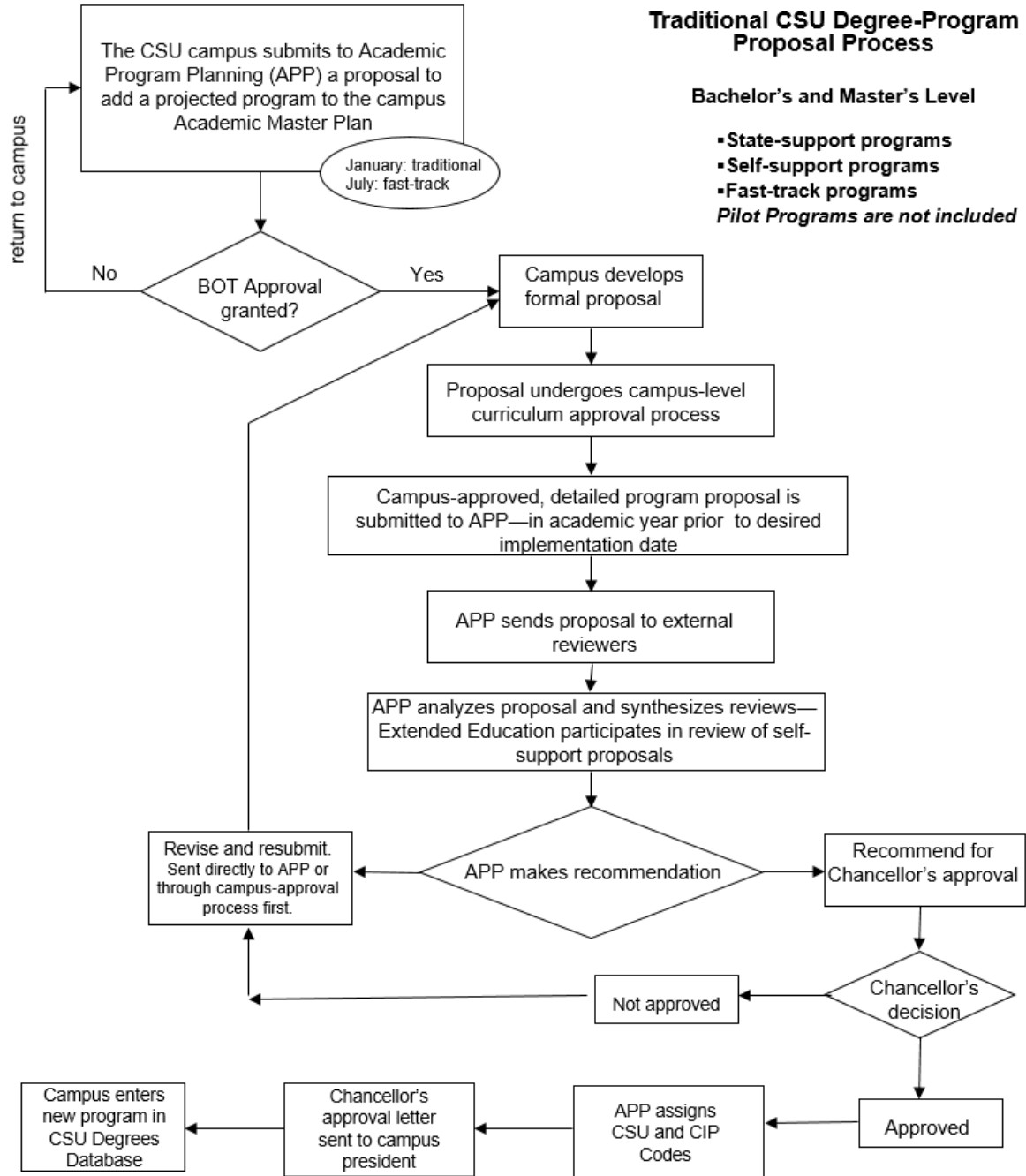
http://www.calstate.edu/APP/documents/AAP_91_04_Recommendations_Graduate_Education.pdf

Degree Program Proposal Development and Review Process

After obtaining board approval for a proposed projection, the campus may begin developing a full detailed degree proposal, which must obtain campus approvals before being submitted for system-level review. Campus-approved degree proposals are reviewed by Chancellor's Office staff who have faculty experience and curriculum-development and review experience. Additionally, as needed, external experts review degree programs that have highly specialized curricular requirements. It is not unusual for the Chancellor's Office to request modifications to the degree requirements or the assessment plans during the review process. Proposals must obtain the chancellor's approval before the degree program can be implemented and enroll students. All degree program proposals are governed by California Education Code and systemwide policy, including Title 5 regulations, executive orders and coded memoranda.

Illustration 2 depicts the process for the "traditional degree program proposal." This process is used for bachelor's, master's and doctoral programs that will be run either through state support or through self-support extended education. In this process, the full degree proposal is submitted to the Chancellor's Office a year ahead of planned implementation.

Illustration 2



Degree Proposal Review Criteria

During the proposal review process, reviewers evaluate proposed programs and resources according to these review criteria.

Faculty

Does the faculty appear qualified to offer this program and at this level? Does the faculty expertise span all appropriate specializations, and are there sufficient faculty members for the projected size of the program? Do they appear to have appropriate research or professional experience? Are the arrangements for administering the program sufficient to ensure that it will operate effectively?

Curriculum

Does the curriculum have appropriate breadth, depth and coherence for an undergraduate or a graduate program in this field? Is it up to date, incorporating the most recent developments in the field? Is it consistent with any pertinent recommendations of professional organizations? Is it responsive to employment opportunities for graduates? If it is a baccalaureate program, would it constitute desirable preparation for graduate or doctoral study in the fields indicated in the proposal? Does the proposed bachelor's degree meet the applicable Bachelor of Arts (BA) and Bachelor of Science (BS) requirements established in Title 5? If the proposal is for a BA or BS degree, does it require no more than 120 units, or does the proposal provide a well-defended rationale for exceeding the Title 5 limit of 120 units for BA and BS degrees? Does the graduate program meet Title 5 section 40510 master's degree requirements?

Resources

Does the description of facilities, equipment and information resources indicate that the campus has the resources (or reliable access to resources) that will be needed for a high-quality program? If not, what information would be minimally necessary to ensure that the resources are adequate? For self-support programs, does the budget contain three-to-five years of operation, showing multiple cohorts? Does it show full cost recovery, and are the student costs within market ranges for similar extension programs?

Assessment of Program Quality and Student Learning

Does the proposal provide an assessment plan that identifies program and student learning goals? Do the student learning outcomes match with the curriculum? Are goals measurable, and will the assessment process be manageable? Is the process meaningful, with assessment results used to influence changes in the curriculum or pedagogy?

State Need and Student Demand

Is a program of this kind needed in California? Is there convincing evidence provided in the proposal to demonstrate student interest in the program and employer demand for graduates? Are the sources of information on need current and credible? If the information on need for the program is not adequate, what other information might we suggest that the campus include in the proposal?

Multi-Year Cost-Recovery Budget (Self-Support Programs)

Does the budget include sufficient years to follow multiple cohorts? Is an appropriate level of student attrition built in? Are costs related to hybrid or online delivery and technical support included for programs not offered entirely in face-to-face mode?

The Fast-Track Process: Combined Projection and Proposal

To review, in the traditional proposal process, a campus requests trustee approval to include a projection on the campus Academic Plan. Subsequent to trustee approval of the projection, the campus may begin developing a degree proposal that will be submitted to the Chancellor's Office for system-level review and approval. In the traditional process, proposals are to be submitted in the academic year preceding planned implementation.

As adopted by the board in July 1997, a "fast-track" process shortens the time to implementation by allowing proposals to be submitted at the same time that the projection is proposed to the trustees. Fast-track proposals still undergo system-level review, and the fast track does not move the proposal through an expedited review process.

Fast-Track Criteria

To be proposed via fast track, a degree program must meet all of the following six criteria:

1. The proposed program could be offered at a high level of quality by the campus within the campus's existing resource base, or there is a demonstrated capacity to fund the program on a self-support basis.
2. The proposed program is not subject to specialized accreditation by an agency that is a member of the Association of Specialized and Professional Accreditors, or it is currently offered as an option or concentration that is already recognized and accredited by an appropriate specialized accrediting agency.
3. The proposed program can be adequately housed without a major capital outlay project.
4. It is consistent with all existing state and federal law, trustee policy and executive orders.
5. It is either a bachelor's or master's degree program.
6. The proposed program has been subject to a thorough campus review and approval process.

Fast-Track Timelines

- The first Monday in January—for July approval
- The second Monday in June—for December approval

Fast-track proposals that are submitted to the Chancellor's Office by the first Monday in January, and that raise no major issues, can be acted on by the Board of Trustees in March, sent through system-level review and could receive Chancellor's Office approval in July.

Proposals that are submitted by the second Monday in June and raise no major issues can be acted on by the Board of Trustees in September, sent through system-level review and could receive Chancellor's Office approval in December.

Submitting Fast-Track Proposals

When submitting an update to the campus Academic Plan, the campus notes any fast-track degree proposals and includes a very brief description of the program and a rationale for offering it through the fast-track process.

Resource: http://www.calstate.edu/app/documents/Fast_Track_Pilot_Programs.pdf

The Pilot Degree Program Proposal Process

In support of the CSU tradition of experimentation in the planning and offering of degree programs, trustee policy established in July 1997 that a limited number of proposals meeting fast-track criteria might be implemented as five-year "pilot programs" without prior review and approval by the board. Instead, the Chancellor's Office conducts a review to confirm that all applicable policy requirements have been met. For self-support pilot programs, the Chancellor's Office also reviews proposed projected budgets to ensure all costs will be recovered through student fees and without relying on state funds.

Pilot-Program Criteria

Pilot degree programs must meet all of the following six criteria:

1. The proposed program could be offered at a high level of quality by the campus within the campus's existing resource base, or there is a demonstrated capacity to fund the program on a self-support basis.
2. The proposed program is not subject to specialized accreditation by an agency that is a member of the Association of Specialized and Professional Accreditors, or it is currently offered as an option or concentration that is already recognized and accredited by an appropriate specialized accrediting agency.
3. The proposed program can be adequately housed without a major capital outlay project.
4. It is consistent with all existing state and federal law, trustee policy and executive orders.

5. It is either a bachelor's or master's degree program.
6. The proposed program has been subject to a thorough campus review and approval process.

Pilot Program Implementation Procedures

1. Prior to implementation, the campus is obligated to (1) notify the Chancellor's Office of plans to establish the program, (2) provide a program description and list of curricular requirements and (3) confirm that each of the six pilot criteria apply to the pilot program.
2. While Chancellor's Office approval is not required, a pilot program must be acknowledged by the Chancellor's Office before the program is implemented.
3. A campus may implement a pilot program without first proposing the projection on the campus Academic Plan. In such cases, the program will be identified as a pilot program in the next annual update of the campus Academic Plan.

Pilot Operational Policy

1. A pilot program is authorized to operate only for five years.
2. If no further action is taken by the end of the five years, no new students can be admitted to the pilot program.
3. The campus is obliged to make appropriate arrangements for students already enrolled to complete the program.

Pilot Conversion Procedures

For the program to continue beyond the five-year limit, the campus must propose to the Chancellor's Office converting the program from pilot to regular status. A pilot program could be converted to regular-program status and approved to continue to operate indefinitely if the following conditions are met:

1. The campus committed the resources necessary to maintain the program beyond five years;
2. A thorough program evaluation (including an on-site review by one or more experts in the field) showed the program to be of high quality; to be attractive to students; and to produce graduates attractive to prospective employers and/or graduate programs, as appropriate; and
3. Approval by the chancellor after review and comment by the Chancellor's Office.

COMMITTEE ON EDUCATIONAL POLICY

Recommended Amendments to Title 5 Regarding Doctor of Nursing Practice Degree Programs

Presentation By

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Executive Vice Chancellor
Academic and Student Affairs

Christine Mallon
Assistant Vice Chancellor
Academic Programs and Faculty Development

Summary

Recent changes in legislation regarding California State University (CSU) Doctor of Nursing Practice (DNP) degree programs require corresponding Title 5 amendments. Proposed revisions are presented as an information item at the March 2018 Board of Trustees meeting and will be presented for action at the May 2018 meeting.

Background

Title 5 amendments to regulations governing CSU DNP programs are proposed in response to Assembly Bill 422, *California State University: Doctor of Nursing Practice Degree Program* (Arambula), which was signed into law by Governor Brown on October 12, 2017, and gave the CSU permanent DNP degree-granting authority. The resulting Education Code changes eliminated four features of the 2010 legislation that temporarily authorized the CSU to award DNP degrees. DNP-related Education Code changes removed the following:

1. Temporary pilot status, which was to expire on July 1, 2018;
2. The limitation to only three campuses operating DNP programs;
3. The restriction to admitting only applicants with earned master's degrees in nursing; and
4. The legislative reporting requirement for DNP programs.

Correspondingly, amendments to the following Title 5 sections are recommended.

- **§ 40050.2 Function: Instruction Leading to the Doctor of Nursing Practice Degree.**
This amendment establishes CSU independent authority to offer DNP degrees permanently.
- **§ 40100.1 Cooperative Curricula.**
This section updates Education Code sections specified in the “Reference” citations.
- **§ 40513 The Doctor of Nursing Practice Degree.**
This section expands previously established DNP degree program scope, allowing post-baccalaureate entry pathway (as well as a post-master’s pathway) and removing the pilot end date. New language establishes an 80 percent residence requirement, in reflection of post-baccalaureate pathway. Revised language reflects professional conventions regarding the doctoral project, changing language from “research” to “evidence-based endeavor,” for example. Further revisions include updating the Education Code sections specified in the “Authority” and “Reference” citations.
- **§ 40514 The Doctor of Nursing Practice Degree: Requirements.**
This section updates Education Code sections specified in the “Authority” and “Reference” citations.
- **§ 41021 Admission to Doctor of Nursing Practice Programs.**
Revised admission requirements allow post-baccalaureate entry (as well as post-master’s entry). Further revisions include updates to Education Code sections specified in the “Authority” and “Reference” citations.

An item will be presented at the May 2018 meeting for board action to adopt the following recommended changes to Title 5.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 1 – General Function

§ 40050.2. Function: Instruction Leading to the Doctor of Nursing Practice Degree.

Notwithstanding Section 40050, the Doctor of Nursing Practice degree may be awarded independently of any other institution of higher education, provided that the program leading to the degree is one of the three pilot programs authorized by the Board of Trustees and satisfies the criteria of Section 40513 and Section 40514.

NOTE: Authority cited: Sections 66040, 66040, 89030, 89035, 89280, and 89281, 89282, 89283 and 89284, Education Code. Reference: Sections 89280, and 89281, 89282, 89283, and 89284, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 2 – Curricula

§ 40100.1. Cooperative Curricula.

Curricula leading to the bachelor's, master's, or doctoral degree may be established cooperatively by two or more campuses. The Chancellor is authorized to establish and from time to time revise such procedures as may be appropriate for the administration of this section.

NOTE: Authority cited: Sections 66600, 89030, and 89035, Education Code. Reference: Sections 66040, 66042, 66600, 89030, 89280; and 89281, 89282, 89283 and 89284, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 7 – Graduate Degrees

§ 40513. The Doctor of Nursing Practice Degree.

(a) California State University programs leading to a Doctor of Nursing Practice degree shall be ~~operated as pilot degree programs, with student enrollment permitted prior to July 1, 2018 and student course work allowed to be completed on or after July 1, 2018~~ distinguished from a University of California Doctor of Philosophy in Nursing program.

(b) ~~The programs shall not supplant nursing programs offered by the CSU at the master's level as of January 1, 2010.~~

(e**b**) California State University Doctor of Nursing Practice degree programs shall conform to the following criteria:

(1) The clinical degree programs in advanced nursing practice shall prepare graduates for leadership and clinical roles and to engage in evidence-based inquiry; and programs may also prepare graduates to serve as faculty in postsecondary nursing education programs.

(2) ~~Programs shall enable professionals to earn the degree while working full time.~~

(3**2**) Programs shall be consistent with the requirements of a professional nursing accrediting body and the regional accrediting association.

(e**c**) Each campus offering a program leading to a Doctor of Nursing Practice degree shall establish requirements for admission to the program. The requirements for admission shall include, at a minimum, the requirements stated in Section 41021.

(e**d**) Programs leading to the Doctor of Nursing Practice degree shall conform to the following specifications:

(1) The curriculum may be organized as a cohort-based program and shall include learning experiences that balance research, theory, and practice. The core curriculum for each DNP program shall provide professional preparation in advanced nursing practice, including but not be limited to theory, application and evaluation of ~~and research findings~~ methods and evaluation, curriculum development and evaluation, professional practice, management and leadership, and essential curricular concepts for advanced nursing at the doctoral level.

(2) The pattern of study for the post-bachelor's degree in nursing to the Doctor of Nursing Practice degree program shall include at least 27 semester units in courses organized primarily for doctoral students, and the remaining units required for the degree shall be in courses organized for specialty advanced nursing coursework as identified by national nursing specialty certification agencies. No more than 12 semester doctoral project units shall be allowed toward the degree program requirements.

(3) The pattern of study for the post-master's Doctor of Nursing Practice degree program shall be composed of at least 36 semester units (~~54 quarter units~~) earned in graduate

standing. At least 27 semester units (~~40.5 quarter units~~) required for the degree shall be in courses organized primarily for doctoral students, and the remaining units required for the degree shall be in courses organized primarily for doctoral students or courses organized primarily for master's and doctoral students.

(34) At least ~~24~~80 percent of required Doctor of Nursing Practice semester units (~~36 quarter units~~) shall be completed in residence at the campus awarding the degree or campuses jointly awarding the degree. The appropriate campus authority may authorize the substitution of credit earned by alternate means for part of this residence requirement. The campus may establish a policy allowing the transfer of relevant coursework and credits completed as a matriculated student in another graduate program, on the condition that the other program is appropriately accredited.

(45) A doctoral qualifying examination or assessment shall be required.

(56) The pattern of study shall include completion of a doctoral project.

(A) The doctoral project shall be the written product of a systematic, rigorous, research evidence-based endeavor focused on a significant ~~advanced~~ nursing practice issue. The doctoral project is expected to contribute to an improvement in professional practices, ~~or~~ policy, ~~or~~ patient outcomes. It shall evidence originality, critical and independent thinking, appropriate form and organization, and adequate rationale.

(B) The doctoral project shall reflect a command of the ~~research~~ scholarly literature and shall demonstrate the student's mastery of evidence-based practice at the doctoral level.

(C) The written component of the doctoral project shall be organized in an appropriate form and shall identify the ~~research~~ problem statement and ~~question(s)~~ purpose, state the major theoretical perspectives, explain the significance of the undertaking, relate it to the relevant scholarly and professional literature, identify the methods of gathering and analyzing the data, and offer a conclusion or recommendation.

(D) No more than 12 semester units (~~18 quarter units~~) shall be allowed for the doctoral project.

(E) An oral ~~defense~~ presentation of the doctoral project shall be required.

NOTE: Authority cited: Sections 66600, 89030, 89035, 89280, and 89281, ~~89282, 89283 and 89284~~, Education Code. Reference: Sections: 66600, 89280, 89281, and 89282, ~~89283 and 89284~~, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 2 – Educational Programs
Article 7 – Graduate Degrees

§ 40514. The Doctor of Nursing Practice Degree: Requirements.

(a) To be eligible for the Doctor of Nursing Practice degree, the candidate shall have completed a program of study that includes a qualifying assessment and a doctoral project consistent with the specifications in subdivision (ed) of Section 40513 and that is approved by the appropriate campus authority. A grade point average of 3.0 (grade of B) or better shall have been earned in courses taken to satisfy the requirements for the degree, except that a course in which no letter grade is assigned shall not be used in computing the grade point average.

(b) Advancement to Candidacy. For advancement to candidacy for the Doctor of Nursing Practice degree, the student shall have achieved classified graduate standing and met such particular requirements as the Chancellor and the appropriate campus authority may prescribe. The requirements shall include a qualifying assessment.

(c) The student shall have completed all requirements for the degree within five years of matriculation into the doctoral program. The appropriate campus authority may extend by up to two years the time for completion of the requirements under the following circumstances;

- (1) the student is in good standing,
- (2) the extension is warranted by compelling individual circumstances, and
- (3) the student demonstrates current knowledge of research and practice in advanced nursing practice, as required by the campus.

NOTE: Authority cited: Sections 66600, 89030, 89035, 89280, and 89281, ~~89283 and 89284~~, Education Code. Reference Sections: 66600, 89030, 89280, and 89281, ~~89283 and 89284~~, Education Code.

Title 5, California Code of Regulations
Division 5 – Board of Trustees of the California State Universities
Chapter 1 – California State University
Subchapter 3 – Admission Requirements
Article 8 – Admission of Post-Baccalaureate and Graduate Students

§ 41021. Admission to Doctor of Nursing Practice Programs.

An applicant may be admitted with classified graduate standing to a program leading to a Doctor of Nursing Practice degree established pursuant to Section 40513 if the applicant satisfies the requirements of each of the following numbered subdivisions:

- (1) The applicant holds an acceptable bachelor's degree in nursing or master's degree in nursing earned at an institution accredited by a regional accrediting association and a national professional accrediting association, as applicable; or the applicant has completed equivalent academic preparation as determined by the appropriate campus authority.
- (2) The applicant has attained a cumulative grade point average of at least 3.0 in an acceptable bachelor's degree in nursing or master's degree in nursing~~program~~ as determined by the appropriate campus authority.
- (3) The applicant maintains active licensure to practice as a registered nurse in the state in which practicum experiences will be completed.
- (4) The applicant meets all requirements for credentialing or certification eligibility as appropriate to the nursing specialty area.
- (5) The applicant has demonstrated sufficient preparation and experience pertinent to ~~advanced~~ nursing practice to be successful in doctoral education.
- (6) The applicant has met any additional requirements established by the chancellor and any additional requirements prescribed by the appropriate campus authority.

NOTE: Authority cited: Sections 66600, 89030, 89035, 89280, and 89281, ~~89283, and 89284~~, Education Code. Reference: Sections 66600, 89030, 89280, and 89281, ~~89283, and 89284~~, Education Code.

COMMITTEE ON EDUCATIONAL POLICY

Academic Preparation

Presentation By

Loren J. Blanchard
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Academic and Student Affairs

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Academic Success and Inclusive Excellence

Background

The California State University (CSU) has a long history of meeting students where they are and supporting them to degree completion. This includes work to improve new students' academic preparation and readiness in mathematics and English; foundational skills students need to be successful throughout their college experience.

Regular collection and reporting of CSU data on new student preparation began with the fall 1995 entering class. Over the past 23 years, the percentage of students considered ready for college-level coursework in both English and mathematics upon entry at the CSU more than doubled.



These improvements are the result of a range of factors, including the dedicated engagement of CSU faculty, the use of multiple measures of student assessment, increased collaboration with K-12 school districts to align curricula and a concerted focus by K-12 teachers to prepare students for college.

As a result of increased preparation efforts in K-12, more students are graduating from high school having completed an a-g curriculum (the coursework that is required to apply to the CSU or University of California) and taken a college entrance exam. The higher education eligibility study, released by the Governor’s Office of Planning and Research in July 2017, found that a record high 43 percent of public high school graduates in 2015 completed the “a-g” pattern of college preparatory courses, with additional gains reported by the California Department of Education in subsequent years.

Through the Early Assessment Program (EAP), the Early Start Program, strong partnerships with K-12 districts and other academic preparation efforts, the CSU continues to provide students an opportunity to begin their first term of college better prepared for the academic challenges and rigor they will encounter throughout their time at the CSU. Recent policy changes to placement and assessment, the Early Start Program and developmental education will further improve student outcomes and help close persistent equity gaps, ensuring that all CSU students receive the support they need while earning college credit beginning their first day on campus.

This annual item provides a progress update on academic preparation including information on EAP efforts, data from the summer 2017 Early Start Program and demonstrated proficiency of fall 2017 first-time freshmen. It also includes updates on the implementation of Executive Order 1110.

Early Assessment Program

In 2001, EAP was developed in collaboration with the State Board of Education, the California Department of Education (CDE) and the CSU in an effort to reduce the need for remediation of entering first-year students. The program provides students in their junior year of high school a measure of their readiness for college-level English and mathematics, and facilitates opportunities for them to improve their skills during their senior year.

To facilitate students' understanding of their EAP results, the CSU has worked with the CDE to provide information regarding the EAP on the score report that students receive after participating in the Smarter Balanced Assessments. In addition, the CSU has created communication pieces for students and families. These materials are sent to every public and charter school in California with enough pieces for all 11th and 12th grade students. The CSU will continue to provide additional information and training to school personnel in the coming year.

Supplemental Preparation/Professional Learning

The Expository Reading and Writing Course (ERWC) is available to seniors at the majority of California high schools. The course focuses on the use of nonfiction and fiction text – both short journalistic pieces and full-length works and novels – emphasizing close reading, argument, critical thinking, rhetoric and analytical writing. It is approved by both the University of California and the CSU as fulfilling the senior-level English course requirement. The number of Fall 2017 freshmen demonstrating proficiency through completion of a senior-level English course rose to 12,793 from the previous high of 9,885 students in fall 2016.

Similarly, the Strengthening Mathematics Instruction (SMI) initiative provides professional learning for teachers to help students prepare for the quantitative reasoning and mathematics knowledge required for college-level coursework. The SMI components are aligned to the new California state standards. The CSU continues to support efforts to design a 12th grade mathematics course similar to the ERWC in collaboration with high school teachers, community college faculty and CSU faculty.

Community College EAP Participation

In 2010, the California Community Colleges (CCC) began accepting the CSU's EAP results on a voluntary basis. Currently, more than 80 community colleges accept results in English and/or mathematics. Over the last year, the CSU has continued to provide training and information both at a state level and regionally to community colleges that are using EAP results for placement

purposes. As community colleges continue to improve their understanding of how the CSU uses the assessment, there will be an increase in the use of EAP to support students beginning their academic careers at the CCC.

Summer 2017 Early Start Program

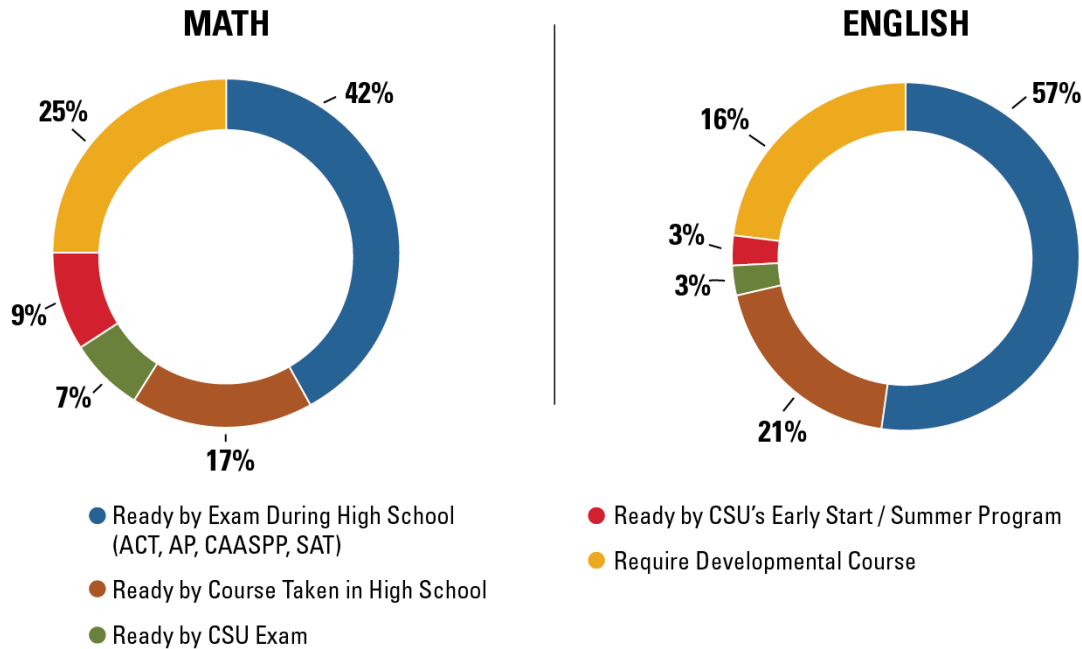
The Early Start Program officially began in summer 2012, following adoption at the March 2010 Board of Trustees meeting. First-time students who do not demonstrate college readiness in mathematics and/or English are required to take Early Start Program coursework in the summer before their first term. Early Start Program courses provide foundational instruction for students in mathematics and English. Students choose from a one-unit introductory course or a three- to four-unit course that provides more in-depth preparation.

In fall 2017, just over 65,000 first-time students enrolled in the CSU and just over 23,000 of these students were required to enroll in Early Start English (ESE) and/or Early Start mathematics (ESM) courses. Just under 8,000 students participated in both. Eighty-seven percent of Early Start Program students enrolled at the campus from which they matriculated in the fall. The majority of students elected to take the one-unit course (84 percent in English and 50 percent in mathematics).

Ninety-three percent of the 10,746 ESE-enrolled students and 93 percent of the 20,184 ESM-enrolled students satisfactorily met the Early Start Program participation requirement. In addition to meeting the participation standard, nearly 2,000 students finished their developmental college preparation in English and nearly 5,000 finished their developmental college preparation in mathematics as a result of summer 2017 Early Start Program course completion.

Fall 2017 First-time Students Preparation at Entry

The CSU has long used multiple measures to assess readiness. Entering CSU first-time students have the opportunity to demonstrate readiness for college-level mathematics and English as early as their junior year of high school. For fall 2017, options included: EAP, standardized tests in high school, senior experiences augmenting testing in the junior year, Entry-Level Mathematics (ELM) or English Placement Test (EPT) at the end of the senior year, high school coursework, college-level coursework or Early Start Program coursework. The chart on the next page shows how the fall 2017 entering class demonstrated preparation in mathematics and English.



The entering class of fall 2017 was the best-prepared CSU class as it exited high school, up five percentage points from the previous year. Sixty-one percent graduated high school college-ready in both English and mathematics. Following the summer 2017 Early Start Program, 69 percent of the class were prepared for both college-level mathematics and English when they began their fall term, making this class the best-prepared CSU class at entry.

Academic Preparation Trends

The regularly admitted freshman class has grown from just under 55,000 students in fall 2011 to just over 65,000 students in fall 2017. The percentage of the entering freshman class determined to be college-ready in both English and mathematics at the point of graduation from high school – prior to the Early Start Program – has increased from 52 percent (fall 2011) to 61 percent (fall 2017).

The Early Start Program provides CSU campuses with a final opportunity prior to the fall term to increase the number of first-time students prepared for college-level mathematics and English. In summer 2011, existing CSU programs improved proficiency in both English and mathematics by two percentage points, resulting in 54 percent of the 2011 CSU freshman class starting their first term college-ready in English and mathematics. Comparatively, summer 2017 Early Start courses increased proficiency in both English and mathematics by eight percentage points, resulting in 69 percent of the entering freshman class prepared for college-level English and mathematics.

Regularly Admitted First-Time Freshmen: Preparation at Fall Entry (Student Count)

Cohort Entering	Prepared: Both	Needs Additional Preparation: English	Needs Additional Preparation: Math	Needs Additional Preparation: Both	Grand Total
Fall 2011	29,179	6,945	7,026	11,328	54,478
Fall 2012	30,924	7,641	6,085	11,042	55,692
Fall 2013	34,639	8,186	6,493	11,274	60,592
Fall 2014	37,249	8,362	6,729	10,600	62,940
Fall 2015	39,315	7,332	7,385	10,367	64,399
Fall 2016	38,518	5,815	8,871	8,553	61,757
Fall 2017	44,782	3,966	10,036	6,570	65,354

Regularly Admitted First-Time Freshmen: Preparation at Fall Entry (Percentage)

Cohort Entering	Prepared: Both	Needs Additional Preparation: English	Needs Additional Preparation: Math	Needs Additional Preparation: Both	Grand Total
Fall 2011	54%	13%	13%	21%	100%
Fall 2012	56%	14%	11%	20%	100%
Fall 2013	57%	14%	11%	19%	100%
Fall 2014	59%	13%	11%	17%	100%
Fall 2015	61%	11%	11%	16%	100%
Fall 2016	62%	9%	14%	14%	100%
Fall 2017	69%	6%	15%	10%	100%

Percentages subject to rounding.

Executive Order 1110

In August 2017, the CSU enacted changes to systemwide policies aimed at: a) revising its assessment protocol used to determine college readiness and course placement in the first year; b) strengthening its Early Start Program to offer students college credit in the summer before their first term; and c) reforming its approach from developmental education (i.e. transitioning from non-credit-bearing prerequisite courses to college-level, credit-bearing courses with academic support).

The transition of these elements stemmed from assessments of current CSU practices coupled with strong research and outcomes from institutions in other states that have made similar shifts in addressing academic preparation. For example, at Fairmont State University in West Virginia – a public comprehensive university of 4,000 students of which half receive Pell grants – the number of students completing entry-level mathematics courses jumped from 28 percent to 82 percent after the university moved to providing co-requisite-based courses. Similar student outcomes were found in Connecticut, where legislation required colleges to redesign developmental education. And, at the University System of Georgia – which is comprised of 28 colleges and universities enrolling more than 321,000 students – student outcomes data demonstrate that students with the same levels of academic preparation are twice as likely to pass college-level, credit-bearing courses than developmental education courses, when given a chance and when appropriate academic support supplements the course.

Additionally, the majority of CSU campuses have implemented significant reforms to approaches in developmental English instruction. The new policy builds on these models. A draft executive order was published and circulated for feedback from campus constituents in late spring 2017. The final policy reflects the collective guidance of experts from around the CSU and the nation.

Improving Assessment of College Readiness

Executive Order 1110 improves assessment and the determination of readiness for incoming students. The policy calls for lessening the reliance on standardized placement exams and instead, incorporating high school grades, courses and grade point average. High school grades, when used as one of multiple measures of assessment, are shown to be a stronger predictor of how likely students are to achieve course outcomes over an academic term. Placing a greater emphasis on previous classroom performance is expected to improve student assessment and help customize academic support.

Strengthening the Early Start Program

Currently, students who are identified by the CSU assessment protocol as underprepared academically are required to participate in the CSU Early Start Program in the summer immediately preceding their first term. This traditional one-unit experience is designed to allow students to get a head start on the developmental education sequence they are required to take; however, Early Start units do not count toward a degree.

Effective summer 2019, students assigned to the Early Start Program will be placed in college-level, credit-bearing courses with academic support attached to – or embedded in – the course. This will provide intensive summer experiences that build academic skills while allowing students to earn college credit if they successfully complete the course. College credit earned in any CSU Early Start Program will be recognized by (and transferable to) any other CSU campus.

Restructuring Developmental Education

Effective fall 2018, the CSU will no longer require students to take non-credit-bearing prerequisite courses before enrolling in college-level, credit-bearing courses. These prerequisites have historically been referred to as remedial or developmental education courses.

Moving beyond the traditional model of prerequisite, non-credit-bearing developmental education courses, the CSU is supporting faculty to design entry-level college courses with appropriate support structures. Throughout the 2017-18 academic year, faculty and campus leaders across the CSU continue to work to redesign college-level courses to serve students more effectively. Students who need additional academic support – as identified by the revised assessment policy described above – will receive support while taking a college-level, credit-bearing general education course.

Implementation

Executive Order 1110 is in the process of being implemented systemwide. Based on historic student data, the CSU is implementing new systems for the expanded use of multiple measures, including high school grades and high school coursework. As such, the ELM and EPT exams have been eliminated, effective summer 2017.

CSU faculty and staff are working diligently to redesign mathematics and English courses to ensure that all students are able to begin taking college-level, credit-bearing courses their first day on campus. The Office of the Chancellor is providing support to campus faculty and administrators to implement the recent policy changes, reallocating \$10 million to campuses from one-time sources to support faculty and professional development. For example, in August 2017, the Office of the Chancellor held a two-day Co-Requisite Mathematics Summit. More than 200 individuals from across the CSU participated. A series of webinars have also been held with faculty who have successfully redesigned mathematics or English courses. A calendar of professional development opportunities related to academic preparation is available on the [academic preparation website](#).

At some campuses, mathematics/quantitative reasoning courses have already been redesigned and have completed the curricular approval process. For example, CSU East Bay has redesigned several courses, including Calculus 1. CSU Fresno has concluded its redesign of Calculus for Life Sciences, and CSU Monterey Bay has redesigned its Finite Math course. These are just several examples of courses that have been redesigned using instructional models that attach or embed academic support.

Additionally, the CSU is partnering with an objective external evaluation team to conduct formative and summative implementation assessments. Consultation is underway with various CSU stakeholders including the Academic Senate CSU to inform the evaluation plan.

Summary

Given the CSU's commitment to opportunity and excellence, we have an obligation to ensure that every student who is willing to work hard has the best possible chance to earn a degree. The data indicates that the Early Assessment Program and Early Start Program, in combination with other academic preparation efforts, continue to provide students with the opportunity to begin their first term better prepared for the academic rigor they will face at the CSU.

In order to accelerate this progress, ensure all students have the opportunity to be successful and achieve the Graduation Initiative 2025 goals, the CSU has prioritized the implementation of the recent policy changes to assessment and placement, the Early Start Program and developmental education. The Office of the Chancellor and campus administrations remain committed to ensuring faculty and staff have the tools, resources and support needed to fully implement these policies and ensure that all CSU students are able to receive the support they need while earning college credit starting their first day on campus.

AGENDA

COMMITTEE ON GOVERNMENTAL RELATIONS

Meeting: 2:30 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

J. Lawrence Norton, Chair
Douglas Faigin, Vice Chair
Silas H. Abrego
Adam Day
Debra S. Farar
Jean P. Firstenberg
Lillian Kimbell
Thelma Meléndez de Santa Ana
Jorge Reyes Salinas
Romey Sabalius
Lateefah Simon

Consent 1. Approval of Minutes of the Meeting of January 30, 2018, *Action*
Discussion 2. State Legislative Update, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON GOVERNMENTAL RELATIONS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 30, 2018

Members Present

J. Lawrence Norton, Chair
Silas H. Abrego
Adam Day
Rebecca D. Eisen, Chair of the Board
Debra S. Farar
Jean P. Firstenberg
Lillian Kimbell
Thelma Meléndez de Santa Ana
Jorge Reyes Salinas
Romey Sabalius
Lateefah Simon
Timothy P. White, Chancellor

Trustee Norton called the meeting to order.

Approval of Minutes

The minutes of September 20, 2017, were approved as submitted.

State Legislative Update

Mr. Garrett Ashley, vice chancellor for university relations and advancement, reported that the legislature recessed last September with 977 bills signed into law, including the three Board of Trustees sponsored bills.

Ms. Kathleen Chavira, assistant vice chancellor for advocacy and state relations, provided an overview of budget advocacy activities and an update on legislation affecting the CSU and higher education.

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The trustees discussed budget advocacy strategies.

Federal Update

Mr. Ashley reported that the CSU was heavily engaged in advocacy in 2017 with the successful promotion of Year-Round Pell, fighting for Dreamers and dealing with tax reform.

Mr. Jim Gelb, assistant vice chancellor for federal relations, provided an update on CSU activities and higher education policy in Washington, including the FY 2018 federal budget, the status on DACA reform and the reauthorization of the Higher Education Act.

Trustee Norton adjourned the meeting.

COMMITTEE ON GOVERNMENTAL RELATIONS

State Legislative Update

Presented By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Kathleen Chavira
Assistant Vice Chancellor
Advocacy and State Relations

Summary

The legislative bill introduction deadline was February 16. In total, 2,293 measures were introduced this year and the Advocacy and State Relations (ASR) staff has identified nearly 436 for further review or monitoring. ASR staff are working diligently with colleagues in the Chancellor's Office to determine the potential impact of these bills on the CSU and its students, faculty and staff. In this presentation, we will provide highlights of legislative bill trends and review bills of interest to the CSU community.

The report is organized as follows:

- Newly introduced Senate bills
- Newly introduced Assembly bills
- Two-year bills

All bill statuses and positions are accurate as of March 6, 2018.

Newly Introduced Senate Bills

SB 940 (Beall) – Student Financial Aid: Cal Grant Program: Foster Youth

This bill increases access to the Cal Grant program for foster youth by 1) authorizing eligible foster youth to receive a Cal Grant if they submit a complete financial aid application by July 1 of the year they turn 26; 2) extending the length of time a foster youth is eligible for the Cal Grant B from four years to eight years; and 3) changing the deadline for the Cal Grant entitlement application from March 2 to September 2 for foster youth applying to community college.

- **CSU Position:** Neutral
- **Status:** This bill is in the Senate Education Committee and will be heard on March 14.

SB 968 (Pan) – Mental Health Counselors

This bill requires all higher education institutions to have a ratio of one mental health counselor for every 1,000 students.

- **CSU Position:** Pending
- **Status:** This bill is in the Senate Education Committee and will be heard on March 21.

SB 1004 (Wiener) – Mental Health Services Act: Prevention and Early Diagnosis

This bill requires counties to spend Proposition 63 Mental Health Services Act funds on college mental health outreach, engagement and services.

- **CSU Position:** Pending
- **Status:** This bill is in the Senate Health Committee.

SB 1225 (Glazer) – Higher Education Facilities Bond Act of 2018

This bill places a bond on the November 2018 ballot, which if approved by the voters, would authorize \$4 billion in bonds to be equally divided between the UC and CSU.

- **CSU Position:** Support
- **Status:** This bill is in the Senate Education Committee and will be heard on March 21.

SB 1344 (Moorlach) – Education Expenses: Education Savings Account Act of 2020

Among other provisions, this bill prohibits the UC and CSU from admitting a nonresident applicant at the freshman or sophomore level unless and until the Regents/Trustees determine there are no resident applicants from specified groups who meet the eligibility requirements for admission to that segment. This provision would become operative January 1, 2019, pending the approval by voters of a Senate Constitutional Amendment.

- **CSU Position:** Pending
- **Status:** This bill is in the Senate Education Committee and will be heard on April 4.

SB 1351 (Hernandez) – California State University: Reports

The bill requires the CSU Chancellor's Office to submit an annual report to the Legislature on student success activities as well as hiring, wages and position classifications.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Senate.

SB 1381 (Nielsen) – Public Postsecondary Education: Campus Free Expression Act

This bill mandates that outdoor areas of public colleges and universities are traditional public forums with certain free speech guarantees. The bill allows the Attorney General or any other person to seek a monetary award of up to \$5,000 for any violation of this law.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Senate.

SB 1388 (Anderson) – Postsecondary Education: Forming Open and Robust University Minds Act

This bill guarantees the rights of freedom of speech at all public colleges and universities.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Senate.

SR 84 (De Leon) – Relative to the California Dream Act

This resolution urges Dreamer students to apply for college and financial aid under the CA Dream Act.

- **CSU Position:** Support
- **Status:** This bill passed the Senate.

Newly Introduced Assembly Bills

AB 1803 (Choi) – Postsecondary Education: Career Placement and Job Search Services for Graduates

This bill requires postsecondary institutions that provide baccalaureate degrees to offer career and job placement services at no cost for five years after graduation.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee and will be heard on March 13.

AB 1887 (Medina) – Public Education Governance: Service on Boards and Commissions

This bill authorizes any AB 540 student attending a campus of the CCC, CSU or UC to serve on any board or commission established pursuant to the portion of the Education Code relating to higher education.

- **CSU Position:** Support
- **Status:** This bill is in the Assembly Higher Education Committee and will be heard on March 13.

AB 1894 (Weber) – Postsecondary Education – Student Hunger

This bill authorizes the Department of Social Services to enter into a statewide memorandum of understanding with the chancellor so that campuses can participate in the California Restaurant Meals Program even if the county does not participate in the program.

- **CSU Position:** Support
- **Status:** This bill is in the Assembly Higher Education Committee and will be heard on March 13.

AB 1895 (Calderon) – California DREAM Loan Program: Repayment, Deferment, and Forbearance

This bill requires each CSU campus to create an income-based repayment option for student recipients of DREAM loans by January 1, 2020.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee and will be heard on March 13.

AB 1896 (Cervantes) – Sexual Assault Counselors-Victim Privileges

This bill expands the definition of sexual assault counselor to include sexual assault counselors at public higher education institutions who meet specified criteria, thereby extending them the right of privilege regarding confidential disclosures.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Assembly.

AB 1961 (Choi) – Postsecondary Education: Student Housing and Meal Plans

This bill requires each institution of higher education to 1) separately list the cost of university-operated housing and meal plans on all websites and documents it provides to students regarding student costs of university-operated housing and 2) prohibits each institution from requiring students to have a campus meal plan in order to live in university-operated housing.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee and will be heard on March 13.

AB 2081 – (Melendez) Campus Free Speech Act

This bill requires higher education institutions to adopt a policy on freedom of speech utilizing specified guidelines.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2220 (Bonta) – Student Athletes Bill of Rights

This bill expands existing law to require that all Division 1 and 2 schools offer scholarship replacements and other benefits (to be paid from media revenues) to student athletes who suffer an incapacitating illness or injury as a result of participation in the athletic program.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Arts, Entertainment, Sports, Tourism and Internet Media Committee.

AB 2248 (McCarty) – Student Financial Aid: Cal Grant Program

This bill redefines “full-time” for purposes of Cal Grant eligibility to 15 or more semester units, or 30 or more units in an academic year, beginning in the 2022-2023 academic year.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Assembly.

AB 2306 (Santiago) – Student Financial Aid: Cal Grant Program

This bill increases the total award period for Cal Grant awards from four to six years for community college students who transfer to a four-year institution.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2391 (Harper) – Student Health: Identification Cards: Suicide Prevention Telephone Numbers

This bill requires the CSU and the CCC, and requests the UC, to include the National Suicide Prevention Lifeline phone number on the back of campus issued student identification cards.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2408 (Weber) – California State University: Ethnic Studies

Commencing with 2019-2020 academic year, this bill requires 1) the CSU to offer courses in ethnic studies at each campus and 2) CSU students to complete one three-unit ethnic studies course as a graduation requirement.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2477 (Rubio) – Student Support Services: Dream Resource Liaisons

This bill requires the CSU and CCC, and requests the UC, to designate a Dream Resource Liaison at each campus.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2479 (Voepel) – Public Postsecondary Education: Income Share Agreement: Pilot Program

Commencing with the 2020-2021 academic year, this bill requires the University of California, Los Angeles and California State University, Sacramento to establish a pilot program to waive tuition for participating students who enter into an income share agreement with the university. Implementation of the pilot program is contingent upon the appropriation of funds in the annual Budget Act.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2505 (Santiago) – California State University: Budget Oversight Policies

This bill requires each CSU campus to submit a report to the Chancellor's Office regarding its budget oversight policies, as well as its expenditures and state appropriations received in the 2017-2018 academic year. The Chancellor's Office is then required to submit a consolidated report to the Legislature and Department of Finance that includes specified information. The bill also requires the State Auditor to audit the CSU by December 30, 2019, and every three years thereafter.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2563 (Patterson) – Student Financial Aid: Cal Grant B and Cal Grant C Awards: Financial Aid Book Advance Program

This bill requires each Cal Grant participating institution, beginning with the 2019-2020 academic year, to implement a financial aid book advance program. The program would provide credit to an institution's campus bookstore so that students receiving Cal Grant B or Cal Grant C awards are able to purchase books and educational materials before funds are disbursed to students.

- **CSU Position:** Pending
- **Status:** This bill is in the Assembly Higher Education Committee.

AB 2771 (Eggman) – Education Finance: Higher Education Bond Act of 2018

This bill declares the intent of the Legislature to enact the Higher Education Facilities Bond Act of 2018 to assist in meeting capital outlay needs for the CSU and UC, and specifies the intent that these funds be used for student housing, deferred maintenance and new campuses.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Assembly.

AB 2784 (Caballero) – California State University: Emergency Student Housing Loan Program

This bill establishes the Emergency Student Housing Loan Program, beginning with the 2019-2020 academic year, at three CSU campuses, subject to a state appropriation.

- **CSU Position:** Pending
- **Status:** This bill is awaiting referral in the Assembly.

AB 3213 (Bonta) – Postsecondary Education: Cost of Attendance: Fiscal Matters

This bill expands the information CSU campuses must provide students as part of the calculation of student's cost of attendance, to include items such as the cost of a laptop computer, extracurricular activities and health care.

- **CSU Position:** Tracking
- **Status:** This bill is awaiting referral in the Assembly.

Two-year bills

SB 183 (Lara) – State Buildings: Federal Immigration Agents

This bill prohibits federal immigration enforcement agents, officers, or personnel from entering a building owned by the state, including the CSU, in order to perform surveillance, effectuate an arrest, or question an individual, without a valid federal warrant.

- **CSU Position:** Pending
- **Status:** This two-year bill is awaiting referral in the Assembly.

SB 244 (Lara) – Privacy: Agencies: Personal Information

This bill restricts the manner in which any state entity, including the CSU, can utilize and keep personal information received from an applicant for public services or programs.

- **CSU Position:** Support
- **Status:** This two-year bill is on the Assembly Inactive File.

SB 320 (Leyva) – Public Health: Postsecondary Education: On Campus Student Health Centers: Abortion by Medication Techniques

This bill requires the CSU and UC campuses' health centers to offer abortion by medication to its students by January 2022, if adequate private funding has been collected by the State Treasurer's Office. The Treasurer and a newly created council are responsible for ensuring training and medical equipment are provided to each campus that requests support through a grant proposal process. While community colleges and other private universities are not mandated to provide this service, they may seek a grant after the CSU and UC campuses are funded for this purpose.

- **CSU Position:** Pending
- **Status:** This two-year bill is awaiting referral in the Assembly.

SB 346 (Glazer) – The California Promise

This bill authorizes the trustees to provide specified grants or a tuition freeze to students who participate in the Promise program subject to the provisions of funding for this purpose. The bill also requires the CSU to waive systemwide tuition fees for a participating student unable to complete their degree within 4 years, due to limited space or no course offerings.

- **CSU Position:** Neutral
- **Status:** This bill is in the Assembly Higher Education Committee.

SB 573 (Lara) – Student Financial Aid: Student Service Programs

This bill authorizes the three segments of public higher education to provide student service programs for students in exchange for grants, fee waivers and reimbursements.

- **CSU Position:** Neutral
- **Status:** This two-year bill is on the Assembly Inactive File.

SB 577 (Dodd) – Community College Districts: Teacher Credentialing Programs of Professional Preparation

This bill expands the authority to offer professional preparation for teacher credentialing programs to include campuses of California Community Colleges.

- **CSU Position:** Oppose
- **Status:** This two-year bill was set but never heard by the Assembly Higher Education Committee.

SB 691 (Lara) – Educational Equity: Immigration Status

This bill adds “immigration status” to the list of characteristics for which equal rights and opportunities are provided at postsecondary educational institutions in California.

- **CSU Position:** Pending
- **Status:** This two-year bill is awaiting referral in the Assembly.

SB 769 (Hill) – CCC Baccalaureate Degree Pilot Program

This bill extends the sunset date of the California Community College Baccalaureate Degree Pilot Program from 2023 to 2028.

- **CSU Position:** Neutral
- **Status:** This two-year bill was held in the Assembly Appropriations Committee.

AB 387 (Thurmond) -- Minimum Wage: Health Professionals: Interns

This bill requires all allied health (non-nursing) students be paid California’s minimum wage for clinical hours that are needed to complete their degrees. This would increase costs for our allied health programs, reduce access to required clinical placements and could result in the reduced production of allied health workers.

- **CSU Position:** Oppose
- **Status:** This two-year bill died on the Assembly Inactive file.

AB 809 (Quirk-Silva) – Veterans’ Priority Registration for Enrollment

This bill requires priority registration for enrollment for members and former members of the Armed Forces of the United States and the State Military Reserve be applied notwithstanding any other law.

- **CSU Position:** Tracking
- **Status:** This two-year bill is awaiting referral in the Senate.

AB 847 (Bocanegra) – Academic Senates: Membership

This bill requires the Academic Senate to post its membership on its website and to also make the demographic information on their membership, including, race, gender and ethnicity available on request.

- **CSU Position:** Neutral
- **Status:** This two-year bill was set but never heard by the Senate Education Committee.

AB 1062 (Levine) – Trustees of the CSU

The bill allows the second non-voting student member of the Board of Trustees the right to vote as a full member of the Board. The bill also adds an additional faculty member to the Board.

- **CSU Position:** Tracking
- **Status:** This two-year bill is on the Senate Inactive File.

AB 1231 (Weber) – California State University: Support Staff Merit Salary Adjustment

This bill requires the CSU to provide all eligible support staff employees with an automatic merit salary adjustment of five percent annually.

- **CSU Position:** Oppose
- **Status:** This two-year bill is awaiting referral in the Senate.

AB 1435 (Gonzalez Fletcher) – Student Athletes: The College Athlete Protection Act

This bill creates the Athletic Protection Commission, an 11-member body appointed by the Assembly, Senate and the Governor, with the goal of protecting student athletes. The commission will be funded by fees paid by participating institutions. The commission would have the ability to enact regulations and penalties that could include civil penalties, temporary or permanent employment prohibition in higher education, or other penalties imposed by the commission.

- **CSU Position:** Oppose
- **Status:** This two-year bill was set but never heard by Senate Business, Professions and Economic Development Committee.

AGENDA

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Meeting: 3:00 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

Silas H. Abrego, Chair
Jean P. Firstenberg, Vice Chair
Debra S. Farar
Hugo N. Morales
J. Lawrence Norton

- Consent** 1. Approval of Minutes of the Meeting of January 30, 2018, *Action*
- Discussion** 2. Naming of The Vincent E. Petrucci Viticulture Building – California State University, Fresno, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON INSTITUTIONAL ADVANCEMENT
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

January 30, 2018

Members Present

Silas H. Abrego, Chair
Jean P. Firstenberg, Vice Chair
Rebecca D. Eisen, Chair of the Board
Debra S. Farar
Hugo N. Morales
J. Lawrence Norton
Timothy P. White, Chancellor

Trustee Abrego called the meeting to order.

Approval of Minutes

The minutes of November 7, 2017, were approved as submitted.

Naming of The Ernest E. Tschannen Science Complex – California State University, Sacramento

Mr. Garrett Ashley, vice chancellor for university relations and advancement, reported that the proposed naming recognizes the \$9 million pledge by Mr. Tschannen to support building costs for the new science center at Sacramento State.

Mr. Tschannen was present, and Sacramento State President Robert S. Nelsen and Chancellor Timothy P. White thanked him for his generosity to the university and the community.

The committee recommended approval by the board of the proposed resolution (RIA 01-18-01) that the Science Complex at California State University, Sacramento, be named The Ernest E. Tschannen Science Complex.

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Naming of the Oppenheimer Family Riding Pavilion, Oppenheimer Family Stallion Barn and Oppenheimer Family Foaling Barn – California Polytechnic State University, San Luis Obispo

Mr. Ashley and Cal Poly San Luis Obispo President Jeffrey D. Armstrong reported that the proposed naming recognizes the \$20 million gift by Peter and Mary Beth Oppenheimer for the newly constructed equestrian facilities at Cal Poly San Luis Obispo.

The committee recommended approval by the board of the proposed resolution (RIA 01-18-02) that the agricultural facilities at California Polytechnic State University, be named as the Oppenheimer Family Riding Pavilion, the Oppenheimer Family Stallion Barn and the Oppenheimer Family Foaling Barn.

Annual Report on Donor Support for 2016-2017

Mr. Ashley reported that the CSU had another record-breaking year with over \$500 million in gifts commitments. Ms. Lori Redfearn, assistant vice chancellor for systemwide advancement, presented highlights from the donor report, including grant activity at the Chancellor's Office. William A. Covino, president of California State University, Los Angeles, shared how Cal State LA has utilized the Advancement Academy to build a culture of philanthropy.

Trustee Firstenberg shared her experience at the recent Advancement Academy workshop. Trustee Abrego noted that the trustees want to be helpful in fundraising efforts.

The committee recommended approval by the board of the proposed resolution (RIA 01-18-03) that the Annual Report on Donor Support for 2016-2017 be adopted for submission to the California Joint Legislative Budget Committee and the California Department of Finance.

Trustee Abrego adjourned the meeting.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of The Vincent E. Petrucci Viticulture Building – California State University, Fresno

Presentation By

Garrett P. Ashley
Vice Chancellor
University Relations and Advancement

Joseph I. Castro
President
California State University, Fresno

Summary

This item will consider naming the Viticulture Building at California State University, Fresno as The Vincent E. Petrucci Viticulture Building.

This proposal, submitted by California State University, Fresno, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Facilities and Properties, including approval by the system review panel and the campus academic senate.

Background

The proposed naming recognizes the distinguished service and leadership of Vincent E. Petrucci and his successful fundraising campaigns for the Viticulture Building.

Vincent Petrucci's career in academia began in 1948 as Fresno State's first viticulture professor. His career continued until his passing in 2016 as emeritus faculty and research center director.

Petrucci spent his 68-year career as a champion for Fresno State. He played pivotal roles in developing both academic programs and a robust and collaborative research program in viticulture and enology. He developed deep and meaningful relationships with industry partners and led multiple successful fundraising efforts to support students and faculty as well as capital projects, all the while supporting the needs of the grape and wine industry.

Most notable among Petrucci's successful fundraising campaigns was a capital project, which led to the Viticulture building, which houses state-of-the art teaching and research laboratories, a discipline-specific library to serve students and industry, faculty offices and the Viticulture and Enology Research Center. This multi-phase building campaign raised over \$1.5 million from hundreds of alumni and friends.

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In the San Joaquin Valley, where nearly all raisins in the United States are produced, Petrucci worked with industry leaders in the 1970s to develop today's mechanical harvesting equipment. Additionally, Petrucci coauthored the book, *A Treatise on Raisin Production, Processing and Marketing*, which is the preeminent text used worldwide by college and high school students as well as by raisin producers and processors and equipment manufacturers.

After his retirement in 1994, Petrucci received an honorary doctorate in science from the CSU, the California State Fair's Lifetime Achievement Award for his contributions to the grape and wine industry and an honorary academician diploma by the International Academy of Sensory Analysis in Piacenza, Italy.

One of the honors that he cherished the most, and was most humbled by, was when countless alumni and wine and grape industry partners donated nearly \$600,000 in his honor to endow a fund in his name to support the viticulture program at CSU Fresno. Today, that fund stands at more than \$900,000 and yearly gifts continue to be made by alumni and friends.

Recommended Action

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the Viticulture Building at California State University, Fresno be named as The Vincent E. Petrucci Viticulture Building.

AGENDA

COMMITTEE ON AUDIT

Meeting: 3:20 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

Douglas Faigin, Chair
Hugo N. Morales, Vice Chair
Silas H. Abrego
Lillian Kimbell
Peter J. Taylor

- Consent** 1. Approval of Minutes of the Meeting of January 31, 2018, *Action*
- Discussion** 2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON AUDIT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2018

Members Present

Hugo N. Morales, Vice Chair
Silas H. Abrego
Lillian Kimbell
Peter J. Taylor
Rebecca Eisen, Chair of the Board
Timothy P. White, Chancellor

Trustee Hugo Morales called the meeting to order.

Approval of Minutes

The minutes of November 8, 2017, were approved as submitted.

Status Report on Current and Follow-up Internal Audit Assignments

With the concurrence of the committee, Trustee Morales presented agenda item 2 as a consent information item.

Calendar Year 2018 Plan for Audits, Advisory Services, and Investigations

Vice Chancellor and Chief Audit Officer, Larry Mandel, provided information regarding the 2018 calendar year plan for audits, advisory services, and investigations. He indicated that the audit plan for calendar year 2018 constitutes year two of the phase-in of the new organization structure.

Mr. Mandel provided an overview of the audit plan allocation, with audits representing the largest portion of the plan at 72 percent and consisting of general audits, information technology audits, sponsored programs audits, and construction audits. Mr. Mandel explained that the next largest portion of the plan representing 12 percent is advisory services. He reminded the trustees that advisory services was introduced as a new function in 2013 and continues to be well received within the system. He explained that its goal is to essentially prevent risk, rather than detecting problems after the fact. Mr. Mandel noted that the final components of the plan are investigations representing 6 percent, staff time for committees and special projects representing 1 percent, and audit support representing 9 percent.

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Trustee Morales called for a motion to approve the committee resolution (RAUD 01-18-01). A motion was made, there was a second, and the resolution was approved.

Report of the Systemwide Financial Statements and Single Audit of Federal Awards Including the Report to Management

Assistant Vice Chancellor and Controller Mary Ek presented a summary of revenues and expenses from fiscal year 2016-2017 by category. The total net position as of June 30, 2017 decreased by \$3 billion from the previous year. There were no audit findings in the systemwide financial statements. Out of the 90 auxiliary organizations there were six auxiliary organizations that had audit findings in fiscal year 2016-2017. The Chancellor's Office is following up with each auxiliary organization's board of directors to ensure appropriate corrective actions are taken.

Ms. Ek noted that the Office of Management and Budget requires a separate audit of federal funds received by the system and indicated that the audit findings overall were of minor financial materiality. There were three systemwide findings related to the administration of financial aid programs and two auxiliary organizations had errors in their schedule of expenditures of federal awards. Corrective actions are in progress and a status update will be provided at a future board meeting.

Mark Thomas of KPMG reported that the results of the California State University audit include an unmodified opinion on the financial statements, which is a clean opinion. There were no significant findings and no significant items to report. Mr. Thomas next reported on the single audit. There was one significant deficiency for an over award which is considered a minor finding for context purposes. The other two findings were in the administrative category and not considered substantial. Clean opinions were also received for the single audit.

Following the presentation the trustees asked questions relating to a net decrease of \$19.50 million in student and tuition fees and the percentage of revenues attributed to state appropriations. The trustees also expressed concern regarding the substantial jump in non-current liabilities which were primarily attributed to the net pension liability increase. Mr. Thomas also noted that an additional post-employment benefit will be required to be recorded in the financial statements as of June 30, 2018 for retiree medical costs.

Trustee Morales adjourned the Committee on Audit.

COMMITTEE ON AUDIT

Status Report on Current and Follow-up Internal Audit Assignments

Presentation By

Larry Mandel
Vice Chancellor and Chief Audit Officer
Office of Audit and Advisory Services

Summary

This item includes both a status report on the 2018 audit plan and follow-up on past assignments. For the 2018 year, assignments were made to develop and execute individual campus audit plans; conduct audits of Information Technology (IT), Sponsored Programs and Construction; implement continuous auditing techniques; and to provide advisory services and investigation reviews. Follow-up on current and past assignments was also being conducted on approximately 30 completed campus reviews. Attachment A summarizes the audit assignments in tabular form.

AUDITS

General Audits

The new organization structure provides for individual campus audit plans that are better aligned with campus and auxiliary organization risks. Risk assessments and initial audit plans have been completed for all campuses. Fieldwork is being conducted at one campus, and report writing is being completed for eight campuses.

Information Technology Audits

The initial audit plan indicated that reviews of Information Security, IT Disaster Recovery, Cloud Computing, and Decentralized Computing would be performed at those campuses where a greater degree of risk was perceived for each topic. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues. Fieldwork is being conducted at one campus, and report writing is being completed for three campuses.

Sponsored Programs

The initial audit plan indicated that reviews of both post-award and pre-award activities would be performed. Post-award areas to be reviewed include operational, administrative, and financial controls to determine adherence to both sponsor terms and conditions, and applicable policies, procedures, and regulations. Pre-award reviews emphasize compliance with conflict-of-interest

and training requirements. Scheduled reviews may also include campus-specific concerns or follow-up on prior campus issues relating to sponsored programs activities. Fieldwork is being conducted at one campus, and report writing is being completed for one campus.

Construction

The initial audit plan indicated that reviews of recently completed construction projects, including activities performed by the campus, general contractor, and selected subcontractors would be performed. Areas to be reviewed include approval of project design, budget and funding; administration of the bid and award process; the closeout process; and overall project accounting and reporting. Report writing is being completed for one project.

ADVISORY SERVICES

The Office of Audit and Advisory Services partners with management to identify solutions for business issues, offer opportunities to improve the efficiency and effectiveness of operating areas, and assist with special requests, while ensuring the consideration of related internal control issues. Advisory services are more consultative in nature than traditional audits and are performed in response to requests from campus management. The goal is to enhance awareness of risk, control and compliance issues and to provide a proactive independent review and appraisal of specifically identified concerns. Reviews are ongoing.

INVESTIGATIONS

The Office of Audit and Advisory Services is periodically called upon to provide investigative reviews, which are often the result of alleged misappropriations or conflicts of interest. In addition, whistleblower investigations are being performed on an ongoing basis, both by referral from the state auditor and directly from the CSU Chancellor's Office.

CONTINUOUS AUDITING TECHNIQUES

The initial audit plan indicated that continuous auditing techniques for more focused and higher quality audits would be implemented and used to review credit card data for twelve campuses. Continuous auditing uses data analytics tools and techniques to analyze large volumes of data, look for anomalies and trends, and complement the existing risk assessment process. Credit card data has been requested.

COMMITTEES/SPECIAL PROJECTS

The Office of Audit and Advisory Services is periodically called upon to provide consultation to the campuses and/or to participate on committees such as those related to information systems implementation and policy development, and to perform special projects.

AUDIT SUPPORT

Annual Risk Assessment

The Office of Audit and Advisory Services annually conducts a risk assessment to determine the areas of highest risk to the system, as well as campus-specific risks.

Administration

Day-to-day administration of the Office of Audit and Advisory Services includes such tasks as scheduling, personnel administration, maintenance of department standards and protocols, administration of the department's automated workpaper system and SharePoint website, and department quality assurance and improvement.

**Status Report on Current and Past
Audit Assignments
(as of 3/15/2018)**

Campus	Audit Topic	Audit Plan Year	Audit Status	Follow-up on Current and Past Audit Assignments	
				*Recs	**Mo.
Bakersfield	Athletics Fund-Raising	2017	AC	0/5	3
Channel Islands	Fund-Raising and Gift Processing	2017	AC	9/9	-
Chico	Logical Access and Security	2017	AC	3/3	-
	Facilities Management	2017	AC	1/7	2
	Academic Department Fiscal Review	2018	FW		
Dominguez Hills	Information Security	2017	AC	10/10	-
	Business Continuity	2017	AC	1/2	7
	Student Organizations	2017	AC	0/6	1
	Const. - Central Pt. Cooling Twr. & Domestic and Fire Water Line Repl.	2018	RW		
East Bay	Sponsored Programs - Post Award	2017	AC	0/5	3
	International Activities	2018	RW		
Fresno	Hazardous Materials Management	2017	AC	2/7	4
	Const. - Jordan Research Building	2017	AI		
	Student Organizations	2018	RW		
Fullerton	Academic Department Fiscal Review	2017	AC	4/4	-
	Cashiering	2017	AC	0/7	2
	Information Security	2018	RW		
Humboldt	Extended Education	2017	AC	0/5	3
	Information Security	2018	FW		
Long Beach	Sponsored Programs - Post Award	2017	AC	3/4	6
	Student Organizations	2018	RW		
Los Angeles	Student Health Services	2017	AC	0/4	3
	Student Organizations	2017	AC	0/4	2
Maritime Academy	Information Security	2017	AC	7/7	-
	Police Services	2017	AC	4/4	-
	Hazardous Materials Management	2018	RW		
Monterey Bay	Police Services	2017	AC	6/6	-
	Hazardous Materials Management	2018	RW		
Northridge	Facilities Management	2017	AC	4/4	-
	Student Organizations and Sport Clubs	2017	AI		
Pomona	Student Disability Support	2017	AC	8/8	-
	Emergency Management	2017	AI		
	Cashiering	2018	RW		
Sacramento	Information Security	2017	AC	8/11	5
	Centers and Institutes	2017	AC	0/4	3
	Sponsored Programs - Post Award	2018	FW		

**Status Report on Current and Past
Audit Assignments
(as of 3/15/2018)**

Campus	Audit Topic	Audit Plan Year	Audit Status	Follow-up on Current and Past Audit Assignments	
				*Recs	**Mo.
San Bernardino	Police Services	2017	AC	0/3	1
	Logical Access and Security	2017	AC	0/0	-
San Diego	Facilities Management	2017	AC	5/7	9
	Const. - Open Air Theater Improvements and Confucius Institute Renovation	2017	AC	0/6	1
	Student Disability Support	2018	RW		
San Francisco	International Activities	2017	AC	1/2	7
	Academic Department Fiscal Review	2017	AC	0/4	4
	Special Investigation	2017	AC	1/2	5
	Decentralized Computing	2017	AI		
San Jose	Associated Students	2017	AC	5/5	-
	Tower Foundation	2017	AC	1/3	3
	Sponsored Programs - Post Award	2017	AC	0/1	1
	Decentralized Computing	2018	RW		
San Luis Obispo	IT Disaster Recovery	2018	RW		
San Marcos	Information Security	2017	AC	0/5	3
	Service Learning and Internships	2017	AC	0/2	4
	Student Health Services	2018	RW		
Sonoma	Cashiering	2017	AC	3/3	-
	Information Security	2017	AC	0/7	2
Stanislaus	Service Learning and Internships	2017	AC	3/3	-
	Student Disability Support	2017	AC	0/7	1
Chancellor's Office	Special Investigation	2017	AC	5/5	-
	Information Security	2017	AI		
	Sponsored Programs - Post Award	2018	RW		
Systemwide	Sponsored Programs - Indirect Costs	2017	AI		
	Student Organizations	2017	AI		

Status

FW - Field Work In Progress

RW - Report Writing in Progress

AI - Audit Incomplete (awaiting formal exit conference and/or campus response)

AC - Audit Complete

Follow-Up

* The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.

** The number of months recommendations have been outstanding from date of report.

AGENDA

COMMITTEE ON ORGANIZATION AND RULES

Meeting: 3:40 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

Thelma Meléndez de Santa Ana, Chair
Jane W. Carney, Vice Chair
Silas H. Abrego
Douglas Faigin
Lateefah Simon

- Consent**
1. Approval of Minutes of the Meeting of January 31, 2018, *Action*
 2. Approval of the California State University Board of Trustees' Meeting Dates for 2019, *Action*
 3. Approval of Proposed Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval, *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON ORGANIZATION AND RULES**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2018

Members Present

Silas H. Abrego
Jane W. Carney, Vice Chair
Rebecca D. Eisen, Chair of the Board
Douglas Faigin
Lateefah Simon
Timothy P. White, Chancellor

Trustee Jane Carney called the meeting to order.

Consent Agenda

The minutes of the September 20, 2017 meeting were approved as submitted.

Proposed California State University Board of Trustees' Meeting Dates for 2019

Trustee Carney presented agenda item 2 as a consent information item.

Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval

The Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval information item was presented. The item proposes a revision to the May 26, 2016 California State University Board of Trustees Standing Orders to increase authority delegated to the chancellor to approve capital outlay projects, approve schematic designs, and authorize debt financing from the current limit of \$5 million to \$40 million total project cost. Notwithstanding the authority delegated to the chancellor, any project with issues or concerns that should be brought to the attention of the board would be presented to the board for approval. This item will return in March for action.

Trustee Carney adjourned the meeting.

COMMITTEE ON ORGANIZATION AND RULES

Approval of the California State University Board of Trustees' Meeting Dates for 2019

Presentation By

Thelma Meléndez de Santa Ana
Committee Chair

Summary

The following schedule of the CSU Board of Trustees' meetings for 2019 is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that the following schedule of meetings for 2019 is adopted:

2019 Meeting Dates

January 22-23, 2019	Tuesday – Wednesday	Chancellor's Office
March 19-20, 2019	Tuesday – Wednesday	Chancellor's Office
May 21-22, 2019	Tuesday – Wednesday	Chancellor's Office
July 23-24, 2019	Tuesday – Wednesday	Chancellor's Office
September 24-25, 2019	Tuesday – Wednesday	Chancellor's Office
November 19-20, 2019	Tuesday – Wednesday	Chancellor's Office

COMMITTEE ON ORGANIZATION AND RULES

Approval of Proposed Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

This item presents a revision to the May 26, 2016 California State University Board of Trustees' Standing Orders to increase authority delegated to the chancellor to approve capital outlay project budgets, approve schematic designs, and authorize the issuance of debt financing for all parking structures, and also for projects of an estimated total project cost of up to \$40,000,000. This request is consistent with information item 3 presented at the January 29-31, 2018 meeting of the Committee on Organization and Rules. The proposed changes to the Standing Orders are noted in *italics* on page 1 of Attachment A.

Background

The Board of Trustees has statutory authority to approve the CSU budget, including the capital outlay program and its associated projects. The board annually approves the capital outlay program and the associated Five-Year Facilities Renewal and Capital Improvement Plan as part of the budget request to the state.

The Standing Orders delegate authority from the trustees to the chancellor and other officials in the CSU. The last significant amendment to the Standing Orders as they pertain to capital outlay projects was in May 2016, when the Board of Trustees increased the authorization to the chancellor to approve projects to be added to the capital outlay program and approve the schematic design of projects that are valued at \$5 million or less. The action was taken to reduce the number of small design projects coming forward to the trustees for approval. The chancellor had previously been delegated authority to approve schematic plans on all remodel and utilitarian projects, regardless of cost, unless the design is architecturally significant or the project has unavoidable significant environmental impacts. Examples of utilitarian projects include site development, utilities, outdoor

physical education facilities (excluding stadiums), interior remodels, minor additions, structural strengthening, heating and cooling facilities, landscape projects, and surface parking. Architecturally significant projects as determined by the campus master plan architect have been presented to the board for approval.

During the November 2017 Board of Trustees meeting the trustees requested that staff recommend a further increase in the level of authority delegated to the chancellor for the implementation of capital projects. This increase in delegation would reduce the number of projects presented to the board that are transactional in nature. Given the proposed \$40,000,000 project cost for determining delegation of authority to the chancellor, the authority to authorize the issuance of debt financing for projects is also being added to the delegation of authority to the chancellor. Notwithstanding the proposed increased in authority delegated to the chancellor, any project with issues or concerns will still be presented to the board of trustees for approval.

Proposed Increase in Authority

Consistent with the actions taken in 2016, this proposal clarifies the chancellor's delegation and reduces the number of smaller transactions brought forward to the board for approval. Any item that requires an Environmental Impact Report to comply with the California Environmental Quality Act (CEQA) will continue to come forward for trustee consideration and approval. The proposed changes to Standing Orders Section II (f) includes:

1. *Authorize the chancellor to approve the capital outlay budget and scope of projects with a value up to \$40 million.* Currently, all capital improvement projects with a proposed budget of more than \$5 million come forward to the trustees for approval. The chancellor is delegated authority to approve projects with an estimated value of \$656,000 to \$5 million.
2. *Authorize the chancellor to approve the schematic design of new buildings or additions with a value up to \$40 million and associated CEQA documents.* The chancellor, or designee, was previously authorized to approve the schematic design of new buildings or additions valued up to \$5 million.
3. *Authorize the chancellor to approve the schematic design of all new parking structures and associated CEQA documents.* The chancellor already has the authority to approve the schematic design of all remodel and utilitarian projects, regardless of cost, unless the design is architecturally significant or includes significant unavoidable environmental impacts. This includes projects such as parking lots, photovoltaic structures, central heating/cooling plants, exterior fields and related facilities, etc.

4. *Authorize the chancellor to authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments for projects approved by the chancellor under delegated authority as outlined in 1-3 above.*

In order to keep the board informed of the results of the proposed delegation, a report on the approved projects will be provided to the board.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The revised Standing Orders as presented in Attachment A for Agenda Item 3 of the March 19-21, 2018 meeting of the Committee on Organization and Rules are approved.
2. The reporting of all capital projects, schematic plans and financing approved by the chancellor under the new authority delegated by the Board of Trustees in the Standing Orders will be provided annually to the Board of Trustees.

Proposed changes to the Standing Orders are shown in ~~striketrough~~ for deletions and *italics* for added text. Only Sections I and II of the Standing Orders are included as no other changes to the remaining sections are proposed.

STANDING ORDERS OF THE BOARD OF TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

I. PURPOSE

The Standing Orders delegate authority from the Board of Trustees to the chancellor and others within the California State University.

II. DELEGATION TO THE CHANCELLOR

The chancellor is the chief executive officer of the California State University and has authority and responsibility to take whatever actions are necessary, consistent with trustee policy and applicable law, for the appropriate functioning of the institution, which includes:

- a. Establishment and oversight of all academic programs.
- b. Issuance of degrees.
- c. Operation of educational opportunity programs.
- d. Resolution of claims, settlement of litigation, and discharge from accountability.
- e. Establishment of policies and procedures for acquisition or sale of services, facilities, materials, goods, supplies, and equipment with the authority to sign agreements.
- f. Development and oversight of the budget, including the capital outlay program, approval of capital outlay project scope, budget, ~~and~~ schematic design, *and authorization of debt financing* for projects valued ~~at \$5 million~~ *up to \$40 million; or less*; and approval of schematic design *and authorization of debt financing* for all remodels, *parking structures* and utilitarian projects, regardless of cost, unless the ~~design is architecturally significant~~, *project requires an Environmental Impact Report* or includes significant unavoidable environmental impacts.
- g. Application, receipt, and oversight of grants and loans.
- h. Deposit, control, investment, and expenditure of funds.
- i. Establishment and oversight of campus fees; establishment, adjustment, and oversight of systemwide fees.
- j. Oversight of construction and authority to sign all construction documents.
- k. Purchase, sale, and exchange of any interest in or use of real property.
- l. Approval of minor changes to campus master plans.

Attachment A

O&R – Item 3

March 19-21, 2018

Page 2 of 2

- m. Appointment of personnel, development, and enforcement of personnel programs and discipline and termination of personnel.
- n. Appointments to various boards and committees.
- o. Development of a legislative program.
- p. Acceptance of gifts.
- q. Permission to use the name of the CSU.
- r. Performance of all acts necessary to qualify for and receive benefits from the federal government.
- s. Oversight of the systemwide advancement program.

This list is not inclusive, and is not intended to limit the necessary actions of the chancellor as the chief executive officer of the institution. The chancellor may delegate his or her authority to others within the California State University. The chancellor may issue executive orders as are necessary or convenient to the performance of his or her office.

The chancellor shall regularly report to the Board of Trustees concerning the performance of his or her functions.

AGENDA

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Meeting: 3:45 p.m., Tuesday, March 20, 2018
Glenn S. Dumke Auditorium

John Nilon, Chair
Jane W. Carney, Vice Chair
Adam Day
Thelma Meléndez de Santa Ana
Romey Sabalius
Peter J. Taylor

- Consent** 1. Approval of Minutes of the Meeting of January 31, 2018, *Action*
- Discussion** 2. California State University, Dominguez Hills Student Housing Phase 3, *Action*
3. Progress Towards Environmental Sustainability Goals, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2018

Members Present

John Nilon, Chair
Jane W. Carney, Vice Chair
Adam Day
Thelma Meléndez de Santa Ana
Rebecca D. Eisen, Chair of the Board
Romey Sabalius
Peter J. Taylor
Timothy P. White, Chancellor

Trustee John Nilon called the meeting to order.

Consent Agenda

The minutes of the November 8, 2017 meeting were approved as submitted.

The California State University Maritime Academy Master Plan Revision and Real Property Acquisition

Trustee Nilon presented agenda item 2 as a consent action item. The committee recommended approval of the proposed resolution (RCPBG 01-18-01).

California State University, Chico Siskiyou II Science Replacement (Seismic) Building

The CSU Chico Siskiyou II Science Replacement (Seismic) Building action item was presented as revised. President Gayle E. Hutchinson remarked this project will further make STEM a central focus on campus, providing new opportunities to collaborate in a cross disciplinary way. The new building will support work made possible by a \$4.2 million five-year grant received from the Department of Education. The grant will support agriculture, natural sciences, engineering, computer science and construction management studies for students that identify as low income or Hispanic.

The campus prepared a mitigated negative declaration in compliance with the California Environmental Quality Act (CEQA).

The committee recommended approval of the proposed resolution (RCPBG 01-18-02).

California State University, East Bay Master Plan Revision

The CSU East Bay Master Plan Revision item was presented for approval. The item requested certification of the Final Environmental Impact Report (EIR) and Master Plan which was originally prepared in 2009. The 2009 Final EIR was challenged by the city of Hayward and two community groups and subjected to litigation resulting in a Writ for the CSU Board of Trustees to take action to address two remaining issues: 1) related to the environmental impact of the parks and recreational facilities in the area; and 2) the feasibility of funding the fair share cost for future off site traffic mitigation. In response to the Writ, a 2017 Partially Recirculated Environmental Impact report was prepared requiring five actions by the board: 1) set aside and vacate its original approval of the East Bay Master Plan; 2) decertify the 2009 Final EIR; 3) certify the 2017 Partially Recirculated Final EIR and re-certify the 2009 Final EIR; 4) re-approve the Master Plan and associated board findings as modified in compliance with the Writ; and 5) re-approve the CSU's estimated fair share amount of \$2.3 million for off-site mitigation.

The CEQA analysis concluded that the campus growth and proposed master plan would not result in significant adverse impacts to the use of the park facilities and no further mitigation measures were proposed. All other portions of the 2009 Environmental Impact Report are unchanged including the significant and unavoidable impacts.

President Leroy Morishita added that the master plan will serve to enhance the campus learning environments, provide new on-campus student housing, and improve the campus entry, image, and circulation as well as pedestrian safety for students.

The committee recommended approval of the proposed resolution (RCPBG 01-18-03).

California State University, San Bernardino Master Plan Revision for Palm Desert Off-Campus Center

The CSU San Bernardino Master Plan Revision for Palm Desert Off-Campus Center item was presented for approval. President Tomás Morales remarked that the 2017 Palm Desert Master Plan will guide the physical development of the campus to lay the foundation and vision to support the university's academic strategic plan through to 2035.

The Final Environmental Impact Report determined there are a number of significant and unavoidable impacts related to traffic and air quality and noise. The CSU complied with its legal obligation to determine its proportionate cost of the traffic improvements and came to agreement with the City of Palm Desert to pay for traffic impacts when appropriate.

The committee recommended approval of the proposed resolution (RCPBG 01-18-04).

Trustee Nilon adjourned the meeting on Campus Planning, Buildings and Grounds Committee.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California State University, Dominguez Hills Student Housing Phase 3

Presentation By

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

Schematic plans for the following project at California State University, Dominguez Hills will be presented for approval.

Student Housing Phase 3 Schematic Design

Collaborative Design-Build Contractor: PCL Construction
Architect: Steinberg Architects

Background and Scope

CSU Dominguez Hills wishes to construct the Student Housing, Phase 3¹ (#72²) project, a 93,700 gross square foot (GSF) dormitory-style residential hall, which will provide 505 beds for students and 11 beds for live-in resident advisors.

The campus, in recognition of the academic and social benefits in integrating student residence halls with the academic core, proposes to locate this project east of the Social and Behavioral Sciences building (#30) and north of the Natural Sciences Complex that includes the new Science and Innovation building (#51). The project site is bounded by International Road to the north and Birch Knoll to the west.

The existing on-campus student housing was built for the 1984 Olympic Games and is apartment-style in design. The proposed project will thus be the first student housing project to be built on the campus in nearly 36 years. It will be comprised of two four-story residence hall buildings, with a shared lounge space and stairways in the center. The residence halls are designed to provide a mix of double, triple, and quadruple occupancy, with shared bathrooms on each wing and floor. Each wing serves as a neighborhood cluster, with a variety of resident room sizes and a study

¹ The project was originally included in the 2017-2018 Capital Outlay Program and other documentation as “Student Housing, Phase 1”. The phase number was changed to acknowledge existing campus housing buildings that are recognized as Phases 1 and 2.

² The facility number is shown on the master plan map and recorded in the Space and Facilities Database.

room. The shared lounges connecting the two wings are further connected floor-to-floor through openings and stair connections. This variety of scale allows students to create community and form close-knit groups of peers and neighbors, providing a student-success oriented residential environment.

A smaller one-story commons building houses the front desk and administrative office space, a small convenience store and laundry facilities, and forms a sheltered and secured courtyard space with the residence hall wings. The building includes an event space and kitchenette for residential life activities adjacent to the recreational and lounge commons. The event space opens out into the sheltered courtyard space, allowing the spill of activities into the courtyard seating areas. A signature mural on selected exterior walls of the residential wings will be continued on the interior wall of the commons space to reflect the diversity and vibrancy of the student body at Dominguez Hills.

The residential wing and the one-story commons building will use wood-framed construction. The exterior finishes include cement fiberboard panels, cement plaster, and a single-ply roof. Site amenities include amphitheater-like lawn seating at the sloped landscape area to the east, and a half-court basketball area to the west.

The landscape design uses various species of trees, ground cover, and drought tolerant planting with a water efficient irrigation system. The project is connected to the adjacent campus central plant for cooling, which is both a long-term benefit to the campus and the most sustainable solution.

Additional sustainable strategies incorporated in the project include passive cooling by natural ventilation through operable casement windows in each student room, energy efficient LED lighting, an energy efficient four-pipe HVAC system, water efficient plumbing fixtures, and environmentally preferable materials and finishes to promote positive health and wellness of the students.

Timing (Estimated)

Preliminary Plans Completed	April 2018
Working Drawings Completed	June 2018
Construction Start (Site Work and Utilities)	July 2018
Construction Start (Building)	September 2018
Occupancy	May 2020

Basic Statistics

Housing Building Component

Gross Building Area	86,460 square feet
Assignable Building Area	59,793 square feet
Efficiency	69 percent
Number of Beds	516 beds

Administration/Commons Building Component

Gross Building Area	7,240 square feet
Assignable Building Area	6,010 square feet
Efficiency	83 percent

Cost Estimate – California Construction Cost Index (CCCI) 6255³

Housing Building Cost (\$405 per GSF)	\$35,000,000
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<i>Systems Breakdown</i>	<i>(\$ per GSF)</i>	
a. Substructure (Foundation)	\$ 16.19	
b. Shell (Structure and Enclosure)	\$ 97.31	
c. Interiors (Partitions and Finishes)	\$ 75.88	
d. Services (HVAC, Plumbing, Electrical, Fire)	\$ 142.01	
e. Built-in Equipment and Furnishings	\$ 1.28	
f. General Conditions and Insurance	\$ 72.14	

Administration/Commons Building (\$372 per GSF)	2,997,000
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<i>Systems Breakdown</i>	<i>(\$ per GSF)</i>	
a. Substructure (Foundation)	\$ 15.14	
b. Shell (Structure and Enclosure)	\$ 90.82	
c. Interiors (Partitions and Finishes)	\$ 70.84	
d. Services (HVAC, Plumbing, Electrical, Fire)	\$ 127.42	
e. Built-in Equipment and Furnishings	\$ 1.24	
f. General Conditions and Insurance	\$ 66.32	

Site Development	<u>4,292,000</u>
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Construction Cost	\$42,288,000
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Fees, Contingency, Services, and Escalation	<u>11,079,000</u>
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Total Project Cost (\$549 per GSF)	\$53,367,000
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Fixtures, Furniture & Movable Equipment	<u>2,500,000</u>
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Grand Total	<u>\$55,867,000</u>
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³The July 2017 Engineering News-Record California Construction Cost Index (CCCI). The CCCI is the average Building Cost Index for Los Angeles and San Francisco and is updated monthly.

Cost Comparison

Housing Building Component

The project's housing building component cost of \$405 per GSF is lower than the \$419 per GSF for the San Diego State University Residence Hall component of the New Student Residence Hall project approved in September 2017, and higher than the \$356 per GSF for the Student Housing Replacement Phase 1, Cal Poly Pomona, approved in January 2017, all adjusted to CCCCI 6255. The difference in building costs are primarily due to the construction types, buildings sizes, and local site conditions of each project.

Administration/Commons Building Component

The project's Administration/Commons building cost of \$414 per GSF is significantly lower than the \$801 per GSF for the San Diego State University Food Service/Community building component of the New Student Residence Hall project approved in September 2017, and lower than the \$528 per GSF for the Dining Building component of the Student Housing Replacement Phase 1, Cal Poly Pomona, approved in January 2017, all adjusted to CCCCI 6255. This project's lower building cost is primarily due to a less complex scope and associated building costs as this project does not include the costly commercial kitchen and dining commons components of the other projects.

Funding Data

The project will be financed by the CSU Systemwide Revenue Bond program (\$53,367,000) and designated capital reserves from housing (\$2,500,000). Student housing revenue will repay the bond financing debt service.

California Environmental Quality Act (CEQA) Action

The Student Housing, Phase 3 project was analyzed in the Final Environmental Impact Report (FEIR) that was certified by the Board of Trustees in May 2010 for the California State University, Dominguez Hills Master Plan.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State University, Dominguez Hills Student Housing, Phase 3 project is consistent with the Campus Master Plan approved in May 2010.
2. The project will benefit the California State University.
3. The schematic plans for the California State University, Dominguez Hills Student Housing, Phase 3 are approved at a project cost of \$55,867,000 at CCCCI 6255.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Progress Towards Environmental Sustainability Goals

Presentation By

Elvyra F. San Juan
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary of Systemwide Progress

The Sustainability Policy adopted by the California State University Board of Trustees in May 2014 established sustainability goals for the CSU across a broad range of operational areas (RJEP/CPBG 05-14-01). The CSU has made good progress toward the sustainability goals set out by the Board of Trustees and staff continues to address the broader areas of sustainable practices across all areas of the university. Since the adoption of the current CSU Sustainability Policy, campuses have worked to hire staff, establish their vision for a sustainable campus, communicate across academic, student services, and administrative silos, and implement priority programs to advance sustainability goals. As required by board policy the progress report, *Sustainability in the California State University, The First Assessment of the 2014 Sustainability Policy, 2014-2017*, has been prepared and can be viewed at: <http://www2.calstate.edu/impact-of-the-csu/sustainability/Documents/2014-17-Sustainability.pdf>.

Process

The Chancellor's Office worked with campuses to develop metrics to assess progress towards sustainability goals in order to provide a consistent framework to assess sustainability initiatives across campuses and over time. Feedback on the proposed process was received from various campus groups, such as contracts and procurement, parking and transportation, facilities development and operations, housing operations, and academic affairs. The resulting CSU survey included questions that were similar to those found in national higher education sustainability rating systems¹ so that if a campus participated in such an assessment, the information collected could be used to provide the Chancellor's Office a response. The analyses presented in the report are based on data and responses submitted by each campus. A compilation of the campus data and responses will be made available on the CSU sustainability website in the near future.

¹ For example, the Association for the Advancement of Sustainability in Higher Education has developed the Sustainability Tracking, Assessment & Rating System (STARS) for universities to measure their sustainability performance.

Next Steps

As noted in the report, key initiatives and strategies to promote continued progress include:

1. Working to support student learning outcomes that increase awareness of environmental, social and/or economic issues related to resource limitations.
2. Identification of mutual goals and alignment with the CSU Graduation Initiative² and Basic Needs Initiative³.
3. Pursuit of grant funds for the system and individual campuses in direct research, facilities, transportation, etc. to address resource needs.
4. Identification of pilot programs to support sustainability champions.
5. Identification of model practices to promote broader CSU adoption as applicable.
6. Working with higher education academic and business partners to leverage available funds and support mutual efforts.
7. Identification of procurement barriers to implement energy efficiency projects.
8. Implementation of the Solar, Phase IV initiative to increase renewable power generation on campus. Master Enabling Agreements are executed and available for campus use to solicit awarded vendors.
9. Implementation of the systemwide Energy Information System that will improve the collection and reporting of campus utility cost and usage data expected in spring 2018.
10. Evaluation of the Sustainability Policy to reflect changing conditions, progress towards goals, and recommendations to update and extend the policy beyond 2020, for the trustees' consideration.

² <https://www2.calstate.edu/csu-system/why-the-csu-matters/graduation-initiative-2025/Pages/default.aspx>.

³ <https://www2.calstate.edu/impact-of-the-csu/student-success/basic-needs-initiative/pages/default.aspx>.

AGENDA

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Meeting: 8:30 a.m., Wednesday, March 21, 2018
Glenn S. Dumke Auditorium

Hugo N. Morales, Chair
Debra S. Farar, Vice Chair
Douglas Faigin
Jean P. Firstenberg
Lillian Kimbell

- Consent** 1. Approval of Minutes of the Meeting of January 31, 2018, *Action*
- Discussion** 2. Executive Compensation: President – California State University, Bakersfield, *Action*
3. Executive Compensation: President – California State University, Dominguez Hills, *Action*
4. Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over, *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON UNIVERSITY AND FACULTY PERSONEL**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2018

Members Present

Hugo N. Morales, Chair
Debra S. Farar, Vice Chair
Jean P. Firstenberg
Lillian Kimbell
Rebecca D. Eisen, Chair of the Board
Timothy P. White, Chancellor

Trustee Morales called the meeting to order.

Approval of the Minutes

The minutes from the November 8, 2017 meeting were approved as submitted.

Executive Compensation

Chancellor White presented information on the appointments of Dr. Adela de la Torre as president of San Diego State University (Agenda Item 2); Mr. Framroze Virjee as president of California State University, Fullerton (Agenda Item 3); and Mr. G. Andrew Jones as executive vice chancellor and general counsel of the California State University (Agenda Item 4). Salary was recommended as stated in the agenda items.

Trustee Silas Abrego remarked that basing salary on the outgoing president results in unequal pay among presidents and cited various factors when establishing pay. He also encouraged the committee take into consideration the pay of sitting presidents who represent similarly scoped institutions to bridge the large salary gap, particularly with the San Diego position. He noted that if money were available, he would recommend bringing all presidents up to the same salary, however, that is not possible in today's times and encouraged another way of looking at pay scales and how pay is established.

Chancellor White responded that the compensation recommended for the president at San Diego State conforms to the market the university competes in for that position. The university size, complexity, research portfolio, athletic portfolio, its diverse community and expectations are all considered. He pointed out that like San Diego, the majority of the presidents are paid below the average of their peer institutions. Executive compensation continues to be something the board needs to address but hasn't done so for reasons alluded to earlier, e.g., lack of funding. He recommended approval of the salary as submitted.

Trustee Farar shared that during her time on the board there have been many committees about fair pay and compensation; it is very well thought out. The references and considerations Trustee Abrego made have been discussed over the years and she agreed, it's unfortunate that pay for all presidents can't be brought up. She supported the compensation presented and while the board has struggled with this issue for years, she reiterated it is extremely well thought out.

Compensation was approved as stated in Agenda Items 2, 3 and 4, respectively. (RUF 01-18-01) (RUF 01-18-02) (RUF 01-18-03)

Recommended Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over

Ms. Melissa Bard, vice chancellor of human resources, presented information on a proposed amendment to Title 5, Section 42909, which addresses vacation accumulation and carry-over. It was recommended to increase the maximum confidential employees' vacation accrual from 384 hours to 440 hours for more than 10 years of qualifying service. The revision will align confidential employees' vacation accrual with changes in other employee groups. The proposed amendment will be presented for action at the March 2018 meeting of the Board of Trustees.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Executive Compensation: President – California State University, Bakersfield

Presentation By

Timothy P. White
Chancellor

Summary

This action item establishes the compensation for Dr. Lynnette Zelezny as president of California State University, Bakersfield.

Background

The CSU Board of Trustees is pleased to present Dr. Lynnette Zelezny as the next president of California State University, Bakersfield. It is expected that Dr. Lynnette Zelezny assume her presidency on or before June 30, 2018.

Dr. Zelezny becomes the fifth permanent president of California State University, Bakersfield and the first woman to serve in that role. She will join the campus in her new capacity in late June. Zelezny succeeds Horace Mitchell who will retire from the university at the end of the 2017-18 academic year.

Zelezny has been provost and vice president of academic affairs for Fresno State since 2014. In that capacity she is the campus' chief academic officer. She joined Fresno State in 1988 and has served in a variety of roles including associate provost, dean and associate vice president for the division of Continuing and Global Education, associate dean for the Craig School of Business and chair of the psychology department where she was also a lecturer, assistant professor, associate professor and professor. She has served as a visiting professor at the University of Stockholm in Sweden, an American Council of Education Fellow at Towson University in Maryland, and as a Fulbright Scholar in Germany. She was a nominee for the U.S. Professor of the Year awarded by the Carnegie Foundation for the Advancement of Teaching and the Council for Advancement and Support of Education.

A product of the CSU, Zelezny earned bachelor's and master's degrees in psychology from Humboldt State University, a Ph.D. in applied social psychology from Claremont Graduate University and an MBA with distinction from the Craig School of Business at Fresno State.

AMENDED

U&FP

Agenda Item 2

March 19-21, 2018

Page 2 of 2

Executive Compensation

Chancellor White recommends that Dr. Lynnette Zelezny receive an annual salary of \$313,044 effective on or before June 30, 2018 the date of her appointment as president of California State University, Bakersfield. This is the same salary as the current president. Additionally, Dr. Lynnette Zelezny will receive an annual housing allowance of \$50,000.

In accordance with existing policy, Dr. Lynnette Zelezny will receive the following benefits:

- An auto allowance of \$1,000 per month;
- Standard benefit provisions afforded CSU Executive classification employees;
- An executive transition program provided she meets the eligibility requirements passed by the Board of Trustees on November 15, 2006 (RUF 11-06-06); and
- Reimbursement for actual, necessary and reasonable moving and relocation expenses.

Dr. Lynnette Zelezny will hold the academic rank of full professor with tenure, subject to faculty consultation, in the College of Social Sciences and Education.

Chancellor White recommends the trustees approve the salary for the next president of California State University, Bakersfield, Dr. Lynnette Zelezny.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that Dr. Lynnette Zelezny shall receive a salary set at the annual rate of \$313,044 and an annual housing allowance of \$50,000 effective the date of her appointment as president of California State University, Bakersfield; and be it further

RESOLVED, that Dr. Lynnette Zelezny shall receive additional benefits as cited in Item 2 of the Committee on University and Faculty Personnel at the March 19-21, 2018 meeting of the Board of Trustees.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Executive Compensation: President – California State University, Dominguez Hills

Presentation By

Timothy P. White
Chancellor

Summary

This action item establishes the compensation for Dr. Thomas Parham as president of California State University, Dominguez Hills.

Background

The CSU Board of Trustees is pleased to present Dr. Thomas Parham as the next president of California State University, Dominguez Hills. It is expected that Dr. Thomas Parham will assume his presidency on or before June 30, 2018.

Parham currently serves as vice chancellor, student affairs for the University of California, Irvine (UCI). Parham becomes the eighth permanent president of California State University, Dominguez Hills. He will join the campus in his new role in late June. Parham succeeds Dr. Willie Hagan who will retire from the university at the end of the 2017-18 academic year.

Dr. Parham has served as vice chancellor for student affairs at UCI, a role he has held since 2011. During his more than 30-year career at UCI he has also served as an adjunct faculty member and held leadership positions including assistant vice chancellor for Counseling and Health Services, Counseling Center director and director of the Career and Life Planning Center. Prior to joining UCI, he served on the faculty at the University of Pennsylvania.

Having grown up in Southern California, Parham earned a bachelor's degree in social ecology from UCI. He earned a master's in counseling psychology from Washington University in St. Louis, and a Ph.D. in counseling psychology from Southern Illinois University at Carbondale. He is licensed to practice psychology in California.

Executive Compensation

Chancellor White recommends that Dr. Thomas Parham receive an annual salary of \$324,029 effective on or before June 30, 2018, the date of his appointment as president of California State University, Dominguez Hills. This is the same salary as the current president. Additionally, Dr. Thomas Parham will receive an annual housing allowance of \$60,000.

AMENDED

U&FP

Agenda Item 3

March 19-21, 2018

Page 2 of 2

In accordance with existing policy, Dr. Thomas Parham will receive the following benefits:

- An auto allowance of \$1,000 per month;
- Standard benefit provisions afforded CSU Executive classification employees;
- An executive transition program provided he meets the eligibility requirements passed by the Board of Trustees on November 15, 2006 (RUF 11-06-06); and
- Reimbursement for actual, necessary and reasonable moving and relocation expenses.

Dr. Thomas Parham will hold the academic rank of full professor with tenure, subject to faculty consultation, in the College of Natural and Behavioral Sciences.

Chancellor White recommends the trustees approve the salary for the next president of California State University, Dominguez Hills, Dr. Thomas Parham.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that Dr. Thomas Parham shall receive a salary set at the annual rate of \$324,029 and an annual housing allowance of \$60,000 effective the date of his appointment as president of California State University, Dominguez Hills; and be it further

RESOLVED, that Dr. Thomas Parham shall receive additional benefits as cited in Item 3 of the Committee on University and Faculty Personnel at the March 19-21, 2018 meeting of the Board of Trustees.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over

Presentation By

Melissa L. Bard
Vice Chancellor
Human Resources

Summary

It is proposed that Section 42909 of Title 5 which addresses vacation accumulation and carry-over be revised to increase the maximum confidential employees' vacation accrual from 384 hours to 440 hours for more than 10 years of qualifying service.

This revision to Title 5 is proposed to align confidential employees' vacation accrual with changes in other employee groups.

Background

This agenda item would revise Section 42909 of Article 4, Subchapter 7 which addresses vacation accumulation and carry-over to increase the maximum confidential employees' vacation accrual from 384 hours to 440 hours for more than 10 years of qualifying service.

This revision to Title 5 is proposed to align confidential employees' vacation accrual with changes in other employee groups.

Recommended Action

Adoption of Resolution

Proposed Revision

The following resolution is recommended for adoption:

RESOLVED, By the Board of Trustees of the California State University, acting under the authority prescribed herein and pursuant to Section 89030.1 of the Education Code, that the board hereby amends its regulations in Section 42909, Article 4, Subchapter 7, Chapter 1, Division 5 of Title 5 of the California Code of Regulations as follows:

§42909. Accumulation and Carry-Over

(a) An employee may accumulate credit for vacation with pay for which vacation is not taken during the calendar year. On January 1st of any calendar year, an employee covered by Section 42902 shall not have a credit for vacation with pay of more than 384 **working hours for 10 or less years of qualifying service or 440 working hours for more than 10 years of such service**; an employee covered by Section 42904 shall not have a credit of more than 272 working hours for 10 or less years of qualifying service or 384 working hours for more than 10 years of such service; a Management Personnel Plan employee shall not have a credit of more than 384 working hours for 10 or less years of qualifying service or 440 working hours for more than 10 years of such service; and a campus President, General Counsel, Vice Chancellor, or Chancellor shall not have a credit of more than 480 hours.

(b) Notwithstanding subsection (a) to the contrary, the president of a campus at which an employee is employed, or the Chancellor in the case of all other employees, may permit an employee to carry over more vacation credits than the prescribed maximum when the employee was prevented from taking enough vacation to reduce the credits because the employee (1) was required to work as a result of fire, flood or other similar emergency, (2) was prevented from taking vacation by work the president or the Chancellor, as the case may be, has determined to be of a priority or critical nature over an extended period of time, (3) was absent on full salary for compensable injury, or (4) was prevented by campus rule from taking vacation until December and at that time was unable to take vacation because of illness requiring use of sick leave. This subsection (b) shall not apply to vacation carry-over of a President, General Counsel, Vice Chancellor, and Chancellor.

When verification of past state service requires it, an employees' accumulated credit for vacation with pay shall be adjusted. In such case, any additional credit which exceeds the maximum carry-over limitation shall be used within one year following the qualifying monthly pay period in which credited.

NOTE: Authority cited: Sections 66600, 89030, 89035 and 89500, Education Code.
Reference: Section 89500, Education Code.

And, be it further

RESOLVED, That the Board of Trustees has determined that the adoption of the proposed revisions will not impose a cost or savings on any state agency; will not impose a cost or savings on any local agency or school district that is required to be reimbursed under Section 17561 of the Government Code; will not result in any nondiscretionary cost or savings to local agencies; will not result in any cost or savings in federal funding to the state; and will not impose a mandate on local agencies or school districts;

And, be it further

RESOLVED, That the Board of Trustees delegates to the Chancellor of the California State University authority to further adopt, amend, or repeal this revision pursuant to the Administrative Procedure Act if further adoption, amendment or repeal is required and is nonsubstantial or solely grammatical in nature, or sufficiently related to the original text that the public was adequately placed on notice that the change could result from the originally proposed regulatory action.

AGENDA

COMMITTEE ON COLLECTIVE BARGAINING

Meeting: 9:30 a.m., Tuesday, March 20, 2018
Munitz Conference Room—Closed Session
Government Code §3596(d)

9:05 a.m., Wednesday, March 21, 2018
Glenn S. Dumke Auditorium —Open Session

Adam Day, Chair
Lateefah Simon, Vice Chair
John Nilon
J. Lawrence Norton
Jorge Reyes Salinas
Peter Taylor

Open Session– Glenn S. Dumke Auditorium

- Consent** 1. Approval of Minutes of the Meeting of January 31, 2018, *Action*
- Discussion** 2. Ratification of the Extension of the Collective Bargaining Agreement with Bargaining Unit 6, Teamsters 2010, *Action*
3. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW), *Action*

**MINUTES OF THE MEETING OF
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

January 31, 2018

Members Present

Adam Day, Chair
John Nilon
J. Lawrence Norton
Jorge Reyes-Salinas
Peter J. Taylor
Rebecca Eisen, Chair of the Board
Timothy P. White, Chancellor

Chair Day called the Committee on Collective Bargaining to order.

Approval of Minutes

The minutes of the November 8, 2017 meeting were approved as submitted.

Presentation of Action Item

Vice Chancellor Melissa Bard presented the action items.

Public Speakers

The committee heard from 20 public speakers who spoke on various topics.

Action Item

The committee then unanimously approved the following action item:

CB

Agenda Item 1

March 19-21, 2018

Page 2 of 2

1. Ratification of the Successor Collective Bargaining Agreement with Bargaining Units 2, 5, 7, and 9, the California State University Employees Union (CSUEU), SEIU Local 2579.
2. Ratification of the Successor Collective Bargaining Agreement with Bargaining Unit 4, Academic Professionals of California (APC).
3. Ratification of the Successor Collective Bargaining Agreement with Bargaining Unit 10, International Union of Operating Engineers, Stationary Engineers (IUOE), Local 39, AFLCIO.
4. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 8, the Statewide University Police Association (SUPA)

Chair Day then adjourned the committee meeting.

Ratification of the Extension of the Collective Bargaining Agreement with Bargaining Unit 6, Teamsters 2010

Presentation By

Melissa Bard
Vice Chancellor
Human Resources

Summary

The extension of the collective bargaining agreement between the California State University and Bargaining Unit 6, Teamsters 2010, will be presented to the Board of Trustees for ratification.

Recommended Action

The following resolution is recommended for ratification:

RESOLVED, by the Board of Trustees of the California State University, that the extension of the collective bargaining agreement between the California State University and Bargaining Unit 6, Teamsters 2010 is hereby ratified.

Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW)

Presentation By

Melissa Bard
Vice Chancellor
Human Resources

Summary

The initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 11, the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW), will be presented to the Board of Trustees for adoption.

Recommended Action

The following resolution is recommended for ratification:

RESOLVED, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining 11, the International Union, United Automobile, Aerospace and Agricultural Implement Workers of America (UAW) is hereby adopted.



The California State University's
Initial Collective Bargaining
Proposals

Between

The Board of Trustees

of

The California State University

And

United Auto Workers
(Unit 11)

Unit 11

2018 Successor Agreement Negotiations

California State University Bargaining Proposals

Article 2 – Appointments, Posting and Notification

The University will make proposals to amend in relation to appointments, posting, and notification.

Article 3 – Benefits

The University will make proposals to amend in relation to benefits.

Article 5 – Concerted Activities

The University will make proposals to amend in relation to concerted activities.

Article 10 – Grievance Procedure

The University will make proposals to amend in relation to the grievance procedure.

Article 14 – Leaves of Absence

The University will make proposals to amend in relation to leaves of absence.

Article 18 – Salary

The University will make proposals to amend in relation to salary.

Article 23 – Union Access

The University will make proposals to amend in relation to union access.

Article 26 – Workload

The University will make proposals to amend in relation to workload.

Article 27 – Duration

The University will make proposals to amend in relation to duration.

The University reserves the right to add to, modify, or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.



Bargaining Unit 11 – United Auto Workers Initial Collective Bargaining Proposals*

1). Provide Compensation and Benefits that provides a living wage and reduces debt for student workers.

- Provide Academic Student Employees with sufficient pay and benefits to eliminate food insecurity and homelessness.
- Increase job security and access to health insurance benefits by providing year-long appointments
- Parental and Family leave benefits that support working families

2). Develop workplace conditions that promote safety, diversity, and access

- Reduce sexual harassment in the workplace and ensure that victims have timely, accountable investigations of their claims.
- Stronger protection against all forms of discrimination
- Stronger regulation and measures to stop bullying and sexual harassment from supervisors
- Improving Employee Parking access for all Academic Student Employees

3). Improve quality of training to increase student success

- More PAID pedagogical training to ensure quality and preparedness
- Paid Training for classes, trainings, and modules that are conditions of employment

NOTE: The Union reserves the right to submit proposals on additional bargaining topics not specifically listed here.

*Sent via e-mail from UAW Representative James Banks on Thursday, March 8, 2018
at 6:44 PM

AGENDA

COMMITTEE OF THE WHOLE

Meeting: 9:45 a.m., Wednesday, March 21, 2018
Glenn S. Dumke Auditorium

Rebecca D. Eisen, Chair
Adam Day, Vice Chair
Silas H. Abrego
Jane W. Carney
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Wenda Fong
Emily Hinton
Lillian Kimbell
Jack McGrory
Thelma Meléndez de Santa Ana
Hugo N. Morales
John Nilon
Larry Norton
Jorge Reyes Salinas
Romey Sabalius
Lateefah Simon
Christopher Steinhauser
Peter Taylor

- Consent**
1. Approval of Minutes of the Meeting of September 9, 2015, *Action*
 2. Appointment of Five Members to the Committee on Committees for 2018-2019, *Action*
 3. General Counsel's Annual Litigation Report, *Information*
- Discussion**
4. The Role of Higher Education in California's Future: A Presentation by the Public Policy Institute of California, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE OF THE WHOLE**

**Trustees of The California State University
Glenn S. Dumke Conference Center
401 Golden Shore
Long Beach, California**

September 9, 2015

Members Present

Lou Monville, Chair
Rebecca D. Eisen, Vice Chair
Silas Abrego
Kelsey Brewer
Douglas Faigin
Debra S. Farar
Margaret Fortune
Lupe Garcia
Lillian Kimbell
Hugo Morales
J. Lawrence Norton
Steven Stepanek
Peter Taylor
Maggie K. White

Approval of Minutes

Chair Monville, hearing no objections, approved the minutes of March 24, 2015.

Action Item

Proposed Name Change for California Maritime Academy

Chancellor Timothy P. White and California Maritime Academy President Thomas A. Cropper presented the action item proposing to change the name of California Maritime Academy to California State University Maritime Academy effective September 9, 2015. The resolution was approved (RCOW 09-15-01).

Chair Monville adjourned the meeting.

COMMITTEE OF THE WHOLE

Appointment of Five Members to the Committee on Committees for 2018-2019

Presentation By

Rebecca D. Eisen
Chair of the Board

Summary

At the January 29-31, 2018 meeting of the CSU Board of Trustees, five trustees were nominated to serve as members of the Committee on Committees for the 2018-2019 term.

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of The California State University, that the following trustees are appointed to constitute the Board's Committee on Committees for the 2018-2019 term:

John Nilon, Chair
Jane W. Carney
Thelma Meléndez de Santa Ana
James Lawrence Norton
Jorge Reyes Salinas

COMMITTEE OF THE WHOLE

General Counsel's Annual Litigation Report

Presentation By

G. Andrew Jones
Executive Vice Chancellor and General Counsel

Summary

Attached with this item is the Office of General Counsel's (OGC) annual report on the status of significant litigation confronting the California State University (CSU), and is presented for information. "Significant" for purpose of this report is defined as litigation: (1) with the potential for a systemwide impact on the CSU; (2) that raises significant public policy issues; (3) brought by or against another public agency; or (4) which, for other reasons, has a high profile or is likely to generate widespread publicity.

The cases in this report have been selected from **106** active litigation files.

Board of Trustees Annual Litigation Report 2018

Channel Islands

Matter Name and Number	Khosh v. CSU, et al. (14-0084)		
Date Filed	01/09/2014	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Ventura	Case/Docket #	56-2014-00447304-CU-
Litigation Report Text	<p>On March 16, 2013, Al Khosh, an employee of an outside electrical contractor, sustained catastrophic arc flash burn injuries while working on a construction project on the CSUCI campus. Following the accident, Khosh sued CSU, the general contractor, and the electrical subcontractor, alleging three causes of action: general negligence, product liability, and premises liability. Thus far, Khosh has already incurred nearly \$5,000,000 in medical bills. The general contractor's motion for summary judgment was granted and upheld on appeal, on the ground that it was not liable because it acted only in a general supervisory role. Contractor's insurance carrier then agreed to pay \$1,000,000 in exchange for a full release of CSU. The case has been dismissed.</p>		

Chico

Matter Name and Number	CSU v. Pacific Gas & Electric Company (14-0156)		
Date Filed	02/04/2014	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	161356
Litigation Report Text	<p>The campus and its Research Foundation sued PG&E to recover money spent on costly remedial activities and disposal of waste discovered during the construction of an activity center on the Chico campus. The waste was created by an old manufactured gas plant. PG&E is responsible for the manufactured gas plant. The parties entered into a settlement agreement in which PG&E agreed to pay CSU \$1.65 million. A motion will be filed with the Court for an order establishing the settlement was made in good faith. The settlement will become effective when that order is granted.</p>		

Matter Name and Number	Doe v. CSU (Chico) (17-0211)		
Date Filed	12/30/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS167261

Litigation Report Text	John Doe, a student at CSU Chico, brought this writ action challenging his expulsion following a disciplinary proceeding where Doe was found to have committed sexual misconduct. Doe alleges that CSU's disciplinary procedures did not afford him due process and that CSU's decision and findings were not supported by the evidence. The case will be heard by the judge in April 2018.
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Matter Name and Number	Fayek v. CSU, et al. (13-0798)		
Date Filed	06/19/2013	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Butte	Case/Docket #	159799
Litigation Report Text	<p>Plaintiff Abdel-Moaty Fayek was a faculty member in the Department of Computer Science. He contends he entered into a self-funded buy out agreement with the campus where he would gain industry experience while on an approved leave. From approximately 1997 to 2006, plaintiff received his campus salary and reimbursed it to the Research Foundation as part of the alleged agreement. The campus discovered this arrangement and immediately contacted CalPERS and the State Controller's Office to correct the employee's payroll records. Plaintiff has sued the campus, the Research Foundation, three individual defendants and CalPERS to restore his service credit. The Court granted CSU Defendants' motion challenging all claims except one, and dismissing CalPERS. Plaintiff accepted CSU's offer of \$27,000 to resolve the remaining claim, and the Court entered judgment. Plaintiff appealed the Court's decision dismissing the claims against CSU and CalPERS. The appeal is fully briefed and awaiting a date for oral argument to be set.</p>		

Dominguez Hills

Matter Name and Number	Butts v. CSU, et al. (09-0260)		
Date Filed	12/31/2008	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	TC 022325
Litigation Report Text	<p>After Sheila Butts was nonretained as the Director of Alumni Relations at CSUDH, she filed a complaint alleging age, gender, and race discrimination, harassment, retaliation and violations of the Equal Pay Act. Because she had been employed as a represented employee on campus in various positions for the previous 27 years, she also sought retreat rights. In 2012, after a month-long trial, the jury returned a unanimous verdict in favor of CSU. The appellate court reversed the trial court judgment solely with regard to plaintiff's right to claim retreat rights under California Code of Regulations, Title V section 42723 as an MPP employee who had permanent status prior to January 1, 1984. The case was remanded to the trial court to determine whether plaintiff was actually entitled to retreat rights.</p> <p>On remand, plaintiff filed an amended complaint alleging both discrimination and denial of retreat rights. Plaintiff refused CSU's offer to permit her to retreat to her former classification. The court granted summary judgment to CSU on the FEHA claims and, after a bench trial on the retreat rights issue, granted judgment for CSU on all claims. Plaintiff appealed. The case is in the briefing stage.</p>		

Matter Name and Number	City of Carson v. CSUDH (18-0085)		
Date Filed	01/24/2018	Matter Type	Environmental (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS172187
Litigation Report Text	<p>The City of Carson objects to the designation of the CSU as the lead agency for the CSUDH Master Plan. This is the second lawsuit in which the City attempts to get a court order declaring it the lead agency, and to enjoin CSU from proceeding with Master Plan activities (specifically, the University Village EIR) until all appeals of this lawsuit have been exhausted. The court denied the City's attempt to get a temporary restraining order. Carson amended the complaint to include the California Office of Planning & Research. The case is in the pleading stage.</p>		

Matter Name and Number	City of Carson v. OPR, CSU, et al. (17-1353)		
Date Filed	10/31/2017	Matter Type	Construction (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS171386
Litigation Report Text	<p>The City of Carson, unhappy with their failure to obtain financial offset from the Chargers' use of the StubHub Center, assert that the City should be the lead agency in any development project on the CSUDH campus. This lawsuit challenges OPR's decision that CSU is the lead agency and seeks a court order declaring that Carson is the correct lead agency on the CSUDH Master Plan activities, including University Village. The City dismissed the lawsuit without prejudice following a settlement in which OPR agreed to re-open the determination to obtain more input from both parties, and to issue a revised determination letter by January 26, 2018. That letter was re-issued, reaffirming that CSU is the lead agency.</p> <p>The City has subsequently brought a lawsuit against OPR and CSU making substantially similar arguments about why the City should be lead agency.</p>		

Matter Name and Number	Tweedy v. CSU, et al. (17-1048)		
Date Filed	08/08/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BC671497
Litigation Report Text	<p>Yasmine Tweedy, a student basketball athlete, alleges personal injuries associated with excessive running at team practice and callous behavior by coaches. Early discovery indicates that the injuries athlete suffered derive from a preexisting condition. The case is in the discovery stage, and may be set for early mediation. Trial is set for February 2019.</p>		

East Bay

Matter Name and Number	City of Hayward v. CSU* LEAD CASE (09-1195)		
Date Filed	10/29/2009	Matter Type	Environmental (Lit)
Court/Forum	California Court of Appeal	Case/Docket #	RG09480852
Litigation Report Text	<p>The City of Hayward filed a CEQA challenge to the 2009 CSUEB Master Plan Environmental Impact Report, claiming the University failed to adequately analyze impacts on public services, including police, fire, and emergency services. The City demanded that the University provide funding for additional fire facilities.</p> <p>The Hayward Area Planning Association and Old Highlands Homeowners Association, two local residential homeowners' associations, filed a second CEQA challenge to the 2009 CSUEB Master Plan EIR, alleging shortcomings in nearly every aspect of the environmental findings, with an emphasis on the University's alleged failure to consider bus and other improvements to public transit access to the campus. On September 9, 2010, the trial court ruled in favor of the petitioners on nearly every issue and enjoined the University from proceeding with construction. The University appealed.</p> <p>In June 2012, the Court of Appeal ruled the CSU East Bay Master Plan EIR is adequate, except for failing to analyze impacts on local recreational facilities. The Court's ruling includes a finding that CSU's determination that new fire protection facilities will not result in significant environmental impacts was supported by substantial evidence. Importantly, the Court also held that the obligation to provide adequate fire and emergency services is the responsibility of the City of Hayward, and the need for additional fire protection services is not an environmental impact that CSU must mitigate. The City and HAPA/OHHA filed a petition for review with the California Supreme Court.</p> <p>Following the California Supreme Court's decision in the City of San Diego matter, on October 14, 2015, the Court transferred the case back to the Court of Appeal.</p> <p>After further briefing, the Court of Appeal largely reissued its original decision, reiterating that the obligation to provide adequate fire and emergency services is the responsibility of the City of Hayward, and the need for additional fire protection services is not an environmental impact that CSU must mitigate.</p> <p>In January 2016, the City filed a new Petition for Review with the Supreme Court. This petition was denied. The parties have subsequently agreed to a peremptory writ of mandate, consistent with the directives issued by the Court of Appeal.</p> <p>In accordance with the writ of mandate, CSUEB conducted an additional parklands analysis and reconsidered the sources of funding for its proportional fair share contribution. With regard to the parklands, CSUEB prepared and circulated for comment a Partial recirculated Draft EIR. With regard to the parklands analysis, CSUEB identified alternative sources of funding and a methodology for distribution of its proportional fair share contribution for traffic mitigation measures. Despite multiple meetings with the City, CSUEB was unable to come to an agreement regarding the proportional fair share mitigation payments and moved forward to comply with the writ of mandate.</p> <p>At the January 2018 Board of Trustees meeting, the Board decertified the previous EIR and findings, and adopted the new EIR and findings. CSUEB will report its compliance with the Court's writ of mandate in March 2018, after the Board approves the January minutes.</p>		

Matter Name and Number	Hayward Area Planning Assoc. v. CSU (09-1196)		
Date Filed	11/02/2009	Matter Type	Environmental (Lit)
Court/Forum	Superior Court of State of California, Alameda	Case/Docket #	RG09481095
Litigation Report Text	See report on the lead case, City of Hayward v. CSU (Matter no. 09-1195).		

Fresno

Matter Name and Number	Doe v. CSU, et al. (17-0591)		
Date Filed	01/10/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS167329
Litigation Report Text	Former student "John Doe" was charged with sexual misconduct against two female students at Fresno State. He was expelled after an investigation and sanctions hearing. He then filed a petition for writ of mandate to challenge his expulsion, alleging due process violations. After receiving the petition, CSU conceded that a minor procedural error was made, and agreed to reopen Doe's underlying appeal. Subsequently CSU issued a new investigation report, and held another sanctions hearing. The final decision was again expulsion. This case is in the pleading stage.		

Fullerton

Matter Name and Number	Barrett v. Greenup, et al. (12-1374)		
Date Filed	09/21/2012	Matter Type	Other (Lit)
Court/Forum	United States District Court, Santa Ana	Case/Docket #	30-2012-00600019

Litigation Report Text	John Barrett, a CSU Fullerton student, sued another CSU Fullerton student, Nolan Greenup, a CSU Fullerton Parking Services Officer. Greenup wrote Mr. Barrett a ticket for not displaying a disabled parking placard while parked in a disabled parking space. Barrett backed out of the space as the ticket was being written, ran over Greenup's foot, and drove away. Barrett was later arrested and subjected to student discipline. Barrett sued Greenup for defamation, malicious prosecution, violation of federal civil rights and false imprisonment. CSU filed a motion to strike on Greenup's behalf, arguing that in writing his report and speaking to the police, he was supporting a criminal prosecution and immune. The court granted the motion and awarded CSU \$5,500 for its fees and costs. In a second amended complaint, Plaintiff added two new CSU defendants Jose Rosales and Peter Dupree, University police officers involved in his arrest. Plaintiff later filed a third amended complaint adding new causes of action against new non-CSU defendants, the Orange County Sheriff's Department and certain employees of the Orange County Jail. The case was then moved to federal court. In September 2014, the Orange County defendants settled with the Plaintiff. Trial started on January 6, 2015; at the end of the second day CSU successfully moved for mistrial based on Plaintiff's failure to comply with pre-trial orders of the court excluding evidence of the disposition of the criminal charges against the defendant. CSU later won a summary judgment motion. Plaintiff appealed and appellate court upheld the district court's grant of summary judgment. Plaintiff took no further appeals.
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Matter Name and Number	Coe v. CSU et al. (15-1366)		
Date Filed	08/14/2015	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC591397
Litigation Report Text	John Paul Coe, a former graduate student in Electrical Engineering, is alleging breach of contract, breach of implied covenant of good faith and fair dealing, violation of Consumer Legal Remedies Act, violation of unfair competition, misleading and deceptive advertising, unjust enrichment, intentional and negligent misrepresentation, and is seeking compensation and punitive damages, on the grounds that certain courses were not offered to him in his time as a student studying for a Masters degree in Electrical Engineering. The former Dean of the College of Engineering and Computer Science is also named as a defendant. Trial is set for February 20, 2018.		

Matter Name and Number	Garcia v. ASC (17-1222)		
Date Filed	03/29/2017	Matter Type	Other (Lit)
Court/Forum	Orange County Superior Court	Case/Docket #	30-2017-00912195-CU-OE-CXC
Litigation Report Text	Plaintiff Jennifer Garcia is a former employee of the Auxiliary Services Corporation (ASC); Plaintiff worked as an adjunct instructor in the American Language Program, a program of University Extended Education. The Complaint is being brought as a class action on behalf of all the adjunct instructors who allegedly ASC failed to compensate for work related activities performed outside of scheduled instructional sessions (such as office hours and preparation time) and failed to authorize and pay for rest periods employees were entitled to be given under state law. The parties reached a settlement and its terms will be brought forward to the court for approval. The agreed upon settlement amount for the class is \$330,000.		

Humboldt

Matter Name and Number	Doe v. CSU, et al. (17-0268)		
Date Filed	02/10/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS167545
Litigation Report Text	"John Doe," a former student at Humboldt State, brought this writ action challenging his year-long suspension following a disciplinary proceeding where Doe was found to have committed sexual misconduct. The case is set for trial on July 3, 2018.		

Long Beach

Matter Name and Number	Doe v. White, et al. (17-0551)		
Date Filed	04/20/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS169451
Litigation Report Text	"John Doe," a graduate research assistant at CSULB, brings this writ of mandate to challenge his expulsion based on findings of sexual misconduct. He claims that he was denied a fair hearing and that the findings of sexual misconduct are unsupported by the evidence. The case is set for trial on June 26, 2018.		

Matter Name and Number	Johnson v. City of Long Beach, et al. (15-1457)		
Date Filed	08/13/2014	Matter Type	Personal Injury (Lit)
Court/Forum	Los Angeles Superior Court - South District	Case/Docket #	BC554468
Litigation Report Text	Plaintiff Summer Johnson, a minor, was struck and severely injured by a foul ball while attending a USA Baseball game at Blair Field. Blair Field is owned by the City of Long Beach, and at the time was leased to CSULB. CSULB entered into a facilities use agreement with USA Baseball allowing it to host a baseball game. The City tendered its defense to CSU, and CSU tendered both its defense and the City's defense to USA Baseball's insurance carrier, which was accepted with a reservation of rights. Defendants challenged Plaintiff's complaint based on the assumption of risk defense, which the court accepted and dismissed the lawsuit against CSU.		

Matter Name and Number	Lane, et al. v. CSU (15-0600)		
Date Filed	04/07/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	LC102821

Litigation Report Text	Plaintiffs and former CSULB faculty Brian Lane, Micheal Pounds, Maria Beatty, and Hamid Hefazi claim CSU incorrectly calculated and reported to CalPERS their salary, resulting in CalPERS under-calculating their respective retirement benefits. Plaintiffs claim CSU should have recorded monthly pay as what they earned each academic year (annual salary ÷ 9 months), which would have resulted in a larger monthly figure for purposes of determining Plaintiffs' retirement benefits with CalPERS. The court dismissed Plaintiff's lawsuit against CSU, but Plaintiffs appealed. The Court of Appeal sustained CSU's summary judgment against Plaintiffs. Plaintiffs did not appeal to the Supreme Court, and the time to do so has passed. The Court of Appeal has remanded the matter to the Superior Court to determine the amounts of costs CSU will recover against Plaintiffs.
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Matter Name and Number	Noriega v. CSU (16-1235)		
Date Filed	08/23/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BC631458
Litigation Report Text	Plaintiff Iliana Noriega, a CSULB student with a mobility impairment, alleged disability discrimination caused by physical barriers existing on the CSULB campus. Plaintiff sought injunctive relief and damages. Plaintiff agreed to toll her claims and dismiss the litigation in order to pursue settlement discussions.		

Los Angeles

Matter Name and Number	Board of Trustees of the CSU, et, al. v. Sheila Hudson (17-1125)		
Date Filed	05/26/2017	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles, Stanley Mosk Courthouse	Case/Docket #	BC663058

Litigation Report Text	<p>Plaintiff Sheila Hudson, the Senior Associate Athletics Director, sued for violation of the Fair Employment and Housing Act, intentional infliction of emotional distress, violation of the California Equal Pay Act, violation of the California Family Leave Rights Act, and violation of Labor Code section 1102.5 (whistleblower statute). She seeks monetary damages and appointment by the court to the position of Athletic Director.</p> <p>During the course of that lawsuit, the Court ruled that Plaintiff should not have tape recorded over 5 hours of confidential employee discussions. Three taped employees and the University then sued Plaintiff for \$30,000 in damages for the taping.</p> <p>In response, Plaintiff filed a cross-complaint against the University and a campus Vice President, alleging wrongful termination, intentional infliction of emotional distress, whistleblowing, violation of equal protection, defamation, and failure to pay all her wages at separation (as full payment to her of three-months of future salary in lieu of notice, made pursuant to CSU's MPP non-retention procedures, did not take place on her last day of work).</p> <p>The University and the Vice President filed a successful motion to strike the defamation cause of action and won a motion for their attorneys fees, as she belatedly dismissed that claim in an unsuccessful attempt to thwart that outcome.</p> <p>Plaintiff also filed a motion for leave to amend the cross-complaint to add the President, the former athletic director, the current athletic director, the human resources director, and the equity and diversity officer as additional cross-defendants. After receiving the University's opposition brief, Plaintiff unexpectedly withdrew her motion for leave to amend.</p> <p>Trial is set to begin on October 15, 2018.</p>
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Matter Name and Number	Corrales v. CSU (12-1009)		
Date Filed	06/22/2012	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC487026
Litigation Report Text	<p>Gretchen Corrales, a former cross country and track and field assistant coach at CSU Los Angeles, was not renewed in July 2010, because of several NCAA violations. Corrales alleged she was not renewed, and was falsely accused of violating NCAA rules, because she had complained both about a sexual relationship between another coach and a track and field athlete and her unequal pay. Corrales alleged discrimination, sexual favoritism, a failure to investigate or take remedial measures, and retaliation. In 2012, Corrales was killed by her husband. A guardian ad litem was appointed for Corrales' minor children, and she decided to pursue the litigation on their behalf. The parties attended a mediation and settled for \$7,500.00. Following the mediation, opposing counsel failed to complete necessary settlement documents and failed numerous times to appear in court for status conferences. Based on the failures of counsel or the guardian at litem to finalize settlement documents or appear in court, the judge dismissed the action.</p>		

Matter Name and Number	Hicks v. CSU (16-1234)		
Date Filed	08/30/2016	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BC631669

Litigation Report Text	Student Angela Hicks sued following a student conduct hearing decision suspending her from CSU for one year after she perpetrated an attack on her roommates involving pepper spray. She claimed gender discrimination and emotional distress. CSU filed a motion for judgment on the pleadings, which the court granted. Judgment was entered in favor of CSU. After the court dismissed the case, Ms. Hicks filed a notice of appeal. The appeal is in the preliminary record-preparation stage.
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Matter Name and Number	Hudson v. CSU, et al. (16-1227)		
Date Filed	08/29/2016	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles, Central District of California, Stanley Mosk Courthouse	Case/Docket #	BC631894
Litigation Report Text	<p>Plaintiff Sheila Hudson, the Senior Associate Athletics Director, is suing for violation of the Fair Employment and Housing Act, intentional infliction of emotional distress, violation of the California Equal Pay Act, violation of the California Family Leave Rights Act, and violation of Labor Code section 1102.5 (whistleblower statute). She seeks monetary damages and appointment by the court to the position of Athletic Director.</p> <p>Discovery has been completed. The trial was set to begin in January, 2018, but will now be rescheduled, as the court granted Plaintiff's request that the matter be transferred to a different court and judge, one designated to handle longer trials.</p>		

Matter Name and Number	Park v. Board of Trustees (14-0855)		
Date Filed	05/27/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC546792

Litigation Report Text	<p>Dr. Sungho Park, an assistant professor of education, was denied tenure due to unsatisfactory professional achievement. He sued the University for national origin discrimination and failure to prevent discrimination. The University's special motion to strike the complaint was denied by the trial court, but then granted by the Court of Appeal. The California Supreme Court then accepted review of the case.</p> <p>The University argued that tenure hiring decisions should be treated as an important activity with free speech protection, like hospital peer review board proceedings. Since at least 2006, California law has held that, if a defendant files a special motion to strike, a plaintiff with a lawsuit challenging a hospital peer review proceeding must demonstrate a probability of prevailing, so as to not disrupt that process with frivolous litigation.</p> <p>The California Supreme Court declined to impose a requirement that Dr. Park show a probability of prevailing after he received the University's special motion to strike, concluding that tenure decisions, even though they are communicated orally or in writing, do not trigger free speech protection to warrant such a requirement.</p> <p>Although he was not ordered to show a probability of prevailing at the start of the lawsuit, Dr. Park, during the remaining course of the litigation, still has the burden of presenting admissible evidence to support his discrimination claims.</p> <p>The California Supreme Court rejected a request by the California Hospital Association to amend the opinion. The case was remanded to the trial court, and written discovery is resuming. The parties were unable to settle at a mediation held in January 2018, but settlement negotiations are continuing.</p>
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Matter Name and Number	Young America's Foundation; et al. v. Covino, et al. (16-0737)		
Date Filed	05/19/2016	Matter Type	Other (Lit)
Court/Forum	United States District Court, Central District of California	Case/Docket #	2:16-cv-03474
Litigation Report Text	<p>Young Americans for Freedom, Ben Shapiro, and two students sued four administrators and five faculty members for damages based on Section 1983 violations. They alleged that the University's employees failed to allow Shapiro to exercise his first amendment rights by making a policy regarding security fees, which were ultimately not charged, too vague; by ordering public safety not to enforce laws; and by blocking or allowing the blocking of the entrance to the auditorium. Motions to dismiss and strike were granted, with leave to amend on some causes of action. After the filing of new motions to dismiss and strike, the plaintiffs agreed to settle by dismissing the lawsuit without any payment by defendants. Each side agreed to be responsible for its own attorneys fees and costs, and the University employees agreed to abide by the campus time, place, and manner policy.</p>		

Monterey Bay

Matter Name and Number	Keep Fort Ord Wild v. County of Monterey, et al. (11-1411)		
Date Filed	11/10/2011	Matter Type	Environmental (Lit)

Court/Forum	Superior Court of State of California, Monterey	Case/Docket #	M114961
Litigation Report Text	<p>Keep Fort Ord Wild (KFOW) filed a petition against the Fort Ord Reuse Authority (FORA) and the County of Monterey alleging they failed to comply with the California Environmental Quality Act (CEQA) in connection with a proposed roadway project. KFOW also named the CSU as a party because a portion of the roadway is on property that will be deeded to the CSUMB campus in the future by FORA. The Court entered a decision in favor of KFOW in early 2017, ordering FORA and the County of Monterey to comply with CEQA. On May 26, 2017, FORA filed a return to the Court's writ indicating they had settled with KFOW and will not pursue an appeal. The CSU was successful in achieving our goals to minimize the CSU's presence and ensure no relief was sought or obtained against CSU, while at the same time assisting FORA where possible to help further the parties' relationship. The Court discharged the writ on December 21, 2017 and the matter is now closed.</p>		

Northridge

Matter Name and Number	Doe v. White, et al. (17-1516)		
Date Filed	11/30/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS171704
Litigation Report Text	<p>Petitioner "John Doe" is a current student at CSU Northridge who was found to have engaged in sexual misconduct that violated Executive Order 1097. A sanction of expulsion was imposed. Petitioner filed this writ action seeking to have the Executive Order 1097 violation finding reversed and vacated. The matter will be set for hearing later this year.</p>		

Matter Name and Number	SUPA, et al. v. CSU (16-0609)		
Date Filed	04/21/2016	Matter Type	Employment (Lit)
Court/Forum	Los Angeles Superior Court	Case/Docket #	BC617813

Litigation Report Text	<p>SUPA and CSUN police officers Anthony Vargas, Matthew Dunwoody & Thomas Finnerty allege that they suffered unspecified adverse employment actions (whistleblower retaliation) for having complained about purported illegal parking ticket quotas. Plaintiffs also seek declaratory relief from the court that the alleged parking citation quota system is illegal.</p> <p>In December 2016, CSU filed a motion to strike Plaintiff's First Amended Complaint due to Plaintiffs' improper attempt to add CSUN police officer Yolanda Abundiz to the complaint, as well as their failure to exhaust administrative remedies and failure to plead elements of a whistleblower retaliation cause of action. That motion was granted on February 7, 2017 without leave to amend as to Plaintiff Abundiz and with leave to amend as to Plaintiffs Finnerty, Vargas and Dunwoody. Due to Plaintiffs' failure to properly plead a cause of action as to Finnerty and Vargas, these individuals were subsequently dismissed from the case.</p> <p>The hearing on Plaintiffs' causes of action for writ of mandate and declaratory relief is scheduled for February 13, 2018.</p>
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Pomona

Matter Name and Number	Kim v. CSU, et al. (16-0824)		
Date Filed	05/20/2016	Matter Type	Personal Injury (Lit)
Court/Forum	Los Angeles Superior Court	Case/Docket #	BC621106
Litigation Report Text	<p>Ashley Kim, a current student at Cal Poly Pomona, was injured in May 2015 when she fell from her horse during a ride at the W. K. Kellogg Arabian Horse Center on campus. At the time of the incident, Kim was a member of the University's International Horse Show Association Team, and her training session on the day in question was related to her team membership and participation. Kim was injured when her horse was struck by another horse, causing her to fall and strike her head on a metal pole as she fell. IHSA team members are covered by workers' compensation for volunteers, and Kim filed for coverage shortly after the incident. In her subsequent civil lawsuit, Kim alleges causes of action for a dangerous condition and negligent supervision. On February 14, 2017, CSU's challenge to the sufficiency of the pleading was denied on technical grounds. On March 22, 2017 Kim dismissed the case with prejudice in exchange for a waiver of costs and any malicious prosecution claim.</p>		

Sacramento

Matter Name and Number	Bartley v. CSU, et al. (15-1434)		
Date Filed	09/25/2015	Matter Type	Employment (Lit)
Court/Forum	Sacramento County Superior Court	Case/Docket #	34-2015-00184739

Litigation Report Text	Robert Bartley, an Administrative Analyst/ Specialist in Human Resources at CSU Sacramento, filed complaint alleging age, gender, race and disability discrimination in violation of FEHA in addition to a claim for unpaid overtime wages. The complaint arises out of the University's alleged failure to promote the plaintiff, provide reasonable accommodation, and prevent harassment. Following several rounds of mediation, the case was settled for \$50,000 in exchange for a full release and waiver of claims.
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Matter Name and Number	CSU v. Mondo (17-0388)		
Date Filed	03/14/2017	Matter Type	Contracts (Lit)
Court/Forum	Sacramento County Superior Court	Case/Docket #	34-2017-00209335
Litigation Report Text	California State University Sacramento is suing Mondo, the manufacturer of the University's track, for breach of warranty claim. The track is considered one of the best and most expensive tracks available, and yet it has not held up to warranty standards. Soon after its installation, the track's colors began to fade. Efforts to correct the fading have not been successful and Mondo is not replacing the track as requested by the University. The parties will be submitting requests for trial dates in the near future. The case is in the pleading stage.		

San Bernardino

Matter Name and Number	Choi v. Aurora Wolfgang, et al. (14-1048)		
Date Filed	08/09/2014	Matter Type	Employment (Lit)
Court/Forum	United States District Court, Riverside	Case/Docket #	5:14-CV-01707
Litigation Report Text	This is the second of three concurrent cases filed by Plaintiff Myung Choi, a former tenure-track professor, stemming from a denial of promotion and tenure. This federal action was filed against the individuals involved in the promotion and tenure review process and asserts claims of civil rights violations for alleged race discrimination, retaliation, violation of freedom of speech, equal protection violations, and conspiracy. In light of Plaintiff's appeal of the state court's ruling in a parallel action granting CSU's special motion to strike the complaint as an impermissible attack on protected activity, Plaintiff stipulated to stay this action pending the ruling on the appeal.		

Matter Name and Number	Choi v. CSU (14-1293)		
Date Filed	10/28/2014	Matter Type	Employment (Lit)
Court/Forum	United States District Court, Los Angeles	Case/Docket #	2:14-CV-08337-MRP
Litigation Report Text	This is the third of three concurrent lawsuits filed by Plaintiff Myung Choi, a former tenure-track professor, after a denial of tenure and promotion. In this federal action, Plaintiff alleges race discrimination and retaliation. In light of Plaintiff's appeal from the court's granting of CSU's special motion to strike the complaint as protected activity under the anti-SLAPP statutes, the court stayed this action pending the ruling on the appeal in the state court action.		

Matter Name and Number	Choi v. CSU (LEAD CASE) (14-1035)		
Date Filed	08/13/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC554054
Litigation Report Text	This is first of three concurrent actions filed by Plaintiff Myung Choi, a former tenure-track professor, as a result of a denial of tenure and promotion. Plaintiff asserted claims against CSU for race discrimination and retaliation. The trial court granted CSU's special motion to strike the complaint as an impermissible attack on protected activity. Plaintiff appealed the trial court's ruling. The appellate court reversed the trial court's order in full on February 8, 2018. The case will now enter the discovery stage.		

Matter Name and Number	Nunez v. Board of Trustees of the CSU, et al. (16-1281)		
Date Filed	08/22/2016	Matter Type	Employment (Lit)
Court/Forum	San Bernardino Superior Court	Case/Docket #	CIVDS1613843
Litigation Report Text	Plaintiff is Ruben Nunez, a former Grounds and Automotive Manager for CSUSB. He sued CSU for various alleged employment violations. He claims discrimination based on age, race, and medical conditions; and retaliation based on protected reporting of employment violations. Plaintiff was employed by CSUSB from February 2004 until February 2016 when he was non-retained for performance reasons. The case is in the final stage of discovery. Trial is set for June 11, 2018.		

San Diego

Matter Name and Number	Burns v. CSU, et al. (14-0194)		
Date Filed	02/19/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2014-00003408-CU-CO-CTL
Litigation Report Text	<p>Former women's basketball coach Beth Burns has sued the University for breach of contract, breach of the covenant of good faith and fair dealing, and retaliation. She contends that she was forced to resign for having demanded that women's basketball be given all of the same facilities, equipment, marketing, and staffing as the men's basketball program. She further contends that the reasons given by the University were a pretext. Following a four-week trial, the jury awarded \$3,356,250 in economic and non-economic damages. On December 5, 2016, the Court denied our motions for new trial and judgment notwithstanding the verdict. The court awarded plaintiff a portion of the attorneys' fees (\$1,918,597.50) and costs (\$104,673.97) she requested, and ordered that CSU be able to undertake periodic payments of the judgment.</p> <p>CSU appealed the judgment on the verdict and plaintiff appealed the costs award and the order granting periodic payment of the judgment. The case is currently in the briefing stage.</p>		

Matter Name and Number	Byrd v. CSU, et al. (17-0626)		
Date Filed	05/12/2017	Matter Type	Employment (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2017-00007971-CU-WM-CTL
Litigation Report Text	Clare Byrd was dismissed from her employment, challenged her termination in the State Personnel Board, and we settled – or so we thought. CalPERS refused to honor some of the terms of the settlement regarding Byrd's retirement; Byrd went back to SPB to seek enforcement, but the SBP voided out the settlement based on the CalPERS ruling. Byrd then sued us for breach of contract, but dismissed that case. Byrd then sued SPB and CalPERS to reverse their decisions, and later added CSU to the case. The Court denied the writ relief sought by Smith. As a result, the SPB settlement was declared void. Byrd may appeal this decision.		

Matter Name and Number	Byrd v. SDSU, et al. (16-1489)		
Date Filed	09/22/2016	Matter Type	Employment (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2016-00033305-CU-BC-CTL
Litigation Report Text	Clare Byrd is a former SDSU employee who was terminated for dishonesty in connection with the interactive dialogues regarding purported disabilities. She appealed the termination to the SPB where the parties settled the matter. CalPERS subsequently refused to honor part of the settlement. Following unsuccessful efforts to renegotiate the settlement around CalPERS' position, Byrd filed this lawsuit. She alleged rescission of the settlement agreement; breach of written contract; breach of implied covenant of good faith and fair dealing; equitable indemnity; disability/medical condition discrimination; failure to accommodate; failure to engage in the interactive process; retaliation; and wrongful termination of public policy. The case was dismissed after the parties entered into an agreement to allow Byrd to first file a legal challenge to the SPB's decision before pursuing any potential civil claims against CSU.		

Matter Name and Number	Doe v. Superior Court, et al. (San Diego) (15-1237)		
Date Filed	08/27/2015	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	30-2015-00029558-CU-WM-CTL

Litigation Report Text	<p>In August 2015, SDSU initiated student conduct proceedings against John Doe, alleging that he had violated the terms of a prior disciplinary probation and suspension held in abeyance when he used and offered drugs to a female student. In addition, John Doe was alleged to have sexually assaulted the female student. Doe was placed on an interim suspension during the pendency of the disciplinary process. Doe filed this petition, alleging that neither the investigatory findings nor the interim suspension are supported by the evidence and that he was denied due process. Doe filed an ex parte application seeking a temporary restraining order to end the interim suspension. The trial court denied his request, finding that Doe would not likely prevail on the merits. The trial court cited Doe's extensive disciplinary record and the thoroughness of CSU's investigation. Doe appealed the trial court's decision, but the Court of Appeal denied his appeal. Subsequent to the Court of Appeal decision, and following a hearing on the merits, the campus expelled Doe. Doe petitioned for a writ to overturn the expulsion. On February 1, 2017, the trial court ruled that Doe was not fully afforded due process rights with respect to the assault allegation but affirmed the expulsion based on the non-sexual assault allegations. The trial court has requested briefing whether CSU can hold a new discipline hearing on the sexual assault allegations if it corrects the due process concerns.</p>		
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Matter Name and Number	Johnson v. CSU, et al. (15-1454)		
Date Filed	10/02/2015	Matter Type	Employment (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2015-00033527-CU-WM-CTL
Litigation Report Text	<p>On April 16, 2015, the State Personnel Board upheld the dismissal of former San Diego State Sergeant, Michael Johnson. Johnson had been dismissed for engaging in a number of actions in the course of a few months, constituting unprofessional conduct and failure or refusal to perform the normal and reasonable duties of his position as a police officer. After unsuccessfully petitioning the State Personnel Board for a rehearing, Johnson filed this writ petition, seeking to overturn the State Personnel Board's decision. Johnson claims that the Board's findings are not supported by the evidence, that San Diego State failed to provide a draft investigation report depriving Johnson of a fair Skelly hearing, that the notice of discipline failed to provide any facts supporting dishonesty, and that the campus retaliated against Johnson for union-related activity. The Court granted the writ in part, ruling that discipline was appropriate, but reversed as to the level of discipline. CSU appealed.</p> <p>SPB's findings of fact upheld by the trial court judge under substantial evidence review were not addressed on appeal; Court of Appeal reversed trial court's decision to change the discipline, finding abuse of discretion, and reversed with directions to deny the writ.</p>		

Matter Name and Number	Kyle v. CSU, et al. (17-1485)		
Date Filed	11/29/2017	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2017-00045406-CU-MC-CTL

Litigation Report Text	Petitioner Taryn Kyle is a current student at San Diego State. Ms. Kyle was previously classified as a nonresident for tuition purposes. She subsequently filed an application to be reclassified as a resident for tuition purposes. The campus denied her application. Ms. Kyle appealed the decision. The decision was confirmed on appeal. Ms. Kyle brought this Writ action seeking to reverse that decision and be classified as a resident. The matter is in the pleading stage.
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Matter Name and Number	Ozatalar v. CSU (17-1528)		
Date Filed	12/01/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS171706
Litigation Report Text	Petitioner Cameron Ozatalar is a current student at San Diego State. Mr. Ozatalar was previously classified as a nonresident for tuition purposes. He subsequently filed an application to be reclassified as a resident for tuition purposes. The campus denied his application. Mr. Ozatalar appealed the decision. The decision was confirmed on appeal. Mr. Ozatalar brought this Writ action asking that the decision be reversed and that he be classified as a resident. The matter is in the pleading stage.		

Matter Name and Number	San Diegans For Open Government v. SDSU, et al. (15-0615)		
Date Filed	04/09/2015	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00011951-CU-MC-CTL
Litigation Report Text	<p>Plaintiff filed this action seeking to set aside lease agreements between CSU and Investigative Newsource, a company that provides investigative reporting for KPBS, a station operated at San Diego State by the San Diego State University Research Foundation. Investigative Newsource provides news reports to KPBS, which KPBS pays for with providing leased space. The complaint alleges that the lease agreements constitute gifts of public funds and misappropriate the campus' intellectual property. CSU filed a motion to strike the complaint on the grounds that it is a strategic lawsuit against public participation (SLAPP) in that it challenges agreements entered to provide services protected by the First Amendment and plaintiff cannot show it has a reasonable likelihood of prevailing. On September 8, 2015, the Court granted CSU's motion to strike the complaint. SanDOG appealed.</p> <p>On May 3, 2017, the Court of Appeal affirmed the dismissal of the case; on June 1, 2017 the Court denied SanDOG's petition for rehearing and issued a modified opinion.</p> <p>SanDOG petitioned for review in the California Supreme Court. The Supreme Court granted the petition but held it pending the outcome in another pending anti-SLAPP case (Wilson v. Cable News), which is currently fully briefed and awaiting argument.</p>		

San Francisco

Matter Name and Number	City and County of San Francisco v. Regents of the University of (14-0065)
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Date Filed	01/14/2014	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CPF-14-513434
Litigation Report Text	<p>The City and County of San Francisco filed a lawsuit against the CSU, the University of California, and U.C. Hastings College of Law attempting to compel the Defendants to collect and remit to the City a 25% parking tax on all university parking spaces. Defendants asserted a sovereign immunity defense. The court ruled in favor of the Defendants and denied Plaintiff's petition. The City appealed the ruling. Oral argument took place in the Court of Appeal on March 1, 2017, and the Court of Appeal affirmed the judgment in favor of the UC Hastings, and the CSU. Plaintiff sought review by the California Supreme Court, which accepted the case for review. The matter is in the briefing stage.</p>		

Matter Name and Number	Gupta v. SFSU (15-0327)		
Date Filed	02/10/2015	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CGC-15-544050
Litigation Report Text	<p>Dr. Rashmi Gupta was a probationary faculty member in the Department of Social Work. SFSU denied her request for tenure and promotion in 2011. Following a hearing held under the faculty grievance procedure, an arbitrator awarded her an additional year of employment and permitted her to apply for tenure again. In 2012, SFSU again denied her tenure request and her employment at the university ended. In this case, Dr. Gupta claimed the university's decision was discriminatory, retaliatory, and based on age, gender, national origin and ancestry rather than legitimate academic reasons. The case went to trial in August 2016, and the jury returned a verdict for plaintiff in the amount of \$378,461. Plaintiff filed a motion for reinstatement into a tenured faculty position and the court denied the motion with certain conditions that would subject the university to court monitoring and reporting for five years. The CSU opposed the court's conditions. On March 24, 2017, the court entered judgment against the CSU in the amount of \$378,461. The CSU filed an appeal of the judgment with the First District Court of Appeal. On July 31, 2017, the court issued an award of attorney's fees and costs in favor of Plaintiff in the amount of \$587,160.75. The CSU appealed that order on September 25, 2017, and that appeal has now been consolidated with the appeal of the judgment in the Court of Appeal. The Court of Appeal has not yet set a briefing schedule and may not render a final decision on the merits until 2019.</p> <p>Following entry of judgment and filing of the appeal, the trial court issued a number of orders attempting to enforce its non-reinstatement conditions and reporting requirements and threatening to sanction the CSU for non-compliance. In October 2017, the CSU filed a Petition of Writ of Supersedeas requesting an immediate stay of all lower court proceedings, but the petition was ultimately denied. The parties continue to report to the trial court on a regular basis regarding the reinstatement conditions and, per the judge's orders, are participating in a Mandatory Settlement Conference which continues on February 20, 2018.</p>		

Matter Name and Number	Hofmann v. CSU (16-0075)		
Date Filed	01/12/2016	Matter Type	Employment (Lit)
Court/Forum	San Francisco Superior Court	Case/Docket #	CGC-16-549831

Litigation Report Text	Plaintiff Mig Hoffman, a former information security officer at SFSU, claims the university terminated her in retaliation for reporting a Trojan virus and notifying outside government agencies of a potential data breach on campus. She alleges claims of whistleblower retaliation and wrongful termination. Trial was scheduled to begin on May 1, 2017, and the case settled on the morning of the first day of trial. The CSU agreed to pay Plaintiff \$450,000 in exchange for a full release of all claims.
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Matter Name and Number	Mandel, et al. v. CSU, et al. (17-0814)		
Date Filed	06/19/2017	Matter Type	Student (Lit)
Court/Forum	United States District Court - North District of California (Oakland)	Case/Docket #	3:17-cv-03511-WHO
Litigation Report Text	Plaintiffs, including SFSU students, former students, and Jewish community members, claim that their First and Fourteenth Amendment rights were violated by SFSU. The Complaint focuses on two events: (1) the April 2016 appearance by Jerusalem Mayor Nir Barkat at SFSU that drew loud protests and ended prematurely due to the opposition, and (2) the February 2017 "Know Your Rights" fair that the student group SF Hillel was allegedly excluded from due to their religious beliefs and the content of their speech. The complaint names the CSU and SFSU as defendants, along with eleven current or former employees. On November 8, 2017, the court granted the CSU's motion to dismiss with leave to amend. Plaintiffs have not filed an amended complaint, but as noted in the Volk summary, two of the plaintiffs filed a related action in state court.		

Matter Name and Number	Monteiro v. CSU, et al. (17-1102)		
Date Filed	08/23/2017	Matter Type	Employment (Lit)
Court/Forum	San Francisco Superior Court	Case/Docket #	CGC-17-560897
Litigation Report Text	Plaintiff Ken Monteiro, the Dean of the College of Ethnic Studies (CES), filed this lawsuit against the CSU, President Leslie Wong, former Provost Sue Rosser, and current Provost Jennifer Summit. The Complaint alleges causes of action for discrimination, harassment, retaliation, and defamation. Plaintiff alleges that budget cuts at SFSU disproportionately impacted the CES and that the defendants have falsely accused him of overspending. He also alleges that defendants' refusal to properly fund CES was motivated by discrimination against the Dean due to his race, age, and sexual orientation. This case is in the discovery stage.		

Matter Name and Number	Volk, et al. v. CSU (18-0123)		
Date Filed	01/09/2018	Matter Type	Student (Lit)
Court/Forum	San Francisco Superior Court	Case/Docket #	CGC-18-563970
Litigation Report Text	This state-court lawsuit was filed on January 30, 2018, by two of the six plaintiffs from the Mandel case, both of whom allege they are current SFSU students, against the Board of Trustees. No individual defendants are named. Plaintiffs allege that SFSU has discriminated against them based on their "race, religion, ancestry, and perceived skin color" in violation of California's Unruh Civil Rights Act. The case is in the pleading stage.		

San Jose

Matter Name and Number	CSU v. Perkins & Will (16-0702)		
Date Filed	04/29/2016	Matter Type	Construction (Lit)
Court/Forum	Santa Clara Superior Court	Case/Docket #	16CV294532
Litigation Report Text	<p>CSU is suing the architect on the SJSU Student Union expansion and renovation project, Perkins & Will, on the basis that it failed to adequately and timely perform its services, failed to meet the standard of care, and failed to manage its sub-consultants or adequately staff the project. As a result of P&W's failures, the project experienced millions of dollars in cost overruns. Perkins & Will filed cross-claims against the contractor, construction manager and five other entities involved with the project, adding them as defendants to the lawsuit.</p> <p>The court granted contractor Lathrop's request to be dismissed from the lawsuit (and a request for sanctions against Perkins & Will). Discovery continues as to all remaining parties, and the court has encouraged the parties to mediate the dispute. Mediation is set in March.</p>		

Matter Name and Number	J.A.L. v. Santos, et al. (15-0219)		
Date Filed	01/26/2015	Matter Type	Personal Injury (Lit)
Court/Forum	United States District Court, San Jose	Case/Docket #	CV 15-00355 LHK
Litigation Report Text	<p>SJSU police officers Mike Santos and Frits Van Der Hoek confronted Antonio Guzman Lopez, a homeless man holding a sharp object, on the edge of campus. After Lopez ignored their instructions and moved quickly toward Van Der Hoek, Officer Santos fired, killing Lopez. Plaintiff J.A.L. is Lopez' minor son; through his guardian ad litem, J.A.L. brought claims against Santos and Van Der Hoek, for unreasonable search and seizure, violation of due process, wrongful death and negligence. The court granted our motion for summary judgment on qualified immunity and dismissed the case, and plaintiff appealed.</p> <p>On February 6, 2018, the Court of Appeals issued its decision, agreeing that the case should be dismissed. Plaintiff could choose to seek review from the U.S. Supreme Court, but otherwise, the matter is concluded.</p>		

Matter Name and Number	Laker v. CSU, et al. (17-0424)		
Date Filed	03/15/2017	Matter Type	Employment (Lit)
Court/Forum	Santa Clara Superior Court	Case/Docket #	17CV307336

Litigation Report Text	<p>Plaintiff Jason Laker, a faculty member in the College of Education (and former Vice President of Student Affairs) served as the advisor for a graduate student who was found to have been sexually harassed by her instructor, Lewis Aptekar. In this lawsuit, Laker brings claims for defamation and retaliation against SJSU and four administrators, Provost Andrew Feinstein, then-Dean Elaine Chin, then-Associate Dean Mary McVey, and head of Human Resources Beth Pugliese. Laker alleges he was defamed when administrators suggested Laker knew of prior complaints against Aptekar that Laker failed to report. And for retaliation, Laker alleges that Feinstein and Chin caused meritless and time-barred complaints and grievances to be brought against him.</p> <p>CSU filed an anti-SLAPP motion, as to the entire case (both the defamation and retaliation causes of action). The trial court denied the motion, and CSU has appealed the denial, staying the case. Separately Chin filed her own anti-SLAPP motion, and before the court could rule, Laker agreed to dismiss her from the lawsuit. A briefing schedule for CSU's appeal has not yet been set.</p>
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Matter Name and Number	Tiggs v. CSU, et al. (15-0929)		
Date Filed	05/07/2015	Matter Type	Personal Injury (Lit)
Court/Forum	Superior Court of State of California, Santa Clara	Case/Docket #	115CV280317
Litigation Report Text	<p>Brenden Tiggs was an SJSU freshman who, in February 2014, committed suicide in his SJSU dorm room. Plaintiffs, his parents, contend SJSU was negligent in failing to monitor their son's mental and emotional health and breached its obligation to provide him a safe and secure environment. CSU's motion to dismiss the lawsuit on timeliness grounds was granted and the case was dismissed. Plaintiff appealed.</p> <p>The case is fully briefed before the Court of Appeal, but the court has not yet scheduled oral argument or issued a decision.</p>		

San Luis Obispo

Matter Name and Number	Doe v. CSU, et al. (18-0073)		
Date Filed	01/16/2018	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BS171866
Litigation Report Text	<p>"John Doe" filed this petition for writ of mandate challenging his expulsion from Cal Poly San Luis Obispo after he was found responsible for sexual misconduct. He alleges that he was improperly disciplined following a Title IX process that was unfair, lacked due process, did not comply with the law or University policy, and where charges were not supported by the evidence. The case is in the pleading stage.</p>		

Matter Name and Number	Doe v. CSU, et al. (SLO) (17-0271)		
Date Filed	02/10/2017	Matter Type	Student (Lit)

Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS168172
Litigation Report Text	Student "John Doe" was found responsible for engaging in sexual misconduct (nonconsensual intercourse) with a complaining student, and was expelled after being afforded a disciplinary hearing pursuant to the University's student conduct process. Doe filed this writ claiming that his due process rights were violated and that he was not afforded a fair hearing. Doe also claims there was not enough evidence to warrant expulsion. Prior to a court hearing on the merits, the case settled. CSU rescinded Doe's expulsion and instead imposed a one-quarter suspension and other sanctions. CSU did not pay any money in this settlement.		

Matter Name and Number	Doe v. White, CSU, et al. (17-1003)		
Date Filed	07/17/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS170221
Litigation Report Text	<p>John Doe, a former student, filed this petition challenging his expulsion from Cal Poly San Luis Obispo for sexual misconduct.</p> <p>Jane Roe, a current student, alleges that on February 26, 2016 she met John Doe at a University affiliated party where she consented to dancing with him and engaging in a brief kiss. However, Roe alleges that she did not consent to Doe's increasingly aggressive behavior of pulling her in to dance closer and engaging in an open-mouthed kiss.</p> <p>Roe further alleges that at a subsequent meeting Doe engaged in non-consensual sexual intercourse with her.</p> <p>Following the University's investigation of Roe's complaint, Doe was found to have violated campus sexual conduct policies and expelled from school.</p> <p>The Court has set a briefing schedule with trial to be held on June 8, 2018.</p>		

Matter Name and Number	Doe v. White, et al. (17-0385)		
Date Filed	03/21/2017	Matter Type	Student (Lit)
Court/Forum	Los Angeles Superior Court - Central District	Case/Docket #	BS168476
Litigation Report Text	Student "John Doe" filed this petition for writ of mandate challenging his expulsion from Cal Poly San Luis Obispo after he was found responsible for sexual misconduct. Doe alleges that he was deprived of a fair hearing and that the findings of sexual misconduct are not supported by substantial evidence. The case is set for trial on July 11, 2018.		

Matter Name and Number	Pergis, J. and Kinkel Estate, et al. v. Fuentes, CSU et al. (16-1038)		
Date Filed	04/26/2016	Matter Type	Personal Injury (Lit)
Court/Forum	San Luis Obispo Superior Court	Case/Docket #	16CVP0109

Litigation Report Text	This wrongful death action involves members of a previously recognized student organization (club) "Cal Poly Motor Car Association" who engaged in an off-campus, high speed, high risk road race in January 2016 resulting in a head on collision that caused the death of Joni Marie Kinkel, mother of plaintiff Joscelyn Pergis. The Estate and Pergis claim that the students engaged in these activities as a sanctioned organization of the University, and that the club advisor, a faculty member, was negligent in his duties to advise and supervise this off-campus activity. The case has been dismissed.
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San Marcos

Matter Name and Number	Apodaca v. Silas Abrego, et al (17-0640)		
Date Filed	05/17/2017	Matter Type	Student (Lit)
Court/Forum	United States District Court, Southern District of California	Case/Docket #	17CV1014L
Litigation Report Text	Plaintiff Nathan Apodaca, president of Students for Life ("SFL") at California State University San Marcos, filed a lawsuit arising from the denial of SFL's application for ASI Leadership Funding, which is funded by mandatory student fees, to pay a speaker to attend a SFL event. The denial was based upon ASI Leadership Funding guidelines which specifically prohibits payment of speaker fees. Plaintiff also challenges ASI's allocation of mandatory student fees to fund the Gender Equity Center and LGBTQA Pride Center, which plaintiff alleges both engage in speech he opposes. Plaintiff alleges violations of his First Amendment free speech and Fourteenth Amendment right to equal protection of the law rights. The plaintiff seeks declaratory and injunctive relief, compensatory damages, and attorneys' fees. This matter is currently in the discovery phase of litigation.		

Matter Name and Number	Doe v. CSU (San Marcos) (16-1478)		
Date Filed	10/21/2016	Matter Type	Student (Lit)
Court/Forum	San Diego Superior Court	Case/Docket #	37-2016-00036982-CU-WM-NC
Litigation Report Text	Student John Doe was placed on interim suspension after an investigation concluded he had engaged in sexual misconduct with another student. Doe subsequently filed a petition for writ of mandate in San Diego County Superior Court, alleging that "prejudicial procedural errors impacted the investigation outcome to such a degree that the investigation did not comply with CSU Executive Orders 1095, 1097 and 1098, resulting in a denial of [his] right to federal and state due process of law." This matter settled for \$7,570.00.		

Matter Name and Number	Doe v. CSUSM (17-0925)		
Date Filed	07/05/2017	Matter Type	Student (Lit)
Court/Forum	United States District Court, Southern District of California	Case/Docket #	N/A

Litigation Report Text	Student "John Doe" filed suit to challenge his expulsion after he was found responsible for sexual misconduct. He alleged both procedural and substantive errors in the handling of the student disciplinary process. The matter settled for non-monetary terms. CSU conferred Doe's MBA degree, and entered a record of "withdrawal in lieu of expulsion" permanently on Doe's MBA transcript. In exchange, Doe agreed to dismiss the action and not seek readmission to CSU system. CSU did not pay any money in this settlement.
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Matter Name and Number	Mackey, et al. v. CSU, et al. (15-0596)		
Date Filed	04/06/2015	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, San Diego	Case/Docket #	37-2015-00011529-CU-
Litigation Report Text	Students Lynette Mackey, Kianna Williams, Danielle Cooper, Sierra Smith, and Crystal Hicks, all current or former African American basketball players on the CSU San Marcos women's basketball team, filed a lawsuit alleging claims against the University and Coach Sheri Jennum for race discrimination, harassment, retaliation, and negligence. On March 3, 2017, the court granted CSU's motion for summary judgment. The plaintiffs subsequently appealed the dismissal of their lawsuit and the appeal is currently pending.		

Sonoma

Matter Name and Number	Benjamin v. CSU, et al. (16-0340)		
Date Filed	02/16/2016	Matter Type	Employment (Lit)
Court/Forum	Sonoma Superior Court	Case/Docket #	SCV-258408
Litigation Report Text	Steven Benjamin, a former electrician at SSU, alleges that he was fired shortly before the end of his probationary period after he complained of alleged unsafe working conditions and alleged violations of the Labor Code. He alleges claims for whistleblower retaliation, as well as PAGA claims regarding asbestos on campus (identical to what was alleged in the Sargent matter, but for a different time period), and regarding alleged electrical safety issues. This case is in the discovery stage.		

Matter Name and Number	Sargent v. CSU (14-0715)		
Date Filed	06/06/2014	Matter Type	Employment (Lit)
Court/Forum	Superior Court of State of California, Sonoma	Case/Docket #	SCV-255399

Litigation Report Text	<p>Plaintiff Thomas Sargent, a former facilities department employee, claimed he was retaliated against when he complained about alleged health and safety issues relating to the presence of asbestos in various buildings on campus. He also alleged various PAGA claims regarding asbestos and other health-related conditions on campus.</p> <p>After an 8-week trial, the Sonoma County jury found in his favor on the retaliation claims and awarded him \$387,895 in damages. On the PAGA claims, the jury found in favor of CSU on 9 claims and against CSU on 7 claims.</p> <p>Post-trial, the judge assessed \$2,905,200 in penalties against CSU relating to the PAGA claims. The judge also granted Sargent's request for equitable relief (reinstatement to his prior position as of July 2015, including backpay and benefits), in exchange for Sargent's agreement to forego \$271,895 of the economic damages the jury awarded, which reduces the jury's damage award to \$116,000. CSU also is required to work with CALPERS to reinstate Sargent's retirement account. In addition, the trial court awarded plaintiffs approximately \$96,000 in recoverable related costs.</p> <p>CSU has appealed; the appeal is in the briefing stage.</p> <p>In another post-trial proceeding, plaintiff requested approximately \$11.5 million in attorneys fees. CSU will appeal any award of attorney's fees.</p>
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Systemwide

Matter Name and Number	CSU v. SELF (14-1263)		
Date Filed	10/15/2014	Matter Type	Other (Lit)
Court/Forum	Superior Court of State of California, Los Angeles	Case/Docket #	BC560824
Litigation Report Text	<p>This lawsuit involves an insurance coverage dispute between CSU and School Excess Liability Fund ("SELF"). SELF is a Joint Powers Authority which provides insurance to its membership. CSU was a member of and insured by SELF. CSU alleges that SELF wrongfully and improperly refused to fully indemnify CSU in connection with five discrimination lawsuits filed against CSU while it was insured by SELF.</p> <p>The parties were required to first address the coverage dispute through non-binding arbitration. The required, but non-binding, arbitration resulted in an award of \$5.24 million to CSU. SELF did not pay the award, and CSU filed this lawsuit seeking approximately \$7.14 million in damages. SELF finally agreed to settle the dispute by paying CSU \$4.5 million.</p>		

Matter Name and Number	Donselman, et al. v. CSU (09-0874)		
Date Filed	07/31/2009	Matter Type	Student (Lit)
Court/Forum	Superior Court of State of California, San Francisco	Case/Docket #	CGC-09-490977

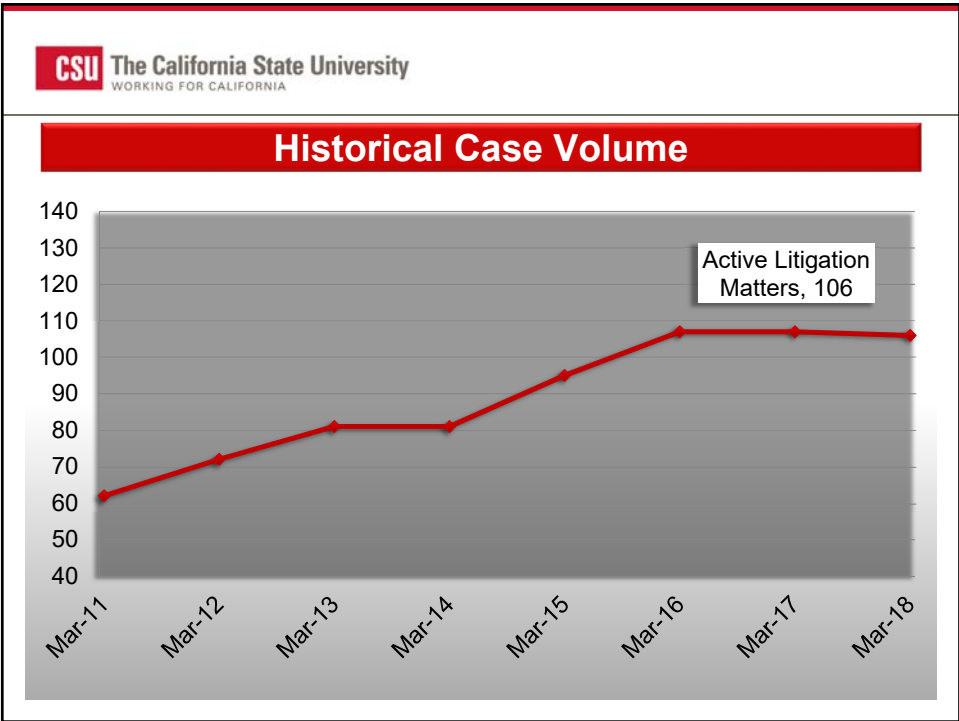
Litigation Report Text	<p>Five students brought this class action to challenge the state university fee and non-resident tuition increases, and newly implemented Graduate Business Professional fee, from Fall 2009. The court granted plaintiffs' motion to certify two subclasses that exclude four campuses where fees were posted late and/or students received financial aid to cover their increased fees. The two subclasses comprise approximately 175,000 students. CSU filed writs in the Court of Appeal and the California Supreme Court to challenge the class certification decision. Both were denied. After plaintiffs changed their legal theories to add alternative contract formation arguments, CSU filed a motion to decertify the class, but that was denied. CSU prevailed on pre-trial motions dismissing the breach of implied contract claims. CSU then successfully sought bifurcation of all claims regarding the Graduate Business Professional Fee, and they were separated from the rest of the case. The remaining claim for breach of the implied covenant of good faith and fair dealing was tried to a jury in April 2015, and CSU won a defense verdict. Plaintiffs have appealed that portion of the case. In the meantime, both sides reached an amicable settlement of \$1.4 million for all claims involving the Graduate Business Professional Fee, so the claims of that subclass are resolved.</p> <p>Plaintiffs' appeal challenges of our partial summary judgment ruling and our defense verdict at trial. We have appealed the granting of class certification and the partial denial of our summary judgment motion. The appeal has been fully briefed, and is set for oral argument on March 21, 2018.</p>
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Matter Name and Number	OnTheGo Wireless v. Cellco Partnership, et al. (15-1667)		
Date Filed	07/05/2012	Matter Type	Contracts (Lit)
Court/Forum	Sacramento County Superior Court	Case/Docket #	34-2012-00127517
Litigation Report Text	<p>This is a multi-party action to challenge how a number of wireless cell providers charged various public agencies for mobile phone services. Originally, a qui tam (whistleblower) plaintiff sued the major wireless carriers asserting various false claims violations, alleging that the carriers overbilled public agencies in violation of contractual terms that required "optimization" (i.e., shifting to lower cost plans when usage goes down). A number of public agencies, including the State of California, the Regents of the University of California, and the CSU, joined the case as intervenors. Collectively, the parties contend that the cell carriers overcharged the agencies by over \$100 million. The case is in the discovery phase. A modest settlement was reached with T-Mobile, with a small recovery to CSU. The claims against the larger carriers are still ongoing.</p>		

CSU The California State University
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General Counsel's Annual Litigation Report

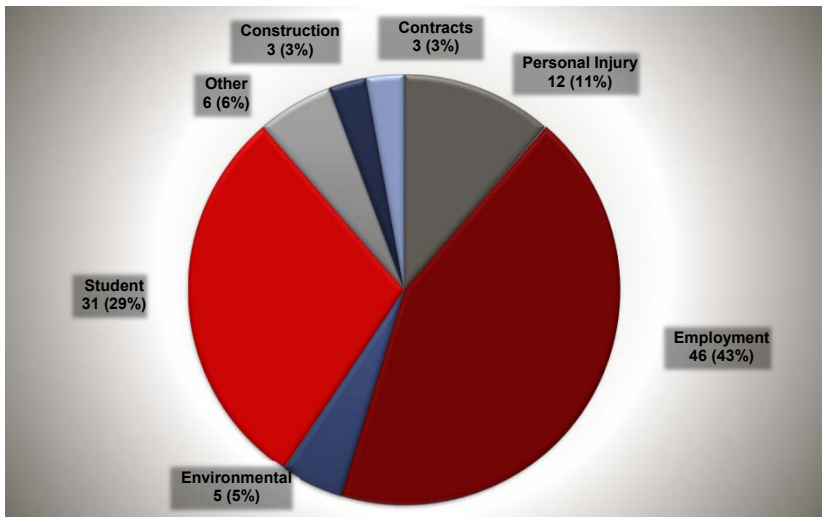
CSU Board of Trustees
March 19-21, 2018
G. Andrew Jones, Executive Vice Chancellor
and General Counsel

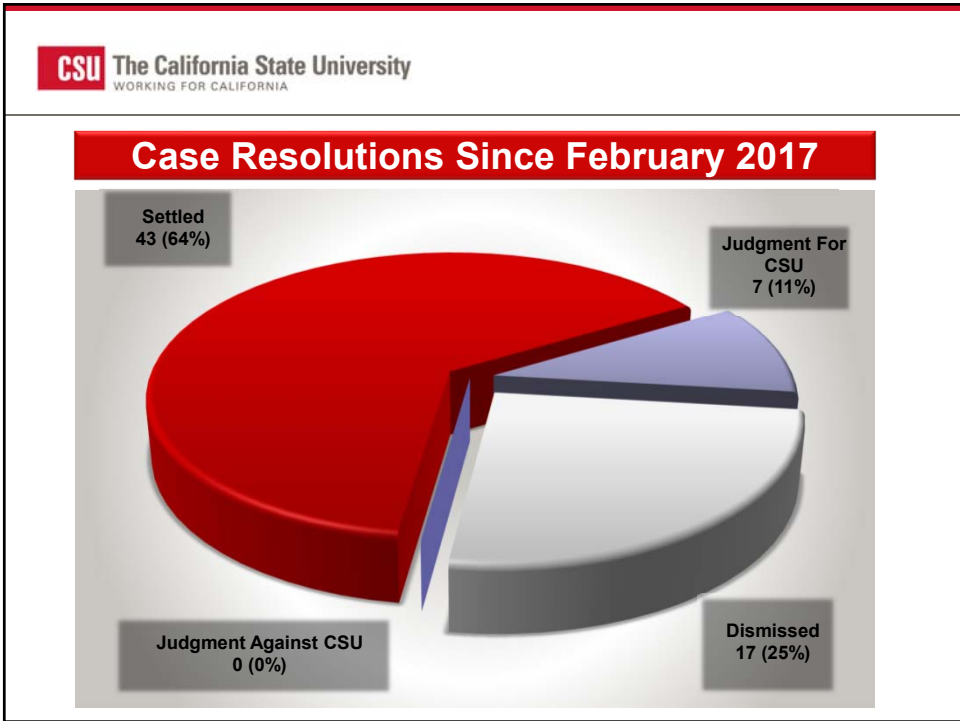
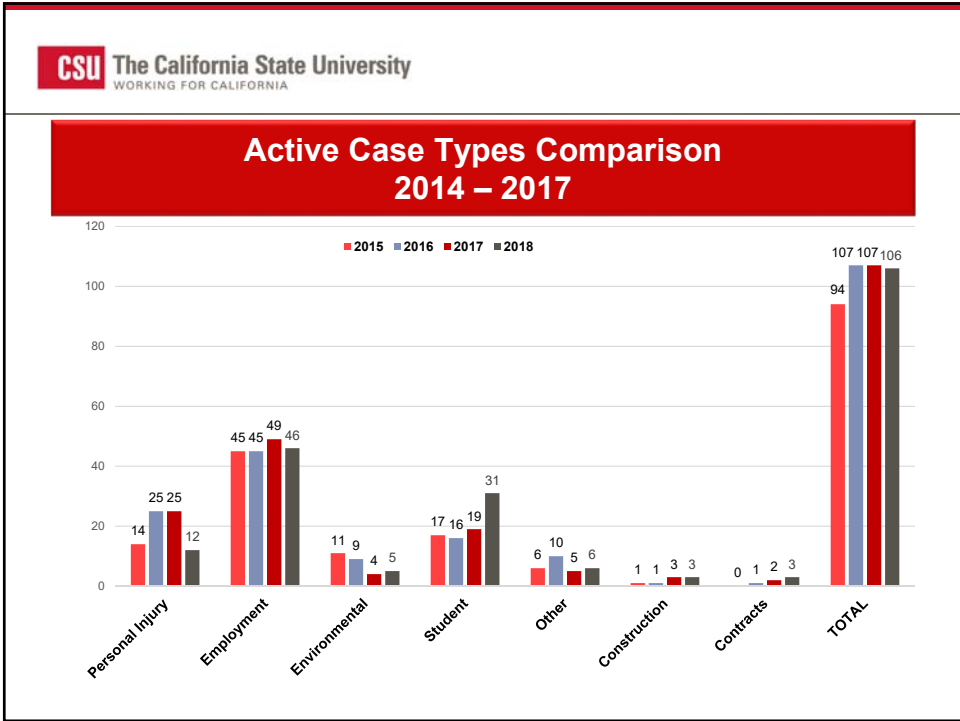


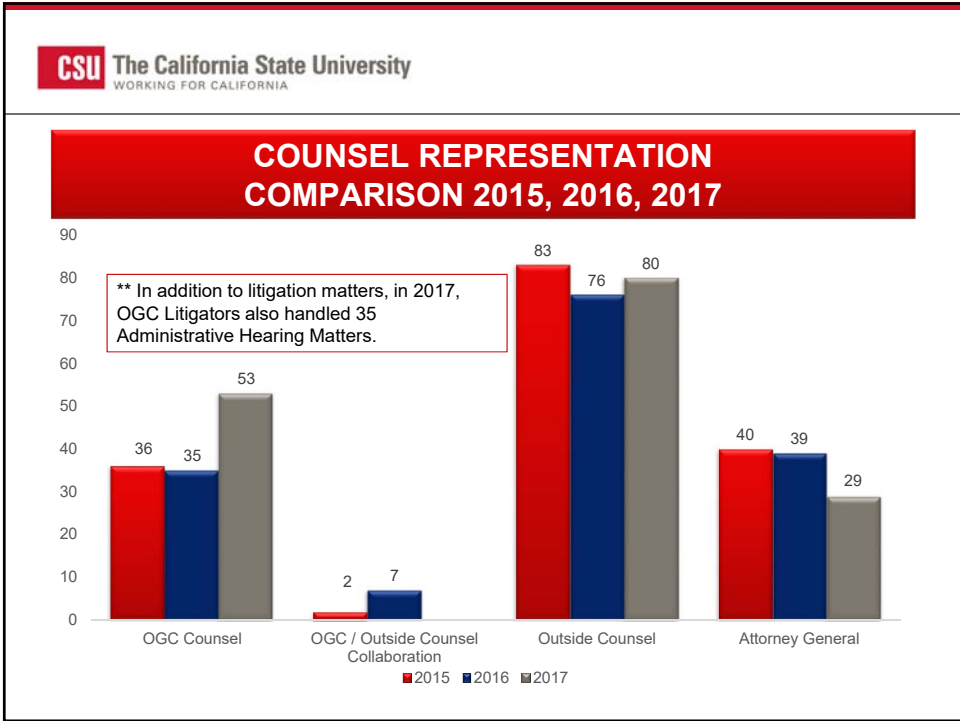
Factors Affecting Litigation Counts

- 🔨 Congested court calendars lead to delays – cases remain active longer
- 🔨 Litigation rises as economy improves
- 🔨 Employment litigation is more prevalent now than ever before
- 🔨 Student litigation regarding sexual misconduct discipline has gone up considerably

Active Case Types as of February 2018







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COMMITTEE OF THE WHOLE

The Role of Higher Education in California's Future: A Presentation by the Public Policy Institute of California

Presentation By

Hans P. Johnson
Senior Policy Fellow and Higher Education Center Director
Public Policy Institute of California

Summary

Hans Johnson will present the institute's research findings on the need for college graduates in California's future economy. He will begin his presentation with a few remarks about the results of the public opinion survey.

Background

The November 2017 [PPIC Statewide Survey](#) points to the high regard most Californians have for the state's public higher education systems, including the CSU, but also shows that many Californians are questioning the value of higher education. The concern about value is almost certainly driven by the widespread perception that affordability and debt are big problems. Californians want the state to spend more money on higher education and do not support increases in tuition. In the context of the 2018 governor's race, a majority of California adults say that candidate positions on higher education are very important, but only a small share identify education as the most important issue facing California. Californians express high demand for college. The vast majority of parents want their child to earn at least a bachelor's degree.

PPIC has produced a series of research reports that shows strong economic demand for highly educated workers. The institute's report [Will California Run Out of College Graduates?](#) provides projections of the demand for and supply of workers across all levels of educational attainment to 2030. The primary finding is that California faces a shortage of highly educated workers. Specifically, economic projections to 2030 show that about two in five jobs will require at least a bachelor's degree, while demographic projections suggest only about one in three Californians will have at least a bachelor's degree. This shortfall equates to 1.1 million workers. To close the gap, all higher education systems will need to increase access and completion. As the state's leading provider of undergraduate education, the CSU plays the most important role. By increasing enrollments of both first-time freshmen and transfer students and by increasing graduation rates, the CSU alone could close over 40% of the shortfall. Graduation Initiative 2025 is a large and important step in the right direction. Improving access and success among groups historically

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underrepresented in higher education—including low-income students, first-generation college students, Latinos and African Americans—is essential if we are to close the degree gap. Compared to other public universities, the CSU has an impressive record in enrolling low-income and first-generation students. New initiatives, including remediation reform at the community colleges and at the CSU, have the potential to substantially improve student success rates. College preparation among the state’s high school graduates has also increased, with the share of students completing the college preparatory requirements of the UC and CSU reaching an all-time high. Strong demand for the CSU is likely to continue as college preparation continues to improve and the transfer pathway is better articulated. Finding ways to accommodate all these students remains a central challenge, but one that must be met in order to ensure a better future for all Californians.

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802**

March 21, 2018

Presiding: Rebecca D. Eisen, Chair

10:30 a.m. Board of Trustees

Dumke Auditorium

Call to Order

Roll Call

Public Speakers

Chair's Report

Report of the Academic Senate CSU: *Chair—Christine Miller*

Report of the California State Student Association: *President—Maggie White*

Report of the California State University Alumni Council: *President—Manolo P. Morales*

Chancellor's Report

Consent

Action 1. Approval of Minutes

Action 2. Approval of Committee Resolutions as follows:

Committee on Educational Policy

2. Recommended Amendments to Title 5 Regarding Exemption from Nonresident Tuition
3. Enrollment Management
4. Academic Planning

Committee on Institutional Advancement

2. Naming of The Vincent E. Petrucci Viticulture Building – California State University, Fresno

Committee on Organization and Rules

2. Approval of California State University Board of Trustees' Meeting Dates for 2019
3. Approval of Proposed Revision of Standing Orders – Delegation of Capital Outlay Project Approval, Schematic Design Approval, and Financing Approval

*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

Committee on Campus Planning, Buildings, and Grounds

2. California State University, Dominguez Hills Student Housing Phase 3

Committee on University and Faculty Personnel

2. Executive Compensation: President – California State University, Bakersfield
3. Executive Compensation: President – California State University, Dominguez Hills
4. Revision of Title 5, California Code of Regulations, Section 42909, Vacation Accumulation and Carry-Over

Committee of the Whole

2. Appointment of Five Members to the Committee on Committees for 2018-2019

12:00 p.m.

Board of Trustees—Closed Session

Executive Personnel Matters
Government Code §11126(a)(1)

Munitz Conference Room

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**MINUTES OF THE MEETING
OF BOARD OF TRUSTEES**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California
January 31, 2018**

Trustees Present

Rebecca D. Eisen, Chair
Adam Day, Vice Chair
Silas H. Abrego
Jane Carney
Douglas Faigin
Debra S. Farar
Jean Picker Firstenberg
Emily Hinton
Lillian Kimbell
Thelma Meléndez de Santa Ana
Hugo N. Morales
John Nilon
Larry Norton
Jorge Reyes Salinas
Romey Sabalius
Peter Taylor
Timothy P. White, Chancellor

Chair Eisen called the meeting of the Board of Trustees to order.

Public Comment

The board heard from the following individuals during the public comment period: William Blischke, President, CSU Emeritus and Retired Faculty Association (CSU-ERFA); Rocky Sanchez, VP for Representation (CSUEU); Carolyn Duckett, Chair Bargaining Unit 7 (CSUEU); Sergio Roldan, Vice Chair Bargaining Unit 5 (CSUEU); Ricardo Uc, Vice Chair Bargaining Unit 9 (CSUEU); Tessy Reese, Chair Bargaining Unit 2 (CSUEU); Neil Jacklin, Acting President (CSUEU); Kim Geron, Chapter Political Action Legislative Chair, East Bay (CFA); Iosdelyn Ramirez, student, CSU Dominguez Hill (SQE); Dulce Lopez, student, CSU Dominguez Hill (SQE)

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Chair's Report

Chair Eisen's complete report can be viewed online at the following URL:
<https://www2.calstate.edu/csu-system/board-of-trustees/reports-of-the-chair/Pages/january-2018.aspx>

Chancellor's Report

Chancellor Timothy P. White's complete report can be viewed online at the following URL:
<https://www2.calstate.edu/csu-system/chancellor/the-chancellors-communications/Pages/1-30-2018-state-of-the-csu.aspx>

Report of the Academic Senate CSU

CSU Academic Senate Chair, Christine M. Miller's complete report can be viewed online at the following URL: http://www.calstate.edu/AcadSen/Records/Chairs_Reports/

Report from the California State Student Association

CSSA President Maggie White's complete report can be viewed online at the following URL:
http://www.calstatestudents.org/wp-content/uploads/2018/01/01-18-bot_report_white.pdf

Report of the California State University Alumni Council

Alumni Council President, Manolo P. Morales' complete report can be viewed online at the following URL: <http://www.calstate.edu/alumni/council/bot/20180131.shtml>

Board of Trustees

The minutes of the meeting of November 8, 2017 were approved as submitted. Chair Eisen asked to move all the consent items for approval. There was a second. The Board of Trustees approved the following resolutions:

Committee on Institutional Advancement

Naming of The Ernest E. Tschannen Science Complex – California State University, Sacramento (RIA 01-18-01)

RESOLVED, by the Board of Trustees of the California State University, that the Science Complex at California State University, Sacramento, be named as The Ernest E. Tschannen Science Complex.

**Naming of the Oppenheimer Family Riding Pavilion, Oppenheimer Family Stallion Barn and Oppenheimer Family Foaling Barn - California Polytechnic State University, San Luis Obispo
(RIA 01-18-02)**

RESOLVED, by the Board of Trustees of The California State University, that the agricultural facilities at California Polytechnic State University, be named as the Oppenheimer Family Riding Pavilion, the Oppenheimer Family Stallion Barn and the Oppenheimer Family Foaling Barn.

**Annual Report on Donor Support for 2016-2017
(RIA 01-18-03)**

RESOLVED, by the Board of Trustees of the California State University, that the Annual Report on Donor Support for 2016-2017 be adopted for submission to the California Joint Legislative Budget Committee and the California Department of Finance.

Committee on Finance

**Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for Projects at California State University, Los Angeles and California State University Maritime Academy
(RFIN 01-18-01)**

Orrick, Herrington & Sutcliffe LLP, as bond counsel, prepared resolutions presented in agenda item 2 on the Committee on Finance at the January 29-31, 2018 Board of Trustees' meeting that authorize interim and permanent financing for the projects described in the agenda item. The proposed resolutions were distributed at the meeting and will achieve the following:

1. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments, including shorter term debt, variable rate debt, floating rate loans placed directly with banks, or fixed rate loans placed directly with banks, in an aggregate amount not-to-exceed \$73,215,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes and the revenue bonds.

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Committee on University and Faculty Personnel

**Executive Compensation: President – San Diego State University
(RUFP 01-18-01)**

RESOLVED, by the Board of Trustees of the California State University, that Dr. Adela de la Torre shall receive a salary set at the annual rate of \$428,645 effective the date of her appointment as president of San Diego State University; and be it further

RESOLVED, that Dr. Adela de la Torre shall receive additional benefits as cited in Item 2 of the Committee on University and Faculty Personnel at the January 29-31, 2018 meeting of the Board of Trustees.

**Executive Compensation: President – California State University, Fullerton
(RUFP 01-18-02)**

RESOLVED, by the Board of Trustees of the California State University, that Mr. Framroze Virjee shall receive a salary set at the annual rate of \$356,431 effective the date of his appointment as president of California State University, Fullerton; and be it further

RESOLVED, that Mr. Virjee shall receive additional benefits as cited in Item 3 of the Committee on University and Faculty Personnel at the January 29-31, 2018 meeting of the Board of Trustees.

**Executive Compensation: Executive Vice Chancellor and General Counsel – California State University
(RUFP 01-18-03)**

RESOLVED, by the Board of Trustees of the California State University, that Mr. G. Andrew Jones shall receive a salary set at the annual rate of \$340,505 effective the date of his appointment as executive vice chancellor and general counsel for the California State University; and be it further

RESOLVED, that Mr. Jones shall receive additional benefits as cited in Item 4 of the Committee on University and Faculty Personnel at the January 29-31, 2018 meeting of the Board of Trustees.

Committee on Campus Planning, Building and Grounds

**California State University Maritime Academy Master Plan Revision and Real Property Acquisition
(RCPBG 01-18-01)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The California State University Maritime Academy's campus master plan revision dated January 2018, is approved.
2. The 2017-2018 capital outlay program is amended to include the acquisition of Real Property for California State University Maritime Academy.
3. The chancellor, or his designee, is delegated the authority to negotiate the final purchase price for the Real Property acquisition within the not-to-exceed amount of the appraised value and contingent upon the results of the due diligence.

**California State University, Chico Siskiyou II Science Replacement (Seismic) Building
(RCPBG 01-18-02)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Initial Study and Mitigated Negative Declaration have been prepared to address any potential significant environmental impacts, mitigation measures, comments, and responses to comments associated with approval of the Siskiyou II Science Replacement (Seismic) Building, and all discretionary actions related thereto, as identified in the Final Initial Study and Mitigated Negative Declaration.
2. The Mitigated Negative Declaration was prepared pursuant to the California Environmental Quality Act and the state CEQA guidelines.
3. This resolution is adopted pursuant to the requirements of Section 21081 of the Public Resources Code and Section 15091 of the state CEQA Guidelines, which requires that the Board of Trustees make findings prior to the approval of a project that the mitigated project as approved will not have a significant effect on the environment and the project will be constructed with the recommended mitigation measures, and the project will benefit the California State University.
4. The chancellor is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the project.
5. The schematic plans for the California State University, Chico Siskiyou II Science Replacement (Seismic) Building are approved at a project cost of \$101,137,000 at CCCCI 6255.

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**California State University, East Bay Master Plan Revision
(RCPBG 01-18-03)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. In accordance with the Writ of Peremptory Mandamus (Writ) issued by the Alameda County Superior Court (Case Nos. RG09481095 and RG09480852), the Board of Trustees hereby sets aside and vacates its September 22, 2009 resolution (RCPGB 09-09-14) approving the California State University East Bay Hayward Campus Master Plan Revision, inclusive of two site-specific near term projects (Pioneer Heights Student Housing, Phase IV and Parking Structure 1), collectively the Project, and certifying the associated 2009 Final Environmental Impact Report, Findings of Fact, the Mitigation Monitoring and Reporting Program and the Statement of Overriding Considerations.
2. The 2017 Partial Revised Final Environmental Impact Report for the Master Plan (2017 PR-FEIR) has been prepared to address the items identified in the Court of Appeal's decision in *City of Hayward v. The Trustees of the California State University* (2015) 242 Cal.App.4th at 833 (*City of Hayward*) and the Writ.
3. The 2009 FEIR, as modified by the 2017 PR-FEIR, has been prepared to address potential significant environmental impacts, mitigation measures, project alternatives, comments and responses to comments associated with the CSUEB Master Plan pursuant to the requirements of the California Environmental Quality Act (CEQA), the State CEQA Guidelines, and CSU CEQA procedures.
4. The 2009 FEIR, as modified by the 2017 PR-FEIR, addresses the proposed CSUEB Master Plan and all discretionary actions related to the Project, as identified in the Introduction, Section 1, and Project Description, Section 2 of the 2009 FEIR.
5. This resolution is adopted pursuant to the requirements of Section 21081 of the Public Resources Code and Section 15091 of the State CEQA Guidelines, which require that the board make findings prior to approval of any project along with statement of fact supporting each finding.
6. This board has reviewed and considered the additional information prepared for Agenda Item 3 of the January 30-31, 2018 meeting of the Committee on Campus Planning, Buildings and Grounds regarding the re-certification of the 2009 FEIR, as modified by the 2017 PR-FEIR, which identifies specific impacts of the proposed Project and related mitigation measures which are hereby incorporated by reference.
7. This board has reconsidered its September 2009 Project approvals in light of the analysis set forth in the 2009 FEIR as modified by the 2017 PR-FEIR, and all other information and analysis specified in the record for this Project. This board hereby adopts findings approving the Project including the revised

- Findings of Fact and Statement of Overriding Consideration as expressly set forth herein and in order to comply with the Writ.
8. The board adopts the revised Findings of Fact and related mitigation measures identified in the Mitigation Monitoring Program for Agenda Item 3 of the January 30-31, 2018, meeting of the Board of Trustees' Committee on Campus Planning, Buildings and Grounds that identifies specific impacts of the proposed Project and related mitigation measures, which are hereby incorporated by reference.
 9. The board adopts the revised Findings of Fact that include specific Overriding Considerations that the benefits of the Project outweigh certain remaining unavoidable significant impacts to aesthetics, air quality, cultural resources, and traffic as disclosed in the 2009 FEIR as modified by the 2017 PR-FEIR.
 10. The board concludes that the Project's fair share of off-site future traffic mitigation is \$2,331,618. This figure is based upon certain traffic improvements identified by and within the jurisdiction of the City of Hayward in order to improve traffic conditions near campus. This off-site traffic mitigation will not mitigate the Project's cumulatively significant and unavoidable traffic impacts to the eight intersections and seven roadway segments identified in the 2009 FEIR, as it is physically infeasible to construct further improvements to these intersections and segments. Therefore, the board adopts Findings of Facts that include specific Overriding Considerations that benefits of the Project outweigh the remaining significant and unavoidable traffic impacts.
 11. Consistent with the California Supreme Court decisions in *City of Marina* and *City of San Diego* and the Writ, the Board approves the use of \$2,331,618 for its fair share of future off-site mitigation. The funds are expected from future state capital or operating budget funding, the CSU, self-support entities and/or other entities.
 12. The fair share mitigation funds shall be deposited into a restricted account whereby the funds would be released to the City of Hayward only when the designated traffic mitigation improvement has been designed, budgeted for construction and approved by the city, and the city has secured all remaining funds and approvals necessary and is proceeding with the traffic improvement. This shall be further documented in a Memorandum of Understanding with the City of Hayward.
 13. The City of Hayward has requested fees be paid by CSUEB for mitigation of public services impacts with regard to public safety (police and fire emergency response). In *City of Hayward*, the Court of Appeal expressly affirmed the Board of Trustees' determination that CSUEB is not obligated to pay fees to the City of Hayward for such services.
 14. Prior to re-certification of the 2009 FEIR as modified by the 2017 PR-FEIR, the board has reviewed and considered the 2009 FEIR as modified by the 2017 PR-FEIR and finds that it reflects the independent judgment of the Board of

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Trustees. The board hereby concurs with and certifies the 2017 PR-FEIR and the 2009 FEIR as modified by the 2017 PR-FEIR prepared for the proposed Project as complete and adequate and in conformance with CEQA, the State CEQA Guidelines and the requirements imposed by the Writ.

For the purposes of CEQA and the State CEQA Guidelines, the record of the proceedings for the Project includes the following:

- a. The approval of the 2009 CSUEB Master Plan;
 - b. The 2009 FEIR, including all comments received and responses to these comments;
 - c. All proceedings before the Board of Trustees relating to the Project, including testimony and documentary evidence introduced at such proceedings;
 - d. All records of court proceedings, including, but not limited to the Peremptory Writ of Mandate issued on October 17, 2016;
 - e. The 2017 PR-FEIR, which replaces the 2009 FEIR recreation and parkland analysis, including all comments received and responses to these comments; and;
 - f. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (e) above.
15. All of the above information is on file with the California State University, Office of the Chancellor, Capital Planning, Design and Construction, 401 Golden Shore, Long Beach, California 90802-4210, and at California State University, East Bay, Facilities Development and Operations, 25800 Carlos Bee Blvd, Hayward, CA 94542-3004.
 16. The board hereby directs that the 2009 FEIR as modified by the 2017 PR-FEIR be forwarded to the Alameda County Superior Court for its consideration in accordance with the Writ, and that the 2009 FEIR as modified by the 2017 PR-FEIR be considered in any further actions on the Project.
 17. The Project will benefit the California State University.
 18. The two designated near term CSUEB Master Plan projects identified and described in the 2009 FEIR and 2017 PR-FEIR are: (1) Pioneer Heights Student Housing, Phase IV (600 beds) and (2) Parking Structure 1 (1,100 spaces). The board has determined the near term projects are fully analyzed at the project level in the 2009 FEIR as modified by the 2017 PR-FEIR for purposes of compliance with CEQA and hereby approves such near term projects for implementation and construction as being in compliance with CEQA requirements.
 19. The CSUEB Master Plan Revision relating to the 2009 FEIR and 2017 PR-FEIR is hereby approved effective January 2018.
 20. The chancellor or his designee is requested under the Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the Project.

**California State University, San Bernardino Master Plan Revision for Palm Desert Off-Campus Center
(RCPBG 01-18-04)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Final Environmental Impact Report (FEIR) for the California State University, San Bernardino Palm Desert Off-Campus Center Campus Master Plan 2018 has addressed any potentially significant environmental impacts, mitigation measures, and project alternatives, comments, and responses to comments associated with approval of the proposed campus master plan revision pursuant to the requirements of the California Environmental Quality Act and State CEQA Guidelines.
2. The FEIR addresses the proposed campus master plan revision and all discretionary actions related to the project as identified in the FEIR.
3. Prior to the certification of the Final EIR, the Board of Trustees reviewed and considered the above-mentioned Final EIR, and finds that the Final EIR reflects the independent judgment of the Board of Trustees. The board hereby certifies the Final EIR for the project as complete and adequate in that the Final EIR addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA and the CEQA Guidelines.

For the purpose of CEQA and the CEQA Guidelines, the administrative record of proceedings for the project includes the following:

- a. The 2017 Draft EIR for the California State University, San Bernardino Palm Desert Off-Campus Center Campus Master Plan;
 - b. The Final EIR, including comments received on the Draft EIR, and responses to comments;
 - c. The proceedings before the Board of Trustees relating to the subject master plan revision, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
4. The Board of Trustees hereby certifies the Final EIR for the California State University, San Bernardino Palm Desert Off-Campus Center Campus Master Plan dated January 2018 as complete and in compliance with CEQA.
 5. This resolution is adopted pursuant to the requirements of Section 21081 of Public Resources Code and Section 15091 of the State CEQA Guidelines which require that the Board of Trustees make findings prior to the approval of a project.

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6. The board hereby adopts the Findings of Fact and Mitigation Monitoring and Reporting Program, including all mitigation measures identified therein, for Agenda Item 5 of the January 29-31, 2018 meeting of the Board of Trustees' Committee on Campus Planning, Buildings and Grounds, which identifies the specific impacts of the proposed campus master plan and related mitigation measures, which are hereby incorporated by reference. The mitigation measures identified in the Mitigation and Monitoring Reporting Program shall be monitored and reported which meets the requirements of CEQA.
7. The board hereby adopts the Findings of Fact and Statement of Overriding Considerations that outweigh certain remaining significant and unavoidable impacts to traffic, air quality, and noise.
8. The Final EIR has identified potentially significant impacts that may result from implementation of the proposed campus master plan revision. However, the Board of Trustees, by adopting the Findings of Fact, finds that the inclusion of certain mitigation measures as part of the project approval will reduce most, but not all, of those effects to less than significant levels. Those impacts which are not reduced to less than significant levels are identified as significant and unavoidable and are overridden due to specific project benefits to the CSU identified in the Findings of Fact and Statement of Overriding Considerations.
9. The board approves the use of approximately \$5,000 for its fair share of off-site mitigation. The funds are expected to be budgeted in future capital or operating budget funding from the state, self-support entities, private developers, the CSU, and/or other entities to support the academic program.
10. The California State University, San Bernardino Palm Desert Off-Campus Center Campus Master Plan dated January 2018 benefits the California State University.
11. The California State University, San Bernardino Campus Master Plan Revision dated January 2018 at a master plan enrollment ceiling of 8,000 FTES is approved.
12. The chancellor or his designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the Final Environmental Impact Report for the California State University, San Bernardino Palm Desert Off-Campus Center Campus Master Plan 2018.

Joint Committees on Finance and Campus Planning, Building and Grounds

Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments and Approval of Related Actions for the Extended Learning Building and Parking Structure Project at California State University San Marcos (RFIN/CPBG 01-18-01)

RESOLVED, by the Board of Trustees of the California State University, that:

1. The financing resolutions for the project as described in this Agenda Item 2 of the Joint Committees on Finance and Campus Planning, Buildings and Grounds at the January 29-31, 2018, meeting of the CSU Board of Trustees are approved. Orrick, Herrington & Sutcliffe LLP, as bond counsel, is preparing resolutions to be presented at this meeting that authorize interim and permanent financing for the projects described in this agenda. The proposed resolutions will be distributed at the meeting and will achieve the following:
 - a. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments, including shorter term debt, variable rate debt, floating rate loans placed directly with banks, or fixed rate loans placed directly with banks, in an aggregate amount not-to-exceed \$63,950,000 and certain actions relating thereto.
 - b. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the revenue bonds, bond anticipation notes, or related debt instruments.
2. Provide a delegation to the chancellor to approve the final execution of the purchase and sale agreement for the construction of academic facilities on privately owned land adjacent to the CSU San Marcos campus.
3. The board hereby acknowledges the City of San Marcos Final Environmental Impact Report for the Extended Learning Building and Parking Structure project dated October 13, 2009, and the subsequent Addendum to the Final Environmental Impact Report adopted in October 2017 as complete and in compliance with CEQA.

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4. The 2017-2018 Capital Outlay Program is amended to include \$81,468,000 for preliminary plans, working drawings, construction, and equipment for the California State University San Marcos Extended Learning Building and Parking Structure project.
 5. The schematic plans for the California State University San Marcos Extended Learning Building and Parking Structure project are approved at a project cost of \$81,468,000 at CCCI 6255.
-

Board of Trustees

Conferral of Commendation - President Mildred García (RBOT 1-18-01)

RESOLVED, by the Board of Trustees of the California State University, that this board commends President Mildred García for her dedication and leadership on behalf of the students, faculty, staff, administrators, alumni and friends of California State University, Fullerton; California State University, Dominguez Hills; and the California State University.