

**TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY**  
**California State University**  
**Office of the Chancellor—Glenn S. Dumke Auditorium**  
**401 Golden Shore**  
**Long Beach, CA 90802**

**Agenda**  
**May 23-25, 2016**

<b>Time*</b>	<b>Committee</b>	<b>Place</b>
<b><u>Monday, May 23, 2016</u></b>		
<b>12:00 p.m.</b>	<b>Call to Order</b>	<b>Long Beach Hilton</b>
<b>12:00 p.m.</b>	<b>Board of Trustees—Closed Session</b> <b>Executive Personnel Matters</b> Government Code §11126(a)(1)	<b>Long Beach Hilton</b>
<b><u>Tuesday, May 24, 2016</u></b>		
<b>8:30 a.m.</b>	<b>Board of Trustees—Closed Session</b> Executive Personnel Matters Government Code §11126(a)(1)	<b>Munitz Conference Room</b>
	Consideration of Honorary Degree Award, <i>Action</i> Government Code §11126(c)(5)	
	Pending Litigation Government Code §11126(e)(1) J.A.L. v. Santos, et al. Tiggs v. CSU, et al. Sargent v. CSU Benjamin v. CSU, et al. Lor, et al. v. CSU, et al. O'Brien v. Welty, et al. Anticipated Litigation – Three Items	
<b>9:30 a.m.</b>	<b>Committee on Collective Bargaining—Closed Session</b> Government Code §3596(d)	<b>Munitz Conference Room</b>

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**Tuesday, May 24, 2016 (cont.)**

- 10:00 a.m. Committee on Collective Bargaining—Open Session Dumke Auditorium**  
**Discussion**
1. Ratification of the Collective Bargaining Agreement with Bargaining Unit 3, the California Faculty Association, *Action*
  2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the United Auto Workers, *Action*
- 10:40 a.m. Committee on Organization and Rules Dumke Auditorium**  
**Consent**
1. Revision of Standing Orders – Delegation of Capital Outlay Project Approval and Schematic Design Approval, *Action*
- 10:45 a.m. Committee on Campus Planning, Buildings and Grounds Dumke Auditorium**  
**Consent**
1. Amend the 2015-2016 Capital Outlay Program for the Steven G. Mihaylo Hall Financial Trading Center for California State University, Fullerton, *Action*
  2. Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan, 2017-2018 through 2021-2022, *Action*
  3. California Environmental Quality Act Biennial Report, *Information*
- Discussion**
4. Status Update on Lanterman Developmental Center—California State Polytechnic University, Pomona, *Information*
- 11:00 a.m. Committee on Audit Dumke Auditorium**  
**Consent**
1. Status Report on Corrective Actions for the Findings in the California State University Single Audit Reports of Federal Funds for the Fiscal Year Ended June 30, 2015, *Information*
- Discussion**
2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*
  3. 2014 Quality Assurance Review – Status Report, *Information*
- 11:30 a.m. Committee on Institutional Advancement Dumke Auditorium**  
**Discussion**
1. Naming of Terry Atkinson Hall – San Diego State University, *Action*
  2. Naming of the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center – California State University, Los Angeles, *Action*
- 12:00 p.m. Luncheon**

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**Tuesday, May 24, 2016 (cont.)**

- 1:00 p.m.      Committee on Educational Policy      Dumke Auditorium**  
**Discussion**  
1. Four-Year Graduation, Bottleneck Courses and Super Seniors, *Information*  
2. California State University Success at National Model United Nations, *Information*
- 2:30 p.m.      Committee on Finance      Dumke Auditorium**  
**Discussion**  
1. Final Approval of the California State University, Channel Islands Site Authority Apartment Sales Project, *Action*  
2. Conceptual Approval of a Public-Public Partnership with The Panetta Institute for Public Policy at California State University, Monterey Bay, *Action*  
3. Report on the 2016-2017 Support Budget, *Information*
- 3:30 p.m.      Committee on Governmental Relations      Dumke Auditorium**  
**Discussion**  
1. State Legislative Update, *Information*

**Wednesday, May 25, 2016**

- 8:30 a.m.      Committee on University and Faculty Personnel      Dumke Auditorium**  
**Discussion**  
1. Executive Compensation: President – California State University, Stanislaus, *Action*
- 9:10 a.m.      Board of Trustees      Dumke Auditorium**
- Call to Order**
- Roll Call**
- Public Speakers**
- Chair’s Report**
- Chancellor’s Report**
- Report of the Academic Senate CSU: *Chair—Steven Filling***
- Report of the California State University Alumni Council: *President—Dia S. Poole***
- Report of the California State Student Association: *President—Taylor Herren***

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## **Board of Trustees**

### **Consent**

Approval of the Minutes of the Board of Trustees Meeting of March 9, 2016  
Approval of Committee Resolutions as follows:

#### **Committee on Organization and Rules**

1. Revision of Standing Orders – Delegation of Capital Outlay Project Approval and Schematic Design Approval

#### **Committee on Campus Planning, Buildings and Grounds**

1. Amend the 2015-2016 Capital Outlay Program for the Steven G. Mihaylo Hall Financial Trading Center for California State University, Fullerton
2. Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan, 2017-2018 through 2021-2022

#### **Committee on Institutional Advancement**

1. Naming of Terry Atkinson Hall – San Diego State University
2. Naming of the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center – California State University, Los Angeles

#### **Committee on Finance**

1. Final Approval of the California State University, Channel Islands Site Authority Apartment Sales Project
2. Conceptual Approval of a Public-Public Partnership with The Panetta Institute for Public Policy at California State University, Monterey Bay

#### **Committee on University and Faculty Personnel**

1. Executive Compensation: President – California State University, Stanislaus

#### **Committee on Committees**

1. Election of the Chair and Vice Chair of the Board of Trustees for 2016-2017
2. Board of Trustees' Committee Assignments for 2016-2017

### **Discussion**

1. Conferral of the Title of Student Trustee Emerita—Kelsey Brewer, *Action*
2. Conferral of Commendation on Susan W. Martin, *Action*
3. Conferral of the Title of President Emeritus—Ruben Armiñana, *Action*
4. Conferral of the Title of President Emeritus—Richard R. Rush, *Action*
5. Conferral of the Title of President Emeritus—Joseph F. Sheley, *Action*
6. Conferral of the Title of President Emeritus—Paul J. Zingg, *Action*

**11:00 a.m. Board of Trustees—Closed Session**  
**Executive Personnel Matters**  
Government Code §11126(a)(1)

**Munitz Conference Room**

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## Addressing the Board of Trustees

Members of the public are welcome to address agenda items that come before standing and special meetings of the board, and the board meeting. Comments should pertain to the agenda or university-related matters and not to specific issues that are the subject of collective bargaining, individual grievances or appeals, or litigation. Written comments are also welcome and will be distributed to the members of the board. The purpose of public comments is to provide information to the board, and not to evoke an exchange with board members. Questions that board members may have resulting from public comments will be referred to appropriate staff for response.

Members of the public wishing to speak must provide written or electronic notice to the Trustee Secretariat two working days before the committee or board meeting at which they desire to speak. The notice should state the subject of the intended presentation. An opportunity to speak before the board on items that are on a committee agenda will only be provided where an opportunity was not available at that committee, or where the item was substantively changed by the committee.

In fairness to all speakers who wish to speak, and to allow the committees and Board to hear from as many speakers as possible, while at the same time conducting the public business of their meetings within the time available, the committee or board chair will determine and announce reasonable restrictions upon the time for each speaker, and may ask multiple speakers on the same topic to limit their presentations. In most instances, speakers will be limited to no more than three minutes. The totality of time allotted for public comment at the board meeting will be 30 minutes, and speakers will be scheduled for appropriate time in accord with the numbers that sign up. Speakers are requested to make the best use of the public comment opportunity and to follow the rules established.

**Note:** Anyone wishing to address the Board of Trustees, who needs any special accommodation, should contact the Trustee Secretariat at least 48 hours in advance of the meeting so appropriate arrangements can be made.

Trustee Secretariat  
Office of the Chancellor  
401 Golden Shore, Suite 136  
Long Beach, CA 90802  
Phone: 562-951-4022  
Fax: 562-951-4949  
E-mail: [trusteesecretariat@calstate.edu](mailto:trusteesecretariat@calstate.edu)

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## AGENDA

### COMMITTEE ON COLLECTIVE BARGAINING

**Meeting: 9:30 a.m., Tuesday, May 24, 2016**  
**Munitz Conference Room—Closed Session**  
**Government Code §3596(d)**

**10:00 a.m., Tuesday, May 24, 2016**  
**Glenn S. Dumke Auditorium —Open Session**

Lupe C. Garcia, Chair  
Lillian Kimbell, Vice Chair  
Adam Day  
Debra Farar  
Hugo N. Morales

**Open Session— Glenn S. Dumke Auditorium**

#### **Consent Item**

Approval of Minutes of the Meeting of March 8, 2016

#### **Discussion Items**

1. Ratification of the Collective Bargaining Agreement with Bargaining Unit 3, the California Faculty Association, *Action*
2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the United Auto Workers, *Action*

**MINUTES OF MEETING OF  
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of The California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Lupe C. Garcia, Chair  
Lillian Kimbell, Vice Chair  
Adam Day  
Debra Farar  
Hugo N. Morales  
Timothy P. White, Chancellor  
Lou Monville, Chair of the Board

Chair Garcia called the Committee on Collective Bargaining to order.

**Approval of Minutes**

The minutes of the January 26, 2016 meeting were approved as submitted.

**Presentation of Action Items**

Vice Chancellor Lori Lamb presented the action items.

**Public Speakers**

The Committee heard from the following public speakers:

Patrick Choi, President, Academic Professionals of California (APC), thanked Vice Chancellor Lori Lamb and the University's bargaining team for their efforts in the successful negotiation of the new APC Collective Bargaining Agreement and asked that APC bargaining unit members not be asked to perform Unit 3 work during a strike. Courtney Yamagiwa, a California State University, Long Beach student spoke in favor of the California Faculty Association's negotiation position.

Jennifer Eagan, California Faculty Association (CFA) President, East Bay, spoke of the potential for a faculty strike and asked for the Board to take action to avert it. Kevin Wehr, CFA Associate Vice President-North, Sacramento, spoke of the need for good faith bargaining with CFA. Jonathan Karpf, CFA Associate Vice President, Lecturers-North, spoke of the need for adequate

compensation for lecturers. David Bradfield, CFA Representation Committee Chair, Dominguez Hills, spoke of the need for sustainable pay levels for newly appointed faculty. Lillian Taiz, CFA Political Action Legislative Chair, Los Angeles, discussed the loss of faculty purchasing power over the last few years.

Pat Gantt, California State University Employees Union (CSUEU) President, Chico, indicated that CSUEU bargaining unit employees would honor their contract and work during a faculty strike but would not perform faculty work. Neil Jaklin, CSUEU Vice President of Organizing, called for respect for fellow union workers and the hard work they perform. Rocky Sanchez, Vice Chair, Bargaining Unit 7, Pomona, spoke of her support for CFA and the faculty. Rich McGee, Council Chair, Bargaining Unit 9, called for systemwide policies on smoking, video cameras and other items. Susan Smith, Vice Chair, Bargaining Unit 9, Fullerton, spoke in opposition to the outsourcing of bargaining unit work and expressed support for CFA.

### **Action Items**

The committee then unanimously approved the following action items:

1. Ratification of the Collective Bargaining Agreement with Bargaining Unit 4, the Academic Professionals of California
2. Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 13, California State University Employees Union (CSUEU), SEIU Local 2579, English Language Program Instructors, California State University, Los Angeles

### **Information Item**

Vice Chancellor Lori Lamb then gave a presentation on the status of negotiations between the California State University and the California Faculty Association, the statutory impasse process and campus logistical issues.

Chair Garcia then adjourned the committee meeting.



**COMMITTEE ON COLLECTIVE BARGAINING**

**Ratification of the Collective Bargaining Agreement with Bargaining Unit 3, the California Faculty Association**

**Presentation By**

Lori Lamb  
Vice Chancellor  
Human Resources

**Summary**

The collective bargaining agreement between the California State University and Bargaining Unit 3, the California Faculty Association, will be presented to the Board of Trustees for ratification.

**Recommended Action**

The following resolution is recommended for ratification:

**RESOLVED**, by the Board of Trustees of the California State University, that the collective bargaining agreement between the California State University and Bargaining Unit 3, the California Faculty Association, is hereby ratified.

**COMMITTEE ON COLLECTIVE BARGAINING**

**Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 11, the United Auto Workers**

**Presentation By**

Lori Lamb  
Vice Chancellor  
Human Resources

**Summary**

The adoption of initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 11, the United Auto Workers, will be presented to the Board of Trustees. The proposals are attached to this item.

**Recommended Action**

The following resolution is recommended for adoption:

**RESOLVED**, by the Board of Trustees of the California State University, that the initial proposals for a successor collective bargaining agreement between the California State University and Bargaining Unit 11, the United Auto Workers, is hereby adopted.



**The California State University's  
Initial Collective Bargaining**

**Proposals**

**Between**

**The Board of Trustees**

**of**

**The California State University**

**And the**

**United Auto Workers**

Teaching Associates

Graduate Assistants

Instructional Student Assistants

**Unit 11**  
**2016 Successor Agreement Negotiations**  
**California State University Bargaining Proposals**

**Article 2 – Appointments, Posting and Notification**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness.

**Article 3 – Benefits**

The University will propose amendments to the Benefits Article.

**Article 5 – Concerted Activities**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness.

**Article 10 – Grievance Procedure**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness.

**Article 14 – Leaves of Absence**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness.

**Article 16 – Non-Discrimination**

The University will propose amendments to the existing article with the purpose of updating the administration of executive orders.

**Article 18 – Salary**

The University will make proposals related to compensation.

**Article 23 – Union Access**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness.

**Article 26 – Workload**

The University will propose amendments to the existing article with the purpose of improving operational effectiveness and clarity.

**New Article – Definitions**

The University will propose a new article adding definitions.

**The University reserves the right to add to, modify or delete proposals for any/all Articles during the course of negotiations, in accordance with applicable laws.**

## **Bargaining Unit 11 – United Auto Workers Initial Collective Bargaining Proposals**

As our contract from 2013 expires, there are many necessary changes that need to be made to ensure a better work environment for all Academic Student Employees. As indicated by the membership of UAW 4123, the university needs to improve the working conditions of Academic Student Employees to improve the overall quality of education at the CSU system. Our dual role as both students and instructors makes us vulnerable on both sides. We receive meager wages, most of which goes back to the university in the form of tuitions and fees. To improve the working conditions of academic student employees and the overall quality of education we have the following initial demands:

### **1). Improve Compensation and Benefits to match our contribution to CSU's success**

- Pay raises that are commensurate with the high cost of living in California
- Tuition waiver for all Academic Student Employees
- Pay reflective of the hours worked and not based on units taught
- Year-long appointment for TAs and GAs and guaranteed minimum-hours for tutors
- Health benefits for ASEs
- Parental leave regardless of gender

### **2) Develop workplace conditions that promote safety, diversity, and access**

- Access to all gender bathrooms
- Stronger protection against all forms of discrimination
- Stronger regulation and measures to stop bullying and sexual harassment from supervisors
- Employee Parking access for all Academic Student Employees

### **3). Improve quality of training to increase student success**

- More pedagogical training to ensure quality and preparedness
- Paid Training for classes, trainings, and modules that are conditions of employment

*NOTE: The Union reserves the right to submit proposals on additional bargaining topics not specifically listed here.*

## **AGENDA**

### **COMMITTEE ON ORGANIZATION AND RULES**

**Meeting:** 10:40 a.m., Tuesday, May 24, 2016  
Glenn S. Dumke Auditorium

Lillian Kimbell, Chair  
Steven G. Stepanek, Vice Chair  
Douglas Faigin  
Hugo N. Morales  
J. Lawrence Norton

#### **Consent Items**

Approval of Minutes of the Meeting of March 8, 2016

1. Revision of Standing Orders – Delegation of Capital Outlay Project Approval and Schematic Design Approval, *Action*

**MINUTES OF MEETING OF  
COMMITTEE ON ORGANIZATION AND RULES**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Lillian Kimbell, Chair  
Steven G. Stepanek, Vice Chair  
Douglas Faigin  
Hugo N. Morales  
J. Lawrence Norton  
Lou Monville, Chair of the Board  
Timothy P. White, Chancellor

Trustee Lillian Kimbell called the meeting to order.

**Public Comments**

Ms. Carmen Ann Huxley, student from California State University, Long Beach, spoke against timely graduation policies that require students with over 150 units to graduate. In addition, she commented about the limited availability of senior seminar offerings on campus.

**Approval of the Minutes**

The minutes of the January 27, 2016 meeting were approved as submitted.

**Revision of Standing Orders – Delegation of Capital Outlay Project Approval and Schematic Design Approval**

Trustee Kimbell noted that a revision was made to the agenda item, not the attachment, to correct proposal number three on page two to reflect that no changes are proposed to the current authority granted to the chancellor for the approval of schematic design of remodel or utilitarian projects. Executive Vice Chancellor Steve Relyea and Assistant Vice Chancellor Elvyra San Juan presented the information item. It is proposed the action item will return to the board for consideration at the May 2016 meeting.

Trustee Kimbell asked who determines the architectural significance of a remodel. Ms. San Juan answered that the campus master plan architect is consulted for opinion prior to coming to the board.

Trustee Douglas Faigin expressed concern with revising the standing orders before the board fully understands the impact of the CSU's new capital financing authority.

Trustees Lou Monville, Peter Taylor, Adam Day, and Hugo Morales stated support of the revision. Trustee Taylor commented that the change will allow the board to focus on more substantive projects, as well as save staff time by reducing the number of items being prepared for presentation. Trustee Rebecca Eisen also supported the plan because a report will be brought to the board with the projects approved through this authority.

Trustee Adam Day requested that Ms. San Juan provide the board with a report of the projects under \$5 million that have been approved by the board, indicating those approved via the consent agenda. If the proposal is ultimately approved, he also requested a report to the board once or twice a year with the projects approved under the proposed authority to keep the board informed.

Trustee Steven Stepanek asked if student fees are considered to be a capital funding source. Ms. San Juan responded yes, student fee funds are considered part of the operating budget that can now be used for capital funding.

Trustee Kimbell adjourned the Committee on Organization and Rules.



## **COMMITTEE ON ORGANIZATION AND RULES**

### **Revision of Standing Orders – Delegation of Capital Outlay Project Approval and Schematic Design Approval**

#### **Presentation By**

Elvyra F. San Juan  
Assistant Vice Chancellor  
Capital Planning, Design and Construction

#### **Summary**

This item presents a revision to the California State University Board of Trustees' Standing Orders to increase authority delegated to the chancellor to approve capital outlay project budgets and schematic designs for projects of a total estimated cost of \$5,000,000 or less. The proposed changes to the Standing Orders were presented for information at the March 2016 board meeting and are returning for the board's action. The proposed changes are noted in *italics* on page 1 of Attachment A.

#### **Background**

The Board of Trustees has statutory authority to approve the California State University budget, including the capital outlay program and associated projects. The Standing Orders define the delegation of authority from the trustees to the chancellor and other officials in the California State University.

The last significant amendment to the Standing Orders as they pertained to capital outlay projects was in September 1999 when the Board of Trustees increased the authorization to the chancellor, or designee, to approve the schematic design of projects from \$1 million to \$3 million to reduce the number of small design projects coming forward to the board for approval. The chancellor had previously been delegated authority to approve schematic plans on all remodel and utilitarian projects, regardless of cost, unless the design is architecturally significant or the project has unavoidable significant environmental impacts. Examples of utilitarian projects include site development, utilities, outdoor physical education facilities excluding stadiums, interior remodels, minor additions, structural strengthening, heating and cooling facilities, landscape projects, and surface parking. However, architecturally significant projects as determined by the campus master plan architect are presented to the board for approval regardless of cost.

In July 2004, the trustees approved a substantial revision of the Standing Orders in an effort to restate existing authority in a manner that would be easier for the public to read and understand,

and to include accepted delegations to system officials which had not been included in the Standing Orders at the time. When the Standing Orders were revised in 2004, details pertaining to the chancellor's delegated authority over the design of capital projects of \$3 million or less and all remodel and utilitarian projects was omitted.

## **Proposal**

Consistent with the actions taken in 1999, this proposal aims to add clarity to the chancellor's delegation and eliminate the smaller transactions brought forward to the board for approval. The proposed changes to Standing Orders Section II (f) includes:

1. *Authorize the chancellor to approve the capital outlay budget and scope of projects with a value of \$5 million or less.* Currently, all projects with a proposed budget more than \$656,000 (value of a minor capital outlay project) come forward to the trustees for approval as the chancellor had previously been delegated authority to establish a minor capital outlay program.
2. *Authorize the chancellor to approve the schematic design of new buildings or additions with a value of \$5 million or less.* The chancellor, or designee, was previously authorized to approve the schematic design of new buildings or additions valued at \$3 million or less. Applying construction cost escalation, a project valued at \$3 million in 1999, would be valued at \$5.25 million in today's dollars.
3. *Authorize the chancellor to approve the schematic design of all remodel and utilitarian projects, regardless of cost, unless the design is architecturally significant or includes significant unavoidable environmental impacts.* The board previously delegated this authority to the chancellor, but specific mention of this authority was omitted when the Standing Orders were revised in 2004.

In order to keep the board informed of the results of the proposed delegation, a report on the approved projects and schematic designs will be provided to the board beginning in 2017 as part of the annual five-year capital improvement plan. An annual report to the trustees on delegated environmental actions is currently provided.

To provide some context to the impact of this proposed change, the table below provides information on the agenda items presented to the board for consideration to amend the capital outlay program. The chart shows that 63 projects were approved by the board to be added to the capital program in the last three years, and:

1. The value of the 63 projects totaled over \$913 million.

2. Of the 63 projects, 34 projects (or 54 percent) were less than or equal to \$5 million, with a total cost just under \$95 million, or 10.4 percent of the total value.

**Board of Trustees Approval of Additional Capital Outlay Projects**

<b>Fiscal Year</b>	<b>Total Number of Projects Added</b>	<b>Number of Projects Added with a Value less than or equal to \$5M</b>	<b>Percentage of Number of Projects less than or equal to \$5M</b>	<b>Total Value of Projects Added</b>	<b>Value of Projects less than or equal to \$5M</b>	<b>Percentage of Value of Projects less than or equal to \$5M</b>
2015-2016	33	19	58%	\$382.8M	\$51.0M	13%
2014-2015	14	5	36%	\$327.5M	\$16.3M	5%
2013-2014	16	10	62%	\$169.1M	\$25.6M	15%
<b>Totals</b>	<b>63</b>	<b>34</b>	<b>54%</b>	<b>\$913.4M</b>	<b>\$92.9M</b>	<b>10%</b>

**Recommendation**

The following resolution is presented for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that:

1. The revised Standing Orders as presented in Attachment A for Agenda Item 1 of the May 23-25, 2016 meeting of the Committee on Organization and Rules are approved.
2. An annual report listing all capital projects and schematic plans approved by the chancellor under the new authority delegated by the Board of Trustees in the Standing Orders will be provided as part of the annual five-year capital improvement plan.

## STANDING ORDERS OF THE BOARD OF TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

### I. PURPOSE

The Standing Orders delegate authority from the Board of Trustees to the Chancellor and others within the California State University.

### II. DELEGATION TO THE CHANCELLOR

The Chancellor is the chief executive officer of the California State University and has authority and responsibility to take whatever actions are necessary, consistent with Trustee policy and applicable law, for the appropriate functioning of the institution, which includes:

- a. Establishment and oversight of all academic programs
- b. Issuance of degrees
- c. Operation of educational opportunity programs
- d. Resolution of claims, settlement of litigation and discharge from accountability
- e. Establishment of policies and procedures for acquisition or sale of services, facilities, materials, goods, supplies, and equipment with the authority to sign agreements
- f. Development and oversight of the budget, including the capital outlay program; *approval of capital outlay project scope, budget, and schematic design for projects valued at \$5 million or less; and approval of schematic design for all remodel and utilitarian projects, regardless of cost, unless the design is architecturally significant or includes significant unavoidable environmental impacts.*
- g. Application, receipt and oversight of grants and loans
- h. Deposit, control, investment, and expenditure of funds
- i. Establishment and oversight of campus fees; establishment, adjustment and oversight of systemwide fees
- j. Oversight of construction, and authority to sign all construction documents
- k. Purchase, sale and exchange of any interest in or use of real property
- l. Approval of minor changes to campus master plans
- m. Appointment of personnel, development and enforcement of personnel programs and discipline and termination of personnel
- n. Appointments to various boards and committees
- o. Development of a legislative program

- p. Acceptance of gifts
- q. Permission to use the name of the CSU
- r. Performance of all acts necessary to qualify for and receive benefits from the federal government
- s. Oversight of the systemwide advancement program

This list is not inclusive, and is not intended to limit the necessary actions of the Chancellor as the chief executive officer of the institution. The Chancellor may delegate his or her authority to others within the California State University. The Chancellor may issue executive orders as are necessary or convenient to the performance of his or her office.

The Chancellor shall regularly report to the Board of Trustees concerning the performance of his or her functions.

### **III. DELEGATION TO THE GENERAL COUNSEL**

The General Counsel is the chief legal officer of the California State University and has full authority and responsibility for the legal affairs of the institution, which includes:

- a. Advice to and representation of the California State University, the Trustees, Chancellor, Presidents, and other officers and employees of the California State University in all legal matters of the institution or that may result from their service to, or employment by, the California State University.
- b. Retention of outside counsel to represent the California State University, who are accountable to the General Counsel for their professional work.
- c. Acceptance of service of process for the California State University, the Trustees, Chancellor and Presidents, for any matter arising out of their service to, or employment by, the California State University.
- d. In consultation with the Chancellor and/or appropriate campus Presidents, settlement, termination or other resolution of all claims and litigation, and signing all documents relating to such action(s) on behalf of the California State University, the Trustees, Chancellor, Presidents, and those officers or employees of the California State University for whom the Office of General Counsel also provides representation.

- e. As Secretary of the Board, is the custodian of the official seal, which appears below and may be used, at the discretion of the Chancellor, for any official purpose:



This list is not inclusive, and is not intended to limit the necessary actions of the General Counsel as the chief legal officer of the institution. The General Counsel may delegate his or her authority to other members of his or her legal staff.

The General Counsel shall regularly report to the Board of Trustees concerning the status of litigation of institutional significance and other matters of legal import.

#### **IV. DELEGATION TO THE TREASURER**

The Treasurer of the Board is responsible for the fiscal affairs of the California State University, which include:

- a. Implementation of a system of internal controls that plan, organize and direct the performance of actions to protect the California State University's assets, ensure records are accurate, promote operational efficiency, and encourage adherence to policies.
- b. Management of the programs that incur external debt on behalf of the University to ensure projects are financially sound, strategic and essential to the mission of the university to preserve the full faith and credit of the institution.
- c. Placement of investments to obtain the best possible return commensurate with the degree of risk that the University is willing to assume in obtaining that return.

This list is not inclusive, and is not intended to limit the necessary actions of the Treasurer as the chief fiscal officer. The Treasurer may delegate his or her authority to other members of his or her staff.

The Treasurer shall regularly report to the Board of Trustees concerning the performance of these functions.

## **V. DELEGATION TO THE UNIVERSITY AUDITOR**

The University Auditor is responsible for implementing the Board of Trustees' audit program and represents the California State University in all audits conducted by external agencies.

The University Auditor shall regularly report to the Trustees' Committee on Audit concerning the performance of his or her functions.

## **VI. DELEGATION TO THE PRESIDENTS**

The Presidents of the California State University campuses are the chief executive officers for their campuses and have authority and responsibility, with appropriate consultation, to take whatever actions are necessary, consistent with Trustee and Chancellor's policy, and applicable law, for the appropriate functioning of each of their campuses, which includes:

- a. Development of curricular and instructional plans
- b. Academic, administrative and staff appointments
- c. Supervision, discipline and termination of employees
- d. Oversight of business and financial affairs
- e. Oversight of student affairs
- f. Oversight and adjustment of campus fees in accord with applicable policy
- g. Oversight of the campus advancement function, including alumni affairs and community relations
- h. Oversight of and responsibility for campus auxiliary organizations
- i. Use of campus buildings and grounds

This list is not inclusive, and is not intended to limit the necessary actions of the Presidents as the chief executive officers of their campuses. The Presidents may delegate their authority to other officials on their campuses.

The Presidents report to the Chancellor and shall keep him or her regularly informed as to the activities on their campuses.

## **VII. THE ACADEMIC SENATE**

The constitution of the Academic Senate of the California State University has been ratified by the faculties and approved by the Board of Trustees. The Academic Senate is therefore constituted and functions in accord with the provisions of that constitution. Amendments to the Academic Senate constitution become effective when ratified in accord with the requirements of that constitution and approved by the Board of Trustees.

## **VIII. AMENDMENTS**

These Standing Orders may be amended at any regular meeting of the Board of Trustees. Notice and a draft of the proposed amendment is required at the last regular meeting prior to the meeting at which action is taken. This advance notice requirement may be waived by a majority vote for matters that are not controversial and require no further discussion.



## AGENDA

### COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

**Meeting:** 10:45 a.m., Tuesday, May 24, 2016  
Glenn S. Dumke Auditorium

J. Lawrence Norton, Chair  
Peter J. Taylor, Vice Chair  
Kelsey M. Brewer  
Adam Day  
Rebecca D. Eisen  
Steven G. Stepanek

#### Consent Items

Approval of Minutes of the Meeting of March 8, 2016

1. Amend the 2015-2016 Capital Outlay Program for the Steven G. Mihaylo Hall Financial Trading Center for California State University, Fullerton, *Action*
2. Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan, 2017-2018 through 2021-2022, *Action*
3. California Environmental Quality Act Biennial Report, *Information*

#### Discussion Item

4. Status Update on Lanterman Developmental Center—California State Polytechnic University, Pomona, *Information*

**MINUTES OF MEETING OF  
COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

J. Lawrence Norton, Chair  
Peter J. Taylor, Vice Chair  
Kelsey Brewer  
Adam Day  
Rebecca D. Eisen  
Margaret Fortune  
Lou Monville, Chair of the Board  
Steven G. Stepanek  
Timothy P. White, Chancellor

Trustee J. Lawrence Norton called the meeting to order.

**Approval of Minutes**

The minutes of January 26, 2016 were approved as submitted.

**Amend the 2015-2016 Capital Outlay Program for the Parking Lot C Reconfiguration for California State Polytechnic University, Pomona**

Trustee Norton presented agenda item 1 as a consent action item. The committee recommended approval of the proposed resolution (**RCPBG 03-16-03**).

**Amend the 2015-2016 Capital Outlay Program for Parking Lot N for California State University, San Bernardino**

Trustee Norton presented agenda item 2 as a consent action item. The committee recommended approval of the proposed resolution (**RCPBG 03-16-04**).

**Amend the 2015-2016 Capital Outlay Program for the South Parking Facility Improvements for San José State University**

Trustee Norton presented agenda item 3 as a consent action item. The committee recommended approval of the proposed resolution (**RCPBG 03-16-05**).

Trustee Norton adjourned the meeting.

**COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Amend the 2015-2016 Capital Outlay Program for the Steven G. Mihaylo Hall Financial Trading Center for California State University, Fullerton**

**Presentation By**

Elvyra F. San Juan  
Assistant Vice Chancellor  
Capital Planning, Design and Construction

**Summary**

The California State University Board of Trustees approved the 2015-2016 Capital Outlay Program at its November 2014 meeting. This item allows the board to consider the scope and budget of a project not included in the previously approved capital outlay program.

**California State University, Fullerton**

**Steven G. Mihaylo Hall Financial Trading Center** **PWCE<sup>1</sup>    \$1,044,000**

California State University, Fullerton wishes to proceed with the design and construction of a financial trading center in an existing 2,035 gross square foot (GSF) office suite on the second floor of Steven G. Mihaylo Hall (#38<sup>2</sup>). The project will allow Mihaylo College of Business and Economics to double the number of students served in the applied security analysis program, and provide a dedicated center for student research and investment analysis. A trading lab, seminar room, reconfigured offices, and work space will be included in the project. The lab will resemble a stock market trading floor facility, providing experience with modern software programs and access to real time data.

This renovation project will be funded from donor funds and designated capital reserves.

**Recommendation**

The following resolution is presented for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that the 2015-2016 Capital Outlay Program be amended to include \$1,044,000 for preliminary plans, working drawings, construction, and equipment for the California State University, Fullerton Steven G. Mihaylo Hall Financial Trading Center.

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<sup>1</sup> Project phases: P – Preliminary Plans, W – Working Drawings, C – Construction, E – Equipment

<sup>2</sup> Facility number shown on master plan map and recorded in Space and Facilities Database

## **COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

### **Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan, 2017-2018 through 2021-2022**

#### **Presentation By**

Elvyra F. San Juan  
Assistant Vice Chancellor  
Capital Planning, Design and Construction

#### **Summary**

The California State University Board of Trustees annually adopts categories and criteria used to set priorities for academic project requests in the Capital Outlay Program. Minor changes are proposed to the categories and criteria approved by the board last year for the 2016-2017 through 2020-2021 program development as shown in Attachment A using *italics* and ~~strikethrough~~ to denote changes.

#### **General**

Priorities will be determined based upon the strategic needs of the system in consideration of existing deficiencies in the type, amount and/or condition of campus space to serve the academic master plan. In particular, priority will be given to projects that address critical seismic and infrastructure deficiencies, including fire and life safety, utilities infrastructure critical to campus-wide operations, capital renewal, and minor capital outlay in existing facilities. Projects to modernize existing facilities or construct new replacement buildings in response to academic needs or enrollment demand will be considered on a case-by-case basis. Campuses are encouraged to identify funding sources for projects to receive priority consideration, however, such funding will not guarantee a higher prioritization for the project based on the strategic needs of the system.

#### **Proposed Change**

The proposed change to the criteria for priority setting clarifies the one project limit for the budget year, and the budget year plus one (2018-2019). This one project limit does not apply to the smaller dollar value projects that comprise the Systemwide Infrastructure Improvement and Minor Capital Outlay Programs, projects funded from reserves, or public-private partnerships.

Attachment A contains the proposed categories and criteria for the budget year 2017-2018 Capital Outlay Program and the Five-Year Facilities Renewal and Capital Improvement Plan for 2017-2018 through 2021-2022.

**Recommendation**

The following resolution is presented for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that:

1. The Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan 2017-2018 through 2021-2022 in Attachment A of Agenda Item 2 of the May 23-25, 2016 meeting of the Committee on Campus Planning, Buildings and Grounds be approved; and
2. The chancellor is directed to use these categories and criteria to prepare the Five-Year Facilities Renewal and Capital Improvement Plan for 2017-2018 through 2021-2022.

## **Categories and Criteria to Set Capital Program Priorities**

### **General Criteria**

*Capital* priorities will be determined based upon the strategic needs of the system in consideration of existing deficiencies in the type, amount and/or condition of campus space to serve the academic master plan. In particular, priority will be given to projects that address critical seismic and infrastructure deficiencies, including life/fire safety, utilities infrastructure critical to campuswide operations, capital renewal and minor capital outlay in existing facilities. Projects ~~programmed for to modernizing~~ *modernize* existing facilities or constructing new replacement buildings in response to academic needs or enrollment demand will be considered on a case-by-case basis. Campuses are encouraged to identify funding sources for projects ~~to that~~ *that* reduce total project financing costs ~~and to identify the degree to which the proposed project expands debt capacity~~ to receive priority consideration; however, ~~such elements will~~ *additional funding does* not guarantee a higher prioritization for the project based on the strategic needs of the system.

~~Self support projects (student housing, parking, student unions, etc.) proposed for any given year will be categorized according to the criteria discussed below.~~

A campus may submit a maximum of one major debt financed academic facility or academic support project and one debt financed self-support project each year for the 2017-2018 action year and the 2018-2019 planning year. *Exceptions may occur if there are significant synergies between two submitted projects.* Up to three academic projects and three self-support projects per year can be ~~accommodated~~ *proposed* for the 2019-2020 through 2021-2022 planning years, including health and safety projects. This approach aims to encourage campuses to identify their facility needs and not impose a one project limit across all five-years that may inadvertently ~~misrepresent~~ *understate* the true funding level needed for academic and self-support project funding.

*Projects submitted for inclusion in the Systemwide Infrastructure Improvement and Minor Capital Outlay programs, equipment, seismic strengthening, donor, certain public-private and reserve funded projects are excluded from these the project limits.* Exceptions to these limits will *also* be considered on an individual project basis. Seismic strengthening projects will be prioritized according to recommendations from the CSU Seismic Review Board.

Approval of multi-phase projects may require the project funding to be allocated over more than one year. Campuses are encouraged to use designated capital reserves to co-fund projects. Campus requests for preliminary plans, working drawings and construction (PWC) lump sum funding will be considered on an individual project basis based on its complexity, scope, schedule, and the availability of campus funds to co-fund the project.

Current trustee-approved campus physical master plan enrollment ceilings apply to on-campus seat enrollment only. These numbers are to be used as the basis of comparison for justifying capital projects that address enrollment demand to be accommodated on campus. Enrollment estimates that exceed these figures should be accommodated through distributed learning and other off-campus instructional means. Campus utilization of space, along with relative deficits of space, demand for space, and/or deficiencies of space will also be considered.

### **Individual Categories and Criteria**

Projects will be placed within each category based on the established criteria and predominant purpose of the project. Total capital funding available, both from financing and cash reserves, will be *targeted to address existing facilities as well as available to support campus growth.* ~~distributed among the categories IA, IB, and II and allocated to projects within each category.~~

#### **I. Existing Facilities/Infrastructure**

##### **A. Critical Infrastructure Deficiencies – CD (Critical Deficiencies)**

These projects correct structural *and*, health and safety code deficiencies by addressing *fire and* life safety problems and promoting code compliance in existing facilities. Projects include seismic strengthening, correcting building code deficiencies and failing infrastructure, and addressing regulatory changes which impact campus facilities or equipment. This category also includes the systemwide Infrastructure Improvements and Minor Capital Outlay programs.

##### **B. Modernization/Renovation – FIM (Facilities Infrastructure/Modernization)**

This category makes new and remodeled facilities operable by providing group II equipment (furnishings) and replacing utility services/building systems to improve facilities and the campus infrastructure. Projects in this category includes: modernizing existing facilities or constructing new replacement buildings in response to academic and support program needs. ~~as well as enrollment demand.~~

#### **II. Growth Facilities – ECP (Enrollment/Caseload/Population)**

These funds eliminate instructional and support deficiencies to support campus growth, including new buildings and their group II equipment, additions, land acquisitions, and site/infrastructure development.

## **COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

### **California Environmental Quality Act Biennial Report**

#### **Presentation By**

Elvyra F. San Juan  
Assistant Vice Chancellor  
Capital Planning, Design and Construction

#### **Summary**

Pursuant to the California State University Board of Trustees' policy, this item provides a report of the CSU's California Environmental Quality Act (CEQA) certification actions for environmental impact reports (EIR) and related documentation. The report identifies the compliance actions that have been acted upon by the board for the period from July 2013 through June 2015, consistent with its responsibility as the "Lead Agency" under CEQA.

#### **Background**

The goal of CEQA is to inform governmental decision-makers and the public about the potential significant environmental effects of proposed projects and efforts to prevent significant damage to the environment through the use of feasible alternatives or mitigation measures. Under CEQA, a "project" can be either a specific building or facility planned for construction, or it can be a programmatic action such as approval of an updated campus master plan that is prepared to guide long-range campus development. CEQA compliance is required for activities directly implemented or financed by a governmental agency as well as for private activities requiring approval from a governmental agency. Per State CEQA guidelines, the type of CEQA action depends on the environmental impact of the project and primarily includes the following:

- Categorical Exemptions apply to classes of projects which have been determined not to have a significant effect on the environment (e.g., interior renovations).
- Negative Declarations apply to projects which will not have a significant effect on the environment.
- Mitigated Negative Declarations include projects with potentially significant effects, but revisions in the project or mitigation measures will avoid or reduce effects to a point where no significant effects would occur.
- EIRs are completed for projects that could result in unavoidable significant environmental impacts.



- An Addendum to an EIR may be prepared if there are minor technical changes or additions to a project which were included in a previously certified EIR. An Addendum to an EIR cannot be used if there are substantial changes in the project, substantial changes in the circumstances under which the project is being undertaken, or new information of substantial importance to the environmental analysis has become available.

### **Role of the CSU**

A “Lead Agency” is defined in CEQA as the public agency which has the principal responsibility for carrying out or approving a project. Therefore, the Board of Trustees of the California State University is the Lead Agency for CSU projects and typically considers CEQA documentation at the time of a project’s schematic design approval or approval of a significant change to a long-range physical master plan. The board is responsible to ensure that draft EIRs and other CEQA documents are circulated for required public review. In addition, the board makes findings prior to the approval of a project along with a statement of fact supporting each finding, referred to as the Findings of Fact. The board adopts the Mitigation Monitoring and Reporting Program which includes the measures to lessen environmental impacts and identifies the responsible party to perform the mitigation. In cases of unavoidable significant impacts, the board adopts specific Overriding Considerations that identify the factors and benefits of the project that outweigh the potential unavoidable significant impacts.

Under authority delegated to the chancellor, the assistant vice chancellor for capital planning, design and construction is authorized to approve minor changes to a campus master plan and to approve specified CEQA documents (i.e., Categorical Exemptions, Negative Declarations, and Mitigated Negative Declarations) for certain capital projects with standard mitigation measures, e.g., utility/infrastructure projects that are non-controversial.

### **CSU Compliance Actions**

Attachment A lists CSU CEQA actions for major projects during the reporting period July 1, 2013 through June 30, 2015.

### **CEQA Judicial Action Updates**

The below updates include recent actions that have occurred beyond the Attachment A reporting period in order to capture court decisions that will impact campus long range planning and development.

City of San Diego et al. v. CSU

In 2007, the San Diego State University campus revised its long range physical Master Plan to increase the master plan enrollment ceiling from 25,000 full time equivalent students (FTE) to 35,000 FTE, and prepared an EIR. Several local agencies, including the City of San Diego and the San Diego Association of Governments challenged the 2007 EIR, and argued that CSU must fund all off-site infrastructure mitigation costs, irrespective of legislative funding. The trial court ruled in CSU's favor.

In December 2011, the Court of Appeal reversed the trial court's decision. The decision was appealed by CSU to the California Supreme Court. In August 2015, the California Supreme Court ruled that the CSU could not discharge its CEQA obligations with respect to off-site environmental mitigation by seeking funding from the legislature for such mitigation costs. As a result, in January 2016, the CSU Board of Trustees set aside and vacated its approval of the San Diego State University Master Plan Revision and partially decertified the EIR with respect to three areas. Before reapproving the Master Plan EIR, the areas of traffic, transit, and transportation demand management (TDM) must be revisited to address fair-share traffic mitigation costs, potential impacts to transit, and re-evaluation of the TDM mitigation measures.

City of Hayward v. CSU

The City of Hayward sued, claiming that a 2009 CSU East Bay Master Plan Revision EIR failed to adequately analyze impacts on public services, including police, fire, and emergency services. The City argued that the University should provide funding for additional fire personnel and facilities. Two local residential homeowners' associations also sued. The trial court ruled in favor of the City and homeowners' association. In May 2012, the Court of Appeal reversed the trial court's decision on all issues except one related to parklands. In particular, the Court held that CSU was not required to fund the construction and staffing of an additional fire station to mitigate "impacts" of an increased demand on fire protection or delayed response time because "the obligation to provide adequate fire and emergency services is the responsibility of the City." The Court of Appeal decision was appealed to the California Supreme Court. The Supreme Court accepted review, but declined to hear argument until the *City of San Diego* matter had been considered. Following its ruling in the *City of San Diego* matter, the Supreme Court remanded the *City of Hayward* matter back to the Court of Appeal. The Court of Appeal reaffirmed its prior determination regarding the City's obligation to provide essential services, but the decision does still require the CSU to revise the 2009 East Bay Master Plan Revision EIR to address parkland analysis deficiencies and to reconsider its feasibility findings related to funding off-site mitigation measures (in compliance with the *City of San Diego*). The City and homeowners' associations petitioned the California Supreme Court for review of the Court of Appeal's most recent decision, but this petition was denied in March 2016.

Alliance of SLO Neighborhoods (“ASLON”) v. CSU

An organized group of San Luis Obispo residents filed suit to challenge the May 2014 certification of an EIR for a student housing complex with associated parking structure at the southeastern edge of the Cal Poly San Luis Obispo campus based upon their concerns relating to the proximity of freshman housing, traffic and noise issues, and evaluation of alternative sites for the project.

The CSU prevailed in the trial court’s decision in May 2015. The court confirmed in its decision that Cal Poly San Luis Obispo’s project objective of co-locating freshman housing was reasonable, amply supported by the record, and, therefore, met all CEQA requirements. The court also found that substantial evidence supported the fact that alternative project sites were economically infeasible due to additional costs related to need to construct a bridge, taller buildings, and new dining facility at the alternative sites.

THE CALIFORNIA STATE UNIVERSITY  
 CALIFORNIA ENVIRONMENTAL QUALITY ACT BIENNIAL REPORT  
 Board Considered Projects  
 July 2013 through June 2015

CAMPUS/Project	CEQA Action Prepared					
	Exempt	M.N.D	N.D.	E I R	BOT Action	NOD Filed
<b>CALIFORNIA STATE UNIVERSITY, BAKERSFIELD</b> Office Park-Campus Master Plan Revision Approval Hotel and Conference Center-Campus Master Plan Revision Approval		√ √			9/10/2014 9/10/2014	9/10/2014 9/10/2014
<b>CALIFORNIA STATE UNIVERSITY CHANNEL ISLANDS</b> Student Housing, Phase III-Schematic Plan Approval				√	11/13/2014	N/A
<b>CALIFORNIA STATE UNIVERSITY, FULLERTON</b> Titan Student Union Expansion-Schematic Plan Approval	√				3/25/2015	N/A
<b>CALIFORNIA STATE UNIVERSITY, NORTHRIDGE</b> Extended Learning Building-Schematic Plan Approval				√	9/25/2013	N/A
<b>CALIFORNIA STATE POLYTECHNIC UNIVERSITY, POMONA</b> Administration Replacement Facility-Campus Master Plan Revision Approval Innovation Village, Phase V-Schematic Plan Approval Parking Structure II-Campus Master Plan Revision and Schematic Plan Approval Administration Replacement Building-Schematic Plan Approval		√ √ √		√	11/6/2013 11/13/2014 1/28/2015 3/25/2015	11/6/2013 11/13/2014 1/28/2015 N/A
<b>CALIFORNIA STATE UNIVERSITY, SACRAMENTO</b> Student Residence Hall-Minor Master Plan Revision Approval Campus Master Plan Revision Approval				√ √	(1) 5/20/2015	1/16/2015 5/20/2015
<b>SAN DIEGO STATE UNIVERSITY</b> Basketball Performance Center-Schematic Plan Approval Plaza Linda Verde-Schematic Plan Approval Engineering and Interdisciplinary Sciences Complex-Campus Master Plan Revision Approval	√			√	1/29/2014 5/21/2014 5/20/2015	N/A 5/21/2014 5/20/2015
<b>SAN FRANCISCO STATE UNIVERSITY</b> Recreation Wellness Center-Campus Master Plan Revision and Schematic Plan Approval		√			5/21/2014	5/21/2014
<b>SAN JOSÉ STATE UNIVERSITY</b> Campus Village 2-Minor Master Plan Revision Approval		√			(1)	4/2/2014
<b>CALIFORNIA POLYTECHNIC STATE UNIVERSITY, SAN LUIS OBISPO</b> Student Housing South-Campus Master Plan Revision Approval				√	5/21/2014	5/21/2014
<b>CALIFORNIA STATE UNIVERSITY SAN MARCOS</b> Field House Expansion-Schematic Plans Mangrum Track Field Lighting and Cell Tower-Schematic Plan Approval		√ √			5/21/2014 7/22/2014	5/22/2014 7/22/2014

- (1) Delegated Administrative Approval
- Exempt Categorical Exemption
- M.N.D. Mitigated Negative Declaration
- N.D. Negative Declaration
- EIR Environmental Impact Report
- BOT Action Meeting Date Action Taken
- NOD Filed Date Notice of Determination Filed with State Clearinghouse Office of Planning and Research

**COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Status Update on Lanterman Developmental Center—California State Polytechnic University, Pomona**

**Presentation By**

Elvyra F. San Juan  
Assistant Vice Chancellor  
Capital Planning, Design, and Construction

**Summary**

The State of California has proposed to transfer the possession and control of a 287-acre parcel, the Lanterman Developmental Center (Center), previously operated by the California Department of Developmental Services, to California State Polytechnic University, Pomona. The property is located approximately one mile from the campus core, adjacent to the southern end of campus near Spadra Farm. This item provides an update to the information previously provided at the May 2015 board meeting.

**Recent Developments**

A memorandum of understanding (MOU) was executed between the state and the California State University regarding the transfer of jurisdiction of the Center to the CSU on July 1, 2015. The MOU addresses in broad terms the transfer including funding and the accommodation of state entities located on the site, along with the completion of the historic analysis and inventory as required by the State Office of Historic Preservation (SHPO). Additionally, the MOU provided an opportunity for the CSU to return the Center back to the state pending further diligence by informing the State Department of Finance no later than February 1, 2016.

As part of the transfer of the Center, the State Department of Developmental Services remained responsible to complete a new Historical Resource Assessment Report. On February 12, 2016, the State Department of General Services submitted a letter on behalf of the State Department of Developmental Services to SHPO confirming completion of the report and compliance for the disposition of the Center.

In a letter dated February 29, 2016, SHPO informed the State Department of General Services that SHPO concurred with the report that identified the Center as a historic district. "Historic district" refers to a definable unified geographic entity that possesses a significant concentration, linkage, or continuity of sites, buildings, structures, or objects united historically or aesthetically by plan

or physical development. The historical district is identified as the “Pacific State Hospital Historic District” with 93 contributing buildings, 40 non-contributing buildings, and associated landscape. In addition, SHPO determined that four buildings are individually eligible for listing in the National Register of Historic Places:

- Superintendent’s Residence (R-1) for its association as the home of Dr. George Tarjan and as an example of Spanish Colonial Revival style.
- Administration Building (A-1) for its association as the office of Dr. George Tarjan.
- Acute Hospital (55-59), in its entirety, for the Spanish Colonial Revival design of the original hospital and the Modern architecture of its 1957 wing.
- Research Center (60) for its association with Dr. George Tarjan and for its Modern architecture.

According to the Historical Resource Assessment Report, the Pacific State Hospital Historic District located within the Center meets the criteria for a California Historic Landmark, and is significant for its role in the California state mental health system as the first state home for the developmentally disabled in Southern California. It is also significant for its cottage plan layout for a state mental hospital facility and for the application of Spanish Colonial Revival and Modern styles to the property. The Report established the period of significance for the district as 1927, from the reopening of the Pacific Colony at the subject site, to 1969 with the passage of the Lanterman Mental Retardation Service Act that expanded regional service centers for the mentally disabled.

Preliminary site visits and a condition assessment report also has revealed that many of the existing buildings and infrastructure will require significant upgrades or demolition. Most of the buildings, which were constructed between the 1920s and 1960s, would require addressing code deficiencies and hazardous material mitigation, such as asbestos remediation, if renovated or demolished.

On March 4, 2016, the CSU received the Historic Resource Assessment Report and determined more time was needed to review and assess the opportunities that may exist for future development given the Historic District designation of the Center, as well as infrastructure and hazardous material mitigation requirements.

Therefore, the CSU re-engaged with the Department of Finance and requested an extension of the decision time frame. The Department of Finance agreed to the time extension with the following conditions:

- The CSU will undertake development planning in the context of the now known historical parameters. The main purpose is to determine whether the CSU can productively develop the site for its purposes within the constraints associated with the historical nature of the property. It is expected that this planning effort will take approximately one year to complete.

- If the CSU decides that it cannot productively develop the site, it will let the Department of Finance know no later than September 1, 2017. This will give the Department of Finance time to plan and budget for managing and disposing of the property.
- Should CSU decide that it cannot productively develop the site, transfer of jurisdiction of the property to another state department will occur no earlier than July 1, 2018. The CSU will continue to be responsible for maintenance and security of the site until the transfer of jurisdiction is completed.

### **Next Steps**

The CSU continues to hold temporary jurisdiction of the site and assumes responsibility for security, utilities, maintenance, and repair. On July 1, 2015, the campus entered into an Operating Agreement and Facility Lease with the Cal Poly Pomona Foundation (Foundation) through June 30, 2016. The Agreement authorized the Foundation to perform professional management and real estate services as required by the university and set forth in the Agreement. The Agreement is being reviewed and may be extended for another year.

Projected expenditures for this fiscal year are anticipated to be approximately \$1,700,000. The funding sources identified to address the annual expenditures include: \$500,000 from the Foundation, \$550,000 in rental revenues, and approximately \$650,000 in support from the campus. Going forward until a decision is made, the campus will continue to make efforts to minimize expenditures and maximize revenues from the Center.

In the coming months, Cal Poly Pomona anticipates soliciting interest in the site and determining development parameters to support the educational mission given the limitations of the historic district in addition to the condition and age of the buildings and infrastructure. The result will confirm how the campus can support the long-term development of the site. If it is determined these parameters prove to be too restrictive for the campus vision, the CSU may return the Center to the state. If the decision is to keep the Center, the campus will continue to pursue funding opportunities to enable development of the site.

## AGENDA

### COMMITTEE ON AUDIT

**Meeting:** 11:00 a.m., Tuesday, May 24, 2016  
Glenn S. Dumke Auditorium

Lupe C. Garcia, Chair  
Douglas Faigin, Vice Chair  
Adam Day  
Hugo N. Morales  
Peter J. Taylor

#### **Consent Items**

Approval of Minutes of the Meeting of March 8, 2016

1. Status Report on Corrective Actions for the Findings in the California State University Single Audit Reports of Federal Funds for the Fiscal Year Ended June 30, 2015, *Information*

#### **Discussion Items**

2. Status Report on Current and Follow-up Internal Audit Assignments, *Information*
3. 2014 Quality Assurance Review – Status Report, *Information*



**MINUTES OF THE MEETING OF  
COMMITTEE ON AUDIT**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Lupe C. Garcia, Chair  
Douglas Faigin, Vice Chair  
Adam Day  
Hugo N. Morales  
Peter J. Taylor  
Lou Monville, Chair of the Board  
Timothy P. White, Chancellor

Trustee Garcia called the meeting to order.

**Approval of Minutes**

The minutes of January 26, 2016, were approved as submitted.

**Report on Compliance with National Collegiate Athletic Association Requirements for Financial Data Reporting**

With the concurrence of the committee, Trustee Garcia presented agenda item 1 as a consent information item.

**Status Report on Current and Follow-up Internal Audit Assignments**

Mr. Larry Mandel, vice chancellor and chief audit officer, presented a brief status report on the 2016 audit plan and follow-up on past assignments. The report noted reviews for 2016 that included Auxiliary Organizations, Delegations of Authority, Academic Departments, Emergency Management, International Activities, Construction, Student Activities, Information Security, Cloud Computing, and Information Technology Disaster Recovery Planning. In addition, follow-up on current/past assignments were being conducted on approximately 40 prior campus/auxiliary reviews.

## **Report of the Systemwide Audit in Accordance with Generally Accepted Accounting Principles, Including the Report to Management**

Mr. Steve Relyea, executive vice chancellor and chief financial officer, introduced the information item noting that the board was receiving this update in March as opposed to January due to a change to the Governmental Accounting Standards in regard to pensions, called GASB 68.

Ms. Mary Ek, assistant vice chancellor and controller, presented an overview of the report on the financial statement period ending June 30, 2015, which included an overview and discussion of revenues and expenses from fiscal year 2014-2015. Ms. Ek explained the impact of the change to GASB in regard to pensions and stated that this would be the first year the CSU would reflect this data in the financial statements.

### **Single Audit Reports of Federal Funds**

Ms. Mary Ek presented an overview of the Single Audit Reports of Federal Funds for fiscal year ended June 30, 2015. She reported that there were four audit findings identified in the A-133 Single Audit Reports. Three findings were related to internal controls over administration of federal financial aid funds at several campuses, and one related to internal controls over federal procurement and suspension and debarment requirements at one campus. Corrective actions are underway and a status update will be provided at the May 2016 board meeting.

Mr. Mark Thomas of KPMG provided a brief report on the status of the CSU systemwide audit and reiterated the auditors' unqualified opinion of the CSU financial statements.

Trustee Garcia adjourned the Committee on Audit.

## **COMMITTEE ON AUDIT**

### **Status Report on Corrective Actions for the Findings in the California State University Single Audit Reports of Federal Funds for the Fiscal Year Ended June 30, 2015**

#### **Presentation By**

Mary Ek  
Assistant Vice Chancellor/Controller  
Financial Services

#### **Summary**

There were four audit findings from the California State University's system wide Single Audit Reports of federal funds, for the fiscal year ended June 30, 2015, presented at the March 2016 Board of Trustees meeting.

Three audit findings related to financial aid programs as summarized below:

- Item 2015-001: Notifications regarding loan disbursements did not meet the requirements at six campuses;
- Item 2015-002: Internal controls in place were not effective to ensure timely and accurate reporting of student enrollment status changes at seven campuses;
- Item 2015-003: Internal controls in place were not effective to ensure timely and accurate return of Title IV funds at seven campuses.

One audit finding related to non-financial aid federal awards at a campus:

- Item 2015-004: Internal controls in place were not effective to ensure compliance with the federal procurement, suspension, and debarment requirements at one campus.

Corrective actions have been implemented to strengthen internal controls and remedy weaknesses identified in the audit findings. The Chancellor's Office will review the documentary evidence submitted by the campuses to confirm completion of the corrective actions. Corrective actions at campuses include:

- Dedicated staff/management positions have been hired to ensure that compliance is met in all reporting and compliance, to create and lead staff training, and to ensure quality control.
- New technical systems have been developed to assist in reconciliation and tracking.
- New technical systems have been created for the purposes of employee training. Additionally, more comprehensive training will be conducted at a greater frequency.

- New communication methods have been created to notify students, including increasing the frequency of written and mailed notifications and the addition of e-mail notifications. These notifications will convey more information to students in a timelier manner.
- Development of a new program to capture student loan information that will allow students to search, view, and confirm their own data.
- Changes to policies and procedures that increase the frequency of reconciliations and running of system reports to verify reporting accuracy and compliance with policies. Additionally, campuses have either added an additional management approval or initiation step to reporting and notification policies.
- An increase in random sampling of data to ensure accuracy and compliance.

In addition to the specific corrective actions taken by the campuses to address the audit findings above, there has been systemwide effort to avoid repeat findings, including the following:

- Importance of internal controls is discussed as an on-going issue with campus staff in monthly meetings and in annual workshops for all campus financial aid directors.
- KPMG annually conducts a webcast training for all campus financial aid directors for the following year.
- The Chancellor's Office communicates the audit findings not only to those campuses cited in the audit report but to all campuses at multiple levels (i.e., controllers and directors, associate vice presidents, and vice presidents) to create awareness and emphasize the need for proper controls.

## **COMMITTEE ON AUDIT**

### **Status Report on Current and Follow-up Internal Audit Assignments**

#### **Presentation By**

Larry Mandel  
Vice Chancellor and Chief Audit Officer  
Office of Audit and Advisory Services

#### **Summary**

This item includes both a status report on the 2016 audit plan and follow-up on past assignments. For the 2016 year, assignments were made to conduct reviews of Auxiliary Organizations, Delegations of Authority, Academic Departments, Emergency Management, International Activities, Construction, Student Activities, Information Security, Cloud Computing, and Information Technology (IT) Disaster Recovery Planning. In addition, follow-up on current/past assignments (Special Investigation, Auxiliary Organizations, Information Security, IT Procurement, College Reviews, Clery Act, Admissions, PCI, Scholarships, and Student Activities) was being conducted on approximately 30 prior campus/auxiliary reviews. Attachment A summarizes the reviews in tabular form. An up-to-date Attachment A will be distributed at the committee meeting.

### **Status Report on Current and Follow-up Internal Audit Assignments**

#### Auxiliary Organizations

The initial audit plan indicated that approximately 267 staff weeks of activity (26.1 percent of the plan) would be devoted to auditing internal compliance/internal control at eight campuses/29 auxiliaries. One campus/four auxiliary reports are awaiting a campus response prior to finalization, report writing is being completed for two campuses/four auxiliaries, and fieldwork is being conducted for one campus/four auxiliaries.

#### Delegations of Authority

The initial audit plan indicated that approximately 48 staff weeks of activity (4.7 percent of the plan) would be devoted to a review of the management of processes for administration of purchasing and contracting activities, motor vehicle inspections, and real and personal property transactions. Six campuses will be reviewed. Report writing is being completed for one campus, and fieldwork is being conducted at one campus.

### *Operational/Financial Reviews*

#### Academic Department Fiscal Review

The initial audit plan indicated that approximately 47 staff weeks of activity (4.7 percent of the plan) would be devoted to a review of college/department administrative and financial controls. Six campuses will be reviewed. Report writing is being completed for four campuses, and fieldwork is being conducted at one campus.

#### Emergency Management

The initial audit plan indicated that approximately 50 staff weeks of activity (4.8 percent of the plan) would be devoted to a review of campus emergency management policies and procedures to ensure compliance with CSU and state and federal compliance requirements. Six campuses will be reviewed.

#### International Activities

The initial audit plan indicated that approximately 50 staff weeks of activity (4.8 percent of the plan) would be devoted to a review of campus international programs and activities to ensure compliance with CSU policies and other regulatory requirements. Six campuses will be reviewed.

#### Construction

The initial audit plan indicated that approximately 47 staff weeks of activity (4.6 percent of the plan) would be devoted to a review of design budgets and costs; the bid process; invoice processing and change orders; project management, architectural, and engineering services; contractor compliance; cost verification of major equipment and construction components; the closeout process and liquidated damages; and overall project accounting and reporting. Six projects will be reviewed. Report writing is being completed for two projects, and fieldwork is being conducted for one project.

#### Student Activities

Due to resource constraints, we were unable to complete three Student Activities audits in 2015. The 2016 audit plan indicated that approximately 25 staff weeks of activity (2.5 percent of the plan) would be devoted to completion of these reviews that ensure compliance with CSU policies and other regulatory requirements. Three campuses will be reviewed. Two campus reports are awaiting a campus response prior to finalization, and fieldwork is being conducted at one campus.

## *Information Technology Reviews and Support*

### Information Security

The initial audit plan indicated that approximately 46 staff weeks of activity (4.5 percent of the plan) would be devoted to a review of the activities and measures undertaken to protect the confidentiality, integrity, access to, and availability of information. Six campuses will be reviewed. Report writing is being completed for one campus, and fieldwork is being conducted at one campus.

### Cloud Computing

The initial audit plan indicated that approximately 26 staff weeks of activity (2.5 percent of the plan) would be devoted to a review of activities pertaining to the use of third-party cloud computing/internet service providers, including a review of contractual provisions related to service availability, data ownership, backup and recovery, and protection of sensitive and/or proprietary information. Four campuses will be reviewed. Report writing is being completed for two campuses.

### Information Technology Disaster Recovery Planning

The initial audit plan indicated that approximately 26 staff weeks of activity (2.6 percent of the plan) would be devoted to a review of program and facility readiness and resource planning for the recovery of data processing services following a catastrophic event. Four campuses will be reviewed.

### Technology Support

The initial audit plan indicated that approximately 17 staff weeks of activity (1.6 percent of the plan) would be devoted to technology support for non-information technology specific audits and advisory services reviews. The provision of support is ongoing.

### *Advisory Services*

The initial audit plan indicated that approximately 220 staff weeks of activity (21.6 percent of the plan) would be devoted to partnering with management to identify solutions for business issues, offering opportunities to improve the efficiency and effectiveness of operating areas, and assisting with special requests, while ensuring the consideration of related internal control issues. Reviews are ongoing.

### *Investigations*

The Office of Audit and Advisory Services is periodically called upon to provide investigative reviews, which are often the result of alleged defalcations or conflicts of interest. In addition, whistleblower investigations are being performed on an ongoing basis, both by referral from the state auditor and directly from the CSU Chancellor's Office. Forty-three staff weeks have been set aside for this purpose, representing approximately 4.2 percent of the audit plan.

### *Committees/Special Projects*

The Office of Audit and Advisory Services is periodically called upon to provide consultation to the campuses and/or to participate on committees such as those related to information systems implementation and policy development, and to perform special projects. Thirty-eight weeks have been set aside for this purpose, representing approximately 3.8 percent of the audit plan.

### *Audit Support*

#### Audit Follow-up

The audit plan indicated that approximately 16 staff weeks of activity (1.6 percent of the plan) would be devoted to follow-up on prior audit recommendations. The Office of Audit and Advisory Services is currently tracking approximately 30 current/past assignments (Special Investigation, Auxiliary Organizations, Information Security, IT Procurement, College Reviews, Clery Act, Admissions, PCI, Scholarships, and Student Activities) to determine the appropriateness of the corrective action taken for each recommendation and whether additional action is required.

#### Annual Risk Assessment

The Office of Audit and Advisory Services annually conducts a risk assessment to determine the areas of highest risk to the system. Eleven staff weeks have been set aside for this purpose, representing approximately 1.1 percent of the audit plan.

#### Administration

Day-to-day administration of the Office of Audit and Advisory Services represents approximately 4.3 percent of the audit plan.



Status Report on Current and Follow-Up Internal Audit Assignments  
(as of 5/16/2016)

	2016 ASSIGNMENTS										FOLLOW-UP PAST/CURRENT ASSIGNMENTS						
	Aux Orgs	Info Security	Student Activities	Cloud Comptg	Acad Dept Fiscal Review	Deleg of Auth	Intl Activities	IT Dis Recov	Emerg Mgmt	Special Investigation	Auxiliary Organizations	Information Security	*Recs	**Mo.	*Recs	**Mo.	
BAK			FW										4	35/35	-	10/10	-
CHI				RW									3	0/32	2	21/21	-
CI			AI										3	32/32	-		
DH					RW								3	0/36	2		
EB			FW										3	31/31	-		
FRE					RW				5/5				6	36/36	-	11/11	-
FUL				RW									4	4/27	3		
HUM													4	30/30	-	4/4	-
LB					RW								4	18/18	-	6/6	-
LA													4	18/18	-		
MA													2	13/13	-	7/7	-
MB													2	23/23	-		
NOR													5	19/19	-		
POM	RW		AI										2				
SAC													5	41/41	-		
SB													4	23/23	-	10/10	-
SD	FW												4				
SF						RW							3	17/17	-	0/9	4
SJ													5	26/26	-	17/17	-
SLO													3	11/11	-		
SM	AI												4				
SON					RW								3	3/4	5		
STA					FW								4	14/14	-	12/21	6
CO	RW					FW							2				
SYS																	

\* The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.  
 \*\* The number of months recommendations have been outstanding from date of report.  
 • The number of auxiliary organizations reviewed.  
**Numbers/letters in green are updates since the agenda mailout.**

FW = Field Work In Progress  
 RW = Report Writing in Progress  
 AI = Audit Incomplete (awaiting formal exit conference and/or campus response)  
 AC = Audit Complete

Status Report on Current and Follow-Up Internal Audit Assignments  
(as of 5/16/2016)

FOLLOW-UP PAST/CURRENT ASSIGNMENTS														
	IT Procurement		College Reviews		Clery Act		Admissions		PCI		Scholarships		Student Activities	
	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.	*Recs	**Mo.
BAK									1/1	-	3/3	-		
CHI			5/5	-										
CI					7/7	-								
DH											3/3	-		
EB	3/3	-			6/6	-								
FRE							8/8	-						
FUL	0/0	-											1/5	2
HUM					3/3	-								
LB							0/0	-	1/1	-				
LA			4/4	-	3/3	-					2/2	-		
MA					3/3	-								
MB							6/6	-						
NOR			4/4	-							0/3	3		
POM	0/0	-	3/3	-										
SAC			8/8	-									1/1	-
SB	2/2	-					5/5	-						
SD					3/4	9								
SF														
SJ							7/7	-			1/3	5		
SLO					3/3	-					1/1	-		
SM													0/2	3
SON	0/0	-												
STA														
CO	3/3	-												
SYS			1/1	-										

\* The number of recommendations satisfactorily addressed followed by the number of recommendations in the original report.

\*\* The number of months recommendations have been outstanding from date of report.

• The number of auxiliary organizations reviewed.

Numbers/letters in green are updates since the agenda mailout.



## **COMMITTEE ON AUDIT**

### **2014 Quality Assurance Review – Status Report**

#### **Presentation By**

Larry Mandel  
Vice Chancellor and Chief Audit Officer  
Office of Audit and Advisory Services

#### **Summary**

At the January 2016 meeting of the Committee on Audit, a status report for the implementation of the recommendations put forth in the quality assurance review of the Office of Audit and Advisory Services (OAAS) was presented. The report showed that five of the seven recommendations for enhancement had been completed. This status report shows that the two remaining recommendations have been closed.

It should also be noted that as a result of the quality assurance review, the OAAS has increased advisory services resulting in the reduction/avoidance of potential risks, improvements to operations, and enhanced awareness or risk, control and compliance issues; implemented a more risk based focus looking for efficiency and effectiveness; and improved communication with campuses. To go further, a plan has been developed that will be put in place in 2017. The plan for functional and organizational changes improves the capacity of OAAS to identify risk in a more targeted fashion, provide advisory services, and implement an alternative approach to audits of auxiliary organizations.

#### **Quality Assurance Review Observations and Recommendations #2 and #4**

##### Observation #2

Some of the campuses have internal audit positions that organizationally report to campus presidents or finance officers rather than the vice chancellor and chief audit officer (VCCAO). These positions do not have a reporting line to the VCCAO. The campus auditors are also responsible for matters other than traditional internal auditing, and they do not follow all auditing standards. As a result of the current structure, ambiguity of the roles and duplication of efforts can occur, and the VCCAO may not be aware of issues and risks occurring at the campus level.

Recommendation #2

The current organization structure should be reviewed to determine if a reporting relationship should be established between campus auditors and the VCCAO in order to strengthen the effectiveness of the audit function and provide increased assurance to the chancellor and the Board of Trustees that significant risks of the system are sufficiently understood and assessed and are receiving appropriate audit coverage.

Status for Recommendation #2

This recommendation is closed. OAAS management has developed a new organization structure that sufficiently addresses quality assurance review team concerns. This organization structure will be put in place in 2017.

Observation #4

Currently, the annual audit risk assessment process for performing the campus audits consists of meeting with the executive vice chancellors/vice chancellors to obtain their input on risks in their areas and for the system; sending a quantitative survey to the assistant vice chancellors and any others that the executives indicated should be included in the risk assessment process; and meeting with the audit committee chair to discuss systemwide risks and concerns. At the campus level, input is gained via the use of an audit universe/questionnaire and a supplemental survey that is sent to the campus presidents for distribution to their vice presidents.

While input is gained from high-level managers, not all managers and staff within the enterprise are involved. After the input is received, the results are reviewed by OAAS senior management including the VCCAO, and the audit subjects are selected and presented to the audit committee and the Board of Trustees. Using factors such as campus risk rankings, the collective knowledge of the OAAS senior directors and the VCCAO, and the VCCAO's own judgment of risks after consideration of input from senior and executive management and the audit committee chair, an audit plan is prepared.

In developing the annual audit plan, a large percentage of audit resources are utilized on auxiliary enterprise audits that are required per a 1999 board policy, Executive Order 698. These audits have been performed on a cyclical basis at all campuses for the past 15 years, and the value of these audits as well as the risks may have changed since the policy began.

Recommendation #4

The current risk assessment and audit planning approach should be re-evaluated.

*Status for Recommendation #4*

This recommendation is closed. The new organization structure, which will be introduced in 2017, sufficiently addresses quality assurance review team concerns with respect to risk assessment and provides an alternative approach to audits of auxiliary organizations.

## **AGENDA**

### **COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

**Meeting: 11:30 a.m., Tuesday, May 24, 2016**  
**Glenn S. Dumke Auditorium**

Steven G. Stepanek, Vice Chair  
Silas H. Abrego, Vice Chair  
Douglas Faigin  
Debra S. Farar  
Hugo N. Morales  
J. Lawrence Norton

#### **Consent Item**

Approval of Minutes of the Meeting of March 8, 2016

#### **Discussion Items**

1. Naming of Terry Atkinson Hall – San Diego State University, *Action*
2. Naming of the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center – California State University, Los Angeles, *Action*

**MINUTES OF THE MEETING OF  
COMMITTEE ON INSTITUTIONAL ADVANCEMENT  
Office of the Chancellor  
Glenn S. Dumke Auditorium  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Steven G. Stepanek, Chair  
Silas H. Abrego, Vice Chair  
Douglas Faigin  
Debra S. Farar  
Margaret Fortune  
Lou Monville, Chair of the Board  
Hugo Morales  
J. Lawrence Norton  
Timothy P. White, Chancellor

Trustee Stepanek called the meeting to order.

**Approval of Minutes**

The minutes of January 27, 2016 were approved on consent.

**Naming of the Ramiro Compean and Lupe Diaz Compean Student Union – San José State University**

Mr. Garrett Ashley, vice chancellor for university relations and advancement, reported that the proposed naming recognizes the \$14 million commitment by Lupe Compean. The gift will be used to create four endowment funds to support initiatives that foster student success and increase retention and graduation rates; provide scholarships to support emancipated foster youth and high performing students; enhance student union programming; and sustain student union operations.

The committee unanimously approved the proposed resolution (RIA 03-16-02) that the Student Union Building at San José State University be named the Ramiro Compean and Lupe Diaz Compean Student Union.

**Naming of Bob Bennett Stadium – California State University, Fresno**

Mr. Ashley reported that the proposed naming of the facility recognizes Bob Bennett, the winningest baseball coach in Fresno State history with a record 1,302 wins. Under Coach



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Bennett's leadership, Fresno State baseball consistently led the nation in game attendance, and in 1983, he spearheaded the campaign to raise \$2.2 million to refurbish Beiden Field.

The committee unanimously approved the proposed resolution (RIA 03-16-03) that the Baseball Stadium in the Department of Intercollegiate Athletics at California State University, Fresno be named the Bob Bennett Stadium.

### **Naming of the John D. Welty Center for Educational Policy and Leadership – California State University, Fresno**

Mr. Ashley reported that the proposed naming recognizes the contributions of several donors – totaling \$1.1 million – to Fresno State's Central Valley Educational Leadership Institute in honor of Dr. Welty. The gift will be used to establish a permanent endowment to develop the center into a national model for policy, leadership training and professional development. The establishment of the John D. Welty Center for Educational Policy and Leadership will sustain Dr. Welty's efforts to improve the educational and economic condition of the region.

Joseph Castro, president of Fresno State, Trustee Hugo Morales and Chancellor Timothy P. White thanked Dr. Welty for two decades of service as president of Fresno State, his leadership on higher education issues and his advocacy for the communities of the San Joaquin Valley.

The committee unanimously approved the proposed resolution (RIA 03-16-04) that the Central Valley Educational Leadership Institute at California State University, Fresno, be named as the John D. Welty Center for Educational Policy and Leadership.

Trustee Stepanek adjourned the meeting.

## COMMITTEE ON INSTITUTIONAL ADVANCEMENT

### **Naming of Terry Atkinson Hall – San Diego State University**

#### **Presentation By**

Garrett P. Ashley  
Vice Chancellor  
University Relations and Advancement

#### **Summary**

This item will consider naming the Geography Annex at San Diego State University as Terry Atkinson Hall.

This proposal, submitted by San Diego State University, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Facilities and Properties including approval by the system review panel and the campus academic senate.

#### **Background**

The proposed naming recognizes more than \$3 million in contributions by Campanile Foundation Board member Terry Atkinson. In addition to Mr. Atkinson's philanthropy in the areas of institutional research, athletics, the SDSU College of Business and faculty recruitment and retention, he has been an exemplary volunteer. As chair of San Diego State University's Bay Area Regional Council, he has personally solicited scores of alumni to contribute to SDSU's first-ever comprehensive campaign. As chair of the Campanile Foundation Finance Committee, Mr. Atkinson has also solicited all of the Campanile Foundation Board members to contribute to the Campanile Foundation Endowed Chair, which yielded more than \$2 million in additional contributions.

In December 2015, the development staff of San Diego State relocated to the Geography Annex, where 40 staff members utilize the entire building. Terry Atkinson Hall will serve as a daily reminder to the development staff as to the importance of stewarding exemplary volunteers and donors.

#### **Recommended Action**

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that the Geography Annex at San Diego State University be named Terry Atkinson Hall.

## **COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

### **Naming of the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center – California State University, Los Angeles**

#### **Presentation By**

Garrett P. Ashley  
Vice Chancellor  
University Relations and Advancement

#### **Summary**

This item will consider a proposal to name the College of Health and Human Services and the Bioscience Innovation Center at California State University, Los Angeles, as the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center.

This proposal, submitted by the California State University, Los Angeles, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Academic Entities, and the Board of Trustees Policy on Naming California State University Facilities and Properties, including approval by the system review panel and the campus academic senate.

#### **Background**

The proposed naming recognizes the \$10 million commitment by Dr. Li Li and Mr. Kevin Xu in memory of Dr. Rongxiang Xu.

The College of Health and Human Services at Cal State LA is the one of the university's six colleges and includes a wide diversity of majors. The college includes four schools—the School of Nursing, the School of Criminal Justice and Criminalistics, the School of Social Work and the School of Kinesiology and Nutritional Science. In addition, there are three departments - Public Health, Communication Disorders, and Child and Family Studies.

Cal State LA has become a leader in business incubation in the biosciences, a sector identified as a beacon for economic growth in the region by leading governmental and business groups. Cal State LA's Bioscience Innovation Center is a new sector of the university that will significantly serve and enhance the College of Health and Human Services and be a model for the county and region.

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Dr. Rongxiang Xu was a scientist, surgeon, inventor and entrepreneur whose advances in burn therapy continue to benefit countless patients. His research in tissue repair and innovations resulted in several patents in the United States and the founding of MEBO International, a multinational company.

Dr. Rongxiang Xu's widow, Dr. Li Li, and son, Mr. Kevin Xu, are committed to carrying out Dr. Rongxiang Xu's legacy. Kevin Xu is the Chief Operating Officer of MEBO International and the CEO of Skingenix, a California-based company exclusively working on drug development in the field of damaged organ regeneration. Kevin Xu is a member of the Clinton Global Initiative.

### **Recommended Action**

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that the College of Health and Human Services at California State University, Los Angeles be named the Rongxiang Xu College of Health and Human Services, and that the Bioscience Innovation Center at California State University, Los Angeles be named the Rongxiang Xu Bioscience Innovation Center.

## **AGENDA**

### **COMMITTEE ON EDUCATIONAL POLICY**

**Meeting: 1:00 p.m., Tuesday, May 24, 2016**  
**Glenn S. Dumke Auditorium**

Debra S. Farar, Chair  
Silas H. Abrego  
Kelsey M. Brewer  
Rebecca D. Eisen  
Douglas Faigin  
Lupe C. Garcia  
Lillian Kimbell  
J. Lawrence Norton  
Steven G. Stepanek

#### **Consent Item**

Approval of Minutes of the Meeting of March 8, 2016

#### **Discussion Items**

1. Four-Year Graduation, Bottleneck Courses and Super Seniors, *Information*
2. California State University Success at National Model United Nations, *Information*

**MINUTES OF MEETING OF  
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Debra S. Farar, Chair  
Margaret Fortune, Vice Chair  
Silas H. Abrego  
Kelsey Brewer  
Rebecca D. Eisen  
Douglas Faigin  
Lupe C. Garcia  
Lou Monville, Chair of the Board  
Lillian Kimbell  
J. Lawrence Norton  
Steven G. Stepanek  
Timothy P. White, Chancellor

Trustee Farar called the meeting to order.

**Approval of Minutes**

The minutes of January 26, 2016 were approved as submitted.

**Academic Planning**

With the concurrence of the committee, Trustee Farar presented the annual report as a consent action item. The committee unanimously recommended approval of the proposed resolution **(REP 03-16-02)**.

**Academic Preparation**

Dr. Loren Blanchard, executive vice chancellor for academic and student affairs introduced the information item noting the importance of student preparation long before their collegiate studies begin in the California State University (CSU). Dr. Edward Sullivan, assistant vice chancellor, academic research and resources and Caroline Cardenas, director, outreach and early assessment, presented a PowerPoint that highlighted multiple pathways provided by the CSU, such as the Early Assessment and Early Start Programs that help better prepare students in K-12 for the rigor of college studies. Dr. Sullivan presented academic preparation trends from 2011-2015 that confirmed the value of these programs as the CSU continues to admit and enroll some of the largest and best prepared freshman classes to date in the CSU.

### **Commission on the Extended Education**

Dr. Loren Blanchard, executive vice chancellor for academic and student affairs introduced the biennial report acknowledging the extensive work being done by the commission. Dr. Sheila Thomas, assistant vice chancellor, self-support strategies and partnerships, along with Dr. Karen Thomas, president at California State University San Marcos, provided an overview of extended education in the CSU in preparing students for in-demand jobs and addressing state work force needs. Extended education has long been a leader in online education, offering 86 online and hybrid programs, enrolling over 4,800 students and producing over 3,000 graduates in 2013-2014. Mr. Anthony Meza, a student at CSU Fresno, concluded the presentation sharing with the board his experience completing the Veteran's Education Program. He highlighted the success he has been able to achieve given this opportunity through Extended Education and the program's ability to meet his needs as a non-traditional student.

### **Middle College High School**

Dr. Loren Blanchard, executive vice chancellor for academic and student affairs introduced the information item and thanked Trustee Margaret Fortune for her years of service to the CSU and as an important educational leader.

Trustee Fortune highlighted the emerging partnership models bringing together K-12 schools and community colleges through Middle College or Early College high schools. These schools allow students to simultaneously graduate with a high school diploma and college credits through concurrent enrollment. Serving at-risk students and stressing high expectations with goals of going to college, the Fortune School is a model school in ensuring student success and a well-prepared pipeline to higher education. Trustee Fortune introduced partners of the Fortune School including Whitney Yamamura, vice president for instruction and student learning at Consumnes River College and Cal Poly San Luis Obispo President Jeff Armstrong, to share perspectives on their collaboration with Fortune Schools and increasing that pathway towards higher education for underrepresented students in the region.

Trustee Farar adjourned the Committee on Educational Policy.

## **COMMITTEE ON EDUCATIONAL POLICY**

### **Four-Year Graduation, Bottleneck Courses and Super Seniors**

#### **Presentation By**

Loren J. Blanchard  
Executive Vice Chancellor  
Academic and Student Affairs

Gerry Hanley  
Assistant Vice Chancellor  
Academic Technology Services

Ed Sullivan  
Assistant Vice Chancellor  
Academic Resources and Research

#### **Background**

The California State University (CSU) engages in continuous efforts to improve the educational success of a broadly diverse and historically underserved student population. At the baccalaureate level, improvement strategies include facilitating four-year degree completion, resolving bottleneck courses that impede degree completion, and making graduation a reality for “super seniors” who continue to enroll for more than 150 semester units (120 units are required to complete most bachelor’s degrees). CSU student-success strategies must take place within the context of the California Master Plan for Higher Education (Donahoe Higher Education Act), which is reflected in our institutional mission. If the CSU were only to educate the best-prepared students and those most likely to graduate in four years, the CSU would fail to uphold our mission. Instead, the CSU empowers students to achieve academically and graduate in as timely and effective a manner possible with readiness to meet their career goals.

We are proud of our traditional and non-traditional students, including working students, those with family responsibilities, those who are active and retired service members, students who are the first generation in their families to attend college, and those who speak English as a second language. For these students the CSU serves as a gateway to education and a significant opportunity for communities that in the past were excluded from traditional higher education. Fifty-four percent of CSU fall 2015 entering freshmen are among the first generation of their family to seek a bachelor’s degree, and 33 percent are among the first generation of their families to attend college at all.



Investigations into student achievement and graduation success identify much about the student factors contributing to degree completion. *The CSU Undergraduate Outcomes Report: Graduation Rates, Persistence Rates, and Analysis of Factors Related to Outcomes* (2016) reveals that students who enter college ready in mathematics and English are more likely to graduate in four years or sooner, compared to peers needing additional preparation in English or mathematics. The report shows, similarly, that students from the upper quartile of the CSU eligibility index are more likely to graduate in four years or sooner. Across levels of college readiness and income status, predictive models indicate that students who do not enroll in at least 15 units per term for the first two years are less likely to complete a bachelor's degree in four years or less. Additionally, combinations of characteristics are associated with the likelihood that a student will complete a bachelor's degree in four years or less. For example, a CSU freshman who is college ready at entry and has a high GPA from high school, does not receive a Pell Grant, and enrolls in 30 units in the first two years, will have more than a 20 percentage-point higher predicted probability of graduating in four years than a student lacking all of these combined characteristics.

Within the commitments of the CSU mission, we accept that our population of students bring some characteristics identified in the "Outcomes Report" as being associated with longer than a four-year graduation window. Beyond these student characteristics, there are variables the university can control. The CSU therefore continues institutional efforts to improve student success and degree-completion rates.

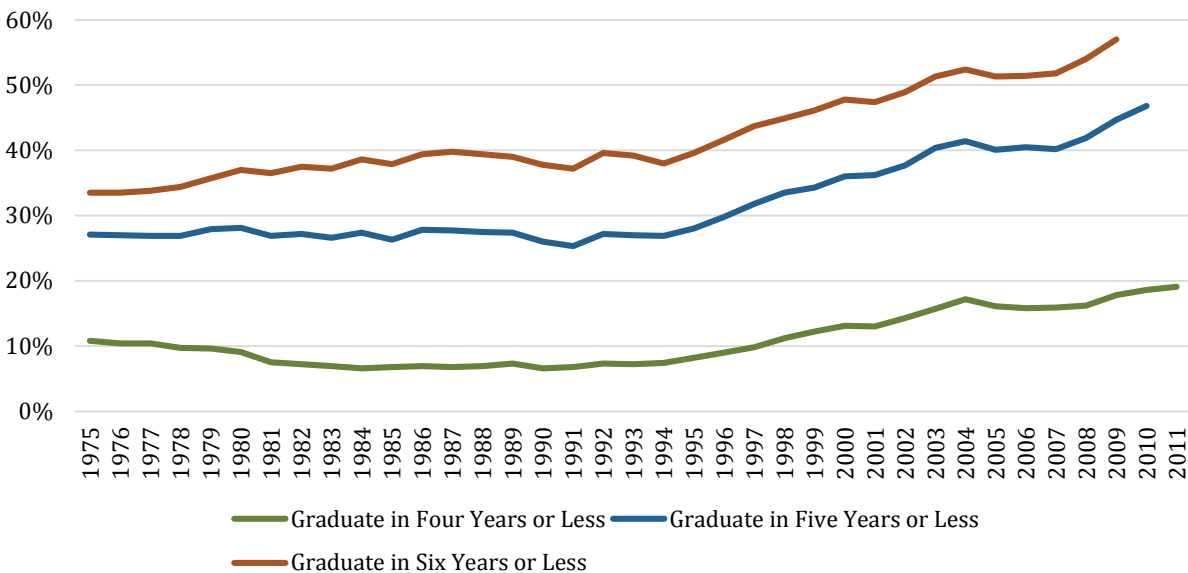
### **Four-Year Graduation**

Two educational commitments come together as we work, institutionally, to improve graduation rates: Access must be maintained, and academic standards must remain rigorous. As we have worked with the board on several improvement initiatives, we have seen improved graduation rates over time, even while the entering class size has increased. For example, 17.8 percent of the fall 2009 first-time full-time cohort completed their degrees in four years or less. (In spring 2015, this became the most recent cohort reported to the Integrated Postsecondary Education Data System (IPEDS.) Just two years later there was a 7.3 percent improvement, with 19.1 percent of the fall 2011 cohort graduating in four years or less. Data for the 2011 cohort will be reported to IPEDS in spring 2018.

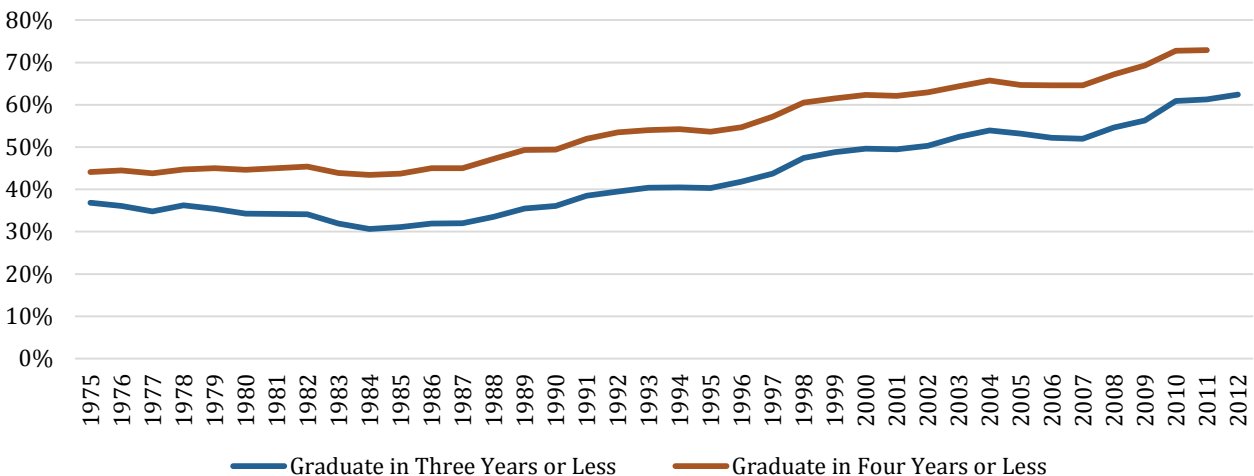
The proportion of improvement may not show the more dramatic real impact on campuses and students. Our entering freshmen class is now more than 65,000 students. For every one percentage point improvement in the four-year graduation rate, an additional 650 students enter the workforce sooner. This magnitude of improvement in graduation rates and the associated growing entering cohorts result in an appreciably larger educated workforce for California.

CSU first-time full-time freshman graduation rates and California Community College transfers graduation rates have improved greatly over the past four decades (see Figures 1 and 2). Current graduation rates stand at all-time highs for the system and reflect the purposeful collaborative efforts of our campus faculty, staff, and student and administrative leadership.

**Figure 1. CSU Graduation Rates for First-Time Full-Time Freshmen by Cohort Entry Year: Fall 1975 through Fall 2011 Cohorts.**



**Figure 2. CSU Graduation Rates for California Community College Transfer Students by Cohort Entry Year: Fall 1975 through Fall 2012 Cohorts.**



As these figures illustrate, graduation rates have improved at the initial windows of four years for first-time freshmen and three years for transfer students. The improvements attain also for first-time freshmen at the five-year and six-year windows; and improvement in the time to graduation is also echoed at the four-year mark for community college transfer students. Continuing this upward trend for all students requires sustained efforts to improve academic preparation, academic support, advisement and course availability. As the university carries out its institutional strategies, improved rates will increasingly require improved student readiness for success at entry, continued student academic achievement, and more efficient student enrollment choices.

### **Bottleneck Courses**

Resolving “bottleneck courses” is one institutional strategy for improving degree-completion rates. Bottleneck courses were first understood to be those undergraduate classes that students are required to take in order to graduate, but that students cannot easily enroll in because the courses are not offered every term. The bottleneck topic first came before the board in May 2013, at which time the phenomenon was defined simply as courses that were not offered, thereby impeding student progress. Department chairs used that definition to identify bottlenecks in their departments. Subsequent to that initial inquiry, further analysis pointed to additional factors contributing to slowdowns in the graduation pipeline. For 2015-2016, bottlenecks are defined as not just whether or not a course is offered, but the definition now includes courses for which enrollment demands are greater than the supply of seats. Course waitlists are taken into account, for the first time, to gauge student demand. This provides campuses a new avenue for identifying and resolving impediments to timely student progress to graduation.

In summer 2013, the initial Chancellor’s Office bottlenecks survey asked CSU department chairs to identify enrollment bottlenecks caused by their courses not being offered. The specific definition of enrollment bottleneck courses used in 2013 was:

- A course students are required to take in order to earn a bachelor’s degree in a timely manner (4 to 6 years) but the course could not be offered during the 2012-2013 academic year; and
- Not offering the courses would likely cause undergraduate course-sequencing problems for students, possibly causing graduation to be delayed; and
- The responses could include undergraduate classes required in the major, prerequisite courses required outside of the department, and general education courses taught in the department.

That 2013 survey identified 1,438 bottleneck courses. To measure progress in mitigating the bottleneck problem, a 2015-2016 follow-up survey asked each campus to apply the same criteria

to the same list of bottleneck courses identified in 2012-2013. Campuses reported in 2015-2016 that bottlenecks had been resolved in two ways: (1) departments offered 1,388 (97 percent) of the previously identified bottleneck courses; and (2) for the remaining 50 courses identified as bottlenecks (3 percent), students were given alternative course pathways to complete degree requirements without delaying graduation. In summary, the enrollment bottlenecks identified by CSU department chairs in 2012-2013 were eliminated by 2015-2016, either by offering the course or by allowing students to substitute an alternative course to satisfy the degree requirement. The impressive outcome of eliminating those identified bottlenecks is a result of every campus focusing on allocating resources, hiring faculty, and planning course schedules to better meet the needs of their students.

Continued efforts to ensure timely graduation identified that a different kind of bottleneck existed: the slowdown caused when a course is offered but not enough seats are available to meet enrollment demands. The criteria for defining bottlenecks was therefore recast. In the 2015-2016 academic year, campuses were asked to identify bottleneck courses, using the following modified definition:

- The undergraduate course is required for a student to earn a degree; and
- The course was not offered in the 2015-2016 academic year, or student demand for the course far exceeded enrollment capacity (the wait list was 50 percent or more of the enrollment capacity); and
- Inability to enroll in the course would create for students a course-sequencing problem that would delay the expected graduation date, and there were no alternative courses that would keep the student on track for degree completion.

The waitlist percentage merely signals a potential problem but does not represent the real demand for a course. Demand is obscured when students sign up for more than one course waitlist at a time, hoping to increase the chances of getting into at least one of the waitlisted courses. Based on historical enrollment behaviors, it is reasonable to assume that one half of the waitlist represents duplicated demand. For this reason, a waitlist demand representing 25 percent of enrollment capacity is considered a reasonable criterion for determining bottleneck status. For example, a course with a 40-student enrollment limit and a non-duplicated waitlist of 10 students would be defined as a bottleneck course.

Using the newly refined 2015-2016 criteria (including the waitlist assumptions of student demand), 294 bottleneck courses were identified across the 23 campuses, out of the 38,598 undergraduate state-supported courses offered during this academic year. In other words, less than 1 percent of the undergraduate state-supported courses offered during the 2015-2016 academic year were enrollment bottlenecks impeding student progress. This pro-student

achievement is a result of every campus focusing on efficiently allocating resources, strategically hiring faculty, and tactically planning course schedules to better meet student needs.

Campuses continue working to eliminate enrollment bottlenecks wherever possible. For the less than 1 percent bottlenecks that did occur, campuses identified these challenges:

- Difficulty recruiting faculty with expertise available to teach courses in specific disciplines (such as business, nursing, and engineering, among others);
- Insufficient budget available to hire qualified faculty at the salaries appropriate to those qualifications;
- Time and day scheduling constraints, including insufficient scheduling options;
- Facilities or seating capacity insufficient to meet the student demand; or
- High course failure rates, resulting in students repeating the course;

In summary, CSU campuses have made significant progress in virtually eliminating enrollment bottlenecks, no matter which definition is used. Still, further identification and elimination efforts continue. When bottlenecks are identified, resolution efforts can be undertaken. However, total resolution can be complicated by constrained funding, availability of faculty with the required expertise, student work and school schedules, degree sequencing requirements, student academic preparation for successfully completing required courses, population size in majors, campus-wide demand for general education courses, and limited facilities or other resources. More comprehensive, continued institutional attention to student needs helps to balance these challenges. For example, identified bottleneck courses are being redesigned to improve students' successful completion, while faculty maintain or strengthen academic rigor. Access to high-demand courses is increased through concurrent enrollment across the system. Improved advising and e-advising tools enable students to make better choices of majors and courses that facilitate degree completion in a timely manner; and improved advising helps students and the university ensure that students are not enrolled longer than they need to be for degree completion.

### **Super-Seniors**

The majority of CSU undergraduate degrees require no more than 120 units to complete. Enrollment pressures are exacerbated when students earn significantly more units than are required to complete a degree, but do not graduate. In an efficient pipeline, admitting new, qualified applicants requires timely graduation of matriculated students. This was underscored in our investigation of "super seniors"—those students who have earned 150 CSU semester units (or 75 units in residents for transfer students) without graduating. Prior discussions with the Board (July 2009, May 2012, July 2012, and September 2012) focused on the decreased course availability caused by severe budget reductions, and also highlighted the enrollments that open up when super seniors complete their degrees in a timely manner. Fall 2009 data indicate 9.7

percent of students with senior-level standing meeting the “super senior” definition. Fall 2009 super seniors represent 10,397 full-time equivalent students (FTES) and account for 2.9 percent of all fall 2009 undergraduate 358,662 FTES. Because the CSU enrolls a large population of part-time students, a single methodology is needed to measure the total credit enrollment of all part-time and full-time students. FTES is a representational measurement used to identify total student course-credit load across the system, per term. One FTES is equal to 15 semester or quarter units per term, regardless of whether the students taking courses were part-time or full-time enrolled. FTES is reached by dividing by 15 the total number of semester enrolled each term.

Resulting from campus improvement efforts, the fall 2015 super senior numbers dropped by 32 percent, to 6.6 percent of seniors (see Table 1). Fall 2015 super seniors represented 8,086 FTES (2 percent) of the total 405,928 FTES undergraduates enrolled. The fall trends for super senior enrollment are shared in Table 1.

An investigation of super senior performance shows the rates at which super seniors complete their degrees. Fall 2009 statistics show that 29.5 percent of super seniors completed their degrees by the end of that term. Six years later there had been an 18.6 percent improvement in fall super seniors completing their degree requirements, with 35 percent of fall 2015 super seniors earning their degrees at the end of that term.

**Table 1. Super Senior Fall Trend Data, by Headcount and FTES.**

Term	Super Senior headcount	Super Senior FTES attempted	% of Seniors that were Super Seniors
fall 2009	12,939	10,397	9.7%
fall 2010	12,440	10,015	9.3%
fall 2011	11,940	9,702	8.8%
fall 2012	11,291	9,040	7.8%
fall 2013	11,271	9,097	7.7%
fall 2014	10,686	8,624	7.2%
fall 2015	10,064	8,086	6.6%

Moving beyond the fall-term comparisons, the most recent data for super senior progress, year-to-year, is fall 2014 through fall 2015. Data show that 80.5 percent of fall 2014 super seniors (8,601 students) have earned the degree by the following fall term (see Table 2). An additional 9.3 percent (995 students) continued to be enrolled in spring 2016, having not yet earned degree, and just over 10 percent (1,090 students) have neither graduated nor continued their enrollment.

**Table 2. Outcomes for Fall 2014 Super Seniors, by Headcount.**

Super Seniors Enrolled fall 2014	<i>Earned degree at end of fall 2014</i>	<i>Earned degree at end of winter 2015</i>	<i>Earned degree at end of spring 2015</i>	<i>Earned degree at end of summer 2015</i>	<i>Earned Degree at end of fall 2015</i>	Earned degree by end of fall 2015	Enrolled spring 2016, Not graduated through fall 2015	Not Enrolled, Not graduated through fall 2015
10,686	3,678	309	3,337	502	775	8,601	995	1,090
	34.4%	2.9%	31.2%	4.7%	7.3%	80.5%	9.3%	10.2%

As illustrated by historical data, in recent years campuses have made significant improvements in facilitating degree completion for super seniors. These efforts have been purposeful. Most campuses use intrusive advisement practices, based on units required in degree programs, to develop graduation plans or contracts that provide clear student pathways to degree completion. Efforts to reduce the super senior phenomenon include strictly limiting enrollment only to courses required for degree completion; and some campuses have established unit thresholds beyond which a change of major are strictly monitored—and in some cases not allowed—if the new major degree program could not be completed in a reasonable period. Additionally, campuses have also reduced most academic programs of study to 120 semester units (or the quarter hour equivalent). By 2015, systemwide efforts to reduce degree requirements resulted in 94 percent of all B.A. and B.S. degree programs requiring no more than 120 semester units for graduation.

The cumulative effect of campus efforts are reflected in the data shown in Table 1 and Table 2. In greater numbers over time, CSU super seniors are for the most part completing their bachelor degrees and over time represent a smaller proportion of undergraduate students and seniors, specifically. Campuses continue working to reduce the numbers of super seniors, knowing that every senior who graduates creates an enrollment opportunity for another student to earn a CSU degree.

**Summary**

The CSU is committed to ensuring that students have authentic opportunities to attain their educational goals and earn degrees in a timely manner. This item provides data showing that over time CSU students are graduating at higher rates within the 4-year, 5-year, and 6-year schedules. Contributing to these improvements are efforts aimed at mitigating enrollment bottlenecks, as well as reducing the number of students with super senior status. The 2012-2013 enrollment bottleneck courses (identified in a survey of department chairs) were eliminated in

the academic year 2015-2016. Looking deeper into that issue, we used a more sophisticated set of criteria to identify bottlenecks in 2015-2016 and still found that most CSU campuses have significantly reduced enrollment bottlenecks. Finally, CSU campuses are making significant progress in reducing the number of super seniors, thereby creating access for new eligible applicants. All three sections of this item indicate that the CSU continues to expand access and support for students wishing to complete their high-quality, rigorous degrees in a more timely manner.



## **COMMITTEE ON EDUCATIONAL POLICY**

### **California State University Success at the National Model United Nations**

#### **Presentation By**

Lou Monville  
Chair  
California State University Board of Trustees

Tomás Morales  
President  
California State University, San Bernardino

#### **Summary**

Each year, students from California State University (CSU) campuses join more than 6,000 students from 400 colleges and universities in the National Model United Nations (NMUN) diplomacy simulation conference. Following months of study, research, and preparation, students acting as teams of “delegates” from assigned countries, serve on committees that engage in diplomacy, caucus, negotiation, and high-level resolution and report writing. These interactions simulate what regularly occurs in the actual United Nations (UN). Over months of research and pre-conference preparation, students develop expertise in their assigned countries and in international relations topics such as global security, human rights, economic development and public health. To aid their research, student delegates are given access to official UN documents and the UN Research Database. During the conference, students broaden their world view while they sharpen their critical thinking and communication skills by engaging in committee work with diverse groups of conference delegates. At the conclusion of the conference, the best performing delegates and delegations are recognized with team and individual awards.

Pre-conference studies and conference participation provide students with a life-changing experience of immersive, intensive learning that takes place among a highly diverse group of students from around the world. The 2016 conference participants self-identified as 55 percent international, 22 percent U.S. White, 6 percent U.S. Latino, 4 percent U.S. Black or African American, 4 percent Asian Pacific Islander and 4 percent multi-racial or other. NMUN includes many of the “high-impact practices” that national researchers report increase student retention and improve student engagement and success. High-impact practices experienced at NMUN conferences include: learning communities, writing-intensive courses, collaborative assignments and projects, diversity, global learning, and undergraduate research.

NMUN began in 1927 as the Model League of Nations and was reformatted after the formation of the United Nations in 1946. Through much of NMUN's history, and particularly in the last 20 years, the CSU system has brought the largest contingent of student participants. Two CSU campuses—California State University, San Bernardino and California State Polytechnic University, Pomona—are among the longest-participating universities at NMUN. CSU San Bernardino is among the top three NMUN award-winning universities and has earned 18 Outstanding Delegation Awards. CSU San Bernardino teams have represented a variety of countries, including Bangladesh, Egypt, India, Iraq, Malaysia, the Philippines, Saudi Arabia, Turkey, and Uzbekistan, among others. California State Polytechnic University, Pomona has been a frequent award-winner, as well, including an unbroken 12-year stretch from 1998 to 2009. Countries represented include Czechoslovakia, Djibouti, Palestine, Poland, Syria, Tunisia, Vietnam, and Yugoslavia, among others. This year, NMUN honors were bestowed on these CSU campuses for their work representing the following assigned countries:

#### **Outstanding Delegation**

- California State University, Chico—Pakistan
- California State University, Fullerton—Togo
- California State University, Sacramento—Oxfam

#### **Distinguished Delegation**

- California State University, Long Beach—Dominica
- California State University, Northridge—Burundi
- California State Polytechnic University, Pomona—Mexico
- California State University, San Bernardino—South Africa

#### **Honorable Mention Delegation**

- California State University, Los Angeles—Colombia
- Humboldt State University—Lao People's Democratic Republic
- San Francisco State University—Slovenia
- Sonoma State University—Ireland

#### **Outstanding Position Papers in Committee**

- California State University, Chico—Pakistan
- California State University, Fullerton—Togo
- California State University, Los Angeles—Colombia
- California State Polytechnic University, Pomona—Mexico
- California State University, Sacramento—Oxfam
- California State University, San Bernardino—South Africa

Hundreds of CSU alumni of NMUN programs have pursued careers in private, educational and non-profit sectors, at the White House and for the United Nations, and for many government agencies, including the California State University, the Central Intelligence Agency, the Federal Bureau of Investigation, State of California, the U.S. Department of Defense, the U.S. Department of State, the U.S. Government Accountability Office, and the U.S. Institute of Peace, among others. CSU alumni with NMUN experience have been elected to public offices, including in Sacramento and Washington, D.C. The success of CSU students in such diverse professional settings suggests that what is learned through NMUN conferences extends far beyond the college years and contributes to a tradition of CSU alumni in service to their communities, the nation and the world.

## AGENDA

### COMMITTEE ON FINANCE

**Meeting:** 2:30 p.m., Tuesday, May 24, 2016  
Glenn S. Dumke Auditorium

Adam Day, Chair  
Peter J. Taylor, Vice Chair  
Silas H. Abrego  
Kelsey M. Brewer  
Rebecca D. Eisen  
Douglas Faigin  
Debra S. Farar  
Lupe C. Garcia  
Lillian Kimbell

#### **Consent Item**

Approval of Minutes of the Meeting of March 8, 2016

#### **Discussion Items**

1. Final Approval of the California State University, Channel Islands Site Authority Apartment Sales Project, *Action*
2. Conceptual Approval of a Public-Public Partnership with The Panetta Institute for Public Policy at California State University, Monterey Bay, *Action*
3. Report on the 2016-2017 Support Budget, *Information*

**MINUTES OF THE MEETING OF  
COMMITTEE ON FINANCE**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 8, 2016**

**Members Present**

Adam Day, Chair  
Peter J. Taylor, Vice Chair  
Silas H. Abrego  
Kelsey Brewer  
Rebecca D. Eisen  
Douglas Faigin  
Debra S. Farar  
Margaret Fortune  
Lupe Garcia  
Lillian Kimbell  
Timothy P. White, Chancellor  
Lou Monville, Chair of the Board

Trustee Adam Day called the meeting to order.

**Public Comments**

There were five public speakers. Gloria Juarez, a member of Students for a Quality Education, expressed support for faculty raises and free public college education; CSU Long Beach student Courtney Yamagiwa read a list of student demands related to affordability, availability of financial aid, faculty raises, diversity of faculty, and promotion of ethnic studies and arts; Molly Talcott, CFA Secretary, expressed support for free, quality higher education; Cecil Canton, CFA Associate Vice President, Affirmative Action, expressed concern for number of tenure-track faculty hires and increasing tuition; and Jennifer Eagan, CFA President, expressed concern on suppression of free speech and assembly and the use of non-union services, vendors, and contractors.

**Approval of Minutes**

The minutes of the January 26, 2016 meeting were approved as submitted.

**Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for a Project at California State University, San Bernardino**

Trustee Day presented agenda item 2 as a consent action item. The committee recommended approval of the proposed resolution (**RFIN 03-16-01**).

**Conceptual Approval of a Public-Private Partnership Mixed Use Development Project at California State University, Fullerton**

Mr. Steve Relyea, Executive Vice Chancellor and Chief Financial Officer, introduced the item and described some of the benefits of using public-private partnerships.

President Mildred Garcia presented the public-private partnership plan for the College Park South Development, a mixed-use project on auxiliary-owned property adjacent to the California State University, Fullerton campus and requested approval to proceed with the concept development of the project. Mr. Robert Eaton, Assistant Vice Chancellor for Financing, Treasury, and Risk Management, provided details on the development site and funding.

In response to Trustee Lupe Garcia, Mr. Eaton confirmed that key terms of the project, including contractual terms, will be presented to the board at a later date for final approval.

Trustee Faigin requested clarification on funding for the project. Mr. Eaton stated that all of the costs of financing and construction are born by the developer. Both Trustee Faigin and Trustee Day complimented President Garcia on the innovative approach of this proposed development.

Trustee Day requested confirmation that the developer of the project will be selected through a public competitive bid process and inquired on who owns the land. Mr. Eaton confirmed that there will be a competitive process and that the property is owned by the auxiliary.

The committee recommended Conceptual Approval of Public/Private Partnership Mixed Use Development Project at California State University, Fullerton (**RFIN-03-16-02**).

**Conceptual Approval of a Public-Private Partnership Faculty/Staff Housing Development Project at California Polytechnic State University, San Luis Obispo**

President Jeffrey Armstrong described the proposed plan for a privately financed, constructed, and maintained housing project at Cal Poly San Luis Obispo and requested approval to proceed with concept development. Mr. Eaton provided additional information on the project site, location, lease terms, and funding.

Trustee Hugo Morales commended President Armstrong for reaching out to the community regarding the project. President Armstrong noted that the project has strong support from the majority of City Council members.

Trustee Peter Taylor shared his support for workforce housing and inquired about the project's proposed timeline. Mr. Dru Zachmeyer, Assistant Vice President of Strategic Business Support Services at Cal Poly San Luis Obispo stated that if approved, the RFP/RFQs will be issued at the end of March 2016, with an anticipated project completion of 2019.

Trustee Lateefah Simon inquired about the affordability of the rental units. Mr. Zachmeyer reiterated that rates will be market-driven and the project provides a rental apartments designed for faculty and staff that do not currently exist today.

The committee recommended Conceptual Approval of Public/Private Partnership Faculty/Staff Housing Development Project at California Polytechnic State University, San Luis Obispo **(RFIN-03-16-03)**.

### **Conceptual Approval of a Public-Private Partnership for the Junior Giants Urban Youth Academy at San Francisco State University**

President Les Wong presented a proposal for San Francisco State University to partner with the San Francisco Giants Community Fund and Major League Baseball to establish the Junior Giants Urban Youth Academy on the San Francisco State campus. Mr. Robert Eaton provided additional information on the project and outlined additional information San Francisco State will provide to the Chancellor's Office.

Trustee Day thanked President Wong for the creativity and innovation of this project and for serving the needs of the community.

Trustee Garcia requested further clarification on costs incurred by the campus. Mr. Eaton responded that the campus will incur upfront and on-going costs for maintenance and utilities, but the campus will be reimbursed for those costs from the rent collected through the agreements with the San Francisco Giants Community Funds.

The committee recommended Conceptual Approval of a Public-Private Partnership for the Junior Giants Urban Youth Academy at San Francisco State University **(RFIN-03-16-04)**.

### **Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments to Refinance and Restructure State Public Works Board Debt**

Mr. Eaton summarized the basic goal of refinancing and restructuring the CSU's State Public Works Board bond debt with the CSU Systemwide Revenue Bond debt.

Trustee Day commented that this is a complex item and commended the staff on their proposal to capture \$200M to \$350M in cash flow savings over a ten-year period which would be used to fund capital projects and critical deferred maintenance on all the campuses.

In response to Trustee Faigin's request for further clarification about the payment of fees to issue the new bonds, Trustee Taylor explained that the CSU will be issuing new debt to replace existing

debt and Mr. Robert Eaton confirmed that all the upfront transaction fees have been factored in the savings projections. Trustee Lillian Kimbell requested clarification about higher debt service payments in the later years and Mr. Eaton confirmed that the trade-off of extending the debt is the immediate benefit in the near term of the \$200M to \$350M in cash flow savings over ten years.

Trustee Garcia inquired if the use of cash flow savings is restricted to deferred maintenance. Mr. Relyea responded that the savings are not restricted to deferred maintenance.

The committee recommended Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments to Refinance and Restructure State Public Works Board Debt (**RFIN-03-16-05**).

### **Update on the Sustainable Financial Model Task Force Report**

Executive Vice Chancellor Relyea presented the next steps necessary to implement the various proposals in the Sustainable Financial Task Force Report. He thanked task force members for their significant contributions.

Trustee Day complimented Mr. Relyea for his approach in raising these complicated and controversial issues that need to be addressed.

Trustee Garcia inquired about the ability to provide financial aid to students on a year-round basis and asked that this availability be a factor in evaluating and assessing the CSU's ability to offer year-round classes. Mr. Relyea stated that much of the infrastructure already exists on the campuses to operate on a year-round basis, but incremental funding from the state is still needed to adequately fund financial aid and salaries for faculty and staff for such operations. President Leroy Morishita affirmed that the report recommends extending financial aid to the full year under state-supported summer session.

Trustee Garcia asked about the costs associated with improving administrative systems over a five year period. Mr. Relyea responded that the investment costs for these enhancements will depend on the size and complexity of the systems.

Trustee Taylor appreciated the timelines and milestones that were provided in the update and requested that a copy of the presentation be sent to the board. He also inquired about setting discretionary parameters for the State University Grant as part of the budget allocation process, to which Chancellor Timothy White responded that more modeling and quantitative analysis still needs to be done in this area.

Chair Lou Monville suggested that CSU continue to support strategic procurement. He also suggested that in the future the trustees may want to consider student facilities fees. Chair Monville reminded the board that, in some cases the CSU will need to work with the state legislature prior to being able to implement some task force recommendations.

Trustee Day adjourned the meeting on Finance Committee.



## **COMMITTEE ON FINANCE**

### **Final Approval of the California State University, Channel Islands Site Authority Apartment Sales Project**

#### **Presentation By**

Robert Eaton  
Assistant Vice Chancellor  
Financing, Treasury, and Risk Management

Richard Rush  
President  
California State University Channel Islands

#### **Summary**

At its November 2015 meeting, the California State University Board of Trustees approved a conceptual plan to allow the California State University, Channel Islands Site Authority (“Site Authority”) to sell 328 existing apartments and apply net sale proceeds to retire existing bond debt as part of the campus’ CI 2025 strategy, a long term plan to develop facilities such as academic facilities, housing, student support facilities, and recreation/wellness space that was presented to the board in January 2015. This item requests final approval from the Board of Trustees authorizing the Site Authority to enter into agreements to complete the sale of the apartments, as well as 58 apartments and 30,000 square feet of retail space in the Site Authority’s Town Center, and use the net sale proceeds to retire outstanding bonds.

#### **Background**

In January 2016, the Site Authority invited interested parties to participate in a Request for Proposal (RFP) process to select a qualified private developer to acquire multifamily housing units located in the Site Authority’s University Glen housing community and Town Center, and serve as a long-term operator and investment partner with the Site Authority. In February 2016, the Site Authority received three bids from qualified and interested developers. During the bid process, all three developers expressed interest in 58 apartments and retail space in the Site Authority’s Town Center, which were scheduled for a later, separate bid process. Based on these expressions of interest, the 58 apartments and retail space in the Town Center were added to the RFP. In March 2016, Kennedy Wilson (KW), an experienced multifamily housing operator and developer with strong financial capacity, was selected by the RFP selection committee as the preferred buyer to engage in a negotiating process with the Site Authority on various key business terms. The committee’s decision to award the bid to KW was based on KW’s overall financial terms, including the upfront price offer, as well as KW’s willingness to invest its own capital toward upgrading the housing assets and be a long-term multifamily housing partner with the Site Authority.

## **Project Description**

Under the terms of the transaction, the Site Authority will sell its interests in the 328 apartments in University Glen and in the 58 apartments and retail space in the Town Center to KW for \$81,000,000, an amount consistent with the appraised value of the assets. The Site Authority will enter into a long-term sublease with KW for the land located in University Glen and the Town Center. To ensure the Site Authority receives the highest possible purchase price for the property sales and to preserve control over a certain number of housing units for campus faculty, staff, and students, the Site Authority has agreed to lease back a certain number of the apartment units under long-term agreements with KW.

Upon close of the sale escrow, the Site Authority will apply approximately \$74 million of the sale proceeds to retire CSU Systemwide Revenue Bond debt associated with the assets being sold, as well as a modest amount of debt associated with other housing assets of the Site Authority.

To keep various other stakeholders of this project updated on this transition process, the Site Authority has been meeting with the University Glen residents, campus faculty and staff, local government representatives, and other interested parties.

## **Summary of Key Agreement Terms**

The Site Authority has completed negotiations on a ground sublease and an operating agreement with KW. Major deal terms include the following:

- A total purchase price of \$81,000,000: (a) \$68,000,000 for the 328 University Glen apartments and (b) \$13,000,000 for 58 Town Center apartments and retail space.
- Long-term ground subleases of the University Glen apartments and the Town Center for a period of 80 years, which coincides with the term of the master ground lease between the Trustees of the California State University and the Site Authority. The facilities revert back to the Site Authority upon expiration of the ground subleases.
- Annual ground sublease payment of 7 percent of gross income, which initially will be approximately \$540,000.
- Renovate all existing apartments as tenants change. The cost of these renovations will be approximately \$7,500 to \$8,500 per unit and will be fully covered by KW.
- Long-term capital improvements to shared facilities which include a clubhouse, two fitness centers, and two swimming pools; KW will be fully responsible for the costs related to these capital expenditures.
- A third party management company acceptable to the Site Authority to manage the apartments.
- A 20-year agreement with the Site Authority to lease 58 units in the Town Center in order to accommodate student housing demand. Under terms of the agreement: (i) the Site Authority will be obligated to pay KW an initial rate of \$2.00 per square foot per unit per month, which will increase annually based on the Consumer Price Index, with an annual cap of 5 percent; (ii) the campus will be responsible for leasing, collecting, and retaining rental income on these units; and (iii) KW is responsible for managing and maintaining the units and paying related operating expenses.

- A 20-year agreement with the Site Authority to lease 100 University Glen apartments in order to ensure availability for campus faculty and staff. Under terms of the agreement: (i) the Site Authority will be obligated to pay KW the initial rate of \$2.00 per square foot per unit per month, which will increase annually based on the Consumer Price Index, with an annual cap of 5 percent; (ii) KW is responsible for leasing, managing, and maintaining the units and paying related operating expenses; and (iii) all rental income from the leases will be paid to the Site Authority.

### **Educational Benefits**

The Channel Islands campus is challenged to build critically needed facilities to accommodate enrollment growth and the community it serves during times of limited state capital resources. Through this transaction, however, the campus is well positioned to reduce debt, improve debt capacity, and generate alternative sources of revenue derived from the long-term ground sublease payments, all of which will support future capital projects and help fulfill the campus' academic mission.

### **Recommendation**

The following resolution is presented for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that the sale of the 328 apartments in University Glen and 58 apartments and retail space in the Town Center on the campus of California State University, Channel Islands as described in Agenda Item 1 of the May 23-25, 2016 meeting of the Committee on Finance is approved, and that the chancellor, the executive vice chancellor and chief financial officer, and their designees are authorized to execute the agreements necessary to complete the transaction.

## **COMMITTEE ON FINANCE**

### **Conceptual Approval of a Public-Public Partnership with The Panetta Institute for Public Policy at California State University, Monterey Bay**

#### **Presentation By**

Robert Eaton  
Assistant Vice Chancellor  
Financing, Treasury, and Risk Management

Eduardo Ochoa  
President  
California State University, Monterey Bay

#### **Summary**

This item requests conceptual approval from the California State University Board of Trustees for the development of a new building to accommodate educational studies and programs offered through The Panetta Institute for Public Policy (“Institute”) on the California State University, Monterey Bay campus.

#### **Background**

The Institute, founded in 1997 by former Secretary of Defense Leon Panetta and his wife Sylvia Panetta, has been located on the Monterey Bay campus since inception. Its programs serve the entire CSU system, in addition to providing the CSU Monterey Bay campus with a deep and important connection to, and enhanced reputation with, the local communities in the Central Coast region and throughout the state and the nation.

The Institute is a non-profit corporation offering educational opportunities and programs in government, politics, and public safety to students from the campus, across the system, and from other schools.

The campus has been providing building space and utilities as in kind consideration for the programs offered by the Institute. However, a new building is needed to allow for the Institute to expand its programming and activities to achieve greater impacts statewide, nationally, and globally.

## **Project Description**

The project involves the construction of a new building on one of two campus sites that has yet to be finalized. The project costs and the date of completion have not been determined. The project will include classrooms, office and administrative space, a lecture forum, a library, study areas, and an area to house all or a portion of the Panetta Archives and the archives program.

The campus is expected to enter into a ground lease with the Institute at a ground rental rate of one dollar per year for a term of sixty years, subject to three successive ten year periods at the same ground lease rate and terms. The project will revert to the CSU upon expiration or termination of the ground lease.

## **Financing**

Neither the campus nor any auxiliary will have an investment in the project. The Institute will be responsible for all financing, construction, maintenance, custodial, and management costs of the project during the term of the lease. The Institute will also be responsible for all costs associated with environmental and entitlement processes in accordance with CSU requirements. The Institute plans to pay the costs of construction and operation of the facility with funds from its own fundraising activities.

## **Educational Benefits**

This proposed partnership with the Institute is expected to enhance ongoing support of the educational mission of the CSU through its various programs such as the Congressional Internship Program, the Student Leadership Seminar, and the Leon Panetta Lecture Series.

The Congressional Internship Program offers students from all of the CSU campuses the opportunity to gain real world experience as a Congressional intern in Washington, D.C. The Student Leadership Seminar offers student body presidents and other student leaders from across the CSU the opportunity to learn leadership principles, strategies, and practices through an intensive eight-day program. The Leon Panetta Lecture Series provides students with perspectives on national and international issues through a series of lectures by renowned leaders and policy experts.

The presence of the Institute on the Monterey Bay campus brings value in recognition of the quality of the Institute and the effectiveness of the academic merit which it has.

## **Approval of the Final Development Plan**

Per board policy, as the project moves forward, all related master plan revisions, amendments of the capital outlay program, proposed schematic plans, financial plans, proposed key business points of the finalized development plan, and the required California Environmental Quality Act (CEQA) documents and relevant off-site environmental mitigation measures will be presented at future meetings for final approval by the Board of Trustees prior to execution of any commitments for development and use of the property.

## **Recommendation**

The following resolution is presented for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of a public-public partnership for the development of the Panetta Institute for Public Policy;
2. Authorize the chancellor and the campus to enter into negotiations for agreements necessary to develop the final plan for the public-public partnership as explained in Agenda Item 2 of the May 23-25 2016 meeting of the Committee on Finance;
3. Authorize the chancellor and the campus to enter into a due diligence access and option agreement which provides the Institute with a limited-term option along with the responsibility for the development of a final plan, schematic drawings, and necessary environmental analyses during the option period;
4. Will consider the following additional action items relating to the final plan:
  - a. Certification of Final California Environmental Quality Act (CEQA) documentation;
  - b. Approval of a financial plan negotiated by the campus and the Institute with the advice of the chancellor;
  - c. Approval of any amendments to the campus master plan as they pertain to the project;
  - d. Approval of an amendment to the Non-State Capital Outlay Program;
  - e. Approval of the schematic design.

**COMMITTEE ON FINANCE**

**Report on the 2016-2017 Support Budget**

**Presentation By**

Steve Relyea  
Executive Vice Chancellor and  
Chief Financial Officer

Ryan Storm  
Assistant Vice Chancellor  
Budget

**Background**

The California State University (CSU) Board of Trustees approved the 2016-2017 Support Budget request at its November 2015 meeting. That budget request called for an increase of \$297.6 million, including \$241.7 million from state funds and \$55.9 million of net student tuition revenue tied to funded enrollment increases. The approved uses of the increase are as follows:

2% Compensation Pool Increase	\$69.6 million
3% Enrollment Demand (10,700 FTES)	110.0 million
Student Success and Completion Initiatives	50.0 million
Academic Facilities Maintenance & Infrastructure Needs	25.0 million
Mandatory Costs (health, retirement, new facilities maintenance)	43.0 million
<b>TOTAL REQUEST</b>	<b>\$297.6 million</b>

Governor Jerry Brown issued his 2016-2017 budget proposal in January 2016. The most significant components of this proposal for the CSU are: (1) an increase of \$140.4 million that could be used for operating and capital needs, (2) a one-time appropriation of \$35 million to address pressing deferred maintenance infrastructure needs, (3) a one-time appropriation of \$35 million of cap and trade funds for energy efficiency and renewable energy projects, and (4) broad expectations that CSU improve graduation rates more quickly, that the university adopt a funding model based primarily on student success, and that CSU continue to employ technology for more widespread student access. The first component is consistent with the governor's multi-year plan, now in its fourth year, to increase funding for higher education.

As a result of the trustees' January 2016 discussion, the Chancellor's Office has implemented an active strategy to obtain an additional \$101.3 million from the state than is proposed in the governor's budget proposal. That amount will bridge the gap between the trustees' general fund request (\$241.7 million) and the governor's January proposal (\$140.4 million).

The development of the 2016-2017 state budget began in summer of 2015 and is two months away from completion. Below is what remains:

1. The Department of Finance is anticipated to release its May Revision on May 13, 2016. It will provide updated revenue estimates for the upcoming fiscal year and will likely propose changes to the governor's January budget proposals.
2. The Assembly and Senate will independently hold their post-May Revision budget hearings and will make final decisions on the governor's and their own budget proposals.
3. The houses will hold budget hearings to reconcile differences between their budget plans to create a single budget plan.
4. The legislature will vote on a final state budget in the middle of June.
5. The governor may veto portions of the budget and approve the remainder by June 30.

### **Legislative Hearings**

The budget subcommittees for education finance in the Assembly and the Senate have held several hearings this spring on the CSU Support Budget request, the governor's higher education budget proposals, as well as issues of particular interest to them. So far, they have focused on student outcomes, enrollment trends, compensation, state-supported summer session, and infrastructure needs.

To date, the legislative budget subcommittees have refrained from taking action on appropriation amounts for the CSU and the other higher education segments based on the expectation that the governor's May Revision will identify an altered state revenue picture for 2016-2017.

### **May Revision**

Based on total tax collections through the month of April 2016, the Legislative Analyst's Office reports that the state may end fiscal year 2015-2016 with revenue \$1.0 billion below the January budget forecast. However, at the time this agenda item was prepared major uncertainties still existed, including:

- Forecast economic growth and estimated revenues for the 2016-2017 fiscal year.
- The extent to which the state's constitutional spending guarantee for K-12 schools and community colleges (Proposition 98) would claim state revenues.
- The extent to which state populations and caseloads will change in the corrections and rehabilitation, health, and social service program areas.



### **Final CSU Budget Decisions are Dependent on Final State Decisions**

With final state budget decisions still to be determined, there will not be enough information to determine a final budget for the CSU by the May 2016 meeting. The governor's funding proposal is significantly less than the trustees' budget request, however the legislature may elect to augment the CSU budget. As a result, the Chancellor's Office will await final state decisions (likely to occur by June 30, 2016) before finalizing the CSU budget pursuant to resolution RFIN 11-15-11, passed in November 2015, which authorizes the chancellor to adjust and amend the support budget to reflect changes in the assumptions upon which the budget is based.

### **Summary**

At the May 23-25, 2016 meeting, the board will receive a full update on the May Revision and any changes affecting the CSU budget. Information on the governor's May Revision will be available for review at [www.ebudget.ca.gov](http://www.ebudget.ca.gov) prior to the meeting.

## AGENDA

### COMMITTEE ON GOVERNMENTAL RELATIONS

**Meeting:** 3:30 p.m., Tuesday, May 24, 2016  
Glenn S. Dumke Auditorium

Douglas Faigin, Chair  
Kelsey M. Brewer, Vice Chair  
Silas H. Abrego  
Adam Day  
Debra S. Farar  
Lupe C. Garcia  
J. Lawrence Norton  
Steven G. Stepanek

#### **Consent Item**

Approval of Minutes of the Meeting of March 9, 2016

#### **Discussion Item**

1. State Legislative Update, *Information*

**MINUTES OF THE MEETING OF  
COMMITTEE ON GOVERNMENTAL RELATIONS**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 9, 2016**

**Members Present**

Douglas Faigin, Chair  
Kelsey Brewer, Vice Chair  
Silas H. Abrego  
Adam Day  
Lupe C. Garcia  
Lou Monville, Chair of the Board  
J. Lawrence Norton  
Steven G. Stepanek  
Timothy P. White, Chancellor

Trustee Faigin called the meeting to order.

**Approval of Minutes**

The minutes of January 27, 2016, were approved on consent.

**Legislative Update**

Mr. Garrett P. Ashley, vice chancellor for university relations and advancement, and Ms. Nichole Muñoz-Murillo, interim director for advocacy and state relations, presented this item.

Mr. Ashley congratulated trustees Abrego, Day and Taylor, whose nominations to the board were confirmed by a full vote of the State Senate.

Mr. Ashley reported that the legislature reconvened on January 4 to continue work on legislation carried over from 2015 and introduce new legislative proposals for 2016. The deadline to introduce new bills was February 19. Policy committees are beginning their work to consider introduced measures. The legislature has approximately four weeks to consider more than a thousand measures before the next significant legislative deadline on April 22.

Ms. Muñoz-Murillo gave an overview on carry-over legislation, emerging trends and budget advocacy:

- ***Graduation Incentive Grants for CSU students (SB 15 Block)***: Among other provisions, this proposal would provide grants that increase in value as students complete 30 semester units in a college year, thus providing an incentive for students to complete a degree in four years.
- ***CSU Investment Authority (SB 1412 Block)***: This proposal would allow the CSU to invest in mutual funds and real estate investment trusts, with no more than 30 percent of funds placed in these new options. The intent is to boost annual returns, providing funding for CSU deferred maintenance and infrastructure needs.
- ***Lottery Fund Payments (AB 2215 Medina)***: This proposal would make a technical change to government code regarding how the State Controller's Office distributes state lottery funds to the CSU.

Trending topics include affordability, access, student safety, higher education coordination, employee relations and time to degree.

Given the positive feedback from legislators and their staff regarding the Stand with CSU advocacy campaign, the CSU will be employing many of the same successful strategies as last year. The next budget advocacy event will be the joint legislative advocacy day with the California State Student Association at the end of March.

Trustee Faigin requested a board item in May to discuss formalizing procedures for trustee involvement in deciding the CSU position on legislative proposals.

In addition, Trustee Faigin asked Dr. Loren Blanchard, executive vice chancellor for academic and student affairs, to provide an update on bottleneck courses at the May meeting.

Trustee Faigin adjourned the meeting.

## **COMMITTEE ON GOVERNMENTAL RELATIONS**

### **State Legislative Update**

#### **Presentation By**

Garrett P. Ashley  
Vice Chancellor  
University Relations and Advancement

Nichole Muñoz-Murillo  
Interim Director  
Advocacy and State Relations

#### **Summary**

This item contains an update on Board of Trustees-sponsored measures introduced this year, as well as an update on the 2016 Legislative Session. Additionally, staff will provide a verbal update on budget advocacy efforts.

#### **Capitol Context**

The second year of the 2015-16 Legislative Session is fully underway. While there are about 500 fewer measures introduced this year, there are an unusually high number of measures that impact higher education, particularly in the Assembly. The bill load was so significant that the Assembly Higher Education Committee – which typically meets every other week – was forced to add an additional hearing to ensure that all referred measures were heard by the policy deadline of April 22. Over three straight weeks, the committee heard about 90 measures, and the last hearing actually had more measures than the Assembly Education Committee, which focuses on K-12 issues and historically has a higher bill count.

Of the bills introduced this year, 256 failed to pass out of a policy committee before the required legislative deadline. The next major legislative deadline is May 27, when fiscal committees decide what bills will advance or be held in the appropriations committees. For bills that advance and pass out of the house of origin, they will be considered in the policy committees of the second house in June.

## **Update on Board of Trustees Legislative Package**

### **SB 1412 (Block) - CSU Investment Authority**

This bill would provide the CSU the potential to increase investment earnings on its funds by creating a balanced investment portfolio. Under current law, the CSU is limited to investing in fixed-income securities. Over a four year phase-in period, the bill would allow the CSU to invest in mutual funds and real estate investment trusts with no more than 30 percent of funds in the new investment options. The intent is to boost funding for CSU deferred maintenance and infrastructure needs through the increased earnings.

Status: The measure was passed by the Senate Education Committee on a unanimous 9-0 vote. The bill was referred to the Senate Appropriations Committee's suspense file.

### **AB 2215 (Medina) - Lottery Fund Payments**

This bill makes a technical change to the Government Code regarding how the State Controller's Office (SCO) distributes state lottery funds to the CSU. This bill removes unnecessary administrative processes currently carried out by staff from both the CSU and SCO.

Status: The measure is currently awaiting action on the Assembly Floor.

## **Other Measures of Interest to the CSU**

### **AB 1582 (Allen) - Conflict of Interest: Public Postsecondary Educational Institutions**

The measure would have required all CSU faculty to file a Fair Political Practice Commission (FPPC) form 700 disclosing whether or not they received compensation for the selection of their course materials. Currently, some CSU employees file a form 700, but the count would increase from around 2,700 filings to approximately 29,000 if the measure were to be enacted.

CSU Position: NO OFFICIAL POSITION

Status: The measure would have likely been expensive to the segments and for FPPC to implement. As such, the author chose to not move the bill forward, allowing AB 2214 to serve as the primary measure on this subject for the session. This bill is dead for the session.

### **AB 1594 (McCarty) - Prohibition of Smoking on Campus**

This measure would prohibit smoking, including e-cigarettes, on all public postsecondary institutions and would allow campuses to take certain actions to enforce the ban.

CSU Position: NO OFFICIAL POSITION

Status: The CSU is currently in the process of drafting a policy for the system, which is anticipated to be similar to the language found in AB 1594. It should be noted that any policy adopted by the system would have to be collectively bargained with all bargaining units. The measure passed the Assembly and will be heard in the Senate Education Committee in June.

**AB 1721 (Medina) - Cal Grant Program**

The bill makes several expansions of the Cal Grant program, including increasing the authorized Cal Grant B access award to \$3,000. These grants can be used by students for tuition fees or other college costs, such as textbooks. The final award amount would continue to be adjusted through the annual Budget Act and is currently established at \$1,648. The bill would also extend the qualifying age limit for a CCC Transfer Entitlement Program award from 27 to 30, and would increase the number of new Competitive Cal Grant awards from 25,750 to 30,000 annually.

CSU Position: SUPPORT

Status: The measure was passed out of the Assembly Higher Education Committee and is currently in the Assembly Appropriations Committee's suspense file.

**AB 1726 (Bonta) - Asian Pacific Islander Data Collection**

This measure would require the California Community Colleges (CCC) and the CSU, and request the University of California (UC), to provide disaggregated data on Asian Pacific Islanders who are admitted to their systems.

CSU Position: SUPPORT

Status: The measure passed out of the Assembly Health and Higher Education Committee and is currently in the Appropriations Committee's suspense file.

**AB 1747 (Weber) - Higher Education Food Assistance**

This measure requires, as a condition of participation in the Cal Grant program, each public and private postsecondary educational institution to ensure that on each campus there is access to surcharge-free transactions using the electronic benefits transfer (EBT) system and mandates that they apply to the Restaurant Meals Program if their county participates in this program. This measure would also make public postsecondary institutions eligible entities to partner with local governments to apply for federal matching funds to conduct Cal Fresh outreach activities. The CSU is currently assessing how the bill's provisions may effect campus auxiliary organizations, including potential costs to lease or purchase equipment and subsequent installation. The CSU continues to work with the author's staff, and if it is determined that this will not unduly impact CSU campuses, the CSU intends to move to a "SUPPORT" position.

CSU Position: NO OFFICIAL POSITION

Status: The measure passed out of the Assembly Human Services and Higher Education Committee and is now awaiting action by the Assembly Appropriations Committee.

**AB 1756 (Bonilla) - Teacher Credential: Integrated Programs for Professional Preparations**

This bill provides one-time grant funding of up to \$10 million to support post-secondary institutions in creating and expanding integrated/blended teacher credentialing programs. The goal would be to recruit teachers to these programs which can provide an undergraduate degree and credential program in five years or less.

CSU Position: SUPPORT

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 1778 (Quirk) - Sexual Assault and Violence Training**

This measure would require CSU and all state institutions of higher education to conduct annual training, which is consistent with our current policies.

CSU Position: NO OFFICIAL POSITION

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 1837 (Low) - Office of Higher Education Performance and Accountability**

The measure is intended to create a successor to the California Postsecondary Education Commission (CPEC). However, this bill currently does not provide segment representation to the newly created entity. The CSU has asked that the system have a seat on the governing body, consistent with the other segments of higher education.

CSU Position: SUPPORT IF AMENDED

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 1914 (Bonilla) - Public Postsecondary Education: Access Codes**

This measure, sponsored by the California State Student Association (CSSA), would prohibit a student from having to buy a book that was bundled with an access code if a free online resource is already available that provides the same purpose. It also requires that the campus bookstore must send faculty a cost of the last version of the book they intend to use for their course before they choose course materials for the next term. The measure also prohibits a bookstore from posting that materials offered for a course are "required" unless the faculty assigning the materials approves of such a posting.



The CSU has been working closely with the author and all the other interested parties to address how students can save money on the course materials they need for education. The CSU has been a leader on this issue and appreciates the work of the author and CSSA on this matter.

CSU Position: NO OFFICIAL POSITION  
Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 1936 (Chavez) – Public Postsecondary Education: Dependents of Armed Forces Members**

This measure would change the time of residency determination for dependents of a member of the Armed Forces from the time of enrollment to the time of admittance. This seeks to ensure that college-going students who live in California do not lose their residency status between the time they are admitted and the time they begin college if their family is relocated outside the state. The measure is narrowly crafted to address this specific issue and will help ensure current California students do not have to pay out-of-state tuition for reasons outside their control.

CSU Position: SUPPORT  
Status: The measure is currently awaiting action on the Assembly Floor.

**AB 2017 (McCarty) - College Mental Health Services Program**

This measure would allow CSU, CCC and UC campuses to submit proposals to augment their mental health services using money from Proposition 63, the mental health initiative. It would require a dollar-for-dollar match with a maximum of \$5 million provided to a campus. The measure would be in place until 2022 and would have a pool of \$40 million a year.

The CSU has been working with the author and sponsor to amend the measure to allow campuses to use the funds for direct services and not just for outreach. The CSU has also asked that a campus could pledge in-kind resources, such as office space, as part of their dollar-to-dollar grant. The author committed to taking amendments that address these issues. The CSU anticipates moving to a "SUPPORT" position in the near future.

CSU Position: NO OFFICIAL POSITION  
Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2019 (Santiago) – California State University: Automatic Salary Adjustment for Faculty**

This measure, which is sponsored by the California Faculty Association (CFA), would provide CSU faculty with an annual automatic salary adjustment of either 2.65% or California's Consumer Price Index (CPI), depending on which is higher each year. The California State University Employees Union (CSUEU) has requested an amendment to add themselves to the provisions of the measure as well.

CSU Position: OPPOSE  
Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2064 (Allen) - Postsecondary Tuition Fee Freeze**

This measure would have required the CSU to freeze its fees for first-time freshmen, allowing for a change in those fees only after four years have passed or if the CPI has increased from one year to the next.

CSU Position: OPPOSE  
Status: The bill failed passage in the Assembly Higher Education Committee.

**AB 2154 (Medina) - California Student Aid Commission: Student Members**

The measure, sponsored by CSSA, would allow a student member of California Student Aid Commission (CSAC) to continue serving past their two-year term expiration, if the Governor has not named a replacement. The measure also requires their university to waive their tuition during their term on the commission.

CSU Position: SUPPORT  
Status: The measure passed the Assembly and will be considered by the Senate Education Committee in June.

**AB 2163 (Low) - Appointment of CSU Campus Presidents**

The measure, sponsored by CFA, would prohibit the Board of Trustees from naming a campus president until the prospective candidate has visited the campus and held a public forum.

CSU Position: OPPOSE  
Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2164 (O'Donnell and Cooper) - Public Postsecondary Education: Tuition and Fees**

Currently, the CSU is required to provide a systemwide tuition fee waiver to any surviving spouse or child of a deceased public safety officer who died as a result of their official duties. This measure would extend this benefit to any mandatory tuition and fees, including campus-based fees. The measure also expands the benefit to deaths as a result of an industrial injury or illness arising out of their duties, for both systemwide and campus-based mandatory fees. It should be noted that the CSU used to receive a backfill from the state for this lost revenue to the system, but that ended in 1992-1993.

CSU Position: NO OFFICIAL POSITION

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2181 (Brown) - Public Contracts: Greenhouse Gas Emission**

This measure would require the CSU, UC and all state agencies to submit a report to the Legislature on the greenhouse gas emissions associated with any infrastructure project that costs more than \$1 million. It would require that these standards be incorporated into procurement processes.

CSU Position: NO OFFICIAL POSITION

Status: The measure passed out of the Assembly Accountability Committee and is awaiting action by the Assembly Appropriations Committee.

**AB 2183 (Gatto) - California State University: Personal Service Contracts**

The proposal would require the CSU to do a very lengthy cost-benefit analysis for any personal service contract awarded in a manner that is almost identical to what is required for state agencies who are covered by the Civil Service Act. The CSU has always been excluded from the Civil Service Act because of its unique nature and its governing board process.

Protections for CSU employees on the matter of contracting out are very strong due to the Higher Education Employer-Employee Relations Act (HEERA), collective bargaining and the Personal Employee Relations Board (PERB). This proposal would forever alter the system's ability to operate, without a clear reason for why such a punitive action should be taken.

CSU Position: OPPOSE

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2210 (Harper) - Student Success Fee**

This proposal would have required a 2/3 vote of the student body to approve a new student success fee.

CSU Position: OPPOSE

Status: The bill failed passage in the Assembly Higher Education Committee.

**AB 2214 (Harper) - Faculty Royalty Income Disclosure**

This measure would require all public segments of education, including the CSU, to create a form, at their discretion, that would have faculty disclose all income received for royalties or consulting they provided.

CSU Position: NO OFFICIAL POSITION

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2222 (Holden) - Transit Passes**

The measure would redirect \$50 million annually from the Greenhouse Gas Reduction Fund to support transit pass programs with the goal of providing free or reduced transit passes for specified K-12 students and higher education students, including CSU students.

CSU Position: SUPPORT  
Status: This measure has been referred to the Assembly Appropriations Committee's Suspense File.

**AB 2294 (Gomez) - California State University: Leave of Absence Union Work**

This measure, sponsored by CFA, would require the Board of Trustees to grant a leave to any union members so that they can serve as an elected board member on a campus, statewide or national public union, regardless of related provisions of the collective bargaining agreement. It should be noted that the measure does not acknowledge that the collective bargaining agreements for our unions allow a specific number of employees to leave for whatever purpose they deem appropriate. For example, CFA currently is provided 16 leaves, without question, but have never used all of these positions.

CSU Position: OPPOSE  
Status: The measure is currently awaiting action on the Assembly Floor.

**AB 2317 (Mullin) - California State University: Doctor of Audiology Degrees**

This measure would authorize CSU campuses to offer a doctoral degree in audiology. The national standard for this degree has become a doctoral degree, which forced the CSU to close their related masters' level programs.

CSU Position: NO OFFICIAL POSITION  
Status: The measure is currently awaiting action on the Assembly Floor.

**AB 2386 (Williams) - California State University: Trustees**

This measure, sponsored by CSUEU, would take one of the Governor's 16 appointed public members and repurpose this seat to add a represented non-faculty member to the board. This new member would be appointed by the Governor from a pool of at least two non-faculty represented employees who would be chosen by a staff council that would be funded by the unions that represent non-faculty employees. The measure would also undo changes made to the faculty trustee term, which ensured an academic voice would always be represented on the board. That change was made by AB 2324, which was co-sponsored by the board at the request of the Academic Senate.

CSU Position: OPPOSE UNLESS AMENDED

The CSU is requesting that the measure be amended to broaden the definition of non-faculty representative to include all staff, both represented and non-represented, with the exception of presidents, vice presidents and deans. The CSU has also asked for amendments that would allow the chancellor to form the Staff Council in a manner consistent with the Academic Senate and remove the requirement that the council be paid for by collective bargaining units. Finally, the CSU has asked that the changes to the term of the faculty trustee be deleted from the bill.

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**AB 2455 (Chiu) - Automatic Student Voter Registration Program**

The measure would have mandated that data collected from CSU Mentor be provided to the Secretary of State's (SOS) office to ensure that as many Californians as possible were registered to vote. Issues were raised pertaining to the federal Family Educational Rights and Privacy Act (FERPA) as the data collected by the CSU and other segments might not be the same as what is required by the SOS. The measure now requires the segments to implement a process with the SOS to allow a student to submit an affidavit of voter registration electronically.

CSU Position: NO OFFICIAL POSITION

The CSU will continue to work with the author to get a better understanding of how the measure will be implemented, should the bill become law.

Status: The measure is currently awaiting action on the Assembly Floor.

**AB 2786 (Chavez) - Public Postsecondary Education: Four Year Degree**

This measure would require the University of California and CSU to establish four-year pledge programs on all campuses. The bill specifies that should a participating student meet continuing eligibility requirements and not graduate in time, they would be eligible to complete their degree for free.

CSU Position: NO OFFICIAL POSITION

Status: The bill was referred to the Assembly Appropriations Committee's suspense file.

**SB 15 (Block) - Postsecondary Ed: Financial Aid: Graduation Incentive Grant Program**

Among other provisions, SB 15 would establish Graduation Incentive Grants for CSU students. These grants would increase in value as students complete 30 semester units in a college year, thus incentivizing students to complete a degree in four years. The bill also contains other provisions that would expand other financial aid programs.

CSU Position: SUPPORT

Status: The measure was passed out of the Senate last year and is awaiting action in the Assembly.

**SB 893 (Nguyen) - Postsecondary Tuition Fee Waivers**

The measure would prohibit the CSU from collecting systemwide tuition fees for the surviving dependents of the December 2015 terrorist attack that took place in San Bernardino, if they meet the Cal Grant A eligibility requirements.

CSU Position: NO OFFICIAL POSITION

Status: The bill was referred to the Senate Appropriations Committee's suspense file.

**SB 906 (Beall) - Public Postsecondary Education: Priority Enrollment**

This measure would remove the sunset on a program that provides priority enrollment for former foster youth at the CSU and other public segments. The current sunset expires in 2017.

CSU Position: SUPPORT

Status: The measure has passed out of the Senate and is now in the Assembly.

**SB 1050 (De León) - K-12 College Readiness Block Grant**

This measure would establish the K-12 College Readiness Block Grant, which awards grant funding to schools to provide administrators, counselors and teachers with strategies for improving A-G course completion rates, college readiness and college-going rates for traditionally underrepresented students. This measure provides a strategy to improve college readiness that coincides with efforts the CSU has undertaken to improve college-going rates and lower remediation needs for underserved students. This bill also requires the University of California, as a condition of receiving enrollment funding in the budget, to increase the admissions of students from high schools that enroll 75 percent or more low-income, English learner, and/or foster youth students.

CSU POSITION: NO OFFICIAL POSITION

STATUS: The measure passed out of the Senate Education Committee and is awaiting action by the Senate Appropriations Committee.

**SB 1359 (Block) - Public Postsecondary Education: Education Materials: Textbooks**

This measure would require that all public postsecondary institutions post the cost for required course materials in the schedule of courses. Should the cost not be available, then the campus must put an estimate of the costs for materials in the schedule.

CSU Position: NO OFFICIAL POSITION

The CSU is working with the author on the measure as it moves forward. A slightly different approach than AB 1914, it is intended to provide more

transparency for a student choosing a class, allowing them to use course material costs as a factor. The disclosure textbook cost is currently required by federal law, but that law does not require the disclosure to appear in the campus course schedule, which may have a modest cost to the system to implement and update for every term.

Status: The bill was referred to the Senate Appropriations Committee's suspense file.

**SB 1450 (Glazer) - The California Promise**

This bill would have required the board to establish a California Promise Program at a minimum of one CSU campus. The campus would enter into a pledge with a student who, if they satisfy program eligibility, would receive various incentives including: a tuition freeze, priority registration and a prohibition from the campus charging the student for any necessary course that was needed to complete the degree after four years.

CSU Position: SUPPORT IF AMENDED

The elements of the California Promise Program were largely consistent with the existing pledge programs at four CSU campuses (Fresno, San Bernardino, Fullerton and Cal Poly Pomona), with the exception of the tuition freeze. CSU staff informed the author that if the tuition freeze were to be removed from the bill, staff would be able to support it.

Status: The measure failed to pass out of its first policy committee.

## **AGENDA**

### **COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL**

**Meeting: 8:30 a.m., Wednesday, May 25, 2016**  
**Glenn S. Dumke Auditorium**

Hugo N. Morales, Chair  
Silas H. Abrego, Vice Chair  
Debra Farar  
Lillian Kimbell  
Peter J. Taylor

#### **Consent Item**

Approval of Minutes of the Meeting of March 9, 2016

#### **Discussion Item**

1. Executive Compensation: President – California State University, Stanislaus, *Action*



**MINUTES OF THE MEETING OF  
COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL**

**Trustees of The California State University  
Office of the Chancellor  
Glenn S. Dumke Conference Center  
401 Golden Shore  
Long Beach, California**

**March 9, 2016**

**Members Present**

Hugo N. Morales, Chair  
Silas H. Abrego, Vice Chair  
Lillian Kimbell  
Peter J. Taylor  
Timothy P. White, Chancellor  
Lou Monville, Chair of the Board

Trustee Morales called the meeting to order.

**Approval of Minutes**

The minutes from the January 27, 2016 meeting were approved as submitted.

**Public Speakers**

The committee then heard from the public speakers.

Susan Green, California Faculty Association, Treasurer, stated that she was against the closed search process for the newly appointed president.

**Executive Compensation: President – California State University Channel Islands**

Chancellor White presented the action item. The item approved the compensation for Dr. Erika D. Beck as president of California State University Channel Islands. Dr. Beck shall receive a salary at the annual rate of \$283,000 and an annual housing allowance of \$60,000, effective the date of her appointment, and shall also receive additional benefits as cited in Agenda Item 1.

Trustee Taylor asked that, in the future, the University consider whether building a presidential residence in lieu of providing a housing allowance is in the University's economic interest.

The Committee on University and Faculty Personnel passed the motion to recommend approval of the compensation as stated in Agenda Item 1. (RUF 03-16-03)

2

U&FP

**Executive Compensation: President – California State University, Chico**

Chancellor White presented the action item. The item approved the compensation for Dr. Gayle E. Hutchinson as president of California State University, Chico. Dr. Hutchinson shall receive a salary at the annual rate of \$293,643 and an annual housing allowance of \$50,000, effective the date of her appointment, and shall also receive additional benefits as cited in Agenda Item 2.

The Committee on University and Faculty Personnel passed the motion to recommend approval of the compensation as stated in Agenda Item 2. (RUF 03-16-04)

Trustee Morales adjourned the meeting.

**COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL**

**Executive Compensation: President – California State University, Stanislaus**

**Presentation By**

Timothy P. White  
Chancellor

**Summary**

This action item approves the compensation for Dr. Ellen N. Junn as president of California State University, Stanislaus.

**Executive Compensation**

The Board of Trustees is pleased to present Dr. Ellen N. Junn as the next president of California State University, Stanislaus. Dr. Junn is currently the provost and vice president of Academic Affairs at California State University, Dominguez Hills.

Junn built her academic career at the CSU, serving for the last 25 years in teaching, research, and leadership positions at CSU Dominguez Hills, San José State, CSU Fresno, Cal State Fullerton and CSU San Bernardino.

During her service at Dominguez Hills, she launched the Departmental Student Success and Innovative Teaching Grants Program, as well as a new Freshmen Success Program. She also worked in concert with other campus leaders to plan for the construction of a new Science and Innovation Building, and opened two technology-enabled Active Learning Classrooms. As the provost and vice president of Academic Affairs, at San José State University she established the Undergraduate Research Initiative, co-chaired the campus' Commission on Diversity, and established the African American Student Success and Hispanic Student Success Task Forces to better serve and increase graduation rates among students of color.

Junn is widely published and has written professional journal articles on topics such as supporting the success of underserved students, the importance of university-community engagement and strategies for supporting non-tenure track faculty, especially women and minorities.

Dr. Junn holds a bachelor's degree in Experimental and Cognitive Psychology from the University of Michigan, where she graduated Cum Laude. She earned both a master's and Ph.D. in Cognitive and Developmental Psychology from Princeton University.

## **AMENDED**

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Agenda Item 1

May 23-25, 2016

Page 2 of 2

Chancellor White recommends that Dr. Junn receive an annual salary of \$283,662 and an annual housing allowance of \$50,000. Dr. Junn's salary complies with the Trustees' policy on presidential compensation, established in November 2015.

In addition and consistent with Board policies, Dr. Junn will receive the following standard benefits:

- An auto allowance of \$1,000 per month;
- Standard benefit provisions afforded CSU Executive classification employees;
- A transition program for university presidents provided she meets the eligibility requirements passed by the Board of Trustees on November 15, 2006 (RUFPP 11-06-06);
- Reimbursement for actual, necessary and reasonable moving and relocation expenses; and
- Dr. Junn will hold the academic rank of full professor with tenure, subject to faculty consultation, in the College of Science.

Chancellor White recommends that the Board of Trustees approve the salary for the next president of California State University, Stanislaus, Dr. Ellen N. Junn.

### **Recommended Action**

The following resolution is recommended for adoption:

**RESOLVED**, by the Board of Trustees of the California State University, that Dr. Ellen N. Junn shall receive a salary set at the annual rate of \$283,662 and an annual housing allowance of \$50,000 effective the date of her appointment as president of California State University, Stanislaus; and be it further

**RESOLVED**, that Dr. Ellen N. Junn shall receive additional benefits as cited in Item 1 of the Committee on University and Faculty Personnel at the May 23-25, 2016 meeting of the Board of Trustees.

**TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY**

**California State University  
Office of the Chancellor  
Glenn S. Dumke Auditorium  
401 Golden Shore  
Long Beach, CA 90802**

**May 25, 2016**

*Presiding: Lou Monville, Chair*

**9:10 a.m. Board of Trustees Dumke Auditorium**

**Call to Order**

**Roll Call**

**Public Speakers**

**Chair's Report**

**Chancellor's Report**

**Report of the Academic Senate CSU: *Chair—Steven Filling***

**Report of the California State University Alumni Council: *President—Dia S. Poole***

**Report of the California State Student Association: *President—Taylor Herren***

**Board of Trustees**

**Consent Agenda**

Approval of the Minutes of the Board of Trustees Meeting of March 9, 2016  
Approval of Committee Resolutions as follows:

**Committee on Organization and Rules**

1. Revision of Standing Orders – Delegation of Capital Outlay Project  
Approval and Schematic Design Approval

\*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

### **Committee on Campus Planning, Buildings and Grounds**

1. Amend the 2015-2016 Capital Outlay Program for the Steven G. Mihaylo Hall Financial Trading Center for California State University, Fullerton
2. Categories and Criteria for the Five-Year Facilities Renewal and Capital Improvement Plan, 2017-2018 through 2021-2022

### **Committee on Institutional Advancement**

1. Naming of Terry Atkinson Hall – San Diego State University
2. Naming of the Rongxiang Xu College of Health and Human Services and the Rongxiang Xu Bioscience Innovation Center – California State University, Los Angeles

### **Committee on Finance**

1. Final Approval of the California State University, Channel Islands Site Authority Apartment Sales Project
2. Conceptual Approval of a Public-Public Partnership with The Panetta Institute for Public Policy at California State University, Monterey Bay

### **Committee on University and Faculty Personnel**

1. Executive Compensation: President – California State University, Stanislaus

### **Committee on Committees**

1. Election of the Chair and Vice Chair of the Board of Trustees for 2016-2017
2. Board of Trustees' Committee Assignments for 2016-2017

### **Discussion**

1. Conferral of the Title of Student Trustee Emerita—Kelsey Brewer, *Action*
2. Conferral of Commendation on Susan W. Martin, *Action*
3. Conferral of the Title of President Emeritus—Ruben Armiñana, *Action*
4. Conferral of the Title of President Emeritus—Richard R. Rush, *Action*
5. Conferral of the Title of President Emeritus—Joseph F. Sheley, *Action*
6. Conferral of the Title of President Emeritus—Paul J. Zingg, *Action*

**11:00 a.m. Board of Trustees—Closed Session**  
**Executive Personnel Matters**  
Government Code §11126(a)(1)

**Munitz Conference Room**

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**MINUTES OF THE MEETING  
OF BOARD OF TRUSTEES**

**Trustees of the California State University  
Office of the Chancellor  
Glenn S. Dumke Auditorium  
401 Golden Shore  
Long Beach, California**

**March 9, 2016**

**Trustees Present**

Lou Monville, Chair  
Rebecca D. Eisen, Vice Chair  
Silas Abrego  
Kelsey Brewer  
Jane W. Carney  
Adam Day  
Douglas Faigin  
Margaret Fortune  
Lupe Garcia  
Lillian Kimbell  
Thelma Meléndez de Santa Ana  
Hugo Morales  
J. Lawrence Norton  
Lateefah Simon  
Steven Stepanek  
Peter Taylor  
Maggie K. White  
Timothy P. White, Chancellor

Chair Monville called the meeting of the Board of Trustees to order.

**Public Comment**

The board of heard from several individuals during the public comment period: Sandip Roy, President, UAW Local 4123, spoke about tuition cost and faculty strike; Lautaro Galleguillos, financial secretary, UAW Local 4123, spoke in support for the faculty pay increase, Pat Gant, president (Chico), CSUEU, addressed the board about getting adequate support and recourses to help support mission; Mike Chavez, CSUEU, spoke to the board about issues concerning outsourcing jobs and urged the board to take action; ); Neil Jaklin, President of organizing, CSUEU, spoke about compensation; Tessy Reese, chair, Bargaining Unit 2 (San Diego), urged the board to once again discuss market equities; Loretta Seva'aetasi, vice president, CSUEU, addressed the board about future funding for the CSU; Rocky Sanchez, bargaining unit 7 representative CSUEU spoke about continued bullying on campuses; Rich McGee, CSUEU, spoke to the board about outsourcing; Susan Smith, vice chair, CSUEU (Fullerton), spoke about the comments made about current and ongoing negotiations; Bill Blische, emeritus professor, CSUDH, president of CSU, ERFA spoke to the board about a pilot program to collect shoes.

## **Chair's Report**

Chair Monville's complete report can be viewed online at the following URL:

<http://www.calstate.edu/BOT/chair-reports/mar2016.shtml>

## **Chancellor's Report**

Chancellor Timothy P. White's complete report can be viewed online at the following URL:

<http://www.calstate.edu/bot/chancellor-reports/160309.shtml>

## **Report of the Academic Senate CSU**

CSU Academic Senate Chair, Steven Filling's complete report can be viewed online at the following URL:

[http://www.calstate.edu/AcadSen/Records/Chairs\\_Reports/documents/ChairsBoardofTrusteesReportMarch2016.pdf](http://www.calstate.edu/AcadSen/Records/Chairs_Reports/documents/ChairsBoardofTrusteesReportMarch2016.pdf)

## **Report of the California State University Alumni Council**

Alumni Council President, Dia S. Poole's complete report can be viewed online at the following URL: <http://www.calstate.edu/alumni/council/bot/20160309.shtml>

## **Report from the California State Student Association**

CSSA President Taylor Herren complete report can be viewed online at the following URL:

<http://www.csustudents.org/wp-content/uploads/March-2016.pdf>

## **Board of Trustees**

The minutes of the meeting of January 27, 2016 were approved as submitted.

Chair Monville asked to move all the consent items for approval. There was a second.

The Board of Trustees approved the following resolutions:

## **Board of Trustees**

### **Election of Five Members to the Committee on Committees for 2016-2017 (RBOT 03-16-02)**

**RESOLVED**, By the Board of Trustees of The California State University, that the following trustees are elected to constitute the board's Committee on Committees for the 2016-2017 term:

Debra S. Farar, Chair  
Adam Day, Vice Chair  
Kelsey Brewer  
Lou Monville  
J. Lawrence Norton



## **Committee on Campus Planning, Building and Grounds**

### **Amend the 2015-2016 Capital Outlay Program for the Parking Lot C Reconfiguration for California State Polytechnic University, Pomona (RCPBG 03-16-03)**

**RESOLVED**, by the Board of Trustees of the California State University, that the 2015-2016 Capital Outlay Program be amended to include \$3,827,000 for preliminary plans, working drawings, construction, and equipment for the California State Polytechnic University, Pomona Parking Lot C Reconfiguration.

### **Amend the 2015-2016 Capital Outlay Program for Parking Lot N for California State University, San Bernardino (RCPBG 03-16-04)**

**RESOLVED**, by the Board of Trustees of the California State University, that the 2015-2016 Capital Outlay Program be amended to include \$6,454,000 for preliminary plans, working drawings, and construction for the California State University, San Bernardino Parking Lot N.

### **Amend the 2015-2016 Capital Outlay Program for the South Parking Facility Improvements for San José State University (RCPBG 03-16-05)**

**RESOLVED**, by the Board of Trustees of the California State University, that the 2015-2016 Capital Outlay Program be amended to include \$7,601,000 for preliminary plans, working drawings, and construction for the San José State University South Parking Facility Improvements.

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## **Committee on Institutional Advancement**

### **Naming of the Ramiro Compean and Lupe Diaz Compean Student Union – San José State University (RIA 03-16-02)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Student Union Building at San José State University be named the Ramiro Compean and Lupe Diaz Compean Student Union.

### **Naming of Bob Bennett Stadium – California State University, Fresno (RIA 03-16-03)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Baseball Stadium in the Department of Intercollegiate Athletics at California State University, Fresno be named the Bob Bennett Stadium.

### **Naming of the John D. Welty Center for Educational Policy and Leadership – California State University, Fresno (RIA 03-16-04)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Central Valley Educational Leadership Institute at California State University, Fresno, be named as the John D. Welty Center for Educational Policy and Leadership.

## **Committee on Finance**

### **Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments for a Project at California State University, San Bernardino (RFIN 03-16-01)**

Orrick, Herrington & Sutcliffe LLP, as bond counsel, prepared resolutions presented in Agenda Item 2 of the Committee on Finance at the March 7-9, 2016, meeting that authorize interim and permanent financing for the projects described in the agenda item. The proposed resolutions were distributed at the meeting and will achieve the following:

1. Authorize the sale and issuance of Systemwide Revenue Bond Anticipation Notes and/or the related or stand-alone sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds in an aggregate amount not-to-exceed \$3,725,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes and the revenue bonds.

### **Conceptual Approval of a Public/Private Partnership Mixed-Use Development Project at California State University, Fullerton (RFIN 03-16-02)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of a public-private partnership for a mixed-use development on 6.2 acres at California State University, Fullerton and the release of the Request for Qualifications/Proposals;
2. Authorize the chancellor, the campus, and the ASC to enter into negotiations for agreements as necessary to develop a final plan for the public-private partnership as explained in Agenda Item 3 of the March 7-9, 2016 meeting of the Committee on Finance;
3. Authorize the chancellor and the campus to enter into a due diligence access and option agreement which provides the developer with a limited-term option along with the responsibility for the development of a final plan, schematic drawings, and necessary environmental analyses during the option period;
4. Will consider the following future action items relating to the final plan:
  - a. Certification of Final California Environmental Quality Act (CEQA) documentation;
  - b. Approval of a developer agreement with the advice of the chancellor;
  - c. Approval of any amendments to the campus master plan as they pertain to the project;
  - d. Approval of an amendment to the Capital Outlay Program;
  - e. Approval of the schematic design.

**Conceptual Approval of a Public/Private Partnership Faculty Staff Housing Development Project at California Polytechnic State University, San Luis Obispo (RFIN 03-16-03)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of a public-private partnership for an apartment development for campus faculty and staff and the release of the Request for Qualifications/Proposals;
2. Authorize the chancellor and the campus to enter into negotiations for agreements necessary to develop the final plan for the public/private partnership as explained in Agenda Item 4 of the March 7-9, 2016 meeting of the Committee on Finance;
3. Authorize the chancellor and the campus to enter into a due diligence access and option agreement which provides the developer with a limited-term option along with the responsibility for the development of a final plan, schematic drawings, and necessary environmental analyses during the option period;
4. Will consider the following future action items relating to the final plan:
  - a. Certification of Final California Environmental Quality Act (CEQA) documentation;
  - b. Approval of a developer agreement with the advice of the chancellor;
  - c. Approval of any amendments to the campus master plan as they pertain to the project;
  - d. Approval of an amendment to the Non-State Capital Outlay Program;
  - e. Approval of the schematic design.

**Conceptual Approval of a Public/Private Partnership Junior Giants Urban Youth Academy at San Francisco State University (RFIN 03-16-04)**

**RESOLVED**, by the Board of Trustees of the California State University, that the Trustees:

1. Approve the concept of a public-private partnership for the Junior Giants Urban Youth Academy;
2. Authorize the chancellor and the campus to enter into negotiations for agreements necessary to develop the final plan for the public/private partnership as explained in Agenda Item 5 of the March 7-9, 2016 meeting of the Committee on Finance;

3. Authorize the chancellor and the campus to enter into a due diligence access and option agreement which provides the developer with a limited-term option along with the responsibility for the development of a final plan, schematic drawings, and necessary environmental analyses during the option period;
4. Will consider the following additional action items relating to the final plan:
  - a. Certification of Final California Environmental Quality Act (CEQA) documentation;
  - b. Approval of a developer agreement with the advice of the chancellor;
  - c. Approval of any amendments to the campus master plan as they pertain to the project;
  - d. Approval of an amendment to the Non-State Capital Outlay Program;
  - e. Approval of the schematic design.

**Approval to Issue Trustees of the California State University Systemwide Revenue Bonds and Related Debt Instruments to Refinance and Restructure State Public Works Board Debt (RFIN 03-16-05)**

Orrick, Herrington & Sutcliffe LLP, as bond counsel, prepared resolutions presented in Agenda Item 6 of the Committee on Finance at the March 7-9, 2016, meeting that authorize interim and permanent financing for the projects described in this agenda item. The proposed resolutions will be distributed at the meeting and will achieve the following:

1. Authorize the sale and issuance of Systemwide Revenue Bond Anticipation Notes, short to medium term debt instruments, variable rate debt instruments, and/or the related or stand-alone sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds in an aggregate amount not-to-exceed \$1,200,000,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes and the revenue bonds.

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**Committee on Educational Policy**

**Academic Planning (REP 03-16-02)**

**RESOLVED**, by the Board of Trustees of the California State University, that the amended projections to the Academic Plans for the California State University campuses (as identified in Agenda Item 1 of the March 7-9, 2016 meeting of the Committee on Educational Policy), be approved and accepted for addition to the CSU Academic Master Plan and as the basis for necessary facility planning; and be it further

**RESOLVED**, that those degree programs proposed to be included in campus Academic Plans be authorized for implementation, at approximately the dates indicated, subject in each instance to the chancellor's approval and confirmation that there exists sufficient societal need, student demand, feasibility, financial support, qualified faculty, facilities and information resources sufficient to establish and maintain the programs; and be it further

**RESOLVED**, that degree programs not included in the campus Academic Plans be authorized for implementation only as pilot or fast-track programs or as modifications of existing degree programs, subject in each instance to Chancellor's Office approval and CSU policy and procedures.

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### **Committee on University and Faculty Personnel**

#### **Executive Compensation: President – California State University Channel Islands (RUF 03-16-03)**

**RESOLVED**, by the Board of Trustees of the California State University, that Dr. Erika D. Beck shall receive a salary set at the annual rate of \$283,000 and an annual housing allowance of \$60,000 effective the date of her appointment as president of California State University Channel Islands; and be it further

**RESOLVED**, that Dr. Beck shall receive additional benefits as cited in Item 1 of the Committee on University and Faculty Personnel at the March 7-9, 2016 meeting of the Board of Trustees.

#### **Executive Compensation: President – California State University, Chico (RUF 03-16-04)**

**RESOLVED**, by the Board of Trustees of the California State University, that Dr. Gayle E. Hutchinson shall receive a salary set at the annual rate of \$293,643 and an annual housing allowance of \$50,000 effective the date of her appointment as president of California State University, Chico; and be it further

**RESOLVED**, that Dr. Hutchinson shall receive additional benefits as cited in Item 2 of the Committee on University and Faculty Personnel at the March 7-9, 2016 meeting of the Board of Trustees.

Following approval of the consent agenda, Chair Monville presented an action item to confer the title of Trustee Emerita to Margaret Fortune in recognition of her many years of dedicated service to the CSU and Board of Trustees. The following resolution was approved.

**Conferral of the Title of Trustee Emerita—Margaret Fortune (RBOT 03-16-03)**

**WHEREAS**, Margaret Fortune was appointed as a member of the Board of Trustees of the California State University in 2008 by Governor Arnold Schwarzenegger, and since that time has actively served in that position; and

**WHEREAS**, throughout her service as a member of the Board of Trustees, she has provided a valuable voice to the consideration of matters imperative to the purpose of this system of higher education; and

**WHEREAS**, Ms. Fortune is a strong advocate for education in California, utilizing her capacity within the California State University to develop pathways for all students to have opportunities for a quality education and a lifetime of consequential success; and

**WHEREAS**, Ms. Fortune is a statewide leader in primary, secondary and higher education, serving as president and chief executive officer of the Fortune School of Education, and has dedicated her career to closing the achievement gap for underserved students, particularly in California's African American communities; and

**WHEREAS**, Ms. Fortune served as committee chair for Campus Building, Planning, and Grounds, vice chair for Educational Policy, and a member of the committees for Audit, Finance, Governmental Relations, Institutional Advancement, Organization and Rules, and University and Faculty Personnel during her tenure; and

**WHEREAS**, Ms. Fortune's service to the Board and the aforementioned committees has been influential to deliberations and decisions, so that the California State University may continue to serve the present and future good of California and its people; and

**WHEREAS**, it is fitting that the California State University recognize those members who have made demonstrable contributions to this public system of higher education and the people of California; now, therefore, be it

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of Trustee Emerita on Margaret Fortune, with all the rights and privileges thereto.

**BOARD OF TRUSTEES**

**Conferral of the Title of Student Trustee Emerita–Kelsey Brewer**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that Trustee Kelsey Brewer, whose term expires on June 30, 2016, be conferred the title of Trustee Emerita for her service. The granting of emerita status carries the title, but no compensation.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of Student Trustee Emerita on Kelsey Brewer, with all the rights and privileges thereto.

**BOARD OF TRUSTEES**

**Conferral of Commendation on Susan W. Martin**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that Interim President Susan W. Martin, whose term expires on June 30, 2016, be commended for her dedication and leadership to San José State University and the California State University.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board commends Interim President Susan W. Martin for her dedication and leadership on behalf of the students, faculty, staff, administrators, alumni and friends of San José State University and the California State University.



**BOARD OF TRUSTEES**

**Conferral of the Title of President Emeritus–Ruben Armiñana**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that President Ruben Armiñana, whose term expires on June 30, 2016, be conferred the title of President Emeritus for his service to Sonoma State University. The granting of emeritus status carries the title, but no compensation.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of President Emeritus on President Ruben Armiñana, with all the rights and privileges thereto.

**BOARD OF TRUSTEES**

**Conferral of the Title of President Emeritus–Richard R. Rush**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that President Richard R. Rush, whose term expires on August 8, 2016, be conferred the title of President Emeritus for his service to California State University Channel Islands. The granting of emeritus status carries the title, but no compensation.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of President Emeritus on President Richard R. Rush, with all the rights and privileges thereto.

**BOARD OF TRUSTEES**

**Conferral of the Title of President Emeritus–Joseph F. Sheley**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that President Joseph F. Sheley, whose term expires on June 30, 2016, be conferred the title of President Emeritus for his service to California State University, Stanislaus. The granting of emeritus status carries the title, but no compensation.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of President Emeritus on President Joseph F. Sheley, with all the rights and privileges thereto.

**BOARD OF TRUSTEES**

**Conferral of the Title of President Emeritus–Paul J. Zingg**

**Presentation By**

Lou Monville  
Chair of the Board

**Summary**

It is recommended that President Paul J. Zingg, whose term expires on June 30, 2016, be conferred the title of President Emeritus for his service to California State University, Chico. The granting of emeritus status carries the title, but no compensation.

The following resolution is recommended for approval:

**RESOLVED**, by the Board of Trustees of the California State University, that this board confers the title of President Emeritus on President Paul J. Zingg, with all the rights and privileges thereto.