



## RAPID RESPONSE FUNDING PROGRAM 2021-22

### Special Request for Proposals (RFP) in response to 2021 HUNTINGTON BEACH OIL SPILL

#### KEY INFORMATION

- Proposals must be developed in direct response to the October 1, 2021, Huntington Beach oil spill.
- Proposals accepted on a continual basis through December 31, 2021, or until all funding is expended, whichever occurs first.
- Award Minimum: \$5,000
- Award Maximum: \$10,000
- **Approved projects can begin as little as three (3) weeks from proposal submission date and MUST begin within eight (8) weeks of proposal submission date**, and projects must be initiated by January 31, 2022.

#### PROGRAM DESCRIPTION

On Friday, October 1, 2021, an oil spill began off the coast of Huntington Beach (HB), California. The spill is believed to have originated in a 17-mile pipeline located 80-100ft beneath the surface and has released over 126,000 gallons of post-production crude oil into the environment. The oil has washed up on local beaches, entered coastal wetlands and threatens wildlife and ocean and coastal resources throughout Southern California.

The COAST Rapid Response Funding Program provides funding for projects in response to unforeseen events such as this oil spill. **This announcement serves as the Request for Proposals (RFP) for projects developed in direct response to the October 1, 2021, HB oil spill.** Approved projects can begin as little as three weeks after proposal submission, must be initiated before January 31, 2022, and may be up to 12 months in duration.

Proposals must clearly indicate the scientific significance of the proposed work and demonstrate the urgency of the request within the context of the HB oil spill. Proposals must have a well-developed rationale and a strong theoretical basis with clear, testable hypotheses or clear objectives. COAST reserves the right to exercise discretion when making awards.

Eligible activities may include, but are not limited to:

- Data collection and/or generation (e.g., experiments, field work, surveys, sample analysis, data analysis);
- Travel to conduct work at another facility or location;
- Student support as related to the above activities.

**Project goals and research objectives must be clear and well-supported for data collection to be funded.**

Awardees are required to submit a final project report and a final product to COAST (see **Post-Award Requirements** below). While not required, awardees are urged to leverage COAST support as appropriate (e.g., as non-federal match for Sea Grant funding). Using the results of the funded project to develop and submit a full proposal for extramural funding or to submit a manuscript for publication in a peer-reviewed scientific journal is strongly encouraged.

## **DIVERSE STUDENT PARTICIPATION**

When awardees are selecting students to be involved in the project, it is expected that PIs not only consider but *actively recruit students from groups that have been historically excluded from marine and coastal science*, including students who are Hispanic/LatinX, Black or African American, Asian, Pacific Islander, American Indian or Alaska Native; female; LGBTQIA+; economically disadvantaged; veterans; and students with disabilities. Students of any race, ethnicity, color, religion, gender, gender identity or expression, sexual orientation, socioeconomic background, national origin, age, dis/ability or veteran status should be welcomed and encouraged to participate in STEM research.

## **ELIGIBILITY**

All CSU faculty members and research associates (broadly defined) are eligible to apply individually or as collaborators.

- All principal investigators (PIs) must have PI status on their home campus.
- Applicants may serve as Lead PI on only **one** proposal. Applicants may serve as co-PIs on multiple proposals.
- If the Lead PI is not tenured/tenure-track, a tenured/tenure-track faculty member from the PI's campus must be listed as a co-PI.

Non-CSU personnel may be included as unfunded collaborators. Students are not eligible to apply.

PIs who are part of a group that has been historically excluded from marine and coastal science, including faculty members who are Hispanic/LatinX, Black or African American, Asian, Pacific Islander, American Indian or Alaska Native; female; LGBTQIA+ and faculty with disabilities are strongly encouraged to apply. Applicants of any race, ethnicity, color, religion, gender, gender identity or expression, sexual orientation, socioeconomic background, national origin, age, dis/ability or veteran status are welcome.

All applicants must be members of COAST in good standing as evidenced by:

1. Inclusion in the COAST Faculty email list (sign up at <https://www2.calstate.edu/impact-of-the-csu/research/coast/Pages/faculty-sign-up.aspx>);
2. No **past due** obligations to COAST from awards issued within the last 36 months.
  - a. PIs with a current COAST award issued within the last 36 months may respond to this RFP as long as they do not have any overdue obligations associated with their award.
  - b. PIs with a current COAST award issued within the last 36 months who have overdue obligations to COAST from their award (e.g., progress reports, submission of full proposal for extramural funding) may not respond to this RFP.

3. PIs who received COAST support more than 36 months prior are eligible to respond to this RFP regardless of outstanding obligations or the outcomes of prior funding.

### **AWARD CONDITIONS AND LIMITATIONS**

Justified and normally allowable expenses such as supplies and services, travel, and support for student assistants will be considered. No cost-share is required. Funding for reassigned time is not allowed but PIs may request academic year additional employment and/or summer salary as appropriate. All funds awarded must be used for the specific purposes requested and approved and may not be converted to other uses without prior authorization.

Projects may be up to 12 months in duration. A three-month no cost extension (NCE) may be requested with sufficient justification. The request must be made no less than 30 days before the scheduled project end date.

Funding provided originates from legislatively appropriated funds. Funds will be transferred to the award recipient's campus by Cash Posting Order (CPO). Awardees will work with their campus accounting staff to designate the location for award financial management. This location may include the awardee's college, department, or an auxiliary, research foundation, or corporation (hereafter referred to as auxiliary). If an auxiliary is designated for award financial management, the auxiliary will incur project expenses and then invoice the state side.

**Regardless of where awards are managed, they are not subject to campus indirect costs (facilities and administrative fees)<sup>1</sup> or any other type of fee from any source.**

When an award involving more than one campus is made, funds will be transferred directly to each participating campus.

*All funding described in this announcement is contingent upon COAST's receipt of legislatively appropriated funds from the California State University.*

### **PROPOSAL PREPARATION AND SUBMISSION PROCEDURES**

**Proposals must be routed through and reviewed by campus pre-award offices in accordance with standard campus procedures and policies. Preparation of this proposal should follow the same channels as all other proposals for extramural funding.**

**Proposals must be submitted through the campus' pre-award office as a single pdf file to [csucoast@csumb.edu](mailto:csucoast@csumb.edu) using the Huntington Beach Oil Spill Cover Page and Signature Pages provided at <https://www2.calstate.edu/impact-of-the->**

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<sup>1</sup> Provisional Guidance on Allowability of Indirect (F&A) Costs on CSU Internal Grant Proposals: Grants issued from CSU lottery funds, student fees (including State University fees) or other legislatively appropriated funds, as a result of a competition within the CSU, shall not be subject to indirect/F&A costs. Indirect cost will not be allowed as a grant-funded expense in proposals submitted in response to RFPs from programs that receive the majority of their support from student/university fee revenue or lottery funds. However, the F&A foregone at the campus/auxiliary's federally negotiated off-campus rate may be shown as cost match on the proposal. This amount may also be used by the auxiliary or enterprise fund as an offset to the university's cost allocation plan, in accordance with EO 753/1000.

[https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid\\_Response\\_HB\\_OilSpill\\_2021.aspx](https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid_Response_HB_OilSpill_2021.aspx). Proposals submitted directly by applicants will be returned without consideration.

Proposals must be submitted using a standard 12-point font (Times New Roman, Arial, etc.), single spaced, and have margins of one inch on all sides. The following elements must be included:

**1) Cover Page** (provided at [https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid\\_Response\\_HB\\_OilSpill\\_2021.aspx](https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid_Response_HB_OilSpill_2021.aspx))

1. **One Huntington Beach Oil Spill Cover Page** is required for every proposal.
2. All information must be typed and the form must be included with the rest of the application materials in one single pdf file. All information is required.
  - a. Provide the proposal title, specify the total amount of funding requested, and list the proposed project start date.
    - i. **The proposed start date must be three to eight weeks from the date the proposal is submitted to COAST** and no later than January 31, 2022.
    - ii. Projects may be up to 12 months in duration.
  - b. Provide names and contact information for the Lead PI and any co-PIs.
  - c. Provide name and contact information for Grants Office personnel submitting the proposal.

**2) Signature Pages** (provided at [https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid\\_Response\\_HB\\_OilSpill\\_2021.aspx](https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid_Response_HB_OilSpill_2021.aspx))

1. **One set of Huntington Beach Oil Spill Signature Pages must be completed for each department with one or more PIs requesting funding.** These pages should not be completed by departments with PIs who are participating in the proposal but are not requesting funding.
  - a. Provide the proposal title, amount of funding requested and PI name(s) and contact information. The PI(s) must sign the form.
    - i. If there is more than one PI participating from the department, please cut, paste and complete the PI section as needed.
    - ii. Note: the amount may be less than the total amount requested if PIs from different departments are each requesting funding.
    - iii. Please contact Kimberly Jassowski ([kjassowski@csumb.edu](mailto:kjassowski@csumb.edu)) if you need help modifying the form.
  - b. Provide the contact information and authorized signature for the PI's Chair, Dean, Dean's Resource Manager/Budget Analyst, and campus pre-award officer.
    - i. **All information except signatures must be typed.**
    - ii. **While COVID-19 related work restrictions are in place, the following are accepted in place of actual signatures:**
      1. Digital and electronic signatures
      2. Email from signer confirming their approval as indicated on the PI Support Pages.
        - a. E.g., a chair would send an email to [csucoast@csumb.edu](mailto:csucoast@csumb.edu) stating "By way of this email I confirm that I approve the PI's request for additional academic year employment or summer salary and understand that an award, if made, will

be routed through the College and that the Department may be asked to assist in implementing the award.”

### 3) Project Summary

Provide a summary of the proposed activity no more than 300 words in length. The Project Summary should consist of an overview and a statement on the intellectual merit of the proposed activity. The overview should include a brief summary of the proposed objectives, activities for which funding is requested and methods to be employed. The statement on intellectual merit should describe the potential of the proposed activity to advance knowledge. If the proposal is funded, the Project Summary will be posted on the COAST website:

<https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/past-faculty-awards.aspx>.

### 4) Narrative

The narrative of the proposal must not exceed four (4) pages in length. The page limit includes tables and figures but does not include the Project Summary, References, Cover or Signature Pages. Do not begin text of application on the Cover Page.

Describe in detail the proposed activity for which funding is requested. A strong proposal will clearly describe the significance of the project including an explanation of the urgency of the request.

Please use the headings below to organize your narrative. Note that these elements comprise the evaluation criteria (point value of each individual element provided in parentheses).

1. **Intellectual Merit and Need (50 points):** Describe in detail the proposed activity(ies) for which funding is requested. Describe the purpose of, need for, and originality of the proposed activity, including a well-developed rationale and a strong theoretical basis with clear, testable hypotheses. Indicate whether this project breaks new ground or is part of a continuing activity. Clarify the nature of each participant’s contribution to the project making clear how the collaboration, if applicable, will increase the scope or significance of the proposed project and its contribution to the discipline.

*The activities described in this section should be reflected in the Implementation Plan.*

2. **Urgency of the Request (20 points):** Explain the need for short-term funding within the next 12 months. Explain why the proposed work must be initiated in next two to four weeks.
3. **Potential for Student Involvement (10 points):** Describe the nature and extent of involvement of undergraduate and graduate students in the project.
  - a. List the number of undergraduate and graduate students that will participate in the project and indicate whether or not they are already working with you (i.e., “in your lab”).
    - i. If you will be recruiting new students to work on this project, describe your strategy for actively recruiting students from groups that are historically underrepresented in marine and coastal science, including students who are Hispanic/LatinX, Black or African American, Asian,

Pacific Islander, American Indian or Alaska Native; female; LGBTQIA+; economically disadvantaged; veterans; and students with disabilities.

- b. Describe how you make historically underrepresented and other marginalized students feel included and supported in your lab in general.

**4. Implementation Plan (10 points):** Provide a work plan with a timeline that includes the specific activities the PI(s) will engage in during the award period. The plan should reference activities described in detail in the Intellectual Merit and Need section.

**5) Budget and Justification (10 points; not to exceed two pages per campus)**

1. Provide a line-item budget and detailed justification.
2. A separate budget and justification must be provided for each campus requesting support.

**6) Additional Materials**

1. A two-page curriculum vitae (CV) must be included for each PI. CVs should include a complete education history and appropriate research (including publications) and professional activities covering at least the last three years. NIH and NSF biographical sketches are good examples of what might be included.
2. A list of all current and pending internal and external support for research/scholarship must be submitted for each PI.
3. Faculty members who have received prior funding through COAST within the last five years must include a report on the outcome of that funding using the **Prior Funding Report Form** available at [https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid\\_Response\\_HB\\_OilSpill\\_2021.aspx](https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/Rapid_Response_HB_OilSpill_2021.aspx).

**POST-AWARD REQUIREMENTS**

**Reporting**

1. Within 30 days of the project end date, a final project report must be submitted. COAST will provide a report template with space to report activities, success in meeting milestones identified in the original timeline, any problems encountered, and a list of participating students. Any extramural funding obtained or publications resulting in whole or in part from this award should be included.
2. Within 60 days of the project end date, a 600-800 word summary of the project with preliminary findings and images for inclusion on the COAST website must be submitted as a final product. See <https://www2.calstate.edu/impact-of-the-csu/research/coast/funding/Pages/faculty-funding.aspx#rapid-response-funding-program> for examples of postings from prior awards. COAST staff will work with awardees on the appropriate level of scientific and technical detail.

**Acknowledgement of Support**

The awardee is responsible for assuring that acknowledgment of COAST support is made in any publication (including Web pages) or presentation of any material based on or developed under this project, in the following terms: **CSU Council on Ocean Affairs, Science & Technology (COAST)**.

**Additional funding for this project**

Awardees are required to inform COAST of any other CSU support (e.g., WRPI, CSUPERB, any CSU system-wide or campus-based support) secured before or during the award period that also supports these efforts.

**For further information contact:**

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