

CSU/CFA Summary of Tentative Agreement and Implementation Issues:

Salary (See Attachment 1 –Article 31 Salary)

All Faculty Unit Employees on active pay status, or on leave, June 30, 2016 will receive at 11.59 PM a 5% General Salary Increase.

All Faculty Unit Employees on active pay status, or on leave, July 1, 2016 will receive a 2% General Salary Increase.

All Faculty Unit Employees on active pay status, or on leave, July 1, 2017 will receive a 3.5% General Salary Increase.

There shall be a 2.65% SSI during FY 2017/18 effective on the eligible Faculty Unit Employee's anniversary date.

Effective 07/01/2016, the minimum increase on promotion pursuant to Article 31.5 shall be increased from 7.5% to 9%.

Intent for Implementation

This increase shall apply to faculty who are promoted through the 2015-2016 promotion cycle and in future Academic Years.

Duration (See Attachment - 2 Duration)

The current Collective Bargaining Agreement between the parties shall be extended by one year, and shall expire on June 30, 2018.

Written notice to commence negotiations for a successor Collective Bargaining Agreement no earlier than July 1, 2017.

Articles 20.36 and 20.37 shall now expire on June 30, 2018 unless specifically extended or otherwise agreed to by the parties.

April 6, 2016

Vesting

Faculty unit employees hired on or after July 1, 2017 shall receive full health benefits upon retirement at age 50 with at least 10 years of service credit. In addition, faculty unit employees meeting these requirements shall be eligible for a basic dental plan paid for by the CSU.

Intent for Implementation

This change is intended to apply to new hires to the CSU and is not intended to apply to rehired and returning faculty unit employees in accordance with CalPERS rules.

CFA Filed Unfair Labor Practices

Upon reaching a tentative agreement over salary, CFA will withdraw with prejudice PERB cases SA-CE-375-8 (Systemwide) and SA-CE-379-H (CSU Humboldt).

Lecturer Range Elevation

The parties recognize that the current language in Article 12.17 has the *de facto* effect of excluding a large population of lecturers from applying for range elevation. This provision limits the ability of lecturers to move between ranges, even when they would otherwise meet campus range elevation criteria. The parties agree to form a Working Group to study the problem and develop a resolution. If the working group is unable to find a solution by March 1, 2017, the parties agree to submit the issue(s) to binding interest arbitration for contractual changes to be implemented in the 2017-2018 Academic Year.

Each party shall submit to a panel of three arbitrators selected by the parties, and exchange with each other, their proposal for addressing the issue in interest arbitration. The Panel shall be limited to awarding only one or other of the two proposals submitted.

Intent for Implementation

This working group shall not be charged with modifying Range Elevation Evaluation policies, as those policies are developed at the campus level. The group shall commence work thirty (30) days after ratification.

April 6, 2016

Side Letter on Future Cooperation

The parties agree to draft a side letter expressing their commitment to work cooperatively to protect the quality of public higher education in the CSU. For example,

- The parties may agree to jointly seek from the legislature the appropriate level of funding necessary to meet the mission of the CSU.
- The parties may agree, in the event of an unexpected fiscal crisis, to work cooperatively to address the impacts of any such crisis on student instruction, the campus community, and the CSU's mission.

ARTICLE 31

SALARY

- 31.1 The salary schedules that pertain to employees covered by this Agreement shall be found in Appendix C and incorporated in this Agreement by reference. Employees may be paid salaries at any amount on the schedule for their rank/classification in Appendix C. Faculty unit employees shall not be hired above the maximum of the salary ranges in either the librarian classifications or the assistant and associate instructional faculty ranges (and the corresponding lecturer ranges B & C). Hiring salary above the salary maximum in Appendix C for instructional faculty appointed into the full professor rank (and the corresponding lecturer range D) shall require Presidential approval. SEE JULY 31, 2007 SIDE MOU IN APPENDIX H.
- 31.2 Increases in the base pay of faculty unit employees can only occur when a faculty unit employee receives an Increase for Market pursuant to provision 31.25, is promoted and receives a salary increase, or during those fiscal years in which the CFA and the CSU specifically agree to provide increases in one or more of the following categories:
- a. Across the board General Salary Increases.
 - b. Service Salary Increases pursuant to this Article in an amount set forth below.
 - c. Salary Recovery Adjustments – Increases to address salary stagnation for tenure-track faculty unit employees and long-term temporary faculty unit employees.
 - d. Equity Increases – Increases intended to address faculty equity issues, including discipline-based salary inversion and compaction for those faculty evaluated according to the existing evaluation criteria, and making at least satisfactory progress, pursuant to Articles 31.11 -31.14.
 - e. Lecturer Salary Corrections – Corrections to address Lecturer misclassification.
- For Fiscal Year 2014-2015, these increases shall be applied in the following order: Lecturer Salary Corrections, General Salary Increases, Salary Recovery Adjustments, and Equity Increases.
- 31.3 Bargaining unit employees shall receive written notification of all salary increases that occur during an academic year. The notification shall include the reason for the salary increase, the new rate of pay, and the effective date of the increase.
- 31.4 Faculty involvement in consultation and recommendation of appropriate rank for newly hired faculty shall not be diminished.
- 31.5 Promotion shall be accompanied by advancement of at least nine percent (9%) on the salary schedule.

- 31.6 Range elevation for lecturers shall be accompanied by advancement of at least five percent (5%) on the salary schedule.

General Salary Increases

- 31.7 For fiscal year 2014/2015, all faculty unit employees shall receive General Salary Increases (GSIs) of 1.6% effective July 1, 2014. At the same time that the GSI is applied, the minima, the Service Salary Increase (SSI) maxima, and the maxima on the salary schedules shall be adjusted upward by the amount of the GSI.

For fiscal year 2015/2016, all faculty unit employees shall receive General Salary Increases (GSIs) of 5% effective 11.59PM on June 30, 2016. At the same time that the GSI is applied, the minima, the Service Salary Increase (SSI) maxima, and the maxima on the salary schedules shall be adjusted upward by the amount of the GSI.

For fiscal year 2016/2017, all faculty unit employees shall receive General Salary Increases (GSIs) of 2% effective on July 1, 2016. At the same time that the GSI is applied, the minima, the Service Salary Increase (SSI) maxima, and the maxima on the salary schedules shall be adjusted upward by the amount of the GSI.

For fiscal year 2017/2018, all faculty unit employees shall receive General Salary Increases (GSIs) of 3.5% effective on July 1, 2017. At the same time that the GSI is applied, the minima, the Service Salary Increase (SSI) maxima, and the maxima on the salary schedules shall be adjusted upward by the amount of the GSI.

Salary Recovery Adjustments

- 31.8 All tenure-track faculty unit employees whose base salary as of July 1, 2014 is below the Service Salary Increase (SSI) maximum shall receive a 3% base salary increase effective July 1, 2014. However, the percentage increase shall not result in an employee's salary increasing to an amount above the SSI max.

All temporary faculty unit employees with three-year appointments under provision 12.12 or 12.13 whose base salary as of July 1, 2014 is below the Service Salary Increase (SSI) maximum shall receive a 3% base salary increase effective July 1, 2014. Temporary faculty unit employees who receive initial three-year appointments under provision 12.12 in 2014/15 and whose salary is below the Service Salary maximum shall receive a 3% base salary increase effective with the start of the initial three-year appointment. However, the percentage increase under this provision shall not result in an employee's salary increasing to an amount above the SSI maximum.

All coaching faculty employees with six or more consecutive years of service at a campus in the same classification and whose base salary as of July 1, 2014 is below the Service Salary Increase (SSI) maximum shall receive a 3% base salary increase, effective July 1, 2014. However, the percentage increase shall not result in an employee's salary increasing to an amount above the SSI maximum.

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Equity Increases

31.11 The CSU agrees to fund a system-wide equity program in the amount of \$2 million (two million dollars) in compensation increases to be distributed in Fiscal Year 2014-2015 to all tenured and probationary faculty unit employees who meet the following eligibility criteria as of July 1, 2014, and who are not rehired annuitants or participants in the Faculty Early Retirement Program:

- a. If the individual's current rank is Assistant Professor or equivalent, s/he was hired between Academic Years (AY) 2006-07 and 2013-14, and his/her base salary is below the SSI maximum.
- b. If the individual's current rank is Associate Professor or equivalent, s/he was hired between AY 2000-01 and 2013-14, and his/her base salary is below the SSI maximum.
- c. If the individual's current rank is Full Professor or equivalent, s/he was hired between AY 2000-01 and AY 2013-14, and his/her base salary is below the SSI maximum.

The calculation for the distribution of the funds will occur in three steps. First, the funds will be apportioned to each rank (i.e. Assistants and equivalents; Associates and equivalents; and Fulls and equivalents) based on the total calculated cost to increase the base salary for all eligible tenured and probationary faculty unit employees in each rank to the SSI maxima in their range.

Second, in each of the three ranks, the funds will be distributed proportionally to each hire year cohort. Funds will be distributed based on the total calculated cost to increase the base salary of all eligible tenured and probationary faculty unit employees in each hire year cohort to the SSI maxima in their ranks.

Third, once the proportional dollar figure allocation for each rank and hire year cohort is established, the allocation for each rank and hire year cohort will be equally divided amongst all eligible faculty unit employees. This allocation shall result in the same award amount for all eligible faculty unit employees in each of the rank/hire year cohort groups.

For each eligible faculty unit employee, the award shall result in an increase to base salary effective July 1, 2014. This award shall be applied in accordance with 31.2, and the CSU shall make a good-faith effort to distribute awards by February 1, 2015.

- 31.12 Presidents may make additional awards which, if issued, must address campus equity issues, including, but not limited to inversion and compression. The President shall consult with representatives of the campus CFA chapter in developing the procedures and criteria to be used in determining the distribution of such equity awards. While not all departments and/or programs may be affected, the distribution of awards must use criteria that are developed based upon a campus-wide review of salary issues. These awards are distinct from Market Increases set out separately in Article 31.25.
- 31.13 The President or designee shall provide a list once each year to the CFA of the faculty members on each campus who have received a campus-based equity increase, including the amount of the increase and the effective date of the increase.

Appeals of Equity Awards

- 31.14 The decision to grant an equity award and/or the amount of the increase to be granted shall not be subject to the grievance procedures in Article 10. However, the CFA (Appellant) may file a notice of appeal on behalf of an employee, or group of employees, alleging that there has been a claimed violation, misapplication, or misrepresentation in the application of provisions 31.12 of this Article in relation to the procedure by which a decision was made to grant or deny an Equity Increase in any individual case or cases. The CFA shall not appeal on behalf of unit members who do not wish to pursue an appeal. The following procedures shall apply to appeals.
- a. The appeal must be filed no later than twenty-one (21) days after the event giving rise to the appeal, or no later than twenty-one (21) days after the Appellant knew or reasonably should have known of the event giving rise to the grievance. The Appellant shall state clearly and concisely in the notice of appeal, the name(s) of the employee(s) on behalf of whom the appeal has been filed and the term(s) of this Article alleged to have been violated, misinterpreted, or misapplied in relation to the procedure by which a decision was made to grant or deny an Equity Increase.
 - b. Within thirty (30) days the parties shall meet at a mutually acceptable time and place in order to try to resolve the appeal informally.
 - c. In the event that the appeal is not resolved to the Appellant's satisfaction through informal efforts, the Appellant may request that the matter be subjected to a third party neutral for review. Such a request must be made in writing within forty-five (45) days of the filing of the notice of appeal.
 - d. A third party neutral will be jointly selected by the CSU and CFA to hear all cases thus appealed.
 1. The third party neutral shall schedule a review of such cases within thirty (30) days from the date of the request for review at a time and place acceptable to the parties. Since time is frequently of the essence in rendering a remedy that is meaningful for both faculty

members and the administration, review procedures shall be as determined by the third party neutral, but shall provide for no more than one (1) day of review except by written agreement of the parties. Except upon the written agreement of the parties in a particular case, no briefs shall be filed. The third party neutral's decision sustaining or denying the appeal shall be issued after a brief study period at the conclusion of the review, but in any event no later than three (3) days from the close of the review, and need not include supporting rationale unless requested by a Party.

2. The third party neutral shall not sustain an appeal unless there is:
 - i. a preponderance of the evidence to do so;
 - ii. clear and convincing evidence of a procedural error.

The normal remedy for such a procedural error will be to remand the case to the review level where the error occurred for reevaluation.

3. If the third party neutral is able to state with certainty that had it not been for the procedural error the faculty member would have received an Equity Increase, then the third party neutral may make an Equity Increase award, subject to the limitation that the total amount of awards cannot exceed the funds allocated by the President for the Equity Program for that fiscal year.

The third party neutral's decision in any given case shall not set a precedent, and it shall not be cited in any other administrative or legal forum. The decision of the third party neutral shall be final and binding.

4. All costs of the appeal process shall be borne equally by both parties.

Lecturer Salary Corrections

31.15 Lecturer L shall be eliminated from the salary schedule. All temporary faculty unit employees classified in Lecturer L shall be converted to Lecturer A (or Lecturer B consistent with 31.16 below) with not less than a 5% base salary increase. The effective date shall be July 1, 2014.

31.16 All temporary faculty unit employees classified in Lecturer L or Lecturer A who possess terminal degrees appropriate to teaching in the discipline in which they are appointed shall be converted to Lecturer B with not less than a 5% salary increase. The effective date shall be July 1, 2014. The CSU shall not appoint temporary faculty unit employees who possess terminal degrees appropriate to teaching in the discipline in the Lecturer A classification.

Service Salary Increases

- 31.17 To determine whether a faculty member has received the maximum allowable number of SSIs, one must deduct the amount of any FMIs s/he has received, regardless of the rank or classification in which they were awarded. The parties agree to continue this definition regarding SSI eligibility for those faculty who have received an FMI award.
- 31.18 A Service Salary Increase (SSI) refers to upward movement on the salary schedules. Such adjustments shall be determined by the CFA and CSU during negotiations annually, and shall be limited following appointment or the most recent promotion to no more than:
- a. four (4) steps on the salary schedule in effect prior to the 1995-98 Agreement, or
 - b. eight (8) Service Salary Step Increases under the salary schedule(s) in effect since that Agreement, or
 - c. a combination of both (a) and (b) preceding that does not exceed a total of eight (8) Service Salary Step Increases on the salary schedule.
- 31.19 No SSIs will be granted above, nor shall the granting of an SSI result in a salary rate above, the SSI maximum rates of pay for all bargaining unit ranks and classifications on the salary schedule in Appendix C except as provided for in Article 31.17.
- 31.20 An employee shall receive written notice of denial of a Service Salary Increase as soon as is practicable after the denial decision.
- 31.21 Upon request of an employee denied an SSI, a meeting shall be arranged within seven (7) days with an appropriate administrator for the purpose of reviewing such denial. The employee may be represented at this meeting by the CFA. At this meeting, the appropriate administrator may establish with the employee conditions upon which the SSI shall be authorized within the year, and the date of review to determine whether such conditions were met.
- 31.22 The appropriate administrator may at any time reverse the denial of an SSI. Such a reversal may be effective retroactively or effective for a part of the year.
- 31.23 For Fiscal Years 2014-2015, 2015-16 and 2016-17 no Service Salary Increases shall be implemented. There shall be a Service Salary Increase implemented in 2017-2018.
- 31.24 In a year in which the parties have agreed that a Service Salary Increase shall be paid, there shall be a two and sixty-five one hundredths percent (2.65%) Service Salary Increase upon the determination by the appropriate administrator that an employee has performed in a satisfactory manner in carrying out the duties of his/her position. Such

a determination shall be after consideration of material in the employee's Personnel Action File. The Service Salary Increase shall be effective on the eligible employee's anniversary date.

Increases for Market

- 31.25 The President may grant a salary increase to a probationary or tenured faculty unit employee to address market considerations. Such increases shall not be bound by the eight (8) service increases referenced in provision 31.18. Applications for market adjustments shall be submitted by the faculty member to the department chair, with a copy to the President or designee, on forms provided by the President or designee. Applications for market-based increases shall normally be accompanied by documentation supporting the market-based salary lag or a bona fide offer of employment from another college or University. Applications shall be reviewed separately by a department committee of tenured faculty and the department chair, with the department chair forwarding both recommendations to the President or designee. The decision to grant an exceptional market adjustment and the amount of the increase to be granted shall not be subject to grievance procedure.
- 31.26 Upon written request by the CFA campus Chapter President to the President at a campus, the President or designee shall provide a list once each year to the CFA of the faculty members on each campus who have received a market adjustment, and the amount of increase granted.

Department Chairpersons

- 31.27 Employees serving as department chair in class codes 2481 and 2482 shall receive a minimum stipend of eighty dollars (\$80.00) per month of service as chairperson. Chairpersons of departments of eighteen (18) or more full-time equivalent faculty (FTEF) shall receive an additional minimum amount of forty dollars (\$40.00) per month of service as chairperson.
- 31.28 The stipend identified in provision 31.27 shall be incorporated into the salaries of department chairpersons when they are placed on the salary ranges for class codes 2481, and 2482 identified in Appendix C. These stipends shall be deducted from the salaries of department chairpersons effective on the date that their chairperson assignment ends.

Direct Deposit

- 31.29 The Direct Deposit program provided by the State Controller's Office will be made available to all bargaining unit employees determined eligible by the State Controller's Office.

ARTICLE 41

DURATION AND IMPLEMENTATION

- 41.1 This Agreement shall be effective upon its ratification by both parties and remain in full force and effect up to and including June 30, 2018.
- 41.2 Written notice shall be given by either party seeking to commence negotiations on a successor contract no earlier than July 1, 2017.
- 41.3 Any term(s) of this Agreement that carries an economic cost shall not be implemented until the amount required therefore is appropriated and made available to the CSU for expenditure for such purposes. The CSU shall make appropriate requests for financing or budgetary funding in amounts sufficient to meet obligations set out in this Agreement. If less than the amount needed to implement this Agreement is appropriated in any given year of this Agreement, and made available to the CSU for expenditure, the term(s) of this Agreement shall automatically be subject to the meet and confer process.

