## , CSU The California State University

# MASTER ENABLING AGREEMENT SEISMIC PEER REVIEW Rev. 2017-0725.

This AGREEMENT is made and entered into this first day of July, 2019 pursuant to the Public Contract Code 10700, et seq., by and between the Trustees of the California State University on behalf of

Campus, hereafter referred to as Trustees, and	Amendment No.:	Agreement No.:	Project No.:
California State University	N/A	180443	Systemwide - MEA
Service Provider, hereafter referred to as Service Provider.		CSU Vendor ID No.:	License or DIR No.:
Richard Niewiarowski		5963	S2079, C23143

WITNESSETH: That the Service Provider in consideration of the statements and conditions herein contained, agrees to furnish labor, materials, and equipment and to perform work necessary to complete, in a skillful manner the following:

The Service Provider shall provide seismic peer review services for public works projects submitted by the California State University. This agreement is a master enabling agreement under which each campus and the administrative office of the California State University may engage the services of Service Provider as provided herein. Campuses and the administrative office shall execute a Service Order and Authorization to Proceed (Exhibit C) to secure Service Provider's peer review services under this Agreement.

The Service Provider shall provide such services as more fully described in the following Rider and Exhibits, which by this reference are incorporated herein and made part of this Agreement:

Rider A - Agreement General Provisions, consisting of three (3) pages;

Exhibit A - Scope of Work, consisting of three (3) pages;

Exhibit B - Fee and Payment Schedule, consisting of one (1) page;

Exhibit C - Sample Service Order and Authorization to Proceed consisting of one (1) page.

The term shall begin upon receipt of an executed Agreement from July 1, 2019 and shall end as of June 30, 2021, with the option given the Trustees of extending the Agreement with the same items and conditions for one (1) additional one (1) year period.

The Service Provider shall not perform services in excess of the Agreement without prior written authorization to proceed from the Trustees.

Service Provider shall report to: California State University, Paul Gannoe, Chief of Planning and Design, Capital Planning, Design and Construction, (562)-951-4146.

The basic services amount to be expended under this Agreement shall be determined by the overall usage of each participating campus and the administrative office of the California State University. Payment shall be made in accordance with Rider A and Exhibits A, B, C.

TRUSTEES OF TE		SERVICE PROVIDER											
Campus		Firm Name											
The California State	llor	Richard Niewiarowski											
By (Trustees' Authorized Strature)							By (Authorized Signature)  Could my Christopher Signature  Printed Name and Title of Person Signature						
Printed Name and Title of Per	rson Signing					Prin	ited Name a	nd Titl	e of Pe	rson Signin	g		
Elvyra F. San	Juan, Assist	stant Vice	e Chanc	ellor				Richa	rd N	iewiarows	ki, Structura	l Engineer	
Address of Campus Project Administrator 401 Golden Shore Long Beach, CA 90802						Address of Service Provider  1946 Whitecliff Court  Walnut Creek, CA 94596							
SCO Fund Sul	b Fund Ag	gency	Yr. Ro	f/Item	Category	5	Program	Elen	nent	Componen	Chapter	Fiscal Yr.	Legal Ref.
Fund Name			PS Ac	count	PS Fu	ind	PS Dep	t ID	PS F	rogram	PS Class	PS Proj	ect/Grant
Trust Fund	d		6130	01p	485C1	1 1039 SY60			600PRJ				
Amount Encumbered \$0.00 Amount of Increase \$0.00	cxb	ponditures	stated ab	on my personal knowledge that budgeted funds are available for the period and purpose of the above.  8/24/19  nting Officer					e				
Amount of Decrease I hereby certify that I have examined the of California State University Contract La											in accordance	with the requ	irements
S0.00 Total Amount Encumbered \$0.00	Attorney		(1)	un fo	L	( -	2	br	la		Date 17	/19	

by other similar electronic means shall constitute effective execution and delivery of this Agreement and shall have the same effect as copies executed and delivered with original signatures.

### Rider A - Agreement General Provisions

- 1. Trustees agrees to pay for the services and materials to be furnished by Service Provider as provided by this Agreement. Payment in arrears shall be made upon Service Provider's completion of the services required by this Agreement to the satisfaction of the Trustees and upon Service Provider's submission of billings as shall be prescribed by the Trustees.
- 2. Service Provider, in the performance of this Agreement, is an independent contractor and is not an employee, agent, or officer of the Trustees.
- 3. Trustees may cancel this Agreement should Service Provider fail to perform as herein provided. In the event of such cancellation, Trustees shall be relieved of the obligation to make any payment to Service Provider and Trustees may proceed with the work in any manner the Trustees deem proper.
- 4. Trustees may terminate this Agreement either upon giving fifteen (15) days written notice or upon the immediate notice with payment of \$25.00 to Service Provider. Payment shall be complete by mailing payment to Service Provider at the address appearing on the face of this Agreement. In the event of such termination, Service Provider shall be paid only for the work satisfactorily completed.
- 5. Service Provider shall not assign benefits or delegate duties under this Agreement in whole or in part without the prior written approval of the Trustees. Thus, Service Provider may not assign any moneys due or to become due hereunder without the written consent of Trustees.
- 6. The provisions of this Agreement shall extend to and be binding upon and inure to the benefit of the heirs, executors, administrators, successors and assigns to the parties hereto.
- 7. No alteration or variation of the terms of this Agreement shall be valid unless made in writing and signed by the parties. Oral understandings or other agreements not incorporated herein shall not be binding.
- 8. Trustees may issue a written order with respect to the services to be performed under this Agreement at any time before the completion of the services. Trustees shall pay Service Provider an amount for such services as provided in this Agreement, or if not so provided, Trustees shall pay Service Provider a reasonable amount, which shall be agreed upon by the parties.
- 9. Any notice, which may be proper or necessary for either of the parties hereto to serve on the other, in case of Trustees, may be served effectually upon Trustees by delivering it in writing, addressed to the Trustees of the California State University, attention of the official executing this Agreement for Trustees, at CSU Office of the Chancellor, 401 Golden Shore, Long Beach, CA 90802, or by depositing it in a United States mail deposit box with first class postage thereon fully prepaid and addressed to Trustees at the above-mentioned address. In the case of Service Provider, notice may be served effectually upon Service Provider by delivering it in writing to Service Provider at the address appearing on the first page of this Agreement or depositing it in a United States mail deposit box with first class postage thereon fully prepaid, and addressed to Service Provider at the Service Provider's above-mentioned address. Any notice may also be served effectually by delivering or mailing it, as in this section provided, addressed to Trustees or Service Provider at any other place or places which Trustees or Service Provider, by written notice served upon the other, may designate, provided, however, that nothing herein shall preclude the giving of notice by personal service.
- 10. In the performance of this Agreement, the Service Provider will not discriminate against any employee or applicant for employment because of race, color, religion, ancestry, sex, age, national origin, physical handicap, medical condition, or marital status. The Service Provider will take-action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, ancestry, sex, age, national origin, physical handicap, medical condition, or marital status as prohibited by the California Fair Employment and Housing Act (Government Code Section 12900 et seq.).
- 11. Service Provider shall not utilize any information, not a matter of public record, which is received by reason of this Agreement, for pecuniary gain not contemplated by the terms of this Agreement, regardless of whether Service Provider is or is not under contract at the time such gain is realized (Education Code Section 89006).
- 12. The report, survey, or other product developed by Service Provider pursuant to this Agreement is the property of Trustees, and shall not be used in any manner by Service Provider unless authorized by Trustees.
- 13. In executing this service agreement, Service Provider swears, under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against Service Provider within the immediately preceding two-year period because of Service Provider's failure to comply with an order of a federal court which orders Service Provider to comply with an order of the National Labor Relations Board. Trustees may rescind this contract if Service Provider falsely swears to this statement (Public Contract Code Section 10296).

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- 14. If the Agreement exceeds \$10,000, the contracting parties shall be subject to the examination and audit of the State Auditor of the State of California for a period of three (3) years after final payment under the Agreement. This examination and audit shall be confined to those matters connected with the performance of this contract, including, but not limited to, the cost of administering this Agreement (Government Code Section 8546.7).
- 15. The Service Provider hereby certifies compliance with Government Code Sections 8355, 8356, and 8357 in matters relating to providing a drug-free workplace. In accordance with Government Code Section 8355, the Service Provider shall:
  - A. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations;
  - B. Establish a Drug-Free Awareness Program to inform employees about all of the following:
    - (1) The dangers of drug abuse in the workplace,
    - (2) The Service Provider's policy of maintaining a drug-free workplace,
    - (3) Any available counseling, rehabilitation, and employee assistance programs, and
    - (4) Penalties that may be imposed upon employees for drug abuse violations;
  - C. Require that each employee engaged in the performance of the Agreement be given a copy of the statement required by subpart A, and require that each employee, as a condition of employment on the Agreement, agree to abide by the terms of the statement.
- 16. Responsive to direction from the State Legislature (Public Contract Code Section 10115 et seq.), the Trustees are seeking to increase the statewide participation of disabled veteran business enterprises in contract awards. To this end, Service Provider shall inform the Trustees of any contractual arrangements with consultants or suppliers that are certified disabled veteran business enterprises.
- 17. If the Service Provider is a natural person, the Service Provider certifies by signing this Agreement that s/he is a citizen or national of the United States or otherwise qualified to receive public benefits under the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193; 110 STAT. 2105, 2268-69), State of California Governor's Executive Order W-135-96.
- 18. If the Service Provider is a corporation, the Service Provider certifies and declares by signing this Agreement that it is eligible to contract with the State of California pursuant to the California Taxpayer and Shareholder Protection Act of 2003 (Public Contract Code Section 10286 et seq.).
- 19. The Service Provider shall not commence work until it has obtained all the insurance required in this Article, and such insurance has been approved by the Trustees.
  - A. Service Provider shall obtain and maintain the following policies and coverage. The insurance furnished by the Service Provider under this Article shall provide coverage in amounts not less than the following, unless a different amount is stated on the Cover Page of this Agreement:
    - (1) <u>Comprehensive or Commercial Form General Liability Insurance</u>: on an occurrence basis, covering work done or to be done by or on behalf of the Service Provider and providing insurance for bodily injury, personal injury, property damage, and contractual liability. The aggregate limit shall apply separately to the work. Limits of Liability:

\$2,000,000.00 General Aggregate

\$1,000,000.00 Each Occurrence—combined single limit for bodily injury and property damage.

- (2) <u>Business Automobile Liability Insurance</u>: on an occurrence basis, covering owned, scheduled, hired, and non-owned automobiles used by or on behalf of the Service Provider and providing insurance for bodily injury, property damage, and contractual liability. Limits of Liability:
  - \$1,000,000.00 Each Accident—combined single limit for bodily injury and property damage.
- (3) Workers' Compensation: including Employers Liability limits of \$1,000,000.00 and other limits as required under California law.
- (4) Errors and Omissions Insurance: on an occurrence basis is preferred, covering work done or to be done by or on behalf of the Service Provider and providing insurance for errors and omissions in the amount of \$1,000,000.00 each occurrence. At a minimum, Service Provider shall obtain and maintain errors and omissions insurance on a claimsmade basis for no less than \$1,000,000.00 each claim and \$2,000,000.00 annual aggregate, and certification of coverage shall be submitted to the Trustees upon signing of this Agreement. If the total contract amount exceeds \$1,000,000, Service Provider shall renew and keep such insurance in effect for at least five (5) years after the recordation of the notice of completion.

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- B. Service Provider shall submit to the Trustees certificates of insurance and original endorsements to the policies of insurance required by the agreement as evidence of the insurance coverage. The scope of coverage and deductible shall be shown on the certificate of insurance. The certificates of insurance and endorsements shall provide for no cancellation of coverage without thirty (30) days written notice to the Trustees, as specified in Section 19-C (3). Renewal certifications and endorsements shall be timely filed by the Service Provider for all coverage until the work is accepted as complete. The Trustees reserve the right to require the Service Provider to furnish the Trustees complete, certified copies of all required insurance policies. The Service Provider shall notify the Trustees in writing of any material change in insurance coverage.
- C. The insurance policies shall contain, or be endorsed to contain, the following provisions:
  - (1) For the general and automobile liability policies, the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall be covered as additional insureds.
  - (2) For any claims related to the work, the Service Provider's insurance coverage shall be primary insurance as respects the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents. Any insurance or self-insurance maintained by the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall be in excess of the Service Provider's insurance and shall not contribute with it.
  - (3) Each insurance policy required by this Article shall state that coverage shall not be canceled by either party, except after thirty (30) days prior written notice by certified mail, return receipt requested, has been given to the Trustees.
  - (4) The State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall not by reason of their inclusion as additional insureds incur liability to the insurance carriers for payment of premiums for such insurance.
- D. Insurers shall be licensed by the State of California to transact insurance and shall hold a current A.M. Best's rating of no less than A:VII or equivalent carrier otherwise acceptable to the Trustees.
- E. Miscellaneous:
  - (1) Any deductible under any policy of insurance required in this Article shall be Service Provider's liability.
  - (2) Acceptance of certificates of insurance by the Trustees shall not limit the Service Provider's liability under the agreement.
  - (3) In the event the Service Provider does not comply with these insurance requirements, the Trustees may, at its option, provide insurance coverage to protect the Trustees. The Service Provider shall pay the cost of the insurance and, if prompt payment is not received by the insurance carrier from the Service Provider, the Trustees may pay for the insurance from agreement sums otherwise due the Service Provider.
  - (4) If the Trustees are damaged by the failure of Service Provider to provide or maintain the required insurance, the Service Provider shall pay the Trustees for all such damages.
  - (5) The Service Provider's obligations to obtain and maintain all required insurance are non-delegable duties under this agreement.
- 20. The Service Provider agrees to hold harmless, defend, and indemnify the State of California, the Trustees of the California State University, the University, and the officers, employees representatives, and agents of each of them from any and all claims, damages, losses, causes of action and demands, and all costs and expenses incurred in connection therewith, resulting from or in any manner arising out of or in connection with any negligent act or omission or willful misconduct on the part of the Service Provider, its officers, agents, and employees, in the performance of this Agreement. This provision shall survive the expiration or termination of this Agreement.
- 21. In accordance with Labor Code Section 1720 et seq., Service Provider must pay employees at least the prevailing rate wages for public works performed on the Project, including preconstruction inspection and surveying. If and to the extent the Project will involve public works, Service Provider must register with the Department of Industrial Relations (DIR) to bid and/or perform work on the Project, and Service Provider must submit certified payroll records to DIR at least monthly for such work. The Project is subject to DIR monitoring and enforcement.

#### End of Rider A

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## Exhibit A - Scope of Work CSU Seismic Peer Review

#### 1. Purpose and Scope:

[Excerpted from CSU Seismic Policy] The California State University shall cause to be performed independent technical peer reviews of the seismic aspects of all construction projects from their design initiation, including both new construction and remodeling, for conformance to good seismic resistant practices consistent with this policy.

#### 2. Authorization of Work:

- A. Only trustees' representatives (individual campus or Office of the Chancellor, CPDC staff) may authorize work under this agreement.
- B. CPDC administers the Master Enabling Agreement. Individual campuses participate in this agreement. CPDC assigns peer review responsibilities and may change or add new assignments during the agreement term. No minimum assignment of work to the Service Provider is guaranteed.
- C. The Service Provider shall seek to avoid conflicts of interest and the appearance of conflicts of interest by not accepting assignments where there is current contractual relationship between the Service Provider and the engineering firm whose work is being reviewed.
- D. Work assignments under this Agreement will be authorized by a Service Order and Authorization to Proceed (Exhibit C).
- E. Service Orders and Authorizations to Proceed may be issued under this Agreement during the Agreement term. Work authorized within the term of this Agreement may be conducted and completed after the expiration of the contract term of this Agreement unless the Agreement is terminated prior to the expiration of its term in writing by the trustees.

#### 3. The Peer Review:

A Seismic Peer Review is a required review for CSU major capital projects. It is elective by the campus for CSU minor capital projects. The primary purpose of the seismic peer review is to offer a knowledgeable professional 'second opinion' and strategic insights on the functionality and efficacy of the project's structural/seismic system. Although code compliance is to be considered as a part of this review, the review is not intended as a substitute for the independent code compliance check that the campus will be undertaking separately and in parallel to this work.

While the peer review process supports design development of the project, the Project Architect/Engineer of Record retains full responsibility for the design documents including their completeness and function.

- A. The Service Provider is authorized to begin the peer review upon receipt of a Service Order Authorization. Before considering a project in full, assess if the project warrants seismic peer review. If it does not, provide a brief letter noticing this instead of the peer review.
- B. For each project accepted and appropriate for peer review, provide a Seismic Peer Review of the project. Ideally the peer review will begin at the inception of the project. Where the Service Order is issued prior to the start of the design efforts, review for appropriate RFP reference to CSU Seismic Guidelines and for particular project, program, or site considerations of note.
- C. Service Provider may suggest potential design options, analysis perspectives, and relay CSU Systemwide experience in materials performance, location and configuration considerations that the design team can build upon in the development of the project.
- D. Service Provider may offer recommendations of possible methods for the resolution of technical issues encountered in the design and construction. The responsibility for implementing peer review comments rests completely with the Architect/Engineer of Record.
- E. If timely response resolution of peer review comments cannot be reached at the campus level (differing professional opinions are perfectly reasonable), bring such issues to the attention of CPDC. CPDC will seek to achieve a broader secondary SRB Board consensus as needed and then CPDC will issue a timely resolution determination on the respective issue(s).
- F. Provide peer review comments in Word (readable) format.
- G. During the construction phase of a project, the Service Provider shall be available as a part of this work as a resource to the trustees for review and assessment of technical issues that may be encountered.
- H. Submittal materials for a peer review will vary by phase, but need to be sufficiently complete to perform a meaningful peer review. If the submittal is incomplete contact the campus for guidance. Contact CPDC if this cannot be readily or timely resolved at the campus level.
- I. Each peer review shall be tailored to the submittal phase, with concept development comments at Schematics. The review shall seek to verify sensible development of the schematic plan in later phases.
- J. Peer reviews are encouraged to be supplemented by a phone conversation or in-person discussion with the Engineer of Record. This may be particularly beneficial at the onset of the project to clarify requirements and at the schematic phase where submittals are largely narrative. By the construction document phase, submittals should include comprehensive and complete plans, specifications, engineering calculations, and soils investigation reports.

Scope of Work 7/25/2017

- K. For each seismic peer review consider each of the following aspects:
  - General compliance with the structural and seismic provisions of the California Building Standards Code (CBC) in Title 24 of the California Code of Regulations, as adopted and published by the California Building Standards Commission;
  - (2) Compliance with the current edition of the CSU Seismic Requirements posted online, (CPDC Website)
  - Compliance with good professional practice including completeness of submittals and cooperation of the Engineer of Record with the peer review process;
  - Local site conditions, exposure to seismic ground motion and seismic failure, and vulnerability of the structural system;
  - (5) Compatibility of proposed building assemblies to sustain deformation without destructive interference;
  - (6) In the case of existing structures, the condition of building assemblies;
  - (7) Configuration of building plan and elevations;
  - (8) Continuity of load path of structural elements and connections to carry gravity loads to the foundations and from foundations into the ground;
  - Continuity of load path of structural elements and connections to carry seismic inertial loads from diaphragms to lateral-load resting shear elements to foundations and from foundations into ground;
  - (10) Redundancy of lateral load resisting elements;
  - (11) Behavior of the elements and connections of the design under reversing lateral loads, and the post-yielding behavior (ductility) of the element, if it should occur, and its impact on system performance and reliability;
  - (12) The anticipated seismic performance of significant non-structural architectural elements and equipment;
  - (13) Provide copies of the project seismic peer reviews to the campus-assigned plan check review firm that is separately providing review work on this project so that plan check and seismic peer review comments are shared and mutually considered in the development in each respective review. It is not necessary to incorporate the plan check comments or to delay the seismic peer review pending the plan check review. Coordinate with the campus for plan review firm contact information. While the seismic peer review process will have started from project inception, typically the plan check review process will engage at the later part of the Construction Document phase. Sharing the seismic peer reviews from earlier phases will help inform the plan check team;
  - (14) Provide supplemental campus advisory as warranted for conditions reported during construction that may materially impact the seismic performance and reliability of the constructed building.

#### 4. Timing of Reviews:

The Service Provider shall provide a peer review letter at each of the following points in the project development:

Schematic Phase

Preliminary Phase

Construction Document Phase

Construction Phase deferred submittals and advisory as may be called upon.

At approximately 75% schematic phase in anticipation of a CPDC project review, provide a letter stating concurrence (or not) with the proposed design approach of the structural systems.

- 5. Distribution of Peer Review Letters:
  - A. Provide a PDF copy via email.
  - B. Provide PDF copies of all peer reviews and correspondence via email to each of the following:
  - C. Campus-assigned independent plan check firm, project architect, project engineer and CPDC.
  - D. Marked up drawings are not required or desired.

#### 6. Response Time for Reviews:

Provide timely reviews. Review durations of seven (7) to twenty-one (21) days are acceptable in general. Keep the campus advised of projected review times and work to recognize and accommodate campus schedule concerns. Keep both the campus and CPDC informed as needed should coordination between any party become untimely or under-responsive. CPDC in particular needs to be aware of architecture and engineering performance trends.

#### 7. Back-Check Reviews:

- A. Provide timely back-check review(s) of resubmitted plans during the course of the project. This back-check shall include an assessment whether comments made in the prior reviews have been addressed, and identify any prior comments that have not been satisfactorily addressed and their impact on the project if constructed as presented.
- B. Issue back-check comments to campus, design team and CPDC.
- C. At the completion of the design review provide a PDF letter to the campus and CPDC that the peer review phase comments have been resolved to the satisfaction of the reviewing board member.

Scope of Work

#### 8. Deferred Submittals:

- A. Confirm acceptance of proposed structurally related deferred items with the campus as a part of the review and require that deferred submittals be listed in Division One of the project specifications and plans cover sheet as a peer review comment. Deferred structural submittals shall be identified in the final peer review concurrence letter.
- B. Review of individual deferred submittal items shall be provided as a part of the peer review.
- C. Advise campus and CPDC if it appears deferred submittals identified in the final concurrence letter are not being submitted during the construction phase.

#### 9. Phased Construction:

Phased construction of infrastructure, foundation, shell, tenant improvements, and other increments of construction are common to contemporary CSU construction. As part of peer review, assess the impact and timing of the campus phased construction plan relative to scheduling for peer review of deferred submittal and phased construction document packages. Timing in this regard is critical and requires interaction and coordination by all team members. Advise campus and CPDC if untimely or under-responsive actions occur.

#### 10. Extra Services:

- A. Extra Services are not anticipated to be needed in the typical course of providing these reviews. The following would be examples of potential Extra Service work:
  - Pre-design project studies.
  - (2) Participation in campus design development beyond peer review, such as attendance at project design meetings or project site visits.
  - (3) Substantial stop/restart or re-characterization of original peer review scope.
- B. Extra Services require pre-authorization in writing by the project manager.

#### 11. Reimbursable Expenses:

- A. The fee for the seismic peer review is inclusive of expenses incidental to this work. Reimbursable expenses are not anticipated in the typical course of providing a seismic peer review.
- B. Reasonable travel expenses when requested by the campus will be reimbursable as part of an Extra Service Authorization.

#### 12. Project Administration:

The master agreement and scope for this project is managed centrally by the Office of the Chancellor. Individual campuses are authorized to secure (and pay for) services under this agreement.

Direct inquiries for process, review challenges and general contract questions to:

California State University, Office of the Chancellor Capital Planning, Design and Construction
401 Golden Shore, 2<sup>nd</sup> Floor
Long Beach, California 90802-4210
Attention: Paul Gannoe, Chief Facilities Planning
Telephone: (562) 951-4146
Email: pgannoe@calstate.edu

Direct inquiries for individual projects and normal review interaction to the respective campus authorizing the work.

End of Exhibit A

Scope of Work

## Exhibit B – Fee and Payment Schedule CSU Seismic Peer Review

#### 1. Fee Schedule:

The Peer Review Fee is derived from a standard project Architect Engineer Fee as calculated from the CSU Architect/Engineer Lump Sum Fee Formula posted online at: <a href="http://www.calstate.edu/cpdc/Suam/Appendices/Appendix C.shtml">http://www.calstate.edu/cpdc/Suam/Appendices/Appendix C.shtml</a>

The campus, or alternatively CPDC, can provide the Architecture and Engineering Fee value used so that the Service Provider may calculate the appropriate peer review fee.

Project Design Fee	Seismic Peer Review Fee
Less than \$25,000	.05 times the standard design fee and the minimum peer review fee shall be no less than \$500.
Between \$25,000 to \$100,000	\$1,250 plus .03 times the excess of the design fee over \$25,000
Between \$100,000 to \$500,000	\$3,500 plus .02 times the excess of the design fee over \$100,000
Higher than \$500,000	\$11,500 plus .01 times the excess of the design fee over \$500,000

#### 2. Payment and Invoicing:

- A. Payments for services shall be made in arrears for work completed to the satisfaction of the trustees upon presentation of a written invoice.
- B. Payments will be authorized at the following milestone points:
  - (1) Fifty percent (50%) upon completion Preliminary Phase Review.
  - (2) Fifty percent (50%) upon completion Construction Document Phase Review.
- C. Extra services, if any, shall be paid in arrears when completed.
- D. Reimbursable expenses, if any, shall be paid in arrears when invoiced.
- E. Invoicing for services and reimbursable expenses shall:
  - (1) Be sent to the campus named in the Service Order and Authorization to Proceed.
  - (2) Identify campus, project name, project reference number, Service Authorization Order number.
  - (3) Indicate work and the percentage completed.

#### End of Exhibit B

Fee and Payment Schedule

Revised 7/12/2019

## THE CALIFORNIA STATE UNIVERSITY

## Exhibit 'C' - Service Order and Authorization to Proceed, Seismic Peer Review

Date	
Mr. Niewiarowski 1946 Whitecliff Court Walnut Creek, CA 94596	
Dear Mr. Niewiarowski Serv	[Project Name], [Project Number] [Campus] rice Order & Authorization to Proceed Number [insert]
In accordance with the provisions of the Sys [insert as appropriate].	stemwide Master Enabling Agreement Number 180443, you are hereby authorized to provide
[Provide seismic peer review for the subject	project].
The Service Provider shall not perform servi University.	ices in excess of this Service Order without prior written authorization to proceed from the
Service Provider shall report to:	
The total amount to be expended under this	[CSU Campus Name] [Campus Department]  xecutive Dean or designated campus project manager] [Campus Address] [Campus Project Manager's Phone Number]  Service Order shall not exceed [written and numerical dollar value] inclusive of reimbursables orming these services. Service Provider shall submit all invoices with the Agreement and
Service Order & Authorization to Proceed n	number on each invoice to the project manager named above.
Questions regarding this authorization shall	be directed to the above-named project manager.
Approved:	Fund Certified:
[Name] [Department Head] [Department]	[Name] [Accounting/Fiscal Officer] [Department]
сс:	



### CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 07/30/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. If SUBROGATIONIS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

confer rights to the certificate no	ider in lieu of such endorsemer	it(S).								
PRODUCER		CONTACT								
ART HOLLINGSWORTH INS SVCS/I	PHS	NAME:								
57110311		PHONE	(866) 467-8730	FAX	(000) 110 0112					
The Hartford Business Service Cente	r	(A/C, No, Ext):		(A/C, No):						
3600 Wiseman Blvd		E-MAIL								
San Antonio, TX 78265		ADDRESS:								
<b>C</b> a,			INSURER(S) AFFORDING COVERAGE		NAIC#					
INSURED		INSURER A:	Sentinel Insurance Company Ltd.		11000					
RICHARD W. NIEWIAROWSKI		INSURER B:								
1946 WHITECLIFF CT WALNUT CREEK CA 94596-6226		INSURER C:								
		INSURER D:								
		INSURER E:								
		INSURER F:								
COVERAGES	CERTIFICATE NUMBER:		REVISION NUMBER	:						

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED.NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSR	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/Y YYY)	LIMITS	
	COMMERCIAL GENERAL LIABILITY	III	1112		(11111)	(11111)	EACH OCCURRENCE	\$1,000,000
	CLAIMS-MADE X OCCUR						DAMAGE TO RENTED PREMISES (Ea occurrence)	\$1,000,000
	χ General Liability						MED EXP (Any one person)	\$10,000
Α		Х		57 SBM DO7161	07/01/2019	07/01/2020	PERSONAL & ADV INJURY	\$1,000,000
	GEN'L AGGREGATE LIMIT APPLIES PER:						GENERAL AGGREGATE	\$2,000,000
	POLICY PRO- JECT X LOC						PRODUCTS - COMP/OP AGG	\$2,000,000
	OTHER:  AUTOMOBILE LIABILITY						COMBINED SINGLE LIMIT	
	ANY AUTO						(Ea accident)  BODILY INJURY (Per person)	
	ALL OWNED SCHEDULED						` ' /	
	AUTOS AUTOS						BODILY INJURY (Per accident)	
	HIRED NON-OWNED						PROPERTY DAMAGE	
	AUTOS AUTOS						(Per accident)	
	UMBRELLA LIAB OCCUR						EACH OCCURRENCE	
	EXCESS LIAB CLAIMS- MADE						AGGREGATE	
	DED RETENTION \$							
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY						PER OTH- STATUTE ER	
	ANY Y/M	ı					E.L. EACH ACCIDENT	
	PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/ A					E.L. DISEASE -EA EMPLOYEE	
	(Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below						E.L. DISEASE - POLICY LIMIT	
Α	DATA BREACH - DEFENSE & LIAB COVG			57 SBM DO7161	07/01/2019	07/01/2020		\$50,000
DESC	RIPTION OF OPERATIONS / LOCATIONS /	EHICLE	S (ACC	RD 101, Additional Remarks Sc	hedule, may be atta	ched if more spac	e is required)	
Thos	se usual to the Insured's Operations	s. Plea	se see	e additional remarks.				

CANCELLATION
SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED
BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED
IN ACCORDANCE WITH THE POLICY PROVISIONS.
AUTHORIZED REPRESENTATIVE
Sugan S. Castaneda

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AGENCY CUSTOMER ID:	
LOC#:	



## **ADDITIONAL REMARKS SCHEDULE**

Page \_2 \_ of \_2

AGENCY		NAMED INSURED										
ART HOLLINGSWORTH INS SVCS/PHS		RICHARD W. NIEWIAROWSKI 1946 WHITECLIFF CT										
POLICY NUMBER												
SEE ACORD 25		WALNUT CREEK CA 94596-6226										
CARRIER	NAIC CODE											
SEE ACORD 25												
		EFFECTIVE DATE: SEE ACORD 25										
ADDITIONAL REMARKS												
THIS ADDITIONAL REMARKS FORM IS A SCHEDULE	TO ACORD FORM											
FORM NUMBER: ACORD 25 FORM TITLE:	CERTIFICAT	TE OF LIABILITY INSURANCE										
ADDITIONAL REMARKS  THIS ADDITIONAL REMARKS FORM IS A SCHEDULE TO ACORD FORM												



### CERTIFICATE OF LIABILITY INSURANCE

**DATE (MM/DD/YYYY)** 8/15/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

	SUBROGATION IS WAIVED, Subject is certificate does not confer rights to							equire an endorsement	. A Sta	itement on
	DUCER				CONTAC NAME:					
	aley, Renton & Associates				PHONE (A/C, No, Ext): 714-427-3489 (A/C, No): 510-452-2193					
	D. Box 12675 kland CA 94604-2675				E-MAIL ADDRESS: jgritton@dealeyrenton.com					
Oui	tiana 6/1 54004 20/0				ADDRES			DING COVERAGE		NAIC#
			INCLIDE	RA: XL Speci				37885		
INSU	RED			RICHANIEW	INSURE	•	iaity irisurario	<del>c 00.</del>		07000
	hard Niewiarowski Structural				INSURE					
	gineer 6 Whitecliff Court									
	Inut Creek CA 94596				INSURE					
					INSURE					
COV	/ERAGES CER	TIEI	`^ TE	E NUMBER: 1636132246	INSURE	KF:		REVISION NUMBER:		
	IIS IS TO CERTIFY THAT THE POLICIES				/F BEFI	N ISSUED TO			IF POLI	CY PERIOD
IN	DICATED. NOTWITHSTANDING ANY RE	QUIF	REME	NT, TERM OR CONDITION	OF ANY	CONTRACT	OR OTHER	OCUMENT WITH RESPEC	T TO V	WHICH THIS
	ERTIFICATE MAY BE ISSUED OR MAY F CCLUSIONS AND CONDITIONS OF SUCH I							HEREIN IS SUBJECT TO	) ALL T	HE TERMS,
INSR		ADDL	SUBR		DEEN K	POLICY EFF (MM/DD/YYYY)				
LTR	TYPE OF INSURANCE COMMERCIAL GENERAL LIABILITY	INSD	WVD	POLICY NUMBER		(MM/DD/YYYY)	(MM/DD/YYYY)	LIMIT		
								DAMAGE TO RENTED	\$	
	CLAIMS-MADE OCCUR							PREMISES (Ea occurrence)	\$	
								MED EXP (Any one person)	\$	
								PERSONAL & ADV INJURY	\$	
	GEN'L AGGREGATE LIMIT APPLIES PER:							GENERAL AGGREGATE	\$	
	POLICY PRO- JECT LOC							PRODUCTS - COMP/OP AGG	\$	
	OTHER:							COMBINED SINGLE LIMIT	\$	
	AUTOMOBILE LIABILITY							(Ea accident)	\$	
	ANY AUTO OWNED SCHEDULED							BODILY INJURY (Per person)	\$	
	AUTOS ONLY AUTOS NON-OWNED							BODILY INJURY (Per accident)	\$	
	AUTOS ONLY AUTOS ONLY							PROPERTY DAMAGE (Per accident)	\$	
									\$	
	UMBRELLA LIAB OCCUR							EACH OCCURRENCE	\$	
	EXCESS LIAB CLAIMS-MADE							AGGREGATE	\$	
	DED RETENTION \$ WORKERS COMPENSATION							PER OTH	\$	
	AND EMPLOYERS' LIABILITY  Y/N							PER OTH- STATUTE ER		
	ANYPROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A						E.L. EACH ACCIDENT	\$	
	(Mandatory in NH)  If yes, describe under							E.L. DISEASE - EA EMPLOYEE		
	DÉSCRIPTION OF OPERATIONS below							E.L. DISEASE - POLICY LIMIT	\$	0.000
Α	Professional Liability			DPS9944927		7/1/2019	7/1/2021	Per Claim Aggregate	\$1,000 \$2,000	
	RIPTION OF OPERATIONS / LOCATIONS / VEHICL operations of the named insured - Agree				e, may be	attached if more	e space is require	ed)		
	3									
CEF	RTIFICATE HOLDER				CANC	ELLATION	30 Days Notic	ce of Cancellation		
					SHO	ULD ANY OF 1	THE ABOVE D	ESCRIBED POLICIES BE CA	ANCELL	ED BEFORE
								REOF, NOTICE WILL E	E DEL	.IVERED IN
	California State University,	Offic	ce of	the Chancellor	ACC	ORDANCE WI	IN INE POLIC	Y PROVISIONS.		
	401 Golden Shore, 5th FL				AUTHOR	RIZED REPRESEI	NTATIVE			
	Long Beach CA 90802				/.3.7.07					
					yre-					



### CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY) 08/20/2019

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

τn	s certificate does not confer rights to	o tne	certi	mcate noider in lieu of su							
	UCER				CONTAC NAME:	CT Sam Lim	ones				
Sta	te <b>Farm</b> Sam Limones, Agent				PHONE 925-944-9316 FAX 925-944-0632						
	6590 Alhambra Ave Suit	te 1∩	0		E-MAIL cam limonos cogo@statofarm.com						
	Martinez, CA 94553	10	•		ADDRE	33:	10				
	Martinez, CA 94555				INSURE	01.1.		RDING COVERAGE utomobile Insurance Com	oanv	NAIC # 25178	
INSUF	RED				INSURE	01.1		Casualty Company	,	25143	
	Richard Niewiarowski				INSURE	KD.		outland company		20110	
	1946 Whitecliff Ct				INSURE						
	Walnut Creek, CA 94596				INSURE						
	,				INSURE						
COV	ERAGES CER	TIFIC	CATE	NUMBER:	IIIOOIIL			REVISION NUMBER:			
INI CE	IS IS TO CERTIFY THAT THE POLICIES DICATED. NOTWITHSTANDING ANY RE RTIFICATE MAY BE ISSUED OR MAY CLUSIONS AND CONDITIONS OF SUCH	QUII PER	REME ΓΑΙΝ,	NT, TERM OR CONDITION THE INSURANCE AFFORD	OF AN ED BY	Y CONTRACT THE POLICIE REDUCED BY	OR OTHER S DESCRIBE PAID CLAIMS.	DOCUMENT WITH RESPE D HEREIN IS SUBJECT T	CT TO	WHICH THIS	
NSR LTR	TYPE OF INSURANCE		SUBR WVD	POLICY NUMBER		POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMIT	s		
	CLAIMS-MADE OCCUR	III O						EACH OCCURRENCE DAMAGE TO RENTED PREMISES (Ea occurrence)	\$		
								MED EXP (Any one person)	\$		
								PERSONAL & ADV INJURY	\$		
	GEN'L AGGREGATE LIMIT APPLIES PER:							GENERAL AGGREGATE	\$		
	POLICY PRO- JECT LOC							PRODUCTS - COMP/OP AGG	\$		
	OTHER:							COMBINED SINGLE LIMIT	\$		
	AUTOMOBILE LIABILITY							(Ea accident)	\$		
,	ANY AUTO OWNED SCHEDULED			447 2280-B15-05		08/15/2019	02/15/2020	BODILY INJURY (Per person)	\$ 500	,	
Α	AUTOS ONLY AUTOS NON-OWNED							BODILY INJURY (Per accident) PROPERTY DAMAGE	\$ 500	<u> </u>	
ŀ	AUTOS ONLY AUTOS ONLY							(Per accident)	\$ 500	,000	
	UMPRELLATION								\$	00.000	
В	UMBRELLA LIAB OCCUR			57-EC-W5630		08/15/2019	08/15/2020	EACH OCCURRENCE	\$ 2,00 \$ 2,00		
<u>В</u>	EXCESS LIAB CLAIMS-MADE			37-LC-VV3030		00/13/2019	00/13/2020	AGGREGATE		00,000	
	DED   RETENTION \$ WORKERS COMPENSATION							PER OTH- STATUTE ER	\$		
	AND EMPLOYERS' LIABILITY Y / N										
	ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED?	N/A						E.L. EACH ACCIDENT	\$		
	(Mandatory in NH) If yes, describe under							E.L. DISEASE - EA EMPLOYEE			
	DÉSCRIPTION OF OPERATIONS below							E.L. DISEASE - POLICY LIMIT	\$		
"The	RIPTION OF OPERATIONS / LOCATIONS / VEHICE State of California, the Trustees of the ered as additional insureds."								, and a	gents shall be	
CEE	TIEICATE HOLDER				CANC	YELL ATION					
CER	TIFICATE HOLDER				CANC	ELLATION					
California State University Office of the Chancellors					SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.						
	401 Golden Shore, 5th Floor				AUTHO	RIZED REPRESE	NTATIVE				
	Long Beach, CA 90802										

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