This AGREEMENT is made and entered into this 15th day of July, 2021 pursuant to the Public Contract Code 10700, et seq., by and between the Trustees of the California State University on behalf of

<table>
<thead>
<tr>
<th>Campus, hereafter referred to as Trustee, and</th>
<th>Amendment No.</th>
<th>Agreement No.</th>
<th>Is agreement for</th>
<th>Project No.</th>
</tr>
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<tbody>
<tr>
<td>California State Office of the Chancellor</td>
<td>N/A</td>
<td>20-407</td>
<td>Design Professional Services</td>
<td>N/A</td>
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<tr>
<td>Service Provider, hereafter referred to as Service Provider</td>
<td>CSU Vendor ID No.:</td>
<td>License Number:</td>
<td>No (CP-8a)</td>
<td>N/A</td>
</tr>
<tr>
<td>KTU&amp;A</td>
<td>12732</td>
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</table>

WITNESSETH: That the Service Provider in consideration of the statements and conditions herein contained, agrees to furnish labor, materials, and equipment and to perform work necessary to complete, in a skillful manner: Grant Writing Services.

This Agreement is a Master Enabling Agreement under which each campus and administrative office of the California State University may engage the specified services as provided herein. Campuses shall execute a Service Order & Authorization to Proceed to secure services for the Scope of Work included as Exhibit A.

The Service Provider shall provide the required services necessary in accordance with the following Riders, which may be awarded as a single project with multiple phases through the Notice to Proceed attachments, which by this reference are incorporated herein and made part of this Agreement.

Rider A – General Provisions, consisting of four (4) pages;
Rider B – Agreement Specific Provisions, consisting of one (1) page;
Rider C – Organizational Chart, consisting of one (1) page;
Rider D – Billing Rates, consisting of one (1) page;
Rider E – Campus Service Order, consisting of one (1) page;
  i. Exhibit A: Scope of Work; consisting of one (1) page;
  ii. Exhibit B: Fee Schedule; consisting of one (1) page;
  iii. Exhibit C: Organizational Chart; consisting of one (1) page;
  iv. Exhibit D: Project Schedule; consisting of one (1) page;
  v. Exhibit E: Small Business Preference and Certification Request consisting of one (1) page;
  vi. Exhibit F: Disabled Veteran Business Enterprise DVBE Participation Requirement consisting of seven (7) pages;
Attachment 1: Notice to Proceed – Grant Writing, consisting of one (1) page.

The term shall begin upon receipt of a fully executed Agreement and written authorization to proceed from the Trustees. The term of this Agreement shall be five (5) years from July 15, 2021 to July 15, 2026, with the option by the Trustees of extending the Agreement with the same terms and conditions for two (2) additional one-year (1-year) periods. Work elements started during the term shall continue to their completion and acceptance by the Trustees.

The Service Provider shall not perform services in excess of the Agreement without prior written authorization to proceed from the Trustees or Campus Project Manager. The total amount to be expended under this Agreement shall be determined by the overall usage by each participating campus and administrative office of the California State University. Payment shall be made in accordance with the Exhibits herein.
Service Provider shall report to the Contract Administrator, Michael Clemson, Carbon Reduction Manager, Capital Planning, Design and Construction, mclemson@calstate.edu, (562) 951-4291.

<table>
<thead>
<tr>
<th>Trustees of the California State University</th>
<th>Service Provider</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus</td>
<td>Firma Name</td>
</tr>
<tr>
<td>The California State University, Office of the Chancellor</td>
<td>KTUA</td>
</tr>
<tr>
<td>By (Trustees' Authorized Signature)</td>
<td>By (Authorized Signature)</td>
</tr>
<tr>
<td>Elvyra F. San Juan, Assistant Vice Chancellor</td>
<td></td>
</tr>
<tr>
<td>Printed Name and Title of Person Signing</td>
<td>Printed Name and Title of Person Signing</td>
</tr>
<tr>
<td>Joe Funesal, Principal Transportation Planner/Grant Reviewer</td>
<td></td>
</tr>
<tr>
<td>Address of Campus Project Administrator</td>
<td>Address of Service Provider</td>
</tr>
<tr>
<td>401 Golden Shore, Long Beach, CA 90802-4210</td>
<td>3916 Normal Street, San Diego, CA 92103</td>
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<th>Agency</th>
<th>Yr.</th>
<th>Code/Item</th>
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<th>Program</th>
<th>Element</th>
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Amount Encumbered: $0.00

I hereby certify upon my personal knowledge that budgeted funds are available for the period and purpose of the expenditures stated above.

Amount of Increase: $0.00

Signature of Accounting Officer: Christopher C. Fowler

Date: 07/26/2021

Amount of Decrease: $0.00

I hereby certify that I have examined the written Agreement and find the same to be in accordance with the requirements of California State University Contract Law. C. ANDREW JONES, General Counsel

Total Amount Encumbered: $0.00

By Attorney: Christopher C. Fowler

Date: 07/26/2021

This Agreement may be executed in counterparts all of which taken together shall constitute one and the same Agreement. The exchange of copies of this Agreement by electronic mail in "portable document format" ("PDF") form or by other similar electronic means shall constitute effective execution and delivery of this Agreement and shall have the same effect as copies executed and delivered with original signatures.
Rider A - Agreement General Provisions,
Service Provider

1. Service Provider Relationship. Service Provider, in the performance of this Agreement, is an independent contractor and is not an employee, agent, or officer of the Trustees.

2. Payments. Payments under this Agreement shall be made in arrears of work increment completed to the satisfaction of the Trustees and upon submission of an invoice in CSU invoice format. If not otherwise specified payments for services rendered will be processed monthly upon presentation of invoice.

3. Services. Trustees may issue a written order with respect to the services to be performed under this Agreement at any time before the completion of the services. Trustees shall pay Service Provider an amount for such services as provided in this Agreement, or if not so provided, Trustees shall pay Service Provider a reasonable amount, which shall be agreed upon by the parties.

4. Service Provider shall not utilize any information, not a matter of public record, which is received by reason of this Agreement, for pecuniary gain not contemplated by the terms of this Agreement, regardless of whether Service Provider is or is not under contract at the time such gain is realized (Education Code Section 89006).

5. Ownership. The report, survey, or other product developed by Service Provider pursuant to this Agreement is the property of Trustees and shall not be disseminated to others by Service Provider unless authorized by Trustees.

6. Termination for Convenience. Trustees may terminate this Agreement upon a three (3) business-day advance written notice to Service Provider. In the event of such termination, Service Provider shall be paid only for the work satisfactorily completed.

7. Termination for Cause. Trustees may terminate this Agreement for cause should Service Provider fail to perform as herein provided. In the event of such termination, Trustees shall be relieved of the obligation to make any payment to Service Provider and Trustees may proceed by other means with the work in any manner the Trustees deem proper.

8. Indemnification. The Indemnification subsection below, next to the checked box, applies to this agreement, while the subsection next to the unchecked box does not apply to this agreement.

A. ☐ Provisions of item 8a shall apply if the agreement is with a Service Provider that does not qualify under the provisions of California Civil Code section 2782.8. The Service Provider agrees to hold harmless, defend, and indemnify the State of California, the Trustees of the California State University, the University, and the officers, employees representatives, and agents of each of them from any and all claims, damages, losses, causes of action and demands, and all costs and expenses incurred in connection therewith, including, but not limited to, attorneys' fees and costs resulting from or in any manner arising out of or in connection with any negligent act or omission or willful misconduct on the part of the Service Provider, its officers, agents, and employees, in the performance of this Agreement. This provision shall survive the expiration or termination of this Agreement.

B. ☐ Provisions of item 8b shall apply if the agreement is with a Service Provider that does qualify under the provisions of California Civil Code section 2782.8 and the scope of work is for design professional services. The Service Provider agrees to hold harmless, defend, and indemnify the State of California, the Trustees of the California State University, the University, and the officers, employees representatives, and agents of each of them from any and all claims, damages, losses, causes of action and demands, and all costs and expenses incurred in connection therewith, including, but not limited to, attorneys' fees and costs to the extent arising out of, pertaining to, or relating to the negligence, recklessness, or willful misconduct on the part of the Service Provider, its officers, agents, and employees, in the performance of this Agreement. In no event shall the cost to defend charged to the Service Provider exceed the Service Provider's proportionate percentage of fault. However, notwithstanding the previous sentence, in the event one or more defendants is unable to pay its share of defense costs due to bankruptcy or dissolution of the business, the Service Provider shall meet and confer with other parties regarding unpaid defense costs. Service Provider's liability is not limited to recoverable insurance. This provision shall survive the expiration or termination of this Agreement.

The provisions of section 8b pertaining to the duty and cost to defend shall not apply to either of the following:

(1) Any contract for design professional services per the provisions of California Civil Code section 2782.8, or amendment thereto, where a project-specific general liability policy insures all project participants for general liability exposures on a primary basis and also covers all design professionals for their legal liability arising out of their professional services on a primary basis.
2. A design professional per the provisions of California Civil Code Section 2782.8, that provides design professional service and is party to a written design-build joint venture agreement and not the primary holder of the Trustees and Design-Build contract.

9. Insurance Provisions. The Service Provider shall not commence work until the Trustees have received evidence of the insurance required in this section and approved it.

A. Service Provider shall obtain the following policies and coverage. The insurance furnished by the Service Provider under this section shall provide coverage in amounts not less than the following, unless a different amount is stated in Exhibit A, Scope of Work Description:

1. Comprehensive or Commercial Form General Liability Insurance:
   - On an occurrence basis, cover work done or to be done by or on behalf of the Service Provider and shall provide insurance coverage for bodily injury, personal injury, property damage, and contractual liability. The aggregate limit shall apply separately to the work. Limits of Liability:
     - $2,000,000 General Aggregate
     - $1,000,000 Each Claim - combined single limit for bodily injury and property damage.

2. Business Automobile Liability Insurance:
   - On an occurrence basis, cover owned, scheduled, hired, and non-owned automobiles used by or on behalf of the Service Provider and shall provide insurance coverage for bodily injury, property damage, and contractual liability. Use Insurance Service Office (ISO) Form Number CA 0001 covering any automobile. Limits of Liability:
     - $1,000,000 Each Accident - combined single limit for bodily injury and property damage.

3. Workers' Compensation Insurance:
   - This insurance shall include Employers Liability limits of $1,000,000 and other limits required under California law.

4. Professional Liability Insurance:
   - Professional liability (errors and omissions) insurance on an occurrence basis is preferred, covering work done or to be done by or on behalf of the Service Provider and providing insurance for professional liability in the amount of $1,000,000 each occurrence. The Service Provider shall obtain and maintain professional liability insurance on a claims-made basis for no less than $1,000,000 each claim and $2,000,000 annual aggregate, and certification of coverage shall be submitted to the Trustees upon signing of this Agreement. If the total contract amount exceeds $1,000,000 the Service Provider shall renew and keep such insurance in effect for at least ten (10) years after the recordation of the notice of completion.
   - For any of the insurance described in the paragraphs above, the amount of limits can be satisfied by a combination of primary and excess or umbrella insurance.

B. Insurers shall be authorized in the State of California to transact insurance and shall hold a current A.M. Best's rating of no less than A. VII or alternatively a carrier acceptable to the Trustees.

Verification of coverage shall be provided as follows:

1. The Service Provider shall submit to the Trustees copies of certificates of insurance and endorsements to the policies of insurance required by the Agreement as evidence of the insurance coverage.
2. The scope of coverage shall be shown on the certificate of insurance.
3. The Service Provider shall provide written notice of cancellation of coverage within thirty (30) days to the Trustees.
4. The Service Provider shall notify the Trustees in writing of any material change in insurance coverage.
5. Renewal certificates shall be timely filed by the Service Provider for coverage until the work is accepted as complete.
6. Trustees reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these provisions, at any time.

C. Insurance policies except for Workers Compensation and Professional Liability insurance shall contain, or be endorsed to contain, the following provisions:

1. For the general policies, the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall be covered as additional insureds.
2. For claims related to the work, the Service Provider's insurance coverage shall be primary insurance as respects the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents. Insurance or self-insurance maintained by the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall be in excess of the Service Provider's insurance and shall not contribute with it.
3. The State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents shall not by reason of their inclusion as additional insureds incur liability to the insurance carriers for payment of premiums for such insurance.

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Rev. 2021-0603

(1) Any deductible under any policy of insurance required in this section shall be the Service Provider's liability.

(2) Acceptance of certificates of insurance by the Trustees shall not limit the Service Provider's liability under the Agreement.

(3) The Service Provider's obligations to obtain and maintain required insurance are non-delegable duties under this Agreement.

10. Personal Eligibility Certification. If the Service Provider is a natural person, the Service Provider certifies by signing this Agreement that s/he is a citizen or national of the United States or otherwise qualified to receive public benefits under the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193, 110 STAT. 2105, 2268–69), State of California Governor's Executive Order W-135-96.

11. Corporate Eligibility Certification. If the Service Provider is a corporation, the Service Provider certifies and declares by signing this Agreement that it is eligible to conduct with the State of California pursuant to the California Taxpayer and Shareholder Protection Act of 2003 (Public Contract Code Section 10286, et seq.).

12. Nondiscrimination. In the performance of this Agreement the Service Provider and its consultants shall not deny the Agreement's benefits nor shall they discriminate unlawfully against any person on the basis of race, color, ethnic group identification, sex, actual or perceived gender identity, age, physical or mental disability, medical condition, marital status, or age (over 40). Additionally, the Service Provider and its consultants shall assure that the evaluation and treatment of employees and applicants for employment are free of such discrimination as well.

A. Service Provider shall comply with the provisions of the Fair Employment and Housing Act (Government Code Section 12990, et seq.), the regulations promulgated thereunder (California Code of Regulations, Title 2, Sections 7285.0, et seq.), and the provisions of Article 9.5, Chapter 1, Part 1, Division 3, Title 2 of the Government Code (Government Code Sections 11135-11139.5).

B. Service Provider shall permit access by representatives of the California Department of Fair Employment and Housing and the Trustees upon reasonable notice at times during normal business hours with at least 24 hours' notice, to its books, records, accounts, other sources of information, and its facilities as the Department or Trustees shall require to ascertain compliance with this Agreement.

C. Service Provider and its consultants/subcontractors shall give written notice of their obligations under this Agreement to labor organizations with which they have a collective bargaining or other agreement.

D. Service Provider shall include the nondiscrimination and compliance provisions of this Agreement in subcontracts to perform work under the Agreement (Government Code Sections 12990, 11135, et seq., Title 2, California Code of Regulations, Section 11105).

13. Drug Free Workplace Certification. The Service Provider hereby certifies compliance with Government Code Sections 8355, 8356, and 8357 in matters relating to providing a drug-free workplace. In accordance with Government Code Section 8355, the Service Provider shall:

A. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations;

B. Establish a Drug-Free Awareness Program to inform employees about all of the following:

   (1) The dangers of drug abuse in the workplace;

   (2) The Service Provider's policy of maintaining a drug-free workplace;

   (3) Any available counseling, rehabilitation, and employee assistance programs, and

   (4) Penalties that may be imposed upon employees for drug abuse violations;

C. Require that each employee engaged in the performance of the Agreement be given a copy of the statement required by subpart A, and require that each employee, as a condition of employment on the Agreement, agree to abide by the terms of the statement.

14. Disabled Veteran Business Enterprise. Responsive to direction from the State Legislature (Public Contract Code Section 10115, et seq.), the Trustees are seeking to increase the statewide participation of disabled veteran business enterprises in contract awards. To this end, Service Provider shall inform the Trustees of any contractual arrangements with consultants or suppliers that are certified disabled veteran business enterprises.
15. Assignment. Service Provider shall not assign benefits or delegate duties under this Agreement in whole or in part, nor assign any moneys due or to become due hereunder without the written consent of Trustees.

16. Successors. The provisions of this Agreement shall extend to and be binding upon and inure to the benefit of the heirs, executors, administrators, successors, and assigns to the parties hereto.

17. Notice. Notice for either party may be served by delivering it in writing to the party, or by depositing it in a U.S. mail deposit box with postage fully prepaid addressed as shown within the information block of the Agreement page. Nothing herein shall preclude the giving of notice by personal service.

18. Audit. If the Agreement exceeds $10,000, the contracting parties shall be subject to the examination and audit of the State Auditor of the State of California and the California State University Auditor for a period of three years after final payment under the Agreement. This examination and audit shall be confined to those matters connected with the performance of this Agreement, including, but not limited to, the cost of administering this Agreement (Government Code Section 8546.7).

19. DIR Registration. In accordance with Labor Code Section 1720, et seq., the Service Provider shall register with the Department of Industrial Relations (DIR) for this project and pay at least the prevailing wages on services/work aspects where a prevailing wage applies. Such services and/or work aspects include, but are not limited to, the Service Provider or its sub-consultant’s provision of geotechnical studies, piling, involving digging, site surveying and/or construction Inspector of Record services as defined by the DIR.

20. Agreement Changes. Alteration or variation of the terms of this Agreement shall not be valid unless made in writing and signed and dated by the parties. Oral representations, understandings, or writings not expressly incorporated in the Agreement are void. Unless identified within Exhibit A, Scope of Work, under a separate sub-heading entitled ‘Modifications to Agreement’, it is the intent of the Trustees to use the standard published form of this Agreement and Rider A without modification. The Agreement and Rider A shall not be modified without review and concurrence by CSU Office of General Counsel.

21. Offshoring of CSU Contract Work. Service Provider warrants it certified under penalty of perjury in its bid for this Agreement that the Agreement, and any subcontract performed under the Agreement, will be performed solely with workers within the United States; and if this Agreement, and any subcontract performed under this Agreement, will not be performed solely with workers within the United States, Service Provider described in its bid any parts of the work to be performed by workers outside of the United States. Further, Service Provider warrants no work will be performed under the Agreement with workers outside the United States, except as described in Service Provider’s bid. If Service Provider or its sub-supplier performs the Agreement with workers outside the United States during the life of the Agreement, and Service Provider did not describe such work in its bid, Service Provider acknowledges and agrees that:

A. CSU may terminate the Agreement without further obligation for noncompliance, and

B. Service Provider will forfeit to CSU the amount CSU paid for the percentage of work that was performed with workers outside the United States and not described in Service Provider’s bid.

End of Rider A
Rider B
Agreement Specific Provisions

1. Capability of Staff: The Service Provider shall maintain a staff of competent professionals capable of providing Grant Writing Services.

2. Authorization of Work:
   Only the Trustees' Representatives (CSU Office of the Chancellor, CPDC staff, campus staff) may authorize work under this Agreement. CPDC administers the Master Enabling Agreement. Individual campuses may participate in this Agreement. The Campus Project Manager shall issue a Service Order Authorization to authorize assignment to an individual Service Provider under this Agreement. All work, extra services, and reimbursable expenses require pre-authorization. Hourly rates of the Service Provider are required and shall be attached. Any proposed adjustment to hourly rates requires pre-approval by the Trustees. The Agreement identifies the time period that the Service Order Authorizations may be issued under this Agreement. Once authorized, work shall be completed under this Agreement irrespective of the Agreement term unless otherwise terminated in writing by the Trustees.

3. Extra Services:
   Extra services will require pre-authorization in writing by the Trustees and are authorized as a separate Service Order Authorization.

4. Reimbursable Expenses:
   The following are not reimbursable: Shipping charges, incidental office supplies, letter postage, telephone calls, faxes and similar attendant expenses occurring in the course of providing transportation planning and related services under this Agreement. Unless otherwise stated in the Service Order, in addition to the fees for services, the Trustees will reimburse certain project-related expenditures. Claims for reimbursable expenses shall reflect actual expenditures without a markup made by Service Provider, employees, or consultants working on the project and be documented by appropriate billing and supporting receipts. Unless otherwise stated within an individual Service Order, reimbursable expenses may be paid as follows:
   
   A. Travel and Mileage:
      Trips from Service Provider’s office to the project site or to the Chancellor’s Office are not reimbursable. However, when pre-authorized by the Trustees, other travel expenses in connection with the services may be reimbursable. The amounts of reimbursement shall be limited to the amounts pre-authorized by the Trustees’ representative.

   B. Reprographics or Deliverables:
      Reproductive instruments presented as a deliverable to the Trustees is reimbursable.

   C. Reprographics for Development of Deliverables:
      Reproduction for internal use by Service Provider and Service Provider’s consultants is not reimbursable.

   D. Package Delivery/ Courier Services:
      Express package deliveries (USPS, FedEx, UPS or similar carriers) and judicious use of courier services for design deliverable to campus.

5. Work Reporting:
   The Service Provider shall submit an annual report on March 1st of each year for work done during the previous fiscal year under this Agreement. The report shall include an Excel readable spreadsheet with the following headings: CSU Campus; Project Name; Project Description; Project Status; and Service Order Value. In addition, the Service Provider will notify the Trustees when commencing work on campus projects. The Service Provider shall arrange a call with the Contract Administrator on a quarterly basis to provide updates and full disclosure on any new or potential services under consideration with the campuses. The Service Provider shall also contact the campuses to identify best practices in the use of the Master Enabling Agreement in the analysis and implementation of CSU transportation projects. The advisory scope of work, report and copies of deliverables shall be sent to the Contract Administrator Michael Clemson, Carbon Reduction Manager, Capital Planning, Design and Construction, mclemson@calstate.edu, (562)-951-4291.

End of Rider B
Rider C – Organizational Chart

California State University

KTUA
Mike Singleton
Senior Principal Planner
Landscape Architect

KTUA
Joe Punsalan
Principal Transportation Planner
Grant Reviewer

KTUA
Alex Samarin
GIS Technical Manager
Grant Writer
Jorge Nozot
Planner
Marissa Tietz
Planner

SUBCONSULTANT:
COST ESTIMATING
O’CONNOR CONSTRUCTION
MANAGEMENT, INC.
Neil Murphy
Senior Cost Estimator
John Mauk
Senior Cost Estimator

SUBCONSULTANT:
CIVIL ENGINEERING
IBI
Lydia LaPoint
Civil Engineer
Karen M. Sujata
Engineer
Amy Frank
Engineer

End of Rider C
## Rider D – Billing Rates

The following Billing Rates are applicable to this Service Provider for the specified service types under this Agreement. Alternatively, individual Extra Services may be mutually agreed to using a lump sum fee basis.

The Service Provider has provided Billing Rates for five (5) years. Billing Rates are binding.

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<th>Hourly Rate Year 1</th>
<th>Hourly Rate Year 2</th>
<th>Hourly Rate Year 3</th>
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<td>Typical Hours to Complete Scope</td>
<td>Hourly Rate Year 1</td>
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End of Rider D
Rider E
Campus Service Order

THE CALIFORNIA STATE UNIVERSITY
Transportation Planning Services Master Enabling Agreement
Service Order & Authorization to Proceed

[Date]

KTU&A
Joe Punsalan, Principal Transportation Planner
Grant Reviewer
joe@ktua.com
3916 Normal Street
San Diego, CA 92103

Subject: [Campus] - [Project Number] - [Project Name]
Provider Service Order & Authorization to Proceed Number: [insert]

In accordance with the provisions of the California State University (CSU) Transportation Planning Services Master Enabling Agreement Number 20-407 you are hereby authorized to provide services for the [Campus project number, project name] and in the below phased scopes of work:

The Service Provider shall not perform services in excess of this Service Order without prior written Authorization in a Notice to Proceed from the CSU to proceed to a subsequent scope of work.

Service Provider shall report to:

[CSU Campus Name]
[Campus Department]
[Executive Facility Officer or designated campus Project Manager]
[Campus Address]
[Campus Project Manager’s Phone Number]

The total amount to be expended under this Service Order shall not exceed: [written and numerical dollar value] inclusive of reimbursables, regardless of Service Provider’s cost in performing these services.

Submit a single signed invoice per project with Agreement Number and Service Order & Authorization to Proceed Number in order to identify services. Direct invoices to the project manager named above.

Questions regarding this authorization shall be directed to the above-named project manager.

Approved: Fund Certified:

________________________________________  ______________________________________
Executive Facilities Officer                  Campus Accounting/Purchasing Agent
Campus Department and Address

Attachment: Scope of Work, Fee Schedule, Organizational Chart and Project Schedule, SBE, DVBE

cc: Michael Clemson, Carbon Reduction Manager, CSU Office of the Chancellor
File

End of Rider E
Exhibit A – Scope of Work
(Project Name)
(Project Campus Location)

California State University, [CAMPUS NAME] herein called (“Trustees”) and KTU&A herein called (“Service Provider”) agree to the following scope of work.

RECITALS

Service Provider will perform the following services: [Trustees to insert applicable and reviewed specific scope(s) of work]

[Name of Campus Project Manager] is the Campus Project Manager for this agreement. Direct any queries including invoicing, requests for extra services, etc. to the following:

[CSU Campus Name]
[Campus Department]
[Campus Address]

Attention: [Executive Facility Officer or designated campus Project Manager]
Telephone: [Campus Project Manager’s Phone Number]
Email: [Campus Project Manager’s Email]

End of Exhibit A
Exhibit B – Fee Schedule
(Project Name)
(Project Campus Location)

Fee Schedule:
Costs, total project hours, additional prep-hours and travel budget for support options on a per-Fellow basis are defined below.

KTU&A will receive no more than $XXX

Please be sure to note
Time and Material Not to Exceed Choose an item,
Lumps Sum Payment Choose an item,
Payment Schedule Choose an item,

End of Exhibit B
Exhibit C – Organization Chart

California State University

KTUA
Mike Singleton
Senior Principal Planner
Landscape Architect

KTUA
Joe Punsalan
Principal Transportation Planner
Grant Reviewer

KTUA
Alex Samarim
GIS Technical Manager
Grant Writer
Jorge Nozot
Planner
Marissa Tietz
Planner

SUBCONSULTANT:
COST ESTIMATING
O’CONNOR CONSTRUCTION
MANAGEMENT, INC.

Neil Murphy
Senior Cost Estimator
John Mauk
Senior Cost Estimator

SUBCONSULTANT:
CIVIL ENGINEERING
IBI
Lydia LaPoint
Civil Engineer
Karen M. Sujata
Engineer
Amy Frank
Engineer

End of Exhibit C
Exhibit D – Project Schedule

Campus Project Manager to insert project schedule:

[Insert Project Schedule]

End of Exhibit D
SMALL BUSINESS PREFERENCE AND CERTIFICATION REQUEST
(Bidders requesting a 5% Small Business Preference must sign below and enclose this form with their bid/proposal.
If your firm is not claiming the small business preference, do not submit this form with your bid/proposal.)

Project No.________________________________________________________________________ Project Name__________________________________________

The undersigned hereby requests the small business preference and further certifies under penalty of perjury, that the firm still meets the requirements of the California Code of Regulations, Title 2 section 1896 et seq.

NOTICE TO ALL BIDDERS: The California Government Code, section 14835 et seq. requires that a five percent preference be given to bidders who qualify as a small business. The rules and regulations of this law, including the definition of a small business for the delivery of service, are contained in California Code of Regulations, Title 2 section 1896, et seq. A copy of the regulations is available upon request. The small business preference is applied by either 1) factoring 5% if a non-small business low bid total, and subcontracting this amount from the small business total, not to exceed $50,000, or 2) where award is to be made to the highest scoring bidder based on evaluation factors in addition to price, the preference shall be 5% of the highest responsible bidder’s total score.

If your firm is a Small Business and wishes to claim the small business preference, which may not exceed $50,000 for any bid, your firm must have its principal place of business located in California, have a complete application (including proof of annual receipts) on file with the Small Business & DVBE Services Branch, in the Procurement Division of the State of California Department of General Services, by 5:00 p.m. on the date bids are opened, and be verified by such office. Please indicate by checking the box below whether your firm is claiming the preference and is a Small Business.

☐ Small Business

OR

If your firm is a Non-Small Business and wishes to claim the small business preference, your firm must notify the Trustees by signing below, that your firm commits to subcontract at least 25% of its net bid price with one or more small businesses, submit a timely responsive bid, list the small business subcontractors and include name, address, phone number, portion of the work to be performed, and the dollar amount and percentage per subcontractor, and be determined a responsible bidder. Please indicate by checking the box below whether your firm is claiming the preference and is a Non-Small Business.

☐ Non-Small Business

Questions regarding the preference approval process should be directed to Small Business & DVBE Services, telephone (800) 559-5529 or (916) 375-4940, address: 707 Third Street, First Floor-Room 400, West Sacramento, CA 95605, or by mail: P.O. Box 989052, West Sacramento, CA 95798-9052. You can also reach them via email (osdchelp@dgs.ca.gov) or on the Internet: www.pd.dgs.ca.gov/smbus.

IMPORTANT NOTICE (Read before signing)

The “Small Business Preference and Certification Request” must be signed in the same name style in which the bidder is licensed by the Contractors State License Board. Bidders bidding jointly or as a combination of several business organizations are specially cautioned that such bidders must be jointly licensed and approved in the same form and style in which the bid is executed.

Legal Name Style of Bidder(s):______________________________________________________________

Signature of Bidder:________________________________________ Date__________________________

In the event the bidder has received assistance in obtaining bonding for this project, it shall set forth below the name and nature of the firm providing such assistance. Should the firm be listed as a subcontractor, bidder shall set forth the percentage of the contract to be performed by the subcontractor.

Name of Firm:__________________________________________________________

Is Firm a Listed Subcontractor? ☐ If Yes, indicate Percentage__________ or, ☐ No, if not a Listed Subcontractor

Special attention is directed to California Code of Regulations, Title 2 section 1896.16 for penalties for furnishing incorrect supporting information in obtaining preference.
Exhibit F
THE CSU OF THE CALIFORNIA STATE UNIVERSITY
Disabled Veteran Business Enterprise (DVBE) Participation Requirement

1. STATEMENT OF DVBE PARTICIPATION GOAL REQUIREMENT

State law requires that state agencies achieve a goal of three (3) percent participation for disabled veteran business enterprises (DVBE) in state contracts.

Read this document carefully. Failure to comply with the DVBE requirement may cause your bid to be deemed nonresponsive and your firm ineligible for award of this contract.

2. DEFINITIONS

The following definitions have general applicability throughout this document.

A. CSU as used herein, means the Board of CSU of the California State University and includes any division or campus thereof which has been delegated the authority to enter into contracts on behalf of the CSU, and any person acting under authority of such delegation.

B. Bidder as used herein means any person or entity making an offer or proposal, competitively or non-competitively, for the purpose of securing the awarding or letting of a contract by the CSU.

C. Disabled Veteran as used herein means a veteran of the military, naval or air services of the United States with at least a 10 percent service-connected disability who is a resident of the State of California.

D. Disabled Veteran Business Enterprise (DVBE) as used herein means a business concern certified by the Office of Small Business and DVBE Services Certification Programs.

3. SATISFACTION OF THE DVBE PARTICIPATION GOAL REQUIREMENT

In order to satisfy and be responsive to this requirement, the bidder must meet the DVBE Participation Goal as follows:

A. DVBE Participation Goal Attainment

The three (3) percent Disabled Veteran Business Enterprise (DVBE) Participation Goal is attained when:

(1) The bidder is not a DVBE and is committed to use DVBEs for not less than three (3) percent of the contract dollar amount; or

(2) The bidder is a DVBE and committed to performing not less than three (3) percent of the contract dollar amount with its own forces or in combination with those of other DVBEs.

B. Approved Utilization Plan

(1) General

In satisfaction of the DVBE participation goal requirement, State law permits bidders bidding on contracts for materials, supplies, or equipment, including electronic data processing (“EDP”) goods and services to submit a DVBE Utilization Plan that has been approved, prior to the final bid due date, by the California State Department of General Services (DGS) Procurement Division in Sacramento. For more information contact DGS. Also see their internet site: http://www.pd.dgs.ca.gov/Publications/utilization.htm

AN APPROVED UTILIZATION PLAN MAY NOT BE USED TO SATISFY THE DVBE PARTICIPATION GOAL REQUIREMENT FOR ANY PUBLIC WORKS CONTRACT.

4. DVBE PARTICIPATION GOAL DOCUMENTATION REQUIREMENTS

A. General

The bidder must clearly document how it intends to meet the DVBE participation goal requirement by completing the require forms and (if appropriate) disclosing any relevant information about their planned use of DVBE’s.
B. Required Documentation

The DVBE documentation forms that must be completed are as follows and instructions for completing the required forms correctly are included to assist the bidder.

1. DVBE Transmittal Form

Bidders must fill out and attach the DVBE Transmittal Form as a cover sheet to the required documents and submit it and the additional required documentation within the timeframe specified in the bid solicitation, or if not specified therein, within a timeframe otherwise designated by the CSU. All requested DVBE documentation must be completed on the forms provided and submitted with the DVBE Transmittal Form.

2. Summary of Disabled Veteran Owned Business Participation (Attachment 1)

Attachment 1, Summary of Disabled Veteran Owned Business Participation, must be completed showing the type of work and company proposed for DVBE participation, their subcontractors (if any), and other related information. Complete the form providing the information as follows:

a. Company Name - list the name of the company proposed for DVBE participation. If the prime contractor is a DVBE, its name must also be listed to receive participation credit.

b. Nature of Work - identify the proposed work or service to be provided by the listed company.

c. Contracting With - list the name of the department or company with which the company listed is contracting.

d. TIER - the contracting tier should be indicated with the following level designations:
   0 = Prime contractor;
   1 = First tier subcontractor/supplier;
   2 = Second tier subcontractor/supplier of first tier subcontractor/supplier;
   3 = Third tier subcontractor/supplier of second tier subcontractor/supplier, etc.

e. Claimed DVBE Value - the total dollar amount of the value claimed by a disabled veteran business enterprise.

f. Percentage of Contract - compute the percentage (%) the claimed DVBE value is of the total contract dollar amount.

g. DVBE Certification - The bidder must include one copy of the DVBE certification letter from the Office of Small Business Services and DVBE Services Certification Programs for each DVBE firm listed on the Summary of Disabled Veteran Owned Business Participation.

3. Bidder's Certification (Attachment 2)

The bidder must sign and include the Bidder's Certification, certifying that each firm listed on the Summary of Disabled Veteran Owned Business Participation (Attachment 1) complies with the legal definition of DVBE.

C. Timeframe for Submitting Documentation

The DVBE participation documentation must be submitted within the timeframe specified in the bid solicitation, or if not specified therein, within a timeframe otherwise designated by the CSU. Failure to submit full and accurate documentation within the specified or designated timeframe will result in your firm being deemed non-responsive, and thus ineligible for award of the contract.

5. USE OF PROPOSED DVBE

If awarded the contract, the successful bidder must use the DVBE subcontractors and/or suppliers proposed in its bid proposal unless it has requested substitution and has received approval of the CSU in compliance with the Subletting and Subcontracting Fair Practices Act.

Failure to adhere to at least the DVBE participation proposed by the successful bidder may be considered a material breach of the contract and cause for contract termination and recovery of damages under the rights and remedies due the CSU under the default section of the contract.
6. **REPORTING OF DVBE UTILIZATION**

   If awarded the contract the successful bidder shall report to the campus, on a periodic basis established in the contract, the dollar amount of DVBE participation.

7. **ADDITIONAL DVBE INFORMATION SOURCES**

   A. For more information regarding DVBE certification, copies of directories or for general DVBE information, contact:

   Office of Small Business and DVBE Services, Room 1-400
   P.O. Box 989052,
   West Sacramento, CA 95798-9052 (mailing address)

   Office of Small Business and DVBE Services 707 Third Street, First Floor, Room 400
   West Sacramento, CA 95605 (physical address)

   Telephone number: (800) 559-5529 or (916) 375-4940 or by fax at (916) 375-4950
   Email: osdhelp@dgs.ca.gov
   Or, via the Internet at [http://www.pd.dgs.ca.gov/dvbe/aboutcerts.htm](http://www.pd.dgs.ca.gov/dvbe/aboutcerts.htm)

8. **CONTRACT AUDITS**

   Contractor agrees that the CSU or its delegate will have the right to obtain, review, and copy all records pertaining to performance of the contract, including but not limited to reports of payments made to subcontractors during the term of a contract. Contractor agrees to provide the CSU or its delegate with any relevant information requested and shall permit the CSU or its delegate access to its premises, upon reasonable notice, during normal business hours for the purpose of interviewing employees and inspecting and copying such books, records, accounts, and other material that may be relevant to a matter under investigation for the purpose of determining compliance with this requirement. Contractor further agrees to maintain such records for a period of three (3) years after final payment under this contract.
THE CSU OF THE CALIFORNIA STATE UNIVERSITY

Disabled Veteran Business Enterprise (DVBE) Participation Requirement

DVBE Transmittal Form

The DVBE Transmittal Form is to be attached and used as a cover sheet for the required DVBE documentation that must be submitted within the time frame specified in the bid solicitation.

Campus: XXX

Project Name: XXX

Project Number: XXX

Bid Date: XXX

Name of Contractor Submitting Bid: XXX

Please check off the following to ensure you have included them in your documentation:

☐ Attachment 1: Summary of DVBE Participation

☐ Attachment 2: Bidder's Certification of DVBE Status of Subcontractors and Suppliers

☐ Attachment of Any Additional Supporting Documentation
THE CSU OF THE CALIFORNIA STATE UNIVERSITY

SUMMARY OF DISABLED VETERAN OWNED BUSINESS PARTICIPATION

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<th>NATURE OF WORK</th>
<th>CONTRACTING WITH</th>
<th>TIER</th>
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</table>
I declare under penalty of perjury, under the laws of the State of California, that the information herein is true and correct to the best of my knowledge.

Executed on: ____________ at ____________ in the state of ____________

Date City

Signature of Contractor or Authorized Agent

Project Name

Project Number

Printed Name

Firm Name

Telephone
THE CSU OF THE CALIFORNIA STATE UNIVERSITY

BIDDER'S CERTIFICATION
DISABLED VETERAN BUSINESS ENTERPRISE
STATUS OF SUBCONTRACTORS AND SUPPLIERS

I hereby certify that I have made a diligent effort to ascertain the facts with regard to the representations made herein and, to the best of my knowledge and belief, each firm set forth in this bid as a disabled veteran business enterprise complies with the relevant definition set forth in law. In making this certification, I am aware of Section 12650 et seq. of the Government Code providing for the imposition of treble damages for making false claims against the State, Section 10115.10 of the Public Contract Code making it a crime to intentionally make an untrue statement in this certificate, and the provisions of Section 999.9 of the Military and Veterans Code.

Date

Signature of Authorized Agent

Title
Attachment 1
Notice to Proceed – Grant Writing

Date

KTU&A
Joe Punsalan, Principal Transportation Planner
& Grant Reviewer
joe@ktua.com
3916 Normal Street
San Diego, CA 92103

Dear Mr. Punsalan,

In accordance with the provisions of Master Enabling Agreement Number 20-407 and Campus Agreement Number XXX, you are hereby notified to commence work on (Insert brief description of work cross reference scope of work noted in Exhibit A)

• To begin (Insert start date including Day/Date – (example) Monday, June 1, 2020)
• You are expected to fully-complete work within (Insert # of days – write out and numbers – One Thousand Forty Days 1,040) consecutive calendar days in accordance with your contract completion date of (Insert Completion Date - (example) Tuesday, January 17, 2021).
• Submit the Exhibit E and F for the Small Business Enterprise and Disabled Veteran Business Enterprise participation in this phase of the work.

KTU&A agrees to fully complete authorized services in precise and clearly defined manner. Service will be ready for acceptance by the Trustees, on or before the date as agreed upon and specified in each Project Notice to Proceed Documents. Work will be considered finalized only with written notification from authorized representative of Trustee. Payment for finalized services will be issued to vendor only with such notification.

Sincerely,

Name
Campus Project Manager
Department
**CERTIFICATE OF LIABILITY INSURANCE**

**CERTIFICATE NUMBER:** 171217109

**DATE (MM/DD/YYYY):** 9/1/2021

---

**PRODUCER:**
Cavignac
451 A Street, Suite 1800
San Diego CA 92101

**INSURED:**
KTU & A
3916 NORMAL ST
San Diego, CA 92103

---

**COVERAGES**

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**DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101):**

Re: Contract, 20-407. Additional insured coverage applies to General Liability and Automobile Liability for California State University Office of the Chancellor, the State of California, the Trustees of the California State University, the University, their officers, employees, representatives, volunteers, and agents per policy form. Professional Liability - Claims made form, defense costs included within limit.

---

**CERTIFICATE HOLDER:**
California State University Office of the Chancellor
Construction, Planning, Design & Construction
401 Golden Shore
Long Beach CA 90802

**CANCELLATION:**

Should any of the above described policies be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions.

---

**AUTHORIZED REPRESENTATIVE:**

[Signature]

---

© 1988-2015 ACORD CORPORATION. All rights reserved.
THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

OTHER INSURANCE – DESIGNATED ADDITIONAL INSUREDS – PRIMARY WITH RESPECT TO CERTAIN OTHER INSURANCE

This endorsement modifies insurance provided under the following:

COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE OF DESIGNATED ADDITIONAL INSUREDS


PROVISIONS

The following is added to Paragraph 4.a., Primary Insurance, of SECTION IV – COMMERCIAL GENERAL LIABILITY CONDITIONS:

The insurance afforded under this Coverage Part to any additional insured shown in the Schedule Of Designated Additional Insureds is primary to any of the other insurance, whether primary, excess, contingent or on any other basis, that is available to such additional insured which covers such additional insured as a named insured, and we will not share with that other insurance.
THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

DESIGNATED INSURED FOR COVERED AUTOS LIABILITY COVERAGE

This endorsement modifies insurance provided under the following:

AUTO DEALERS COVERAGE FORM
BUSINESS AUTO COVERAGE FORM
MOTOR CARRIER COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by this endorsement.

This endorsement identifies person(s) or organization(s) who are "insureds" for Covered Autos Liability Coverage under the Who Is An Insured provision of the Coverage Form. This endorsement does not alter coverage provided in the Coverage Form.

SCHEDULE

Name Of Person(s) Or Organization(s):

California State University Office of the Chancellor,
the State of California, the Trustees of the California State University, the University, their officers,
employees, representatives, volunteers, and agents

Information required to complete this Schedule, if not shown above, will be shown in the Declarations.

Each person or organization shown in the Schedule is an "insured" for Covered Autos Liability Coverage, but only to the extent that person or organization qualifies as an "insured" under the Who Is An Insured provision contained in Paragraph A.1. of Section II – Covered Autos Liability Coverage in the Business Auto and Motor Carrier Coverage Forms and Paragraph D.2. of Section I – Covered Autos Coverages of the Auto Dealers Coverage Form.