

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor—Glenn S. Dumke Auditorium*
401 Golden Shore
Long Beach, CA 90802**

**Agenda
January 29-31, 2024**

Time**	Committee	Location¹
<i>MONDAY, JANUARY 29, 2024</i>		
1:00 p.m.	<u>Board of Trustees—Closed Session</u> Executive Personnel Matters Government Code §11126(a)(1) Pending Litigation Government Code §11126(e)(1) Jane Doe v. CSU, et al. CFA, et al. v. Garcia, et al. Frank Lamas v. CSU	Munitz
<i>TUESDAY, JANUARY 30, 2024</i>		
8:30 a.m.	<u>Committee on Educational Policy Subcommittee on Honorary Degrees - Closed Session</u> Government Code §11126(c)(5)	Munitz
9:00 a.m.	<u>Committee on Educational Policy and Board of Trustees - Closed Session</u> Government Code §11126(c)(5) <i>Action</i> 1. Honorary Degree Nominations and Subcommittee Recommendations	Munitz
9:30 a.m.	<u>Committee on Collective Bargaining—Closed Session</u> Government Code §3596(d)	Munitz

* All committees meet in the Dumke Auditorium unless otherwise noted.

**The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

TUESDAY, JANUARY 30, 2024 (cont.)

10:30 a.m. Board of Trustees

Call to Order

Roll Call

Public Comment

12:00 p.m. Luncheon

12:45 p.m. Board of Trustees (cont.)

Chair's Report

Academic Senate CSU Report: *Chair—Beth Steffel*

California State Student Association Report: *President—Dominic Treseler*

California State University Alumni Council Report: *President—John Poli*

Chancellor's Report

1:45 p.m. Committee on Collective Bargaining – Open Session

Consent

- Action* 1. Approval of Minutes
- Action* 2. Ratification of the Tentative Agreement with Bargaining Unit 1, Union of American Physicians and Dentists (UAPD)

1:50 p.m. Committee on Audit

Consent

- Action* 1. Approval of Minutes
- Information* 2. Status Report on Audit and Advisory Services Activities

1:55 p.m. Committee on Organization and Rules

Consent

- Action* 1. Approval of Minutes
- Information* 2. Proposed California State University Board of Trustees' Meeting Dates for 2025

2:00 p.m. Committee on Governmental Relations

Consent

- Action* 1. Approval of Minutes

Discussion

- Information* 2. Update on NAGPRA and CalNAGPRA Compliance
- Action* 3. Sponsored State Legislation for 2024

TUESDAY, JANUARY 30, 2024 (cont.)

3:00 p.m. Committee on Institutional Advancement

Consent

Action 1. Approval of Minutes

Discussion

Action 2. Naming of the Braddock Center for Science and Innovation – California State University, East Bay

Action 3. Annual Report on Donor Support for 2022-2023

4:00 p.m. Break

4:30 p.m. Joint Committee on Institutional Advancement and Educational Policy

Consent

Action 1. Approval of Minutes

Discussion

Information 2. The Wang Family Excellence Award

WEDNESDAY, JANUARY 31, 2024

9:00 a.m. Committee on Finance

Consent

Action 1. Approval of Minutes

Action 2. Approval to Issue Debt for Affordable Housing at California State University, Dominguez Hills

Action 3. Approval of Third-Party Financing at California Polytechnic State University, San Luis Obispo

Information 4. Law Enforcement Regulated Equipment and Tools Annual Report

Discussion

Information 5. Auxiliary Organizations

Information 6. 2024-25 Operating Budget Update

Information 7. 2023-24 Student Fee Report

10:30 a.m. Committee on Educational Policy

Consent

Action 1. Approval of Minutes

Discussion

Information 2. Research, Scholarship and Creative Activities

Information 3. Proposed Amendments to Title 5: Implementation of the Student Transfer Achievement Reform Act of 2021

WEDNESDAY, JANUARY 31, 2024 (cont.)

11:30 a.m. Joint Committee on Educational Policy and Finance

Consent

- Action* 1. Approval of Minutes

Discussion

- Action* 2. Chancellor's Strategic Workgroup on Financial Aid

12:00 p.m. Luncheon

12:45 p.m. Joint Committee on Finance and Campus Planning, Buildings and Grounds

Consent

- Action* 1. Approval of Minutes

Discussion

- Action* 2. California State University, Sacramento Placer Center Master Plan, Environmental Impact Report and Partnership Approval

1:10 p.m. Committee on Campus Planning, Buildings and Grounds

Consent

- Action* 1. Approval of Minutes

Discussion

- Action* 2. California Polytechnic State University, Humboldt Engineering and Technology Commons Schematic Design Approval
- Action* 3. California State University, Long Beach Master Plan Final Environmental Impact Report and Enrollment Projection Increase
- Action* 4. California Polytechnic State University, San Luis Obispo Wastewater Reclamation Facility Environmental Impact Report and Master Plan Revision

2:05 p.m. Committee on University and Faculty Personnel

Consent

- Action* 1. Approval of Minutes

Discussion

- Action* 2. Executive Compensation: Vice Chancellor for External Relations and Communications – California State University
- Information* 3. Discussion Regarding Employment Policy Governing Administrator Employees' Option to Retreat
- Information* 4. Discussion Regarding Employment Policy Governing Employee References
- Information* 5. Update on Civil Rights (Title IX and other Nondiscrimination) Programs and Services
- Information* 6. Employee Relations: Discussion Regarding Response to Other Conduct of Concern

WEDNESDAY, JANUARY 31, 2024 (cont.)

3:30 p.m. Board of Trustees

Call to Order

Roll Call

Consent

Action

1. Approval of the Minutes of the Board of Trustees Meeting of November 7, 2023 and November 8, 2023

Action

2. Approval of Committee Resolutions as follows:

Committee on Governmental Relations

3. Sponsored State Legislation for 2024

Committee on Institutional Advancement

2. Naming of the Braddock Center for Science and Innovation – California State University, East Bay

3. Annual Report on Donor Support for 2022-2023

Committee on Finance

2. Approval to Issue Debt for Affordable Housing at California State University, Dominguez Hills

3. Approval of Third-Party Financing at California Polytechnic State University, San Luis Obispo

Joint Committee on Educational Policy and Finance

2. Chancellor’s Strategic Workgroup on Financial Aid

Joint Committee on Finance and Campus Planning, Buildings and Grounds

2. California State University, Sacramento Placer Center Master Plan, Environmental Impact Report and Partnership Approval

Committee on Campus Planning, Buildings and Grounds

2. California Polytechnic State University, Humboldt Engineering and Technology Commons Schematic Design

3. California State University, Long Beach Master Plan Final Environmental Impact Report and Enrollment Projection Increase

4. California Polytechnic State University, San Luis Obispo Wastewater Reclamation Facility Environmental Impact Report and Master Plan Revision

WEDNESDAY, JANUARY 31, 2024 (cont.)

Committee on University and Faculty Personnel

2. Executive Compensation: Vice Chancellor for External Relations and Communications – California State University

ADDRESSING THE BOARD OF TRUSTEES

Members of the public have the opportunity to observe the meeting in-person or online and to offer public comment as follows:

- The meeting is broadcast via the [livestream](#) through the Board of Trustees website.
- **For in-person attendance:** The Chancellor's Office requires that all visitors comply with Cal/OSHA and local health department safety measures that may be in effect at the time of the meeting. Depending on circumstances, masks may be required, and the Dumke Auditorium may have limited seating to ensure proper physical distancing. Visitors who do not comply with the safety measures, or if the Dumke Auditorium has reached capacity, will be asked to watch the meeting via the [livestream](#) on the Board of Trustees website through their phone or computer. Because of possible physical distancing measures and the uncertainty of our seating capacity, members of the public are encouraged to observe the meeting via the livestream and offer any public comments through the available remote option.
- All public comment (for all committee and plenary sessions) will take place on **Tuesday, January 30**, at the start of the Board of Trustees' open session (**scheduled to begin at approximately 10:30 a.m.**)
- **Written comments** may be emailed in advance of the meeting by **12:00 p.m. on Monday, January 29** to trusteesoffice@calstate.edu. To the extent possible, all written comments will be distributed to members of the board, or its appropriate committee, prior to the beginning of the board or committee meeting.
- Members of the public who wish to offer public comment *during the meeting* may do so **in-person or virtually/telephonically** by providing a written request **by 12:00 p.m. on Monday, January 29** to trusteesoffice@calstate.edu. The request should also identify the agenda item the speaker wishes to address or the subject of the intended presentation if it is unrelated to an agenda item. Efforts will be made to accommodate each individual who has requested to speak.

The purpose of public comment is to provide information to the board and not evoke an exchange with board members. Questions that board members may have resulting from public comment will be referred to appropriate staff for response.

In fairness to all speakers, and to allow the committees and board members to hear from as many speakers as possible while at the same time conducting the public business of their meetings within the time available, **public comment will be limited to 1 minute per speaker** (or less depending on the number of speakers wishing to address the board.) Ceding, pooling, or yielding remaining time to other speakers is not permitted. Speakers are requested to make the best use of their public comment opportunity and follow the established rules.

Requests for reasonable modification or accommodation from individuals with disabilities, consistent with the Americans with Disabilities Act, shall be made as follows:

- By email: trusteesoffice@calstate.edu, or
- By telephone: (562) 951-4020

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802**

Tuesday, January 30, 2024

Presiding: Wenda Fong, Chair

10:30 am.*	<u>Board of Trustees</u>	Dumke Auditorium
	Call to Order	
	Roll Call	
	Public Comment	
12:00 pm	Luncheon	
12:45 pm	<u>Board of Trustees (cont.)</u>	Dumke Auditorium
	Chair’s Report	
	Academic Senate CSU Report: <i>Chair—Beth Steffel</i>	
	California State Student Association Report: <i>President—Dominic Treseler</i>	
	California State University Alumni Council Report: <i>President—John Poli</i>	
	Chancellor’s Report	

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Agendas, notices, and information on addressing the Board of Trustees may be found on Board of Trustees website: <https://www.calstate.edu/csu-system/board-of-trustees/Pages/default.aspx> .

AGENDA

COMMITTEE ON COLLECTIVE BARGAINING

Meeting: 9:30 a.m., Tuesday, January 30, 2024
Munitz Conference Room—Closed Session
Government Code §3596(d)

1:45 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium—Open Session

Christopher Steinhauser, Chair
Jack Clarke, Jr., Vice Chair
Larry L. Adamson
Julia I. Lopez
Lateefah Simon

Open Session—Glenn S. Dumke Auditorium

- Consent**
1. Approval of Minutes of the Meeting of November 7, 2023, *Action*
 2. Ratification of the Tentative Agreement with Bargaining Unit 1, Union of American Physicians and Dentists (UAPD), *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON COLLECTIVE BARGAINING**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 7, 2023

Members Present

Christopher Steinhauser, Chair
Jack Clarke, Jr., Vice Chair
Larry L. Adamson
Julia I. Lopez
Lateefah Simon

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Public Speakers

Public comment took place at the beginning of the meeting's open session, prior to all committees.

Chair Steinhauser called the Committee on Collective Bargaining to order.

Approval of the Consent Agenda

The minutes of the May 23, 2023 meeting were approved as submitted.

Agenda Item 2, Ratification of the Tentative Agreement with Bargaining Unit 8, State University Police Association (SUPA), was approved as submitted.

Agenda Item 3, Ratification of the Tentative Agreement with Bargaining Unit 11, United Auto Workers, Local 4123 (UAW), was approved as submitted.

CB

Agenda Item 1

January 29-31, 2024

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Agenda Item 4, Ratification of the Tentative Agreement with Bargaining Units 2, 5, 7, and 9, California State University Employees Union (CSUEU), was approved as submitted.

Agenda Item 5, Ratification of the Tentative Agreement with Bargaining Unit 4, Academic Professionals of California (APC), was approved as submitted.

Agenda Item 6, Ratification of the Tentative Agreement with Bargaining Unit 10, International Union of Operating Engineers (IUOE), was approved as submitted.

Agenda Item 7, Adoption of Initial Proposals for a Successor Collective Bargaining Agreement with Bargaining Unit 3, California Faculty Association (CFA), was approved as submitted.

Chair Steinhauser adjourned the meeting of the Committee on Collective Bargaining.

COMMITTEE ON COLLECTIVE BARGAINING

Ratification of the Tentative Agreement with Bargaining Unit 1, Union of American Physicians and Dentists (UAPD)

Presentation By

Leora Freedman
Vice Chancellor
Human Resources

Summary

The tentative agreement between the California State University and Bargaining Unit 1, Union of American Physicians and Dentists (UAPD), will be presented to the Board of Trustees for ratification.

Recommended Action

The following resolution is recommended for ratification:

RESOLVED, by the Board of Trustees of the California State University, that the tentative agreement between the California State University and Bargaining Unit 1, Union of American Physicians and Dentists (UAPD), is hereby ratified.

AGENDA

COMMITTEE ON AUDIT

Meeting: 1:50 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium

Yammilette Rodriguez, Chair
Jean Picker Firstenberg, Vice Chair
Leslie Gilbert-Lurie
Lillian Kimbell
Jonathan Molina Mancio
Lateefah Simon
Christopher Steinhauser
Darlene Yee-Melichar

Consent

1. Approval of Minutes of the Meeting of November 7, 2023, *Action*
2. Status Report on Audit and Advisory Services Activities, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON AUDIT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 7, 2023

Members Present

Yammilette Rodriguez, Chair
Jean Picker Firstenberg, Vice Chair
Leslie Gilbert-Lurie
Lillian Kimbell
Jonathan Molina Mancio
Lateefah Simon
Christopher Steinhauser
Darlene Yee-Melichar
Wenda Fong, Chair of the Board

Trustee Yammilette Rodriguez called the meeting to order.

Approval of the Consent Agenda

The minutes of the September 12, 2023, meeting of the Committee on Audit were approved as submitted.

Status Report on Audit and Advisory Services Activities

Vlad Marinescu, vice chancellor and chief audit officer, presented item number two, Status Report on Audit and Advisory Services Activities, as an information item. As part of the 2022-23 audit plan year, Audit and Advisory Services performed a variety of both risk- and value-based auditing activities. The risk-based auditing activities centered around the objective of providing assurance to the board that management controls are designed and operating effectively. Audit and Advisory Services also engaged in value-based auditing activities, which focused on activities that go beyond the more traditional assurance reviews.

In fiscal year 2022-23, 52 assurance audits were completed at the 23 campuses and the chancellor's office. These audits covered 19 distinct topics, some of which included, but were not limited to, information security, student health services, and executive travel and hospitality. Audit topics are determined through the annual risk assessment process each year. Both a customized campus approach, as well as a systemwide perspective, is taken into consideration. Vice Chancellor Marinescu highlighted three of the systemwide topics that were reviewed and noted that systemwide themes are selected based on a variety of factors, such as management feedback or regulatory changes, and performing these audits at more than one campus allows for a broader understanding of these subjects and can help identify best practices and areas for improvement to share out systemwide. Completed audit reports are posted on the Cal State website.

Additionally, audit management works with campus management after an audit is completed in order to ensure that corrective action is completed timely. Follow up reviews are performed for certain audits in which it is deemed that controls were not designed and operating effectively to provide reasonable assurance that risks and objectives were being managed

The use of data analytics in audit enables greater efficiencies and improved audit quality. Large data sets can be monitored and reviewed for anomalies and trends with a high degree of automation. The Audit and Advisory Services data analytics team continues to build a robust data analytics program, which includes providing support to the assurance and advisory teams, creating and managing dashboards, and performing periodic testing of data analytics results.

Vice Chancellor Marinescu discussed division operational excellence initiatives and priorities. He also shared information about the quality assurance review of Audit and Advisory Services that recently commenced and the results of which will be presented to the Committee on Audit in the spring of 2024.

Audit and Advisory Services Charter

Vice Chancellor Marinescu presented item number three, Audit and Advisory Services Charter, as an action item. The Institute of Internal Auditors (IIA) for the professional practice of internal auditing require that the purpose, authority, and responsibility of the internal audit activity be formally defined in an internal audit charter and that the chief audit executive periodically review the internal audit charter and present it to senior management and the board for approval. Vice Chancellor Marinescu discussed the proposed revisions to the charter and noted that the document was last reviewed by the Committee on Audit in January 2014. The proposed revisions to the charter are intended to strengthen alignment with IIA standards and streamline the organization and flow of the document, while ensuring compliance with applicable laws and Board of Trustees policies. Audit management also benchmarked the document against more than 35 other university internal audit charters. The changes do not alter the authority, responsibility, or activities of Audit and Advisory Services.

The revised Audit and Advisory Services Charter was approved.

Audit Committee Charter

Vice Chancellor Marinescu presented item number four, Audit Committee Charter, as an action item. He reviewed the proposed revisions to the charter and noted that the document was last reviewed by the Committee on Audit in January 2014. While the Institute of Internal Auditors (IIA) for the professional practice of internal auditing does not require periodic review of this document, the Audit Committee Charter is reviewed in conjunction with the Audit and Advisory Services Charter. The changes to the charter do not alter the authority, responsibility, or activities of Audit and Advisory Services or the Committee on Audit.

The revised Audit Committee Charter was approved.

Trustee Rodriguez adjourned the Committee on Audit.

COMMITTEE ON AUDIT

Status Report on Audit and Advisory Services Activities

Presentation By

Vlad Marinescu
Vice Chancellor and Chief Audit Officer
Audit and Advisory Services

Summary

This item provides an update on internal audit activities and initiatives. It also includes a status update on the 2023-24 audit plan. Attachment A summarizes the status of audit assignments by campus. Attachment B illustrates key activities and milestones for the 2023-24 audit plan year.

For the current year audit plan, assignments were made to execute individual campus audit plans and conduct financial, operational, compliance, and information technology audits; use continuous auditing techniques and data analytics tools; provide advisory services; support intergovernmental audits; and perform investigation reviews, as needed. Follow-up on current and past assignments is being conducted on 29 completed campus reviews.

The division of Audit and Advisory Services is currently undergoing a Quality Assurance Review (QAR) as required under the IIA International Standards for the Professional Practice of Internal Auditing. This process is led by an external team of three higher education audit professionals. The team visited the Chancellor's Office in December 2023 as part of the review. The results of the QAR will be presented to the CSU Board of Trustees Committee on Audit at an upcoming meeting.

ASSURANCE AUDITS AND DATA ANALYTICS

Status of In-Process and Completed Audits

Audit and Advisory Services continues to make progress on the 2023-24 audit plan. Eight audits have been completed and twenty-three audits are currently in process. Completed audit reports are posted on the California State University website at <https://www.calstate.edu/csu-system/transparency-accountability/audit-reports>.

The status of campus progress toward implementing recommendations for completed audits is included in Attachment A. Prior year audits that have open recommendations are also included in Attachment A and are removed from the report once all recommendations are completed.

Both campus management and audit management are responsible for tracking the implementation/completion status of audit recommendations contained in campus audit reports. During the audit process, campus management identifies a target completion date for addressing each audit recommendation. Target completion dates are subject to approval by audit management prior to the audit report being finalized. Implementation timelines are reviewed for appropriateness, reasonableness, and timeliness, which also includes evaluating the nature and level of risk and whether any mitigating controls can or should be put in place on an interim basis while audit recommendations are being implemented.

If there are difficulties or unexpected delays in addressing/completing audit recommendations within the agreed upon timeframes, escalation processes for resolution are followed by audit management. Audit management first contacts the campus senior leadership team (president and/or VP/CFO) to resolve any delays. In rare instances in which delays cannot be resolved during discussions between campus and audit management, the chancellor and audit committee chair and/or vice chair may help resolve delays, as needed.

Data Analytics

The data analytics team recently completed the development of 12 new credit card dashboards which were recently shared with campus management and credit card administrators. These dashboards will enable campus stakeholders to proactively review and address potential anomalies. The dashboards will be refreshed by our team on a quarterly basis.

In addition, the data analytics team recently completed its internal periodic monitoring of campus credit card and Concur travel data. The team reviewed 177 transactions and follow-up was performed where necessary. For payroll reviews, overtime and additional employment dashboards have been completed and the team is continuing to work on other payroll-related dashboards such as employee leave and bonus payments. To validate analytics tests on bonus payments, the team is currently performing reviews at two campuses.

ADVISORY SERVICES

Audit and Advisory Services partners with management to identify solutions for business issues, offer opportunities to improve the efficiency and effectiveness of operating areas, and assist with special requests, while ensuring the consideration of related internal control issues. Advisory services are more consultative in nature than traditional audits and are performed in response to requests from campus management. The goal is to enhance awareness of risk, control and compliance issues and to provide a proactive independent review and appraisal of specifically identified concerns. Reviews are ongoing.

INVESTIGATIONS AND INTERGOVERNMENTAL AUDITS

Audit and Advisory Services is periodically called upon to provide investigative reviews of alleged fiscal improprieties. Investigations are performed both at the request of an individual campus or the chancellor's office and by referral from the state auditor. Additionally, Audit and Advisory Services assists with tracking external audits being conducted by state and federal agencies, offers assistance to campuses undergoing such audits, and acts as a liaison for the California State University system throughout the audit process when appropriate.

The California State Auditor (CSA) started its audit of community college transfers in July 2023. The audit is reviewing California's higher education systems' efforts to improve the rate of community college transfers to the California State University and University of California. The audit is currently in progress and the estimated completion date is summer 2024. The scope and objectives of the audit are available on the State Auditor's website at: <https://www.auditor.ca.gov/pdfs/analyses/2023-123.pdf>.

In December 2023 and January 2024, the CSU submitted the required six-month responses for the CSA Native American Graves Protection and Repatriation Act (NAGPRA) and Title IX audits, respectively. The responses provide information on the status of the CSU's implementation of the audit recommendations and can be viewed on the CSA's website once they are assessed by the CSA:

- <https://auditor.ca.gov/reports/recommendations/2022-107> - for NAGPRA
- <https://auditor.ca.gov/reports/recommendations/2022-109> - for Title IX

The one-year follow-up response to the CSA NAGPRA audit is due in June 2024 and the Title IX response is due in July 2024.

OUTREACH AND ENGAGEMENT

Audit and Advisory Services continues to partner and work with our campus and system stakeholders beyond what would be the usual course of business for an audit or advisory review in order to find opportunities to add value to the organization when possible. This includes partnering activities such as participating and leading affinity groups, providing support to campus and chancellor's office management, sharing information and common themes across campuses, and acknowledging feedback and insights provided by management.

INTERNAL AUDIT FRAMEWORK AND STANDARDS

Education Code Section 89045, enacted by Chapter 1406 of the Statutes of 1969, provides for the establishment of an internal auditing function reporting directly to the Trustees of the California

State University. Audit and Advisory Services assists university management and the Trustees in the effective discharge of their fiduciary and administrative responsibilities by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control, and governance processes. Additionally, Audit and Advisory Services serves the university in a manner that is consistent with the International Professional Practices Framework and the Code of Ethics as promulgated by the Institute of Internal Auditors, including organizational independence, as outlined in the Audit and Advisory Services Charter.

Audit and Advisory Services is organizationally independent and free from interference in determining the scope of internal audits, performing work, and communicating results. To ensure organizational independence, Audit and Advisory Services reports functionally to the Trustees of the California State University, and administratively to the Chancellor.

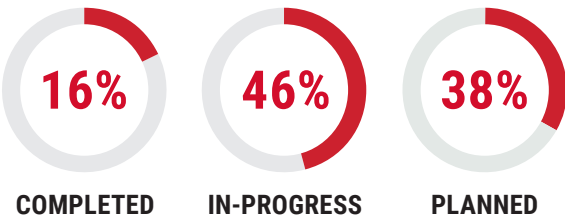
STATUS REPORT ON CURRENT AND PAST AUDIT ASSIGNMENTS

Campus	Category	Audit Topic	Audit Plan Year	Total # of Recommendations	*Status
Chancellor's Office	Status of Recommendations	Payroll and Benefits Reporting	FY 22-23	2	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div>
		Emergency Management	FY 23-24	2	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div>
Channel Islands	Audits Currently in Progress	Computer Security Incident Response	FY 23-24		
		University Auxiliary Services	FY 23-24		
Chico	Audits Currently in Progress	Endowment Management	FY 23-24		
		Information Security	FY 22-23	14	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 5 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 9
Dominguez Hills	Status of Recommendations	Associated Students	FY 22-23	8	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 8
		Information Security	FY 22-23	8	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 1 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 7
		Property and Equipment	FY 23-24	12	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 12
East Bay	Audits Currently in Progress	Construction Management	FY 23-24		
		East Bay Foundation	FY 23-24		
	Status of Recommendations	Executive Travel and Hospitality	FY 22-23	1	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 1
Fresno	Audits Currently in Progress	Information Security Follow-Up	FY 22-23	1	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 1
		Executive Travel and Hospitality	FY 23-24		
		Information Security	FY 23-24		
Fullerton	Audits Currently in Progress	Business Continuity and IT Disaster Recovery	FY 23-24		
		Executive Travel and Hospitality	FY 22-23	1	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 1
Humboldt	Status of Recommendations	Human Resources and Training Compliance	FY 22-23	14	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 14
		International Programs	FY 23-24	5	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 5
Long Beach	Audits Currently in Progress	Information Security	FY 23-24		
		Athletics Fiscal Administration	FY 22-23	5	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 5
		Youth Programs	FY 23-24	5	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 5
Los Angeles	Audits Currently in Progress	Financial Aid	FY 23-24		
		University Auxiliary Services	FY 22-23	8	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 8
		Accessible Technology	FY 23-24	3	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 3
Maritime Academy	Audits Currently in Progress	Financial Aid	FY 23-24		
Monterey Bay	Audits Currently in Progress	Executive Travel and Hospitality	FY 23-24		
		Financial Aid	FY 23-24		
	Status of Recommendations	Accessible Technology Initiative	FY 22-23	6	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 1 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 5
Northridge	Audits Currently in Progress	Business Continuity and IT Disaster Recovery	FY 23-24		
		Athletics Fiscal Administration	FY 22-23	6	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 5 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 1
		The University Corporation	FY 22-23	7	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 7
Pomona	Audits Currently in Progress	Associated Students, Inc.	FY 23-24		
		Information Security	FY 22-23	6	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 6
Sacramento	Audits Currently in Progress	Executive Travel and Hospitality	FY 23-24		
		Capital Public Radio	FY 22-23	17	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 17
San Bernardino	Audits Currently in Progress	Athletics Fiscal Administration	FY 23-24		
San Diego	Audits Currently in Progress	Research Foundation	FY 23-24		
		Aztec Shops	FY 22-23	5	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 3 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 2
		Academic Department Fiscal Review	FY 23-24	2	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 2
San Francisco	Audits Currently in Progress	University Corporation	FY 23-24		
		Training Compliance	FY 22-23	3	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 3
San Jose	Audits Currently in Progress	Endowment Management	FY 23-24		
		Athletics Fiscal Administration	FY 22-23	6	<div style="width: 20px; height: 10px; background-color: #0070c0; display: inline-block;"></div> 3 <div style="width: 20px; height: 10px; background-color: #70ad47; display: inline-block;"></div> 3
		Information Security	FY 22-23	5	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 5
San Luis Obispo	Audits Currently in Progress	Financial Aid	FY 23-24		
		Athletics Fiscal Administration	FY 22-23	7	<div style="width: 20px; height: 10px; background-color: #70ad47;"></div> 7
San Marcos	Status of Recommendations	Accessible Technology Initiative	FY 22-23	6	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 6
		Counseling and Psychological Services	FY 23-24	2	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 2
Sonoma	Audits Currently in Progress	Construction Management	FY 23-24		
		Business Continuity and IT Disaster Recovery	FY 23-24	10	<div style="width: 20px; height: 10px; background-color: #0070c0;"></div> 10
Stanislaus	Audits Currently in Progress	Information Security	FY 23-24		

*Status
 Closed (green) - Recommendations have been satisfactorily implemented
 Open (blue) - Implementation of recommendations is in progress and within the agreed upon timeframe
 Exceeds Agreed Upon Timeframe (red) - Recommendations have not been implemented within the agreed upon timeframe

AUDIT HIGHLIGHTS

2023-24 ASSURANCE AUDITS



2022-23 AUDIT RECOMMENDATIONS

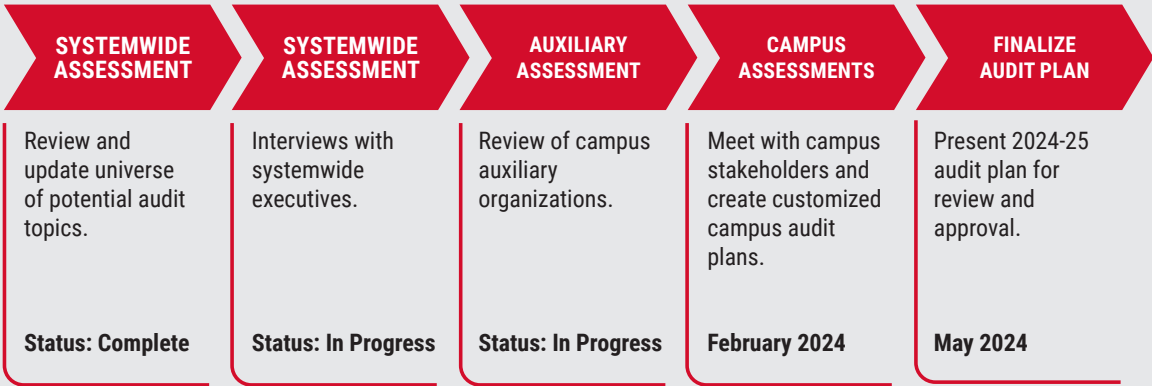


CALIFORNIA STATE AUDITOR (CSA)

THE CSA AUDIT OF COMMUNITY COLLEGE TRANSFERS IS CURRENTLY IN PROGRESS.

THE CSU SUBMITTED THE REQUIRED SIX-MONTH RESPONSES FOR THE CSA AUDITS OF NAGPRA AND TITLE IX.

ANNUAL RISK ASSESSMENT



AGENDA

COMMITTEE ON ORGANIZATION AND RULES

Meeting: 1:55 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium

Lillian Kimbell, Chair
Julia I. Lopez, Vice Chair
Diego Arambula
Jack Clarke, Jr.
Douglas Faigin
Jean Picker Firstenberg
Jonathan Molina Mancio
Anna Ortiz-Morfit
Lateefah Simon

Consent

1. Approval of Minutes of the Meeting of May 24, 2023, *Action*
2. Proposed California State University Board of Trustees' Meeting Dates for 2025, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON ORGANIZATION AND RULES**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

May 24, 2023

Members Present

Jean Picker Firstenberg, Chair
Julia I. Lopez, Vice Chair
Diana Aguilar-Cruz
Jack Clarke, Jr.
Leslie Gilbert-Lurie
Lillian Kimbell
Christopher Steinhauser

Wenda Fong, Chair of the Board
Jolene Koester, Interim Chancellor

Trustee Firstenberg called the meeting to order.

Consent Agenda

The minutes of the March 22, 2023, meeting were approved as submitted.

Discussion Agenda

The committee had one item on the discussion agenda.

Final Report on the Board of Trustees' Review

Senior Advisor Roberta Achtenberg and Associate Vice Chancellor Michelle Kiss presented the item regarding the external review of the board roles and practices within the university system. The review was conducted by Jane Wellman, a special consultant to the Board, and Roberta Achtenberg, Senior Advisor to the CSU for Board Governance and Relations. The external review, conducted over a year from May 2022 to May 2023, analyzed board functions, policies and protocols

O&R

Agenda Item 1

January 29-31, 2024

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to identify and recommend opportunities to strengthen the board's work with a more strategic and proactive focus. A summative report was presented at the meeting.

AVC Kiss provided an overview of the restructured Office of the Board of Trustees and described how it is more strategically designed to better support and serve the strategic functions, priorities and goals of the board. Trustees Brar and Ghilarducci spoke about the newly restructured board member orientation and the benefit of their initial comprehensive onboarding. Trustee Aguilar-Cruz expressed appreciation for the new student trustee scholarship that would provide financial support to future student trustees. Trustees Aguilar-Cruz and Linares also noted the importance to continue to advocate for and support undocumented students. Trustee Firstenberg noted the board and system advocates for all CSU students.

Trustee Firstenberg adjourned the Committee on Organization and Rules.

COMMITTEE ON ORGANIZATION AND RULES

Proposed California State University Board of Trustees Meeting Dates for 2025

Presentation By

Lillian Kimbell
Committee Chair

Summary

The following schedule of the CSU Board of Trustees meeting dates for 2025 is presented for information and will be proposed for action at the March 2024 meeting.

Proposed 2025 Meeting Dates

January 28-29, 2025	Tuesday – Wednesday	Chancellor’s Office
March 25-26, 2025	Tuesday – Wednesday	Chancellor’s Office
May 20-21, 2025	Tuesday – Wednesday	Chancellor’s Office
July 22-23, 2025	Tuesday – Wednesday	Chancellor’s Office
September 9-10 23-24 , 2025	Tuesday – Wednesday	Chancellor’s Office
November 18-19, 2025	Tuesday – Wednesday	Chancellor’s Office

AGENDA

COMMITTEE ON GOVERNMENTAL RELATIONS

Meeting: 2:00 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium

Jack B. Clarke, Jr., Chair
Yammilette Rodriguez, Vice Chair
Diana Aguilar-Cruz
Diego Arambula
Raji Kaur Brar
Lillian Kimbell
Julia I. Lopez
Jack McGrory
Jose Antonio Vargas

- Consent** 1. Approval of Minutes of the Meeting of November 8, 2023, *Action*
- Discussion** 2. Update on NAGPRA and CalNAGPRA Compliance, *Information*
3. Sponsored State Legislation for 2024, *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON GOVERNMENTAL RELATIONS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Members Present

Jack Clarke, Jr., Chair
Yammilette Rodriguez, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Lillian Kimbell
Julia I. Lopez
Jack McGrory
Jose Antonio Vargas

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Trustee Clarke called the meeting to order.

Approval of the Consent Agenda

Trustee Lopez asked the committee chair if she could make a comment about the consent agenda. She wanted to remind everyone that the CSU needs a clear, concise and compelling financial aid legislative agenda for the next year.

The minutes of the September 12, 2023, meeting were approved as submitted.

Item two, State Legislative Update, was an information item.

Endorsement of the Fresno County Ballot Initiative

Steve Relyea, executive vice chancellor and chief financial officer, presented an opportunity for the board to take a position on a ballot measure that Fresno County voters will be asked to consider

at the March 2024 election. A group of civic leaders, including state and local elected officials, have secured enough signatures to place a ballot measure, that if passed, would implement a quarter of a cent sales tax in Fresno County. Proceeds from the sales tax would then be allocated to Fresno State for efforts to modernize, construct and equip the university, as well as for scholarships for Central Valley and low-income students.

Saúl Jiménez-Sandoval, president, California State University, Fresno, thanked the committee and the board for considering the endorsement of this ballot measure that would help the university by expanding access and promoting affordability for its students. Fresno County Measure E is an initiative that will be placed on the March 2024 Fresno County ballot creating the Fresno State Improvement Zone. If approved by voters, this initiative would add a sales tax of one penny to every \$4 to purchases in Fresno County and is expected to raise \$56 million annually, with an estimated total of \$1.4 billion, exclusively for Fresno State over the 25-year term. The sales tax would support student success, including scholarships, and would address a variety of academic and athletics projects, specifically deferred maintenance and infrastructure improvements.

He shared that while Fresno State is not leading this effort, there are a number of community leaders who recognize that Fresno State plays a pivotal role as the region's economic, social and cultural keystone. Eighty percent of Fresno State graduates stay in the region, give back to their communities and help grow the economy. Fresno State has a \$500 million backlog in deferred maintenance. Fresno-area leaders recognize that the uncertainty and insufficient level of state support for investment in facilities, infrastructure and deferred maintenance has made it a challenge to meet the demands of industry.

President Jiménez-Sandoval noted that due to the university's role and history in Fresno County, this is a unique opportunity. He assured the board that if the measure passes, the university will work closely with the campus community and the Citizens' Oversight Committee to prioritize the highest project and programmatic needs that align with the mission and campus master plan—all while ensuring consultation and compliance with CSU policies and procedures.

Nathan Dietrich, assistant vice chancellor for advocacy and state relations, shared additional background, details and issues to consider regarding Measure E. All revenue raised by Measure E would be placed in a "Fresno State Improvement Zone Account." Funds would then be allocated by a seven-member Citizens' Oversight Committee. The measure dictates that the committee would be made up of one member appointed by each member of the Fresno County Board of Supervisors, the president of Fresno State or their designee, and a designee of the Chancellor's Office. The committee would be a public board and required to follow all local and state laws. Each year the committee would release an audit of its funds and decide which projects to support.

The measure also directs the committee to create two endowments of \$50 million dollars each. The first endowment would be a scholarship fund for local low-income students and veterans, and the second would be for deferred maintenance.

He reported that the bylaws do allow the Board of Trustees to take a position on a ballot measure. He reminded the board that this opportunity is unique to Fresno State. Although the measure has bipartisan support from local leaders, this is a sales tax and there will be opposition. If this measure passes, it is by no means a signal to state leaders that state funds are not needed for the CSU or Fresno State.

Trustee McGory noted that this partnership with local leaders is incredible and offered the support of the trustees to assist in any way possible. Trustee Lopez commented on the great regional support and asked President Jiménez-Sandoval about any opposition to the measure. She also suggested that the coalition behind the measure should mobilize the 24,500 Fresno State students. Trustee Steinhäuser stated that this is a great example of a commitment from the community. He believes this is an equity initiative and could be a role model for other campuses. Trustee Kimbell is hopeful the initiative will pass and does not believe it is a regressive tax as it will benefit lower income members of the community. She would like the CSU to think boldly and explore doing this on a larger scale. Trustee Rodriguez thanked everyone for their work and is very supportive of the initiative. As a Fresno State graduate, she knows that this is an issue for the most marginalized communities in the Central Valley as Fresno State is the only public, affordable option for a four-year degree in the region. Trustee Brar shared that she was born in Fresno, and Fresno State is at the heart of the region. She hopes this initiative passes and serves as a model for other campuses. Trustee Gilbert-Lurie said this is creative and inspiring and asked for a list of other CSU regions where this could be a possibility. Chair Fong thanked the president and the Fresno leaders from both sides of the aisle for coming together to support this initiative and uplifting Fresno State.

The committee recommended approval by the board of the proposed resolution (RGR 11-23-03) that the Board of Trustees endorse the Fresno County Measure E (Fresno State Improvement Zone) ballot initiative.

Trustee Clarke adjourned the meeting.

COMMITTEE ON GOVERNMENTAL RELATIONS

Update on NAGPRA and CalNAGPRA Compliance

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Tomás Morales
President
California State University, San Bernardino

Nathan Dietrich
Assistant Vice Chancellor
Advocacy and State Relations

Summary

This agenda item provides an update on systemwide compliance in relation to federal and state laws that require the repatriation of Native American human remains and cultural items that are in a university's possession.

Background

The Native American Graves Protection and Repatriation Act (NAGPRA) was passed by Congress in 1990. The federal law was enacted to resolve and restore the rights of Native American lineal descendants and Tribes to the human remains, funerary objects, sacred objects, and objects of cultural patrimony that are held by museums, institutions, and universities across the nation. NAGPRA applies to federally recognized Tribes and requires institutions to compile an inventory of the human remains and associated funerary objects and create a summary of cultural items in their possession. Institutions must first consult with Tribes, respond to claims for repatriation, publish formal notices on the federal register, and repatriate in a timely manner. The California State Legislature passed CalNAGPRA in 2001 to provide a mechanism whereby California Indian Tribes that are not federally recognized may file claims with agencies and institutions for repatriation of human remains and cultural items. In 2020, AB-275 (Ramos) was enacted to amend CalNAGPRA and established two 2022 deadlines for agencies and institutions to submit inventories and summaries to the California Native American Heritage Commission (NAHC).

A 2022 report by the State Auditor found that the University of California campuses had yet to repatriate more than 600,000 items in their collections. As a result, the UC has been subject to additional legislative oversight and the Joint Legislative Audit Committee (JLAC) requested the State Auditor review the CSU's collections and NAGPRA policies.

California State Audit Results

As directed by the JLAC, the California State Auditor conducted an audit of the CSU's compliance with NAGPRA and CalNAGPRA. The audit, released on June 29, 2023, concluded that while the Chancellor's Office has taken some limited steps in recent years to support university's repatriation efforts, it must take additional action to ensure that universities prioritize complying with NAGPRA and CalNAGPRA.

The audit found that in total, CSU universities are in possession of more than 5,000 human remains and 600,000 cultural items. The human remains and cultural items came into a university's possession, most often through archeological excavation projects, faculty and student field studies, government agency repository collections, or donations. To honor our commitment to Native American Tribes and comply with the laws, human remains and cultural items must be treated with respect and repatriated through a consultative process in a timely manner.

The report stated that "of the 21 campuses with NAGPRA collections, more than half have not repatriated any remains or cultural items to tribes and that two campuses that returned remains or cultural items did not follow NAGPRA requirements when doing so. More than half of these 21 campuses do not yet know the extent of their collections of remains and cultural items, despite federal law requiring them to do so by late 1995. In part because campuses have not prioritized NAGPRA, they lack the policies, funding, and staffing necessary to follow the law and repatriate their collections. Factors such as these have contributed to the CSU system making little progress in the timely return of human remains and cultural items to tribes, repatriating just 6 percent of its collections to tribes to date." A copy of the full report can be found on the State Auditor's website (<https://www.auditor.ca.gov/pdfs/reports/2022-107.pdf>).

The audit directed eight recommendations to the Chancellor's Office and one to the California Legislature. State audits require detailed follow-up responses on the implementation of the report's recommendations at 60 days, six months, and one year after the report's release, and annually thereafter. The State Auditor's recommendations were:

1. To ensure campuses have identified all the remains and cultural items in their NAGPRA collections, the Chancellor's Office should monitor campus efforts to review their collections and require their inventories by December 2024.
2. To ensure that campuses have identified all of the remains and cultural items in their NAGPRA collections, the Chancellor's Office should ensure that campuses properly consult with tribes by engaging in meaningful, timely discussion in a manner respectful of tribal sovereignty regarding protocols for handling and identifying remains and cultural items.

3. To provide campuses with the guidance and best practices necessary for effectively complying with NAGPRA and CalNAGPRA, the Chancellor's Office should issue a systemwide NAGPRA policy establishing consistent repatriation processes and training requirements, in consultation with California tribes and the Native American Heritage Commission.
4. To ensure that it adequately oversees campus repatriation activity, the Chancellor's Office should establish a systemwide NAGPRA oversight committee by December 2023. By this same date, the Chancellor's Office should implement a process for campuses to periodically report their repatriation activity to the systemwide oversight committee. Further, it should require that campuses with more than 100 remains and cultural items also establish NAGPRA committees.
5. To ensure that campuses proactively pursue timely repatriation, the Chancellor's Office should require campuses with more than 100 sets of remains or cultural items to have full time, experienced repatriation coordinators by June 2024.
6. To ensure that repatriation is a systemwide priority and that campuses have access to clear and consistent leadership related to NAGPRA and CalNAGPRA, the Chancellor's Office should, by December 2023, formalize its administrative structure, such as by assigning a position within its office the responsibility of directly overseeing the work of the systemwide CalNAGPRA project manager.
7. To ensure that campuses have the funding necessary to comply with NAGPRA and CalNAGPRA, the Chancellor's Office should require each campus with NAGPRA collections to identify and estimate, by January 2024, the funding and other resources they need to complete repatriation in an appropriate and timely manner.
8. To ensure that campuses have the funding necessary to comply with NAGPRA and CalNAGPRA, the Chancellor's Office should, after evaluating the reasonableness of campuses' estimates, either identify and provide the required funding from existing systemwide or campus resources or seek additional funding from the Legislature, to ensure that campuses have adequate funding to support their NAGPRA and CalNAGPRA activities.

Assembly Bill 389

Assembly Bill 389, authored by Assemblymember James Ramos, is a direct result of the audit's recommendations and legitimate concerns from the tribal communities. The bill was signed into law in September 2023. AB 389 requires the CSU to have a systemwide policy on repatriation and culturally appropriate treatment of human remains and cultural items, including a prohibition on using human remains or cultural items in a university's collections for teaching or research. The law also requires the creation of systemwide and university committees, for each university to have

a full-time experienced repatriation coordinator, and annual reports to the legislature. AB 389 requires that the CSU work proactively with the State of California's Native American Heritage Commission (NAHC) to ensure authentic tribal collaboration and consultation takes place. AB 389 generally aligns with the recommendations from the State Auditor, but the dates at which some items are required to be completed differ, with AB 389 giving the CSU slightly more time than the auditor recommended.

Chancellor's Office Actions

The state auditor's report called attention to the areas in which the CSU and its universities must take immediate action and shift the direction toward repatriation outcomes. The Chancellor's Office understands the CSU and our universities cannot truly honor tribal communities until we have fully complied with NAGPRA, CalNAGPRA, and AB 389. The CSU fully commits to the letter and spirit of the laws. Returning the ancestral remains and cultural items is an essential demonstration of that respect, and it must be done in a timely and meaningful way that follows full federal and state guidelines. Attached is a flowchart, created by the NAHC, of the statutory and best practices related to CalNAGPRA and repatriation process.

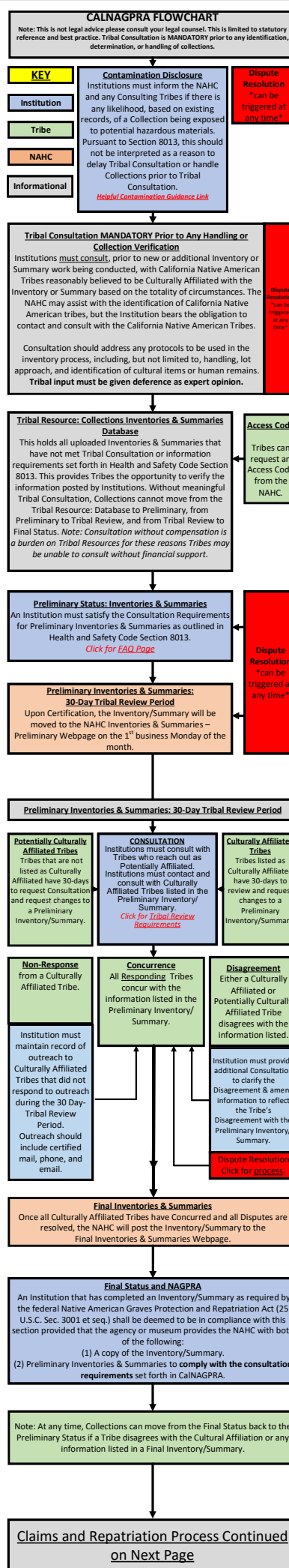
The Chancellor's Office has established a formal administrative structure by assigning the responsibility of directly overseeing the work of the systemwide CalNAGPRA Project Manager to the Director of External and Tribal Relations in the Office of Advocacy and State Relations. In August 2023, Interim Chancellor Jolene Koester appointed CSU San Bernardino President Tomás Morales as the CSU Presidential Advisor to the chancellor and the Board of Trustees for Native American Initiatives. In this role, President Morales advises fellow presidents and Chancellor's Office leadership on developing respectful and culturally competent relationships with tribal partners.

The Chancellor's Office has provided all 21 universities with NAGPRA collections with a Campus Repatriation Implementation Plan, which was also reviewed by the NAHC. The plan was developed to address the requirements under NAGPRA and CalNAGPRA, as well as other recently passed state legislation. The plan provides a framework to guide the university's repatriation work, including the establishment of timelines and budgets. Also included in the plan is guidance for universities to establish contact with Tribes to initiate and engage in meaningful consultations.

In the fall, the Chancellor's Office organized and provided two NAGPRA/CalNAGPRA training opportunities. A virtual training session was conducted on September 27, 2023, and an in-person workshop was held at Fresno State on October 30, 2023. Representatives from 20 campuses and the Chancellor's Office attended either the virtual training or the in-person workshop. The training materials and recordings from both training events are available on the Chancellor's Office's NAGPRA website. Another in-person training event is planned for April 2024 at CSU San Bernardino.

Per AB 389, a Systemwide NAGPRA Committee and campus committees will be established in coordination with the NAHC, and the Chancellor's Office is currently forming a working group to create the framework for the future systemwide policy. Several collaboration-listening sessions will be scheduled throughout the state to receive feedback from Tribes.

To create a more robust infrastructure for complying with the state and federal repatriation requirements, the Board of Trustees' 2024-25 operating budget request includes allocating \$4.25 million to fund 30 university-based positions, three systemwide positions, and accompanying operating costs. Funds from the Multi-Year Compact and tuition increase would cover \$2.25 million, with an additional \$2 million being requested from the Legislature.



CaINAGPRA Claims				
	Tribe/Museum Action	NAHC Action	Claim Status	Repatriation Status
Unverified Claims	Claim can be submitted by Lineal Descendant or Tribe directly. Any Claim an Institution receives must be sent to the Commission. Tribes may reach out to NAHC regarding verification.	Claims are Unverified until Inventory or Summary satisfies the requirements of §8016 (a) (1-5). The NAHC will post Unverified Claims to our website on the first business Monday of the Month.	The Claim Status of an Unverified Claim is: "Pending - Unverified"	Unverified Claims do not initiate the Repatriation Timeline.
	A Claim is Verified when the associated Inventory or Summary achieves "Final Status".	NAHC will update an Unverified Claim's Status to "Verified" the first business Monday of Month, after the associated Inventory or Summary achieves "Final Status".	The Claim Status of a Verified Claim is: "Pending - Verified"	Repatriation Status is updated to "In Process" when a Claim is posted to the Verified Claims Webpage. Posting to the Verified Claims Webpage initiates the 90-Day Legal Control Transfer Deadline if there are no competing Claims.
30-Day Institution Objection	Institution has 30 days from the posting of the Verified Claim to object to the Verified Claim. A Tribe may request Dispute Resolution in response to an Institution's objection to the Claim.	Updates Claim Status to "Accepted", on the First Business Monday of the Month after an Institution waives or does not object to a Verified Claim w/ 30 days. Claim Status will not be updated to "Accepted" if there are competing Claims.	Objection to a Claim updates a Claim's Status to "Disputed". Approval of a Claim by an Institution or lack of objection to a Claim updates a Claim to "Accepted" if there are no competing Claims.	Repatriation Status remains "In Process" during the 30-Day Institution Objection Timeline. Objection of a Claim may trigger Dispute Resolution. Objection of a Claim may pause the 90-Day Repatriation Timeline.
90- Day Legal Transfer Control	Legal Transfer of Control occurs 90-days after a Claim is Verified. Claimants and Institutions should coordinate directly with each other on Repatriation Agreements, which they must send to the NAHC. A Claimant can trigger Dispute Resolution throughout this phase.	The Commission will develop technical resources and templates to support Tribes in the creation of equitable Repatriation Agreements, the costs associated with Repatriation, including reburial. Tribes may trigger Dispute Resolution throughout this process.	Claim Status remains "Accepted" as long as there are no competing Claims prior to Repatriation.	Repatriation Status will be updated to "Complete" at the end of the 90-Day Legal Transfer of Control Timeline. Dispute Resolution, Institution objection to a Claim, competing Claims, and extensions of the Federal Notice Period may extend the 90-Day Repatriation Timeline.
Repatriation Agreements/ Physical Transfer	Tribes and Institutions must submit all Repatriation Agreements to the NAHC. Repatriation Agreements are encouraged to incorporate aspects of Restorative Justice including providing Land for reburial.	The NAHC will receive all Repatriation Agreements and will have the power to enforce these agreements. Best Practices: Tribes may request Restorative Justice principles be incorporated into Repatriation Agreements. NAHC will update Repatriation Status to complete.	Claim Status remains "Accepted".	Repatriation Agreements and transfer paperwork must be submitted to the NAHC within the 90 Timeline. The Commission has the authority to enforce the timelines for transfer of physical control agreed upon in the Repatriation Agreements. Further guidance is in development from the NAHC.

Repatriation Agreements and Restorative Justice. Repatriation Agreements aspects of Restorative Justice including but not limited to financial restitution, formal apologies, land for reburial, materials, or funding for reburial. Tribes may trigger dispute resolution at any time during this process.

COMMITTEE ON GOVERNMENTAL RELATIONS

Sponsored State Legislation for 2024

Presentation By

Steven Relyea
Executive Vice Chancellor and
Chief Financial Officer

Nathan Dietrich
Assistant Vice Chancellor
Advocacy and State Relations

Summary

This item contains three sponsored legislative proposals for consideration by the Board of Trustees.

2024 Legislative Proposals

In August, Interim Chancellor Jolene Koester requested proposals from Chancellor's Office and university leaders for possible inclusion in the Board of Trustees' sponsored bill package for the 2024 legislative year. Proposals were analyzed by staff with consideration given to whether there was a clearly identified need, programmatic and fiscal implications, and overall alignment with system initiatives and priorities. After meeting with Chancellor Mildred García, the following proposals are recommended for adoption by the Board as sponsored legislation for 2024.

Armed Services In-State Tuition

We recommend the Board of Trustees sponsor legislation to grant the California State University (CSU) authorization to pursue legislation which would provide an exemption from out-of-state tuition fees for members of the Armed Forces of the United States who are stationed in California for the purpose of pursuing educational goals at state-supported institutions of higher education.

As has been the CSU's long-standing practice, and in accordance with both current Federal and State law, exemptions are provided from out-of-state tuition fees for most military-affiliated students. More specifically, current exemptions apply to nearly all veterans and dependents who are eligible for GI Bill benefits and servicemembers and their families while stationed in California. The lone *exception* to this exemption from out-of-state tuition fees is for servicemembers who are stationed in California for the purpose of pursuing their educational goals at a state-supported institution.

This proposal would afford these servicemembers the same benefit as all other servicemembers and their dependents living in the State of California with minimal fiscal impacts to our universities and the system as a whole. It will also encourage servicemembers accepted to the various education and commissioning programs offered by the Armed Forces to apply for and enroll in CSU degree programs instead of moving to other states that do not charge out-of-state tuition to servicemembers pursuing education goals in their states.

Extension of Faculty and Staff Housing Tax Credit

We recommend the Board of Trustees sponsor legislation to extend the ability to use the Low-Income Housing Tax Credit to develop affordable housing for CSU faculty and staff on CSU owned land. The importance of affordable housing was highlighted in the work done by the Faculty and Staff Excellence Workgroup.

This proposal would be consistent with prior state legislation that authorized K-12 school districts and community colleges to utilize the Low-Income Housing Tax Credit program to develop affordable housing for teachers, faculty, and staff on school district and community college owned land.

The extension of this tax credit to staff and faculty housing developments on CSU-owned land would help address the demands for affordable housing. According to the California Department of Housing and Community Development, only 16% of residents in California can afford to buy a home. As a result, there is a growing trend of unsuccessful recruitments of top choice candidates of new faculty, as well as concerns about retaining existing staff and faculty who may be considering other career opportunities. Financing costs for developers are also rising and those costs are often passed on to tenants.

This statutory addition would help lower financing costs while leveraging public and private partnerships and thereby help meet the housing needs of universities systemwide.

PaCE Reform

We recommend the Board of Trustees sponsor legislation which will support the work of the 2023 PaCE Working Group, led by Presidents Jane Conoley and Erika Beck, to modernize the Professional and Continuing Education (PaCE) program.

Across the CSU, PaCE programs offer flexible models of learning, including online programs, which helps address the needs of working professionals while supporting the state's growing workforce demands through innovative partnerships with regional employers. Addressing the state's workforce needs will be a priority for the governor and the legislature, and the CSU aims to be a strong partner to the state in this important policy area.

While additional work to reform the PaCE program can be accomplished through revisions to Title V and through the Chancellor's Office executive orders, we propose legislation to allow for a more flexible use of self-support revenue to enhance system-wide infrastructure. The goal is to give universities the ability to support students, be more innovative, and grow academic programs.

Adoption of the following resolution is recommended:

RESOLVED, by the Board of Trustees of the California State University, that the state legislative proposals described in this item are adopted as the 2024 Board of Trustees' sponsored legislation.

AGENDA

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Meeting: 3:00 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium

Anna Ortiz-Morfit, Chair
Douglas Faigin, Vice Chair
Diego Arambula
Raji Kaur Brar
Jean Picker Firstenberg
Mark Ghilarducci
Jonathan Molina Mancio
Yammilette Rodriguez
Jose Antonio Vargas

- Consent** 1. Approval of Minutes of the Meeting of September 12, 2023, *Action*
- Discussion** 2. Naming of the Braddock Center for Science and Innovation – California State University, East Bay, *Action*
3. Annual Report on Donor Support for 2022-2023, *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON INSTITUTIONAL ADVANCEMENT**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

September 12, 2023

Members Present

Anna Ortiz-Morfit, Chair
Douglas Faigin, Vice Chair
Diego Arambula
Raji Kaur Brar
Jean Picker Firstenberg
Mark Ghilarducci
Yammilette Rodriguez
José Antonio Vargas

Wenda Fong, Chair of the Board
Jolene Koester, Interim Chancellor

Trustee Faigin called the meeting to order.

Approval of Minutes

The minutes of May 23, 2023, were approved as submitted.

2023-2024 California State University Trustees' Award for Outstanding Achievement

Trustee Faigin shared that each year the CSU Board of Trustees provides scholarships to students who demonstrate extraordinary academic achievement, significant personal accomplishments, valued service to the community and financial need. He recognized and thanked the members of the CSU Trustees' Award Selection Committee.

Chair Fong thanked the trustees – both past and present – and members of the CSU Foundation board for their contributions to the CSU Trustees' scholarships. She also recognized Trustee Emeritus Ali Razi, CSU Foundation Board of Governors member and CSU Trustees' Award

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selection committee chair, whose leadership and dedication to the scholarship program has transformed countless lives since 1984. She then introduced the top Razi scholar, Tania Parker, from California State University, Northridge.

The board recognized the 23 recipients of the 2023-2024 CSU Trustees' Award for Outstanding Achievement. Trustee Faigin called each student forward to receive their medallion and read a brief description of their accomplishments.

Trustee Faigin adjourned the meeting.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Naming of the Braddock Center for Science and Innovation – California State University, East Bay

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Cathy Sandeen
President
California State University, East Bay

Summary

This item will consider naming the applied science facility at California State University, East Bay as the Braddock Center for Science and Innovation.

This proposal, submitted by Cal State East Bay, meets the criteria and other conditions specified in the Board of Trustees Policy on Naming California State University Facilities and Properties, including approval by the system review panel and the campus academic senate.

Background

The proposed naming of the facility recognizes the \$5 million contribution by Robert Braddock, Jr. and Cheryl Keemar to Cal State East Bay. The gift is being used for the construction of the applied learning facility for STEM education. The 21,000 square foot facility will serve as an annex and is adjacent to the existing science buildings. It features four labs, five instruction spaces and a student support space. Upon completion, the facility will accommodate enrollment growth in STEM programs, enhance applied learning experiences and undergraduate research opportunities, and help fuel the East Bay's economic growth in technology and bioscience industries.

The Braddock family has longstanding roots in the East Bay. Robert C. ("R.C.") and Lois Braddock moved to San Leandro after R.C.'s service as an officer in the United States Navy in 1945. In addition to R.C.'s successful career in the home building industry, R.C. and Lois were among the founding limited partners in the Oakland Raiders.

The two Braddock children, Cheryl Keemar (“Cherie”) and Robert Jr. (“Bob”) were born in Oakland. After serving in the U.S. Army, attending college (including Cal State Hayward in 1974), and working in the family business, Bob directed his efforts to investing and philanthropy. Cherie relocated from San Francisco to New York City where she had a long career as marketing director for Gourmet Magazine.

R.C. and Lois were generous philanthropists throughout their lives, an ethic they cultivated in their children. The family is committed to providing access to education, healthcare and other necessary services to those in need.

Shortly before R.C.’s death in 1995, he and Lois created the Braddock Family Foundation as a vehicle to carry on their philanthropic legacy. Bob and Cherie have expanded the family philanthropic activities by including their personal giving under the umbrella of Braddock Philanthropies.

In addition to their generous support of Cal State East Bay, Bob and Cherie have perpetuated and expanded their parents’ philanthropic vision through recent grants to fund the Braddock Emotional Support Team (BEST) program at UCSF Benioff Oakland Children’s Hospital, the San Leandro Boys and Girls Club and the Dental Clinic at San Leandro-based Davis Street Community Center.

Recommended Action

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the applied science facility at California State University, East Bay be named the Braddock Center for Science and Innovation.

COMMITTEE ON INSTITUTIONAL ADVANCEMENT

Annual Report on Donor Support for 2022-2023

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Summary

This item presents information on donor support to the California State University from July 1, 2022 to June 30, 2023. Section 89720 of the Education Code requires that an annual gift report be submitted to the California Joint Legislative Budget Committee and the California Department of Finance.

A full report is available at <http://www.calstate.edu/donorsupport>.

Overview

In 2022-2023, the California State University secured over \$557 million in new gift commitments and almost \$466 million in gift receipts. This steady support, on par with last year's totals, helps power the CSU system's excellence in creating social mobility for our graduates, their families, and communities across California.

Ten campuses had increases in giving. The CSU's total endowment market value reached an all-time high at over \$2.5 billion.

Gifts Received

Donor's generosity in 2022-2023 resulted in almost \$466 million in assets received by the university. Donors designated most contributions to support areas across Cal State Universities, with only 1.6% or \$7.4 million being unrestricted.

Donors designated \$328 million for current use, which included:

- \$93.7 million for faculty support and academic enrichment
- \$44.8 million for student scholarships

- \$29.7 million for athletics
- \$17.3 million for academic research
- \$5.5 million for student affairs and student life
- \$137 million for additional university priorities

Donors contributed an additional \$49 million to support campus improvements in property, buildings and equipment, as well as \$79 million in gifts to endowments and \$1.8 million in irrevocable deferred gifts.

Performance Benchmarking

Gift receipts are the national standard used by the Council for Advancement and Support of Education to compare fundraising results across universities. National peer groups are based on classification groups developed by the Carnegie Commission on Higher Education, current as of 2021.

Among public master's institutions across the nation, California State Universities in the top ten for fundraising include San Luis Obispo (first), Channel Islands (second), Northridge (fifth), and Sacramento (seventh). San Diego State ranked first among public doctoral universities with high research activity. Cal Maritime ranked first among maritime academies.

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the Annual Report on Donor Support for 2022-2023 be adopted for submission to the California Joint Legislative Budget Committee and the California Department of Finance.

AGENDA

JOINT COMMITTEE ON INSTITUTIONAL ADVANCEMENT AND EDUCATIONAL POLICY

Meeting: 4:30 p.m., Tuesday, January 30, 2024
Glenn S. Dumke Auditorium

**Committee on Institutional
Advancement**

Anna Ortiz-Morfit, Chair
Douglas Faigin, Vice Chair
Diego Arambula
Raji Kaur Brar
Jean Picker Firstenberg
Mark Ghilarducci
Jonathan Molina Mancio
Yammilette Rodriguez
Jose Antonio Vargas

Committee on Educational Policy

Diego Arambula, Chair
Christopher Steinhauser, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Jack Clarke, Jr.
Mark Ghilarducci
Leslie Gilbert-Lurie
Jonathan Molina Mancio
Jose Antonio Vargas
Darlene Yee-Melichar

Consent 1. Approval of Minutes of the Meeting of January 24, 2023, *Action*
Discussion 2. The Wang Family Excellence Award, *Information*

**MINUTES OF THE MEETING OF THE
JOINT COMMITTEE ON INSTITUTIONAL ADVANCEMENT AND
EDUCATIONAL POLICY**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

January 24, 2023

Members Present

Committee on Institutional Advancement

Lillian Kimbell, Chair
Jean Picker Firstenberg, Vice Chair
Diego Arambula
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Yammilette Rodriguez
Jose Antonio Vargas

Committee on Educational Policy

Romey Sabalius, Chair
Diego Arambula, Vice Chair
Douglas Faigin
Jean Picker Firstenberg
Leslie Gilbert-Lurie
Maria Linares
Julia I. Lopez
Yammilette Rodriguez
Christopher Steinhauser

Wenda Fong, Chair of the Board
Jolene Koester, Interim Chancellor

Chair Sabalius called the meeting to order.

Approval of Minutes

The minutes of the meeting on January 24, 2023, were approved as submitted.

The Wang Family Excellence Award

The presentation began with opening remarks from CSU Board of Trustee Chair Wenda Fong acknowledging the 25th anniversary of the Wang Family Excellence Awards. Chair Fong thanked Trustee Emeritus Wang as well as the members of the selection committee. Interim Chancellor Jolene Koester then introduced the 2023 award recipients: Dr. Steve Alas, Outstanding Faculty Service; Dr. David Blekhman, Outstanding Faculty Scholarship; Dr. William J. Hoese, Outstanding Faculty Innovator for Student Success; Dr. Alyssa Goldstein Sepinwall, Outstanding Faculty Teaching; and Dr. Joy Stewart-James, Outstanding Staff Performance.

The meeting adjourned.

**JOINT COMMITTEE ON INSTITUTIONAL ADVANCEMENT
AND EDUCATIONAL POLICY**

The Wang Family Excellence Award

Presentation By

Mildred García
Chancellor

Background

More than 20 years ago, the Wang Family Excellence Award was established when then-California State University (CSU) Trustee Stanley T. Wang provided \$1 million to recognize the remarkable contributions of four CSU faculty members and one staff member annually over a 10-year period. Each selected recipient received an award of \$20,000.

In 2014, Trustee Emeritus Wang pledged a \$300,000 gift to the CSU to reinstate the Wang Family Excellence Award, continuing this recognition for faculty and staff through 2017. And, at the January 2017 Board of Trustees meeting, Chancellor Timothy P. White announced that Trustee Emeritus Wang had gifted an additional \$2.5 million, allowing the Wang Family Excellence Award to continue in perpetuity.

Overview

The Wang Family Excellence Award recognizes and celebrates CSU faculty members who have distinguished themselves through extraordinary dedication and exemplary achievements in their academic disciplines, while significantly contributing to the success of students. A staff administrator is also recognized for outstanding performance in her or his university assignment.

The selection process for the award begins with each campus president nominating one probationary or tenured faculty member for each of the award categories. Award categories are:

- a) Outstanding Faculty Innovator in Student Success;
- b) Outstanding Faculty Scholarship;
- c) Outstanding Faculty Service; and
- d) Outstanding Faculty Teaching.

Campus presidents also nominate one staff administrator from their respective campuses for the Outstanding Staff Performance Award.

Nominations are considered by the Wang Family Excellence Award Selection Committee, appointed in fall 2023 by Chancellor García in consultation with Trustee Emeritus Wang. This committee includes two members of the Board of Trustees, the deputy vice chancellor for Academic and Student Affairs and Chief Academic Officer, the vice chancellor for Human Resources, chair of the Academic Senate CSU and a CSU faculty member who was previously awarded the Wang Family Excellence Award.

Nominees are reviewed and considered for selection based on the following criteria:

- Nominees should have made truly remarkable contributions to the advancement of their respective universities and/or the CSU system.
- Nominees should have a demonstrated record of unusually meritorious achievements documented by evidence of superior accomplishments and contributions to their academic discipline or university assignment.
- A nominee's activities must advance the mission of the university, bring benefit and credit to the CSU and contribute to the enhancement of the CSU's excellence in teaching, learning, research, scholarly pursuits, student support and community contributions.

The Wang Family Excellence Awards will be presented at the January 2024 Board of Trustees meeting.

AGENDA

COMMITTEE ON FINANCE

Meeting: 9:00 a.m., Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

- Consent**
1. Approval of Minutes of the Meeting of November 8, 2023, *Action*
 2. Approval to Issue Debt for Affordable Housing at California State University, Dominguez Hills, *Action*
 3. Approval of Third-Party Financing at California Polytechnic State University, San Luis Obispo, *Action*
 4. Law Enforcement Regulated Equipment and Tools Annual Report, *Information*
- Discussion**
5. Auxiliary Organizations, *Information*
 6. 2024-25 Operating Budget Update, *Information*
 7. 2023-24 Student Fee Report, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON FINANCE**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Members Present

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Trustee Julia Lopez called the meeting to order.

Public Comment

Public comment occurred at the beginning of the meeting's open session before all committees.

Approval of the Consent Agenda

The minutes of the September 13, 2023, meeting of the Committee on Finance were approved as submitted.

Item 2, Approval to Issue Debt for Affordable Housing at California State University San Marcos was approved as submitted (RFIN 11-23-12).

Item 3, Approval of 2024-25 Lottery Budget and Report was approved as submitted (RFIN 11-23-13).

Item 4, Approval of an Auxiliary Organization Financing at California State University, Sacramento was approved as submitted (RFIN 11-23-14).

Item 5, California State University Annual Investment Report was an information item.

Discussion Agenda

The committee had one item on the discussion agenda.

Item 6, Annual Systemwide Report on Hate Incidents on Campus, Information

This item provides the calendar year 2022 annual report on hate violence incidents reported on California State University campuses pursuant to California Education Code § 67380(a)(5). The statute requires the California State University to report annually the number of reported incidents of criminal and non-criminal hate violence. Each campus must make the annual report available to the public and publish it on the campus website.

During the 2022 calendar year, there were thirteen reported hate crimes and six reported acts of hate violence.

Trustee McGrory suggested an additional level of reporting to include incidents, as many significant issues often fall outside the federal hate crime/hate violence definition.

Trustee Lopez concluded the business of the Committee on Finance.

COMMITTEE ON FINANCE

Approval to Issue Debt for Affordable Housing at California State University, Dominguez Hills

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury and Risk Management

Summary

This item requests that the California State University Board of Trustees authorize the issuance of long-term Systemwide Revenue Bond (SRB) financing and related debt instruments, including shorter term and variable rate debt, floating and fixed rate loans placed directly with banks, and bond anticipation notes (BANs) to support interim financing under the CSU commercial paper (CP) program, in an aggregate principal amount not-to-exceed \$29,520,000 to provide financing for a campus capital project:

California State University, Dominguez Hills Affordable Student Housing, Phase 4 and Dining Commons

Background

The SRB program provides capital financing for projects of the CSU – student housing, parking, student union, health center, continuing education facilities, certain auxiliary projects, and other projects, including academic facilities, approved by the Board of Trustees. Revenues from these programs and other revenues approved by the Board of Trustees, including CSU operating funds, are used to meet operational requirements for the projects and pay debt service on the bonds issued to finance the projects. The consolidated pledge of gross revenues to the bondholders strengthens the SRB program and has resulted in strong credit ratings and low borrowing costs for the CSU. Prior to issuance of bonds, some projects are funded through BANs issued by the CSU in support of its CP program. The BANs are provided to the CSU Institute, a recognized systemwide auxiliary organization, to secure the CSU Institute's issuance of CP used to finance the projects. CP notes provide greater financing flexibility and lower short-term borrowing costs during project construction than long-term bond financing. Proceeds from the issuance of bonds are then used to retire outstanding CP and finance any additional costs not previously covered by CP.

California State University, Dominguez Hills Affordable Student Housing, Phase 4 and Dining Commons

The California State University, Dominguez Hills Affordable Student Housing, Phase 4 and Dining Commons project (the “Project”) was presented to the Board of Trustees Committee on Campus Planning, Buildings and Grounds for schematic approval during the January 2023 meeting. Because the project was over budget at the time, the Board requested the university work to reduce the budget shortfall by modifying the proposed design and/or identifying additional funds. Approval for the revised design for the project was delegated by the Board to the chancellor since the total project budget exceeded the \$40 million threshold under existing delegated authority. The campus was able to reduce the budget shortfall by implementing cost reduction strategies and modifying the proposed design. The revised schematic plans were approved under delegated authority at a project budget of \$97,000,000.

The Project will consist of a four-story residence hall with two wings forming residential clusters (totaling approximately 63,000 gross square feet) and a separate one-story dining facility (approximately 17,500 gross square feet). The Project will be located north of the existing Student Resident Hall, south of International Avenue, and west of Birchknoll Drive. The student housing building will house 288 students in a combination of single, double, and triple traditional residence hall style rooms and will include multipurpose rooms, living rooms, laundry facilities, and other amenities. The dining commons will be the campus’ first resident-centered dining facility. This proposed project will allow the campus to provide housing to designated low-income students and bolster direct access to affordable student housing for those students in the most need.

The not-to-exceed principal amount of the proposed bonds is \$29,520,000, based on a total project budget of \$97,000,000 with funding of \$50,250,000 from the State’s Higher Education Student Housing Grant Program for the housing building; \$18,500,000 one-time funding for the dining commons from State appropriations in the 2021-2022 fiscal year; and \$2,000,000 from a donation from an outside vendor for the dining commons. Additional net financing costs, such as capitalized interest and cost of issuance (estimated at \$3,270,000), are expected to be funded from bond proceeds. The Project is scheduled to start construction in February 2024 with completion expected in April 2026.

The funding from the State’s Higher Education Student Housing Grant Program was initially expected to be in the form of a one-time cash grant, which was awarded in the 2022-23 fiscal year. However, the 2023-24 state budget for the CSU clawed back the cash grants for all previously awarded projects in the program and replaced them with an increase in CSU’s annual support appropriation which will allow CSU to replace the cash grants with a like amount of additional SRB net proceeds. This additional SRB funding in the amount of \$50,250,000 for the Project was approved by the Board of Trustees during its July 2023 meeting (\$48,750,000 as listed directly for the project and an additional \$1.5 million project augmentation from the \$157 million of pending approvals). The related debt to be issued under the SRB program will be repaid from the additional support appropriation from the state (rather than campus resources).

The following table summarizes key information about this financing transaction.

Not-to-exceed principal amount	\$29,520,000
Amortization	Approximately level debt service over 30 years
Projected maximum annual debt service	\$2,030,208
Projected debt service coverage including the new project: Net revenue – Dominguez Hills pledged revenue programs: ¹	1.73
Net revenue – Projected campus housing program:	1.38

1. Combines 2026-27 information for all campus pledged revenue programs with 2026-27 information for the project.

The not-to-exceed principal amount for the Project, the maximum annual debt service, and the financial ratios above are based on an estimated all-in true interest cost of 5.73 percent, which includes a cushion for changing financial market conditions that could occur before the permanent financing bonds are sold. The financial plan assumes level amortization of debt service, which is the CSU program standard. The campus financial plan projects a housing program net revenue debt service coverage of 1.38 in fiscal year 2026-27, the first full year of operations, which is better than the CSU benchmark of 1.10 for the program. When combining the Project with information for all campus pledged revenue programs, the campus’ overall net revenue debt service coverage for the first full year of operations is projected to be 1.73, which is better than the CSU benchmark of 1.35 for a campus.

In coordination with CSU’s Office of General Counsel, Orrick, Herrington & Sutcliffe LLP, as outside bond counsel, is preparing resolutions to be distributed to the Board prior to this meeting that authorize interim and permanent financing for the Project described in this agenda. The proposed resolutions will achieve the following:

1. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments, including shorter term debt, variable rate debt, floating rate loans placed directly with banks, or fixed rate loans placed directly with banks, in an aggregate principal amount not-to-exceed \$29,520,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes, the revenue bonds, and the related debt instruments.

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Approval of the financing resolutions for this project as described in this Agenda Item 2 of the Committee on Finance at the January 29-31, 2024, meeting of the CSU Board of Trustees is recommended for:

California State University, Dominguez Hills Affordable Student Housing, Phase 4 and Dining Commons

COMMITTEE ON FINANCE

Approval of Third-Party Financing at California Polytechnic State University, San Luis Obispo

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

This item requests approval from the Board of Trustees to authorize Cal Poly Corporation, (the “Corporation”), a recognized auxiliary organization in good standing at California Polytechnic State University, San Luis Obispo, to secure certain external financing in an amount not-to-exceed \$20,000,000 to fund costs associated with the construction of new for-sale faculty and staff housing units.

Background

In 2006, via a ground lease with the CSU, the Corporation built 69 for-sale homes, a development known as Bella Montana, to provide faculty and staff housing for the campus. To further the university’s educational mission and recruitment goal of filling vacant positions and hiring new faculty to meet targeted enrollment growth, the Corporation plans to build additional for-sale housing units on underutilized CSU-owned land and create a faculty and staff community closely connected with campus.

Project Description

The planned housing development, commonly known as “Faculty and Staff Housing Project II – Slack & Grand,” will involve the construction of 33 single family units on approximately 6 acres of vacant land at the Grand Avenue entrance to campus (the “Project”). The on-campus location at the university’s southern edge provides a high-quality for-sale housing alternative for faculty and staff in a community environment that will enhance connectivity with the campus and reduce

commuting demands, including easing near-campus neighborhood traffic. The proposed for-sale housing units will consist of a variety of sizes to accommodate different preferences and needs, ranging from approximately 1,600 to 2,100 square feet, and will be constructed by a general contractor to be selected through a competitive bid process.

The Corporation will develop the Project subject to a long-term ground lease with the CSU and proposes to finance the construction costs through a 3-year revolving line of credit from Wells Fargo Bank. Payoff of the revolving line of credit will occur through the faculty and staff home sales following a two-year construction period.

The development plan calls for the for-sale residences to be offered at twenty to thirty percent below existing market rates providing an attainable solution for home ownership for Cal Poly faculty and staff. The proposed Project will also help address the long-term housing deficiencies in the San Luis Obispo region and add to a growing portfolio of housing product at Cal Poly, providing flexible alternatives to new and existing faculty and staff.

Proposed External Financing

Subject to approval by the Board, the Corporation proposes to secure short-term financing through Wells Fargo Bank, based on the following key terms:

- The amount of the line of credit will be \$20 million.
- The term of the line of credit will be three years after document closing.
- Term may be extended on an annual basis or multi-annual basis, upon mutual consent of CPC and Wells Fargo.
- For amounts borrowed under the line of credit, the interest rate will be indexed on the floating Secured Overnight Financing Rate (SOFR) plus 1.50%, calculated on a per annum basis. (Total interest rate of 6.81% based upon SOFR rates at the time of agenda posting.)
- Undrawn amounts of the line credit will be charged 0.35% per annum.
- The note may be prepaid at any time, in whole or in part, without any prepayment penalty.
- The facility will be secured by collateral consisting of investments to be held by Wells Fargo.
- Among other standard terms and conditions, the Corporation shall maintain a debt service coverage ratio of at least 1.10.

Staff has reviewed key terms of the proposed financing and deemed them to be satisfactory and reasonable for this type of transaction. Furthermore, this financing will not conflict with any provisions of the CSU's Systemwide Revenue Bond program.

Trustee Resolution and Recommended Action:

The following resolution is recommended for approval:

RESOLVED, by the Board of Trustees of the California State University, that the request from Cal Poly Corporation to obtain external short-term financing in the amount of \$20,000,000 with the key terms as described herein is approved.

COMMITTEE ON FINANCE

Law Enforcement Regulated Equipment and Tools Annual Report

Presentation By

Steve Relyea
Executive Vice Chancellor
and Chief Financial Officer

Summary

[Assembly Bill 481](#), which took effect on January 1, 2022, required the Board of Trustees to adopt policies governing the use of any regulated equipment and tools that are or may be used on any California State University (CSU) campus, either by the university police department or an affiliated law enforcement agency such as a municipal police department or county sheriff. The same requirement applies to other governing bodies in California that oversee law enforcement agencies such as municipal police departments and county sheriff agencies.

At the July 2022 meeting, the Board of Trustees adopted a policy that authorized CSU universities to use the following regulated equipment and tools:

- (a) Unmanned, remotely piloted, powered aerial (observation drones) or ground vehicles.
- (b) Command and control vehicles that are either built or modified to facilitate the operational control and direction of public safety units.
- (c) Munitions containing tear gas (oleoresin capsicum), excluding standard, service-issued handheld pepper spray.
- (d) Kinetic energy weapons (e.g., bean bag and foam tip launchers) and munitions.
- (e) Long Range Acoustic Device (LRAD)

The Board of Trustees policy also requires each university to submit an annual report regarding uses of any regulated equipment and tools that occurred during the year.

For calendar year 2023, CSU universities reported that no regulated equipment or tools were used during the year except during training exercises, no complaints or concerns were received about the use of regulated equipment or tools, no internal audits identified any policy violations regarding the use, and no acquisitions of new types of regulated equipment and tools were planned for the current fiscal year.

COMMITTEE ON FINANCE

Auxiliary Organizations

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Erika D. Beck
President
California State University, Northridge

Heather Cairns
Associate Executive Director
The University Corporation
California State University, Northridge, and
President, Auxiliary Organizations Association

Colin Donahue
Vice President, Administration and Finance, and
Chief Financial Officer
California State University, Northridge

Summary

California State University (CSU) auxiliary organizations are separate legal entities created to support the educational mission of the university. Auxiliary organizations help ensure student success and the financial strength of the university by providing essential services and activities, increasing student engagement in high impact practices, managing risk and exposure, increasing investment opportunities, and facilitating real estate transactions. Auxiliary organizations include associated student organizations, student unions and recreation facilities, enterprise corporations that run bookstores and food services, and foundations that manage contracts and grants or gifts.

Auxiliaries are authorized under the provisions of the Education Code, Title 5 of the California Code of Regulations, the Internal Revenue Code, and the California Corporations Code as 501(c)(3) nonprofit organizations and 509(a)(3) supporting organizations, operating as “public charities” for tax-exempt purposes. They are subject to CSU and campus policies with university leadership typically serving on the governing boards. By policy, campus auxiliary organization annual budgets are approved by the university president.

Approved activities of auxiliary organizations are contained within operating agreements with the CSU and are sometimes accompanied by leases when the auxiliary organization occupies state land or buildings. Auxiliary organizations are required to produce independently audited financial statements, file annual tax returns, and follow either Financial Accounting Standards Board or Government Accounting Standards Board guidelines.

List of Recognized Auxiliary Organizations

Annually, the California State University audited financial statements include a list of recognized auxiliary organizations, which are reported as component units of the overall California State University financial statements. Below is the current list of 88 recognized auxiliary organizations affiliated with the CSU.

Office of the Chancellor

California State University Institute
California State University Foundation

California State University, Bakersfield

Associated Students, California State University, Bakersfield, Inc.
California State University, Bakersfield, Foundation
California State University, Bakersfield Student-centered Enterprises, Inc. (formerly
California State University, Bakersfield Student Union)
California State University, Bakersfield, Auxiliary for Sponsored Programs
Administration

California State University, Channel Islands

Associated Students of California State University, Channel Islands, Inc.
California State University, Channel Islands Foundation
CI University Auxiliary Services, Inc.

California State University, Chico

Associated Students of California State University, Chico
Chico State Enterprises (formerly The CSU, Chico Research Foundation)
The University Foundation, California State University, Chico

California State University, Dominguez Hills

Associated Students, Inc., California State University, Dominguez Hills
California State University Dominguez Toro Auxiliary Partners
California State University Dominguez Hills Philanthropic Foundation
The Donald P. and Katherine B. Loker University Student Union, Inc.,
California State University, Dominguez Hills

California State University, East Bay

Associated Students, Inc. of California State University, East Bay
California State University, East Bay Foundation, Inc.
Cal State East Bay Educational Foundation, Inc.

California State University, Fresno

Associated Students, Inc. of California State University, Fresno
California State University, Fresno Association, Inc.
California State University, Fresno Athletic Corporation
California State University, Fresno Foundation
Fresno State Programs for Children, Inc.
The Agricultural Foundation of California State University, Fresno

California State University, Fullerton

Associated Students, California State University, Fullerton, Inc.
Cal State Fullerton Philanthropic Foundation
CSU Fullerton Auxiliary Services Corporation

Humboldt State University

Associated Students of Humboldt State University
Humboldt State University Center Board of Directors
Humboldt State University Sponsored Programs Foundation
Humboldt State University Foundation

California State University, Long Beach

Associated Students, Inc., California State University, Long Beach
California State University, Long Beach Research Foundation
CSULB 49er Foundation
Forty-Niner Shops, Inc.

California State University, Los Angeles

Associated Students of California State University, Los Angeles, Inc.
Cal State L.A. University Auxiliary Services, Inc.
California State University, Los Angeles Foundation
University – Student Union at California State University, Los Angeles

California Maritime Academy

Associated Students of the California Maritime Academy
California Maritime Academy Foundation, Inc.

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California State University, Monterey Bay

Foundation of California State University, Monterey Bay
Otter Student Union at CSU Monterey Bay
University Corporation at Monterey Bay

California State University, Northridge

Associated Students, Inc., California State University, Northridge
California State University, Northridge Foundation
North Campus – University Park Development Corporation
The University Corporation
University Student Union, California State University, Northridge

California State Polytechnic University, Pomona

Associated Students, Inc., California State Polytechnic University, Pomona
Cal Poly Pomona Foundation, Inc.
Cal Poly Pomona Philanthropic Foundation

California State University, Sacramento

Associated Students of California State University, Sacramento
Capital Public Radio, Inc.
The University Foundation at Sacramento State
University Enterprises, Inc.
University Union Operation of California State University, Sacramento

California State University, San Bernardino

Associated Students, Incorporated, California State University, San Bernardino
CSUSB Philanthropic Foundation
Santos Manuel Student Union of California State University, San Bernardino
University Enterprises Corporation at CSUSB

San Diego State University

Associated Students of San Diego State University
San Diego State University Research Foundation
The Campanile Foundation
Aztec Shops, Ltd.

San Francisco State University

Associated Students of San Francisco State University
San Francisco State University Foundation
The University Corporation, San Francisco State

San Jose State University

Associated Students of San Jose State University
San Jose State University Research Foundation
Spartan Shops, Inc.
The Student Union of San Jose State University
The Tower Foundation of San Jose State University

California Polytechnic State University, San Luis Obispo

Associated Students, Inc.
Cal Poly Corporation
California Polytechnic State University Foundation

California State University, San Marcos

Associated Students, Inc. of California State University, San Marcos
California State University, San Marcos Corporation
California State University San Marcos Foundation

Sonoma State University

Associated Students of Sonoma State University
Sonoma State Enterprises, Inc.
Sonoma State University Academic Foundation, Inc.

California State University, Stanislaus

Associated Students Incorporated of California State University, Stanislaus
California State University, Stanislaus Auxiliary and Business Services
California State University, Stanislaus Foundation
University Student Center of California State University, Stanislaus (formerly University
Student Union of California State University, Stanislaus)

COMMITTEE ON FINANCE

2024-25 Operating Budget Update

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Ryan Storm
Assistant Vice Chancellor
Budget

Lynn Mahoney
President
San Francisco State University

Richard Yao
President
California State University, Channel Islands

Summary

This item summarizes the latest developments in the state and California State University budget plans for 2024-25. The state expects a tax revenue shortfall and resulting budget shortfall for the 2024-25 cycle. For context, this deficit represents about a six percent budget deficit, on average, for the past, current, and budget years.

In response to the state budget deficit, the governor's budget did not propose new ongoing or one-time funding for the CSU for 2024-25. Instead, the governor's administration proposed two alternatives to the original compact plan. First, the administration proposed to defer ongoing compact funding by one-year. Second, the administration proposed the CSU cover new investments with one-time funding during 2024-25 and the state reimburse the CSU with one-time funding in 2025-26.

The governor's proposals would deliver the same level of funding per fiscal year as originally outlined in the compact, although with additional risk to the CSU if the state's budget condition further erodes and the state cannot fulfill this restructured commitment. Recognizing this, the CSU will honor and work toward fulfilling the goals outlined in the original multi-year compact but will

also proceed with caution and financial prudence as we continue to review the impacts and implications of this budget proposal.

California's Fiscal Outlook

Forecasters are not of one mind on their expectations for the economy and the state's tax coffers. Since the November 2023 Board of Trustees meeting, three reputable entities have published forecasts for California's economy and the resulting effect on the state budget – the Legislative Analyst Office (LAO) California Fiscal Outlook in December 2023, the University of California, Los Angeles Anderson Forecast in December 2023, and the Department of Finance 2024-25 Governor's Budget in January 2024.

The Anderson Forecast leans toward optimism. Nationally, the Anderson Forecast suggests no imminent threat of recession, weak economic growth through much of 2024, and an eventual return to more typical economic growth trends by the end of 2025. Anderson anticipates California's economic growth will be a touch softer than the rest of the country due to an increase in California unemployment and other factors.

The LAO's California Fiscal Outlook suggests downbeat economic and budgetary news. According to the LAO in December 2023, higher interest rates and unemployment numbers are the cause and evidence of overall weakness in the California economy. This condition could persist through 2024. This context, coupled with tax collection data, lead the LAO to the conclusion that the state will have a \$68 billion deficit to address during the 2024-25 budget cycle. For context, this deficit represents about an eleven percent budget deficit, on average, for the past, current, and budget years.

In their report, the LAO identified several options to close the short-term budget shortfall. The options include utilizing half of monies in the state's rainy day fund, reducing Proposition 98 spending on early childhood education through community college, further reducing one-time spending, shifting costs away from the state general fund to other state funds, raising taxes, and reducing ongoing spending in other areas of the state budget.

Another item to regularly monitor and noted in the LAO's California Fiscal Outlook is the multi-year forecast, which includes the three fiscal years beyond the budget year (i.e., budget year is 2024-25, multi-year forecast includes 2025-26, 2026-27 and 2027-28). One year ago, the LAO's multi-year forecast suggested the state faced a structural deficit averaging approximately \$10 billion per year. Today, the LAO's multi-year forecast suggests the average structural deficit is now \$30 billion per year. These data indicate a worsening of the longer-term state budget picture. However, the state's budget situation has and could change rapidly and markedly due to the state's heavy reliance on personal income tax and capital gains tax revenues.

The Department of Finance also reports unpromising news. The short-term fiscal trajectory provided by the Department of Finance in the release of the Governor's Budget reported a deficit of \$38 billion for the 2024-25 budget cycle. The longer-term picture is mixed—the Department of Finance forecasts that state tax revenue growth will return to pre-pandemic normal rates. However, after the 2024-25 year, the state anticipates annual operating budget deficits of 15 percent each year. Please note that these longer-term circumstances may not materialize and certainly could change.

Regardless of the differences in the assumptions, tone, or figures included in economic forecasts today, the state is amid challenging budgetary times.

In May 2024, revisions to these revenue estimates will be updated and it is not yet known if the state's fortunes will further worsen or improve.

Governor's Budget Proposal - State Overview

Again, the governor's administration identified a \$38 billion state deficit for the 2024-25 budget cycle, which is a six percent budget deficit, on average, for the past, current, and budget years. The governor's budget proposal attempts to protect recent state investments and maintain a portion of reserves. According to the administration's proposal, the state would have \$18 billion set aside in several mandatory and discretionary reserve accounts by the end of 2024-25—approximately half the reserves reported a year ago. This includes the state's rainy day fund of \$11 billion. Beyond the use of reserves, the governor proposes to narrow the budget gap through deferrals, funding delays, reductions, fund shifts and loans between the state general fund and other state sources.

Governor's Budget Proposal for the CSU

In response to the state budget deficit and the CSU's 2024-25 Operating Budget Plan, the governor's administration proposed a modification to their compact funding commitment. The governor's budget did not propose new ongoing or one-time funding for the CSU for 2024-25. Instead, the governor's administration proposed two alternatives to the original compact plan. First, the administration proposed to defer ongoing compact funding by one-year. Rather than receive a \$240.2 million ongoing increase in 2024-25, the proposal would defer this increase to 2025-26. Second, the administration's proposal would provide the CSU \$240.2 million of one-time funding in 2025-26 to repay the CSU for the university's use of interim financing structures or other internal borrowing to support CSU spending at the planned 2024-25 compact level. To overly simplify, this second proposal could be viewed as a short-term loan between the state and the CSU. If the CSU were to use interim financing, internal borrowing, or one-time reserves it is anticipated that lending costs or foregone interest earnings would be in the single-digit millions of dollars.

In sum, the governor's proposals would deliver the same level of funding per fiscal year as originally outlined in the compact, although with additional risk to the CSU if the state's budget condition further erodes and the state cannot fulfill this restructured commitment. Recognizing this, the CSU will honor and work toward fulfilling the goals outlined in the original multi-year compact but will also proceed with caution and financial prudence as we continue to review the impacts and implications of this budget proposal.

2024-25 CSU Operating Budget Plan

The CSU 2024-25 operating budget plan calls for continued and increased state investment in the CSU. This budget plan, which totals \$557.4 million in new resources, would address necessary new investments in the CSU and build on the momentum of recent years.

The budget plan is comprised of a request of \$144.5 million from the state general fund above the original compact commitment (\$240.2 million), revenue from strategic enrollment growth (\$24.3 million), and revenue from the tuition rate increase (\$148.3 million). At this point in time, the likelihood of the CSU collecting the amounts of revenue from these four sources ranges from less to most likely in that order as organized above. Due to the state's current fiscal condition and if fortunes do not change at the May Revision, it would be unlikely that the state would be able to provide as much as \$144.5 million above the compact commitment. Conversely, the likelihood that the estimated revenue from the recent tuition rate increase will be collected is very high.

The expenditure portion of the budget plan (see below table) is organized around three themes with several specific areas of investment. Also, the budget plan is arranged by funding priority. It assumed full funding of the compact and new tuition revenue from the recent rate increase would help support many expenditure priorities such as financial aid, CSU workforce investments, and unavoidable institutional supports. The plan also laid out additional investments if the state provided additional funding above the compact commitment.

The current assessment is that many—but not all—of the budget plan priorities could be cautiously supported in 2024-25. While not ideal, the governor's deferral proposals would deliver the same level of funding per fiscal year as originally outlined in the compact. While the governor's proposal introduces more risk, it would allow for the CSU to cautiously move forward with budget priorities in the "Tuition & Within Compact" column that totals \$413 million. However, approximately \$145 million in the "Above Compact" column being expended on those budget priorities has become more unlikely because of the state's fiscal condition and the potential for its improvement.

For more information on the 2024-25 Operating Budget Plan adopted by the Board of Trustees in September 2023, including further details on each of the budget priorities and baseline funding dedicated to those priorities, follow this [link](#).

SOURCES OF FUNDS (Incremental New Revenue)	TUITION & WITHIN COMPACT	ABOVE COMPACT	BUDGET PLAN
State General Fund	\$240,243,000	\$144,546,000	\$384,789,000
Tuition from Rate Increase	148,330,000		148,330,000
Tuition from Strategic Resident Enrollment Growth	24,278,000		24,278,000
TOTAL NEW SOURCES	\$412,851,000	\$144,546,000	\$557,397,000

USES OF FUNDS (Incremental New Expenditures)	TUITION & WITHIN COMPACT	ABOVE COMPACT	BUDGET PLAN
Student Access & Success			
Financial Aid			
State University Grant — Tuition Rate Increase	\$49,443,000		\$49,443,000
State University Grant — Enrollment Increase	8,093,000		8,093,000
Student Access and Enrollment	54,957,000		54,957,000
Graduation Initiative		30,000,000	30,000,000
Student Basic Needs and Mental Health	3,000,000	4,000,000	7,000,000
Institutional Support			
Title IX and DHR Programs	7,900,000	8,000,000	15,900,000
State and Federal NAGPRA Compliance	2,250,000	2,000,000	4,250,000
Maintenance of New Facilities	12,548,000		12,548,000
Liability and Property Insurance Premium Increases	22,635,000		22,635,000
Inflation on Non-Personnel Costs		28,506,000	28,506,000
Debt Service on Academic Facilities & Infrastructure	10,000,000	15,000,000	25,000,000
CSU Workforce Investments			
Faculty and Staff Compensation Pool	163,664,000	57,040,000	220,704,000
Health Premium Increases	78,361,000		78,361,000
TOTAL NEW USES	\$412,851,000	\$144,546,000	\$557,397,000

Addressing Fiscal Challenges

At this point in time, the CSU is encountering many fiscal challenges. The state's budget deficit limits or prevents the prospective delivery of new resources to the university to address the CSU's many budget priorities. The governor's deferral proposal introduces an element of concern and risk that both the governor's administration and the CSU are working to ease. Additionally, staff and faculty compensation costs are significant in the current year through 2025-26. As previously shared and if assumptions hold (they currently are), state funding and tuition revenue will not be sufficient to fully cover those costs, which will require universities to absorb approximately \$322 million of new, ongoing compensation costs without new revenue to support those costs (i.e., \$138 million in 2023-24, \$110 million in 2024-25, and \$74 million in 2025-26, which totals \$322 million). Also, enrollment across the system has recently dipped below our overall target with several universities experiencing significant enrollment declines. Less tuition revenue from a smaller student population contributes to the fiscal challenge but even more acutely on those universities with significantly smaller student populations. These are only three of many fiscal challenges that universities face today. Each university leadership team faces their own set of unique fiscal challenges as well as their own university-specific aspirational goals that require regular planning and adjusting.

The CSU has several tools to help address fiscal challenges. The most significant tool is time. Of the three challenges mentioned—state budget deficit, compensation, and enrollment—system and university leaders have learned of the specific challenge and have been provided many months to develop and implement programmatic and financial mitigation plans. As an example, the CSU Enrollment Target and Budget Reallocation Plan provided universities eighteen months of lead time before the first shift of funding occurs among universities. Other examples are: the availability of one-time reserves for unexpected developments and economic uncertainties as provided in the CSU reserves policy; governance structures that equip university leaders with the flexibility to make difficult decisions in the areas of course offerings, student services, hiring freezes, employee workload, and workforce levels; a recently-initiated effort with Deloitte Consulting to identify shared services opportunities among universities; and, in some cases funding, such as systemwide funding to supplement university new student recruitment and retention efforts.

Conclusion

The state is amid challenging budgetary times. The Governor's Budget proposal reports lower than expected state tax revenues and a resulting state budget deficit for the 2024-25 budget cycle and proposes the use of state reserves along with many deferrals, funding delays, reductions, fund shifts, and loans.

The governor's January budget proposal for 2024-25 provides a restructured approach to the multi-year compact that includes a one-year funding delay for the CSU, to be later backfilled with one-

time and ongoing funding by the state. Given the state's economic circumstances, the governor's plan endeavors to both acknowledge the financial commitment to the CSU and our students and takes steps to resolve the budget deficit.

This proposal would deliver the same level of funding per fiscal year as originally outlined in the compact, although with additional risk to the CSU if the state's budget condition further erodes and the state cannot fulfill this restructured commitment.

Recognizing this, the CSU will honor and work toward fulfilling the goals outlined in the original multi-year compact. We will explore our funding options to advance compact-related goals during the one-year delay and will proceed with financial prudence.

As for next steps, the Chancellor's Office will continue working with university leaders to address the fiscal challenges related to employee compensation, enrollment, and the state's fiscal condition. Additionally, representatives of the university will advocate at the state capitol in support of the values and funding priorities contained in the Trustees' operating budget plan. These next steps over the coming months will support educational opportunities in the short run and workforce capacity for California in the long run.

COMMITTEE ON FINANCE

2023-24 Student Fee Report

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Ryan Storm
Assistant Vice Chancellor
Budget

Saúl Jiménez-Sandoval
President
California State University, Fresno

Thomas Parham
President
California State University, Dominguez Hills

Summary

This information item reports the annual 2023-24 campus-based mandatory fees to the California State University Board of Trustees as required by policy. Campus-based mandatory fees are required for enrollment under the authority of the president once established by the chancellor. Fee rates for 2023-24 by university and type are included in Attachment A. The 2023-24 average systemwide campus-based mandatory fees are \$1,880 per student which is an increase from 2022-23 of \$98 per student.

Overview

The [CSU Student Tuition and Fee Policy](#) authorizes the chancellor to establish campus-based mandatory fees for certain activities. Attachment B includes a list of the six student fee categories authorized by CSU policy. Also, the policy has delegated presidential approval and adjustment for most types of fees while ensuring accountability and appropriate and meaningful consultation with students. Specific examples of accountability are the establishment of a university fee advisory committee and annual reporting requirements to the committee and Chancellor's Office.

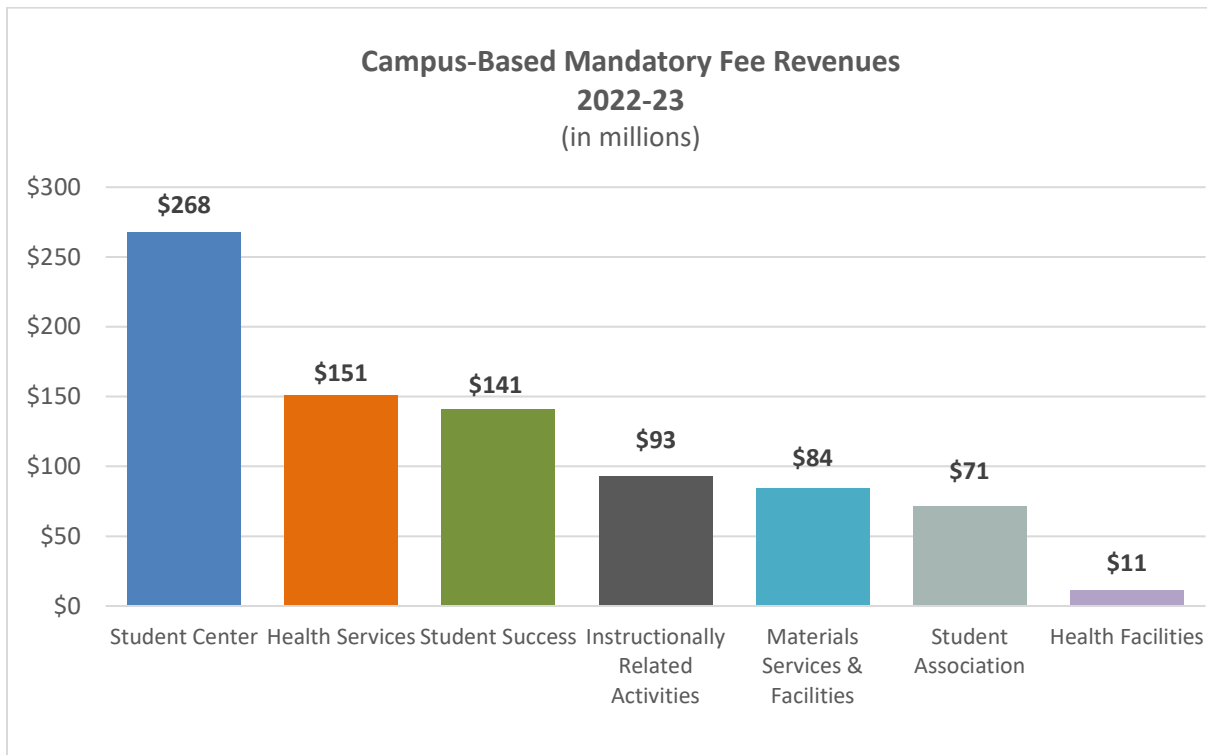
The focus of this item is campus-based mandatory fees. Each campus-based mandatory fee serves a specific purpose, ensuring targeted investment in areas that directly benefit, or have been expressly requested by the student community. Consisting of seven distinct types, campus-based mandatory fees include charges for health facilities, health services, instructionally related activities, student body association, student body center, student success, and materials, services and facilities – including those dedicated to new student orientations. These fees support programs, personnel, facilities, and services beyond the scope of tuition or state appropriations. The revenue generated is directed toward student-centered initiatives, aligning with student needs and enhancing the educational experience.

2023-24 CSU Student Fee Report

This report provides comprehensive information about campus-based mandatory fees, including a summary of prior-year revenues and expenditures and fee rates for each university.

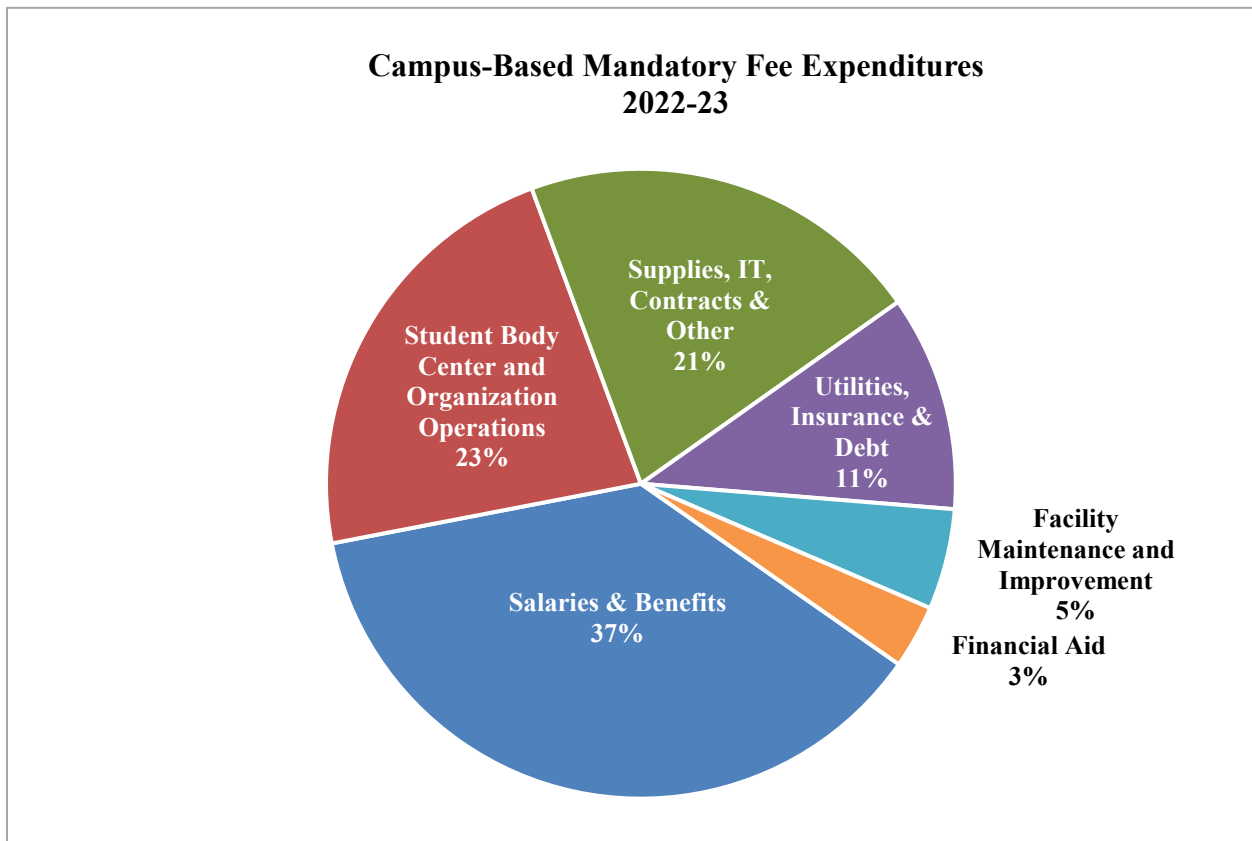
Revenues

CSU universities collected \$819 million of campus-based mandatory fees in 2022-23. The revenue collected from the seven fee types is displayed in the chart below. Student centers and health services account for more than half of the fee revenue.



Expenditures

Unlike tuition revenue or state general fund, which can be used for a wide variety of expenditures, campus-based mandatory fees are used for specific purposes. The pie chart below shows expenditures by category and percent. The two largest expenditure categories are salaries and benefits as well as operations for student centers and student associations that utilize 501(c)3 nonprofit organizations (or more commonly, auxiliary organizations). It should be noted, however, that a sizable portion of campus-based mandatory fee expenditures are for non-personnel items such as supplies, contracts, debt service, facility improvements, and utilities.



Rates

The 2023-24 average systemwide campus-based mandatory fees are \$1,880 per student. Each university has individual fee rates that support specific programs and facility needs, and there are varying reasons and approaches each university president considers when evaluating changes to their mandatory fees. Systemwide average campus-based mandatory fees increased between 2022-23 and 2023-24 by an average of \$98 per student (5.5 percent). Stable tuition and small average fee increases, coupled with federal, state and institutional financial aid programs available to CSU

students, make CSU an affordable option for students from all socio-economic backgrounds. Overall, 82 percent (over 383,000) of CSU students received financial assistance.

On average over the past 10 years, universities have increased three of the seven fee types each year. Health services and student center fees are fees that typically experience yearly increases. Through student referendum or consultation, many universities have authorized annual incremental increases to keep pace with inflation tied to either the Higher Education Price Index (HEPI) or California Consumer Price Index (CPI). Overall, most fee increases are due to HEPI/CPI increases or expansion of services or facilities.

The table below compares campus-based mandatory fees at each university for the 2022-23 and 2023-24 academic years. As shown in the table, the systemwide average of campus-based mandatory fees increased by \$98 (5.5 percent). The comparable California inflation rate was estimated at 5.9 percent in 2022-23. For all but the San Luis Obispo university, campus-based mandatory fees range from \$1,100 to \$2,500, differing based on university and student priorities, and facilities and services offered.

Comparison of 2022-23 and 2023-24 Campus-Based Mandatory Fee Rate Totals by University			
University	2022-23	2023-24	Increase
Bakersfield	\$1,895	\$1,954	\$59
Channel Islands	1,075	1,075	0
Chico	2,230	2,322	92
Dominguez Hills	1,318	1,322	4
East Bay	1,253	1,313	60
Fresno	921	1,238	317
Fullerton	1,265	1,331	66
Humboldt	2,122	2,171	49
Long Beach	1,142	1,266	124
Los Angeles	1,063	1,076	13
Maritime	1,430	1,930	500
Monterey Bay	1,611	1,695	84
Northridge	1,327	1,348	21
Pomona	1,697	1,697	0
Sacramento	1,742	1,860	118
San Bernardino	1,744	1,933	189
San Diego	2,432	2,548	116
San Francisco	1,782	1,542	-240
San Jose	2,157	2,250	93
San Luis Obispo	5,023	5,333	310
San Marcos	1,991	1,992	1

Comparison of 2022-23 and 2023-24 Campus-Based Mandatory Fee Rate Totals by University			
University	2022-23	2023-24	Increase
Sonoma	2,318	2,448	130
Stanislaus	1,992	2,084	92
Weighted Average	\$1,782	\$1,880	\$98

The average annual increase in campus-based mandatory fees over the past 10 years (from 2013-14 to 2023-24) was 4.6 percent. Three of the seven fee types (health facilities, instructionally related activities, and student body association) have had average increases below inflation levels. The other four types have averaged higher increases due to a variety of reasons:

- Health services fees have increased due to expansion of health services and rising health care costs, including employee compensation.
- Materials, services and facilities fees have increased due to new fees such as a consolidation of miscellaneous course fees into a campus-wide mandatory fee, transit passes and a wellness center.
- Student center fees have increased due to new or expanded student union and wellness facilities at universities.
- Student success fees were new ten years ago. Only nine universities had these fees in 2013-14, and most had not started programmed increases. Three universities added them in 2014-15, and there have been no new student success fees since then.

Fee increases in 2023-24 occurred for several reasons. In addition to the inflationary factor increases, there were increases at some universities related to new services or facilities. Fresno increased the student center fee due to the opening of a new student union approved by a student vote. Long Beach increased its instructionally related activities fee to support student activities and athletics. Maritime’s materials, services and facilities fee increased due to a reclassification of the existing mandatory uniform fee. San Bernardino increased the health services fee to support rising health care costs. San Francisco significantly reduced the Gator transit pass fee to reflect lower transit usage. San Luis Obispo restructured its college-based academic fee to vary by cohort and college, which will phase in over several years.

2023-24 Academic Year Campus-Based Mandatory Fee Rates by University and Fee Category, as reported August 2023

University	Health Facilities	Health Services	Instructionally Related Activities	Materials Services & Facilities	Student Success	Student Association	Student Center	Total Campus-Based Mandatory Fees
Bakersfield	\$6	\$373	\$183	\$62	\$0	\$441	\$889	\$1,954
Channel Islands	6	190	260	145	0	150	324	1,075
Chico	6	546	428	226	0	160	956	2,322
Dominguez Hills	6	260	10	5	560	139	342	1,322
East Bay	6	396	129	3	240	129	410	1,313
Fresno	6	302	264	46	0	69	551	1,238
Fullerton	7	196	88	88	443	181	328	1,331
Humboldt	66	696	674	353	0	136	246	2,171
Long Beach	10	150	154	10	346	156	440	1,266
Los Angeles	6	312	126	5	298	54	275	1,076
Maritime	14	886	130	690	0	210	0	1,930
Monterey Bay	0	246	254	315	0	180	700	1,695
Northridge	6	158	44	0	252	246	642	1,348
Pomona	6	269	40	0	447	127	808	1,697
Sacramento	56	292	458	0	0	170	884	1,860
San Bernardino	31	442	186	15	206	123	930	1,933
San Diego	50	452	538	50	480	70	908	2,548
San Francisco	72	522	236	440	0	108	164	1,542
San Jose	76	380	0	36	723	211	824	2,250
San Luis Obispo	12	743	368	1,982	980	379	869	5,333
San Marcos	40	348	80	244	500	150	630	1,992
Sonoma	46	492	598	46	0	294	972	2,448
Stanislaus	32	456	396	332	0	182	686	2,084

Fee Categories and Authority

The CSU tuition and fee policy includes six fee categories:

- **Category I – Systemwide mandatory tuition and fees**
Systemwide tuition and fees are the same across the system. Examples include systemwide tuition, nonresident tuition, the graduate business professional fee, and the admissions application fee. The Board of Trustees retains authority to set and adjust these fees.
- **Category II – Campus-based mandatory fees**
Campus-specific fees are charged to all students to enroll at a specific CSU university. Examples include student association, student body/recreation center, and health services fees. The chancellor is delegated authority to establish Category II fees and each president is delegated the authority to adjust or abolish these fees on their university. Each university president is responsible for assuring that appropriate and meaningful consultation and/or student referendum occurs before proposing a new fee or adjusting an existing fee. To measure student support, a referendum is encouraged for new Category II fees and is required by state statute for certain types of Category II fees. If a referendum is not required, and the president determines that a referendum is not the best mechanism to achieve appropriate and meaningful consultation, alternative forms of consultation may be used. By way of a student referendum, students often initiate the creation and increase of certain types of Category II fees, such as associated student fees and student recreation center fees.
- **Category III – Course-specific fees for materials and services**
Category III fees are for course materials and services that are charged to enroll in a specific course. Examples include laboratory and field trip fees. Each president, after consulting with the campus fee advisory committee, is delegated authority to establish, adjust, and abolish these fees (within a pre-approved range).
- **Category IV – Fees, other than Category II or III, paid to receive materials, services, or for the use of facilities**
Category IV fees are for other services, materials, and use of facilities that are charged to students for administrative and processing purposes. Examples include transcript fees, library fines, and replacement identification cards. Each president is delegated authority to establish, adjust, and abolish these fees.
- **Category V – Fees paid to self-support programs**
Category V fees are for self-support programs and charged to participating students and employees. Examples include parking, housing, and Professional and Continuing

Education (PaCE). Each president is delegated authority to establish, adjust and abolish these fees.

- **Category VI – Systemwide voluntary fees**

This category only applies to the California State Student Association (CSSA) Student Involvement & Representation Fee, which is a voluntary fee charged to students to expand opportunities for student involvement and representation. The chancellor is delegated authority to adjust the Student Involvement & Representation Fee for inflationary purposes if necessary.

Each summer at the start of the next academic year, universities report all fees charged for the upcoming year as well as fee revenues collected and fee fund balances for the prior year to the Chancellor's Office.

AGENDA

COMMITTEE ON EDUCATIONAL POLICY

Meeting: 10:30 a.m., Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Diego Arambula, Chair
Christopher Steinhauser, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Jack Clarke, Jr.
Mark Ghilarducci
Leslie Gilbert-Lurie
Jonathan Molina Mancio
Jose Antonio Vargas
Darlene Yee-Melichar

Consent 1. Approval of Minutes of the Meeting of November 7, 2023, *Action*
Discussion 2. Research, Scholarship and Creative Activities, *Information*
3. Proposed Amendments to Title 5: Implementation of the Student Transfer
Achievement Reform Act of 2021, *Information*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON EDUCATIONAL POLICY**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 7, 2023

Members Present

Diego Arambula, Chair
Christopher Steinhauser, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Jack Clarke, Jr.
Mark Ghilarducci
Leslie Gilbert-Lurie
Jonathan Molina Mancio
Jose Antonio Vargas
Darlene Yee-Melichar

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Chair Arambula called the meeting to order.

Approval of Minutes

The minutes of the meeting on September 12-13, 2023, were approved as submitted.

Implementation of the Student Transfer Achievement Reform Act of 2021

Deputy Vice Chancellor of Academic and Student Affairs Nathan S. Evans began the presentation by providing a brief overview of the Student Transfer Achievement Reform Act of 2021 (Assembly Bill 928) which creates a single lower-division general education pattern that will satisfy transfer admission requirements for both the CSU and the University of California. The

Intersegmental Council of Academic Senates were charged to establish that singular lower-division general education pattern, called Cal-GETC.

Dr. Laura Massa, interim associate vice chancellor for Academic and Faculty Programs, provided further details on CSU GE-Breadth subject area requirements and the Student Transfer Achievement Reform Act of 2021 requirements. Chair of the Academic Senate of the CSU Beth Steffel continued to describe the role of the Intersegmental Committee of the Academic Senates in reviewing and editing lower division general education subject area and course requirements following the enactment of AB 928.

Taylor Thomas, an undergraduate student at CSU Bakersfield and vice president of Legislative Affairs for Associated Students, Inc., shared her experience as a transfer student and her support for streamlining the transfer process for students. Dr. Evans gave closing remarks and summarized the next steps in the implementation process with a brief timeline.

Following the presentation trustees recognized the benefits of simplifying the transfer process. Trustee McGrory suggested using this process as an opportunity to reevaluate all general education subject area requirements for effectiveness and relevance. Trustees expressed gratitude to Ms. Thomas for sharing her experience as a transfer student and applauded her academic perseverance. Dr. Evans and Chair Steffel addressed several questions regarding transfer enrollment, considerations for university autonomy in designing curriculum, the role of faculty and students in the Cal-GETC implementation process, anticipating possible implementation challenges and how the diminishing transfer student population impacts the desired outcome of the single pathway. Various trustees, including Trustee Lopez and Chair Fong, invited the committee to provide at the next board meeting more information regarding areas of differing opinions in the implementation of the Student Transfer Achievement Act of 2021.

Graduation Initiative 2025 and Student Success

The Deputy Vice Chancellor of Academic and Student Affairs Dilcie D. Perez began the presentation with an overview of the Graduation Initiative 2025 goals and milestones to date. Significantly, most universities have achieved all-time highs in graduation rates for students of all backgrounds. Issues such as bottleneck courses that were a persistent challenge to the initiative's progress have largely been mitigated through strategic interventions and resources. However systemwide student equity gaps persist.

Assistant Vice Chancellor of Student Success Strategic Initiatives Jeff Gold proceeded to present the metrics used to track systemwide progress toward Graduation Initiative 2025 goals. Dr. Gold shared the graduation rates for 4-year and 6-year first-time students, 2-year and 4 -year transfer

students and the equity gap for Pell-eligible students and students from historically underserved communities. Dr. Gold outlined other disaggregate data to help inform student success work in the future.

Associate Vice Chancellor for Student Success and Inclusive Excellence Dr. Jennifer Baszile presented a vision to reimagine the next iteration of the CSU's student success and equity work. She outlined a two-phase timeline, with the first phase consisting of a "year of engagement" with key stakeholder groups. The second phase will take place during the 2024-25 academic year, with the conclusion of the Graduation Initiative 2025 and introduction of the Student Success Framework that was developed in part through consultative work during the first phase.

Trustees commended the achievement of increased graduation rates and other successful outcomes since the inception of the initiative. Trustees suggested leveraging best practices and strategies that are proven effective at individual universities, ensuring strategies remain student-focused and continuing to reframe the academic experience to adjust to students' diverse learning styles. Dr. Perez acknowledged the benefits of considering these opportunities in the future. Trustee Arambula voiced support for the student success framework and recommended providing the board with more frequent progress reports to ensure trustees can make informed decisions in the policy development and implementation process.

The meeting adjourned.

COMMITTEE ON EDUCATIONAL POLICY

Research, Scholarship and Creative Activities

Presentation By

Dilcie D. Perez
Deputy Vice Chancellor
Academic and Student Affairs

Ganesh Raman
Assistant Vice Chancellor
Research

Tom Jackson, Jr.
President
Cal Poly Humboldt

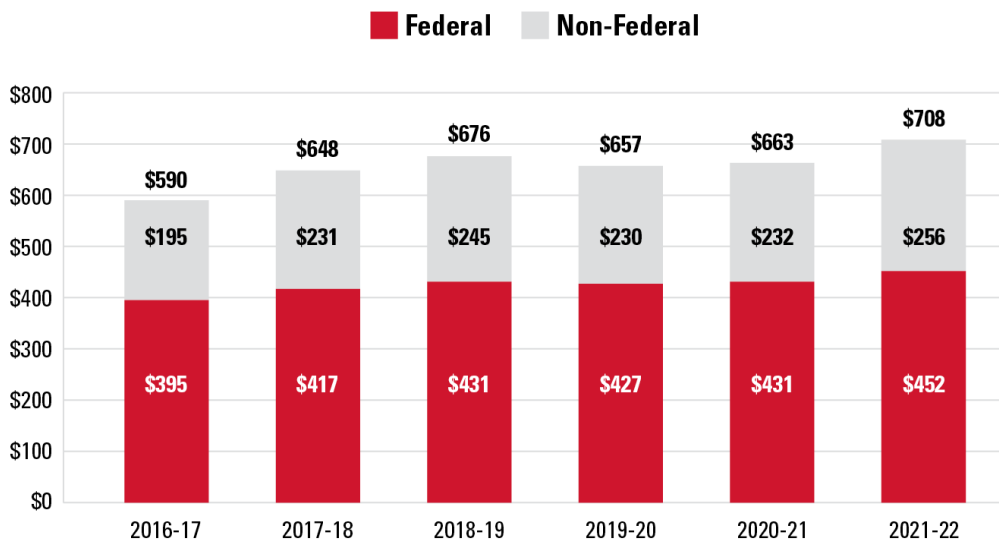
Summary

A hallmark of The California State University (CSU) is a focus on research, scholarship and creative activity that can be applied to identify, address or transform society's most urgent challenges. Whether pursuing directed research in areas of public health and climate change or giving voice to underserved populations through artistic endeavors, CSU students have a unique opportunity to engage in experiential learning and discovery. Working alongside faculty in the lab, out in the field or in a studio, students benefit from a vibrant learning experience while having the opportunity to contribute to their larger communities.

External Funding

As illustrated in the chart below, total expenditure—from grant and contract revenue—for CSU research and sponsored programs has been consistent over the past several years. In 2021-22, the most recent year for which data are available, the total amount was \$708 million. This represents a growth of \$45 million over the previous year and \$118 million over the last five years.

CSU Research & Sponsored Programs



Unlike state funds that are used exclusively for basic university operations, faculty compete for these external funds, which are used for innovative projects that benefit local communities and prepare students for 21st century careers.

Research, Scholarship and Creativity Activities in the CSU

Highlights of research, scholarship and creative activity by CSU faculty and students during the 2022-23 academic year are listed below.

California State University, Bakersfield

The Central California Emerging Technology Accelerator (CCETA)

Funding agency: U.S. Department of Commerce

Total award: \$1,418,000

Investigators: Jeremy Woods and Seung Bach

Kern County is situated at the intersection of California's aerospace, energy and agriculture sectors. Despite being the operational home of three separate and vibrant STEM industries, the county still has a systemically high unemployment rate, and the region lags its peers in high-growth startups. The project will address this problem by creating an emerging technology lab to augment

the recently inaugurated \$1.2 million California State University Bakersfield (CSUB) Center for Entrepreneurship & Innovation (CEI) venture accelerator program. The accelerator recruits student and community entrepreneurs with promising venture ideas and helps turn those ideas into new businesses with initial revenue commitments and a path toward scalable growth. The emerging technology lab will augment our accelerator by pairing faculty/student research teams with global-leading aerospace, energy, and agriculture industry partners to work on cutting-edge R&D projects that push the envelope on some of these industries' most pressing challenges.

Early Modern Sensory Encounters Conference Presentation University of Oxford

Emma Barnes, an M.A. student in History, recently co-presented a paper entitled “Sweet and Stinking Scents: A Sensory History of Reproduction in Early Modern England” at the Early Modern Sensory Encounters conference at the University of Oxford (U.K.) with her faculty mentor Dr. Kate Mulry. Emma was the only master's student who presented at the conference. She started work in this area as an undergraduate at CSUB and conducted primary source research on the Early Modern perception of climate, scent and women's reproductive health at the Huntington Library last fall with Dr. Mulry (who is working on a related project). Emma's research was supported by the School of Arts and Humanities Research Initiative at CSUB.

California State University Channel Islands

SOAR (Student Outreach, Academics, and Retention) at Chanel Islands

Funding agency: U.S. Department of Education

Total award: \$2,996,466

Investigator: Elena Jaloma

This Title V grant has three distinct activities that will work together: Activity 1: The Channel Your Potential outreach activity will raise awareness about pathways to college through culturally-responsive community outreach and increase students' and parents' university readiness by building relationships with community and educational partners. Activity 2: The Channel Your Passion retention activity will build students' academic skillsets and sense of academic efficacy and motivation, while also increasing a sense of connection to the university. Activity 3: The Channel Inclusive Excellence professional development activity will provide faculty/staff with opportunities to learn about equity-based best practices and implement new practices into work with students. SOAR includes 5 objectives: 1. Increase in Latinx student desire to attend college; 2. Increase Latinx student retention; 3. Increase number of students transferring from regional partner, Oxnard College; 4. Increase Latinx and Low-income student graduation rate; and 5. Provide project services to target population.

Stories of Labor From the Fields of Ventura County

Theresa Avila, assistant professor of Art, and student Ilien “La Buffy” Tolteca curated an art exhibition focused on the heritage of agriculture in Ventura County in spring 2023. “Stories of Labor from the Fields of Ventura County” was exhibited in the John Spoor Broome Library Gallery and provided a local perspective on the issues explored in “Dolores Huerta: Revolution in the Fields/Revolución en los Campos,” a traveling Smithsonian exhibition also on display. The exhibit featured a variety of media including paintings, graphic works and mixed media sculpture.

California State University, Chico

Utilization of Agricultural Waste (Olive and Grape Pomaces) to Improve the Service Life and Sustainability of Roadways

Funding agency: U.S. Department of Agriculture

Total award: \$785,000, 3 years

Investigator: Kun Zhang

This multi-university partnership aims to repurpose olive and grape pomaces (the solid remains of the fruit after pressing for juice or oil) for roadway applications through their bioprocessing into more environmentally benign antioxidants and anti-icing products. By deriving “green” chemicals from these renewable wastes, the service life of roadways can be extended in warm regions where antioxidant additives are needed to retard oxidation-induced deteriorations and improve the sustainability of roadways in cold regions where less corrosive and more environmentally benign anti-icing products are needed for winter operations. Sustainable technologies that divert pomaces to beneficial applications are top priorities for both the olive and grape industries. Developing cost-effective and value-added solutions to upcycle pomace wastes is profitable for both upstream industries (farmers and food producers) and downstream industries.

Forest Therapy Guides

To serve individuals impacted by the Camp Fire, the Big Chico Creek Ecological Reserve received funding from the North Valley Community Foundation to certify 15 community leaders as Forest Therapy Guides through the Association of Nature and Forest Therapy. Led by Blake Ellis, Chico State Ecological Reserves, in 2021, the Ecotherapy Program was formed to provide mental health support to Chico State students in response to the COVID-19 pandemic. The Ecotherapy Program now serves Chico State students, staff, faculty and individuals impacted by wildfire in Butte County. Its mission is to promote the well-being of both people and the land through guided therapeutic and restorative activities in local natural environments. Forest Therapy (derived from the Japanese practice of “Forest Bathing”), the program’s flagship service, has been proven to: reduce cortisol and adrenaline levels; enhance immune function; improve respiratory and

cardiovascular function; decrease anxiety and depression; and improve mood, focus, academic performance and creativity.

California State University, Dominguez Hills

Toro Allied Health Pathways

Funding agency: California Department of Health Care Access and Information (HCAI)

Total award: \$3,320,212

Investigator: Mi-Sook Kim

The CSUDH College of Health, Human Services, and Nursing has been awarded \$3.3 million through the Department of Health Care Access and Information's Health Professions Pathways Program (HPPP). The Toro Allied Health Pathways Program promotes the number of diverse and competent healthcare workers in the region by graduating a larger and more diverse healthcare workforce, creating pathway degree curricular connections between high schools, community colleges, and CSUDH. The pipeline program will closely advise, mentor and educate students into various healthcare career options, including nursing, medical technology, cytotechnology, radiologic imaging, social work, public health, marriage and family therapy, occupational therapy, clinical exercise physiology and speech pathology. The program will also serve to promote entry into the clinical laboratory sciences and mental health professions, two fields that are growing rapidly in part due to the post-pandemic public health needs.

Faculty Publication: Black Woman on Board

The University of Rochester Press published the book, *Black Woman on Board: Claudia Hampton, The California State University and the Fight to Save Affirmative Action, 1974-1994*, authored by Dr. Donna J. Nicol, professor and Chair of Africana Studies at California State University, Dominguez Hills. Dr. Hampton was appointed to the CSU Board of Trustees in 1974 and was the first black trustee and first woman to ever chair the board. The book examines Dr. Hampton's 20-year efforts to enforce and expand affirmative action policy and programs in the CSU and her work to overcome racism and sexism.

California State University, East Bay

CA Promise Neighborhoods Network Grant

Funding agency: California Department of Social Services

Total award: \$3,000,000

Investigator: Carolyn Nelson

Hayward Promise Neighborhoods (HPN) will work to sustain critical activities in priority neighborhoods with the highest child-poverty rates. State and federal funding support HPN's infrastructure and solutions based on the residential address and school of attendance. HPN

developed a guiding framework in 2022 with input from community assessments and partner workgroups and incorporated four principles to inform how to design, frame, and engage youth and families in the proposed work; 1) Healing: reducing sources of stress in communities; 2) Connecting: supporting proactive relationships; 3) Persisting: strengthening cores skills; and 4) Actualizing: uplifting pathways for all to succeed.

**National Endowment of Humanities Grant
Growing, Learning, and Archiving: Leveraging Underrepresented Histories of Food and Farming for CSU East Bay Students and Faculty**

Dr. Antonio Roman-Alcalá, assistant professor in the Department of Anthropology, Geography and Environmental Studies, is one of the seven recipients of the National Endowment of Humanities *Spotlight on Humanities in Higher Education Exploration* grant for 2023. Dr. Roman-Alcalá is an educator, researcher, writer and organizer who has worked for just and sustainable food systems for the past 18 years. His National Endowment for the Humanities funded project is titled “Growing, Learning, and Archiving: Leveraging Underrepresented Histories of Food and Farming for CSU East Bay Students and Faculty” which will include a 12-month speaker series and student-research program on untold histories of food and farming in California’s East Bay area. Dr. Roman-Alcalá’s research has grown out of his longtime involvement in social movements for more sustainable and socially just food systems. He has a passion for connecting CSUEB students with community-based organizations while building alignment across research, practice and policy.

California State University, Fresno

SOFT START: IT-Cybersecurity Workforce Education Collaborative

Funding agency: U.S. Department of Commerce: Connecting Minority Communities

Total award: \$2,406,276

Investigators: Keith Clement and Amith Belman

The SOFT START: IT-Cybersecurity Workforce Education Collaborative program democratizes access to IT education and careers. The program offers a range of boot camps, certificates and resources that allow students and residents to enroll and succeed even at the most beginning level. These “soft start” opportunities prepare at-risk students and residents to succeed and to progress to more challenging higher education opportunities. The aim is to prepare them for entry into IT-cybersecurity jobs, which are increasing in number and well paid. Their successful entry contributes to creating a diverse IT workforce.

Native Plants, Places and People in Central California: Linking STEM Education to Local Cultural and Linguistic Diversity

The many plants which sprouted from the flat grasslands and vast mountain ranges of Central California were vital in sustaining generations of the indigenous peoples. These tribes, including the Yokuts and Mono, used their botanical knowledge to live off the lands of the Central Valley and Sierra Nevada Range. The region's flora collected for food, medicine and crafts were integral to their way of life, culture and language. Now, a CSU STEM-Net project at Fresno State is documenting the indigenous knowledge about the native plants of the Central Valley and surrounding areas. The project, titled "Native Plants, Places and People in Central California: Linking STEM Education to Local Cultural and Linguistic Diversity," is an intersection of linguistics, anthropology and biology. The project aims to compile ethnobotanical information on native plants and how indigenous peoples used them for thousands of years. The project provides Fresno State students with valuable research opportunities.

California State University, Fullerton

CAREER: Improving Persistence of Underserved Students in Psychological Science Using an AI-Based, Personalized Career Exploration Platform

Funding agency: National Science Foundation

Total award: \$979,212

Investigator: Yuko Okado

Combining insights from literature on STEM persistence and the learning sciences, this project establishes a framework for developing and implementing a tailored online curriculum in career exploration and development, featuring virtual agents that represent real-life mentors in STEM. Students can choose from, and have simulated conversations with, a set of diverse "virtual mentors" that convey personalized information about the mentor's career path, experiences and advice. Each student user will also receive personalized recommendations and resources for further career exploration and planning. This framework will be tested in psychology, a discipline that presents unique opportunities to increase diverse entrants into the STEM workforce. Psychology undergraduate curricula uniformly emphasize statistical analysis, research methods and "21st century skills" necessary among STEM workers, and psychology is the largest STEM discipline with the greatest proportion of under-served students.

California State University Fullerton (CSUF) High School Equivalency Program (HEP)

Funding agency: U.S. Department of Education

Total award: \$2,370,190

Investigator: Pablo Jasis

This project will offer a multimodal, technology-infused GED exam preparation program, which will include individualized, small group and online instruction in English and Spanish, a comprehensive personal counseling component, and an on-going set of campus-based cultural activities to help students develop an emerging college identity. Instruction will be delivered at

four locations, which includes the Fullerton campus and three satellite sites in areas of high migrant population density. As they prepare for exams, participants will be introduced to careers in engineering and mathematics under the guidance of CSUF's award-winning STEM faculty, develop portable study skills and actively engage in introductory biology, health science and teaching workshops. Upon graduation, students will transition into higher education, enhanced employment or a career in the armed forces.

Women of Zalongo

As a professional actress with a secret love for creative writing, Professor of Theatre and Dance Maria Cominis had writing experience but "Women of Zalongo" was the first play she felt compelled to share. Inspired by her grandmother's spirit and a desire to fill the theater with a story that honored her Greek heritage, Professor Cominis spent six years writing the play. In 2022 she was awarded a National Endowment for the Arts grant and earned semi-finalist placements in the 2022 Eugene O'Neil National Playwright's Conference and the 2020 Bay Area Playwright's festival. The show was performed in March in CSUF's Young Theatre, kicking off Women's History Month.

California State Polytechnic University, Humboldt

Climate Plan: Eureka and Arcata Corridor

Funding agency: CalTrans

Total award: \$549,950

Investigators: Eileen Cashman and Margaret Lang

Cal Poly Humboldt researchers are investigating current and anticipated climate conditions on a six-mile segment of Highway 101 along Humboldt Bay, a roadway that's experiencing one of the fastest rates of relative sea level rise on the entire U.S. west coast. The study will analyze impacts of inaction and evaluate adaptation options based on the best available sea level rise science under a range of scenarios. Findings will contribute to the development of a comprehensive climate adaptation plan for Highway 101, enabling Caltrans District 1 to advance planning and to prioritize and implement a collaborative and cost-effective adaptation solution.

Caminar Juntos

Funding agency: U.S. Department of Education

Total award: \$2,830,088

Investigator: Mary Gonzalez

Caminar Juntos (Walking Together) is a new Developing Hispanic-Serving Institutions (DHSI) project at Cal Poly Humboldt designed to increase retention and graduation for Hispanic, low income and other underserved students. The initiative will provide students with coaching and

mentoring activities to increase academic skills, sense of belonging, and inclusion. The goal is that it will provide a transformative education – a holistic process with the student at the center of the learning experience. It will also provide faculty, staff, and mentors with professional development opportunities on topics surrounding inclusion and fostering a climate of support.

Prison Arts Collective Exhibition

Dr. Jim Woglom helped facilitate an art exhibition at Cal Poly Humboldt showcasing the work of incarcerated artists at Pelican Bay State Prison, a maximum security prison in Northern California. The exhibit was an effort of the Prison Arts Collective, a statewide initiative that pairs CSU art departments with state prisons, allowing the development of collaborative arts projects. This moving exhibition gave participants the opportunity to tell their stories and reflect on their experiences while encouraging viewers to see a different side of this population.

California State University, Long Beach

Harvesting Healthy Habits in Long Beach: A Nutrition and Gardening Intervention to Prevent Chronic Diseases Among Underserved Populations

Funding agency: U.S. Department of Agriculture

Total award: \$969,826

Investigators: Melawhy Garcia and Natalia Gatdula

The Harvesting Healthy Habits in Long Beach integrated project aims to improve eating patterns to prevent chronic diseases and increase food access among underserved ethnic minority children and their families through an innovative nutrition and gardening intervention. The project has five overarching goals: 1) Education - Increase the pool of qualified health and nutrition professionals to enter the workforce to prevent chronic diseases among underserved communities; 2) Extension - Engage community stakeholders in the design, testing, and implementation of the intervention to ensure relevance to the priority population; 3) Research - Evaluate the effectiveness of the intervention in improving eating patterns among underserved children in third through fifth grades; 4) Extension - Engage underserved families to promote healthy decision making and improve eating patterns; and 5) Extension - Improve food security and nutritional health outcomes for program participants and their families.

Excellence in Equity, Diversity, and Inclusion Award

Dr. Alexander Hahn, a professional classical vocalist and faculty member of the College of the Arts, has researched, presented and performed works by composers from marginalized and underrepresented cultures and communities worldwide. This pursuit has been inspired by the diverse student body of CSULB. Dr. Hahn has performed solo recitals featuring the art songs of Colombian composer Jaime León Ferro. Additionally, he collaborated with Constellations Chamber Concerts in Washington D.C., delivering four recitals that showcased art songs by

composers of color. Recently, Dr. Hahn presented and performed the art song repertoire of South Korea and Lithuania at prestigious international conferences. Due to his efforts in championing music by composers from marginalized and underrepresented backgrounds, Dr. Hahn has received the 2022-2023 Faculty Excellence in Equity, Diversity, and Inclusion Award, leaving a lasting positive impact at CSULB.

California State University, Los Angeles

Improving the Accuracy of Implicit Solvents with a Physics-Guided Neural Network

Funding Agency: National Institutes for Health

Total Award: \$730,000

Investigator: Negin Forouzesh

Negin Forouzesh, an assistant professor of Computer Science in the College of Engineering, Computer Science, and Technology at Cal State LA, was awarded a \$730,000 grant from the National Institutes of Health to provide students with opportunities to participate in biomedical research. The title of the awarded project is “Improving the Accuracy of Implicit Solvents with a Physics-Guided Neural Network.” The four-year grant from the NIH Support for Research Excellence (SuRE) program will support research on computer-aided drug design. Five students will work on the project each year. In her research, Professor Forouzesh applies mathematical and physics-based modeling, high-performance computing and machine learning to simulate and understand biomolecular systems. Protein-ligand interaction is central to several biological processes, including DNA replication and cellular energy production. It also has vast applications in the early stages of drug discovery. The outcome of this research will improve understanding of protein-ligand interactions and will benefit computer-aided drug design.

LA For All Campaign

Graphic Design/Visual Communication students from the Art Department at Cal State LA were given the opportunity to add their voices to the LA For All campaign led by the LA Civil Rights Department. Students were tasked with creating a compelling poster design that communicated their personal interpretation of one of the main messages in the LA For All campaign. Now in its second year of partnership, this collaboration has led to a campus exhibition of the students’ work and the purchase of some of the designs to be used in the main citywide campaign.

California State University Maritime Academy

Building and Bridging Data Science Opportunities in Solano County

Funding agency: Foundation for California Community Colleges

Total award: \$180,000

Investigators: Julie Simons and Taiyo Inoue

This Interdisciplinary Collaboration project will establish a new minor at CSU Maritime Academy in Data Science focusing on innovative and inclusive pedagogy and interdisciplinary collaboration. CSUM is located in one of the most racially diverse counties in the nation. Being a STEM-focused institution with a strong record of promoting socioeconomic mobility through discipline-specific training and an emphasis on job placement, CSUM is uniquely positioned to address the demand for data science education while promoting diversity in the field. The new minor will provide students with a solid foundation in data science while emphasizing its application across fields. The interdisciplinary approach will create a high-quality program attracting diverse students with wide-ranging academic interests.

National COVID Memorial

Associate Professor Sarah Senk is part of the leadership team at the nonprofit Marked by Covid. The organization is dedicated to memorializing pandemic victims, documenting preventable suffering caused by the U.S. response and empowering frontline communities. A scholar of Memory Studies, Dr. Senk has collaborated with community members to develop the National Covid Memorial, an augmented reality tribute featuring personal remembrances of Covid victims. She is also the co-director of the Memorial Matrix project, a digital archive showcasing grassroots Covid memorials from heavily affected neighborhoods. The product of a collaborative partnership between Marked By Covid and Dr. Sachin Pawaskar (University of Nebraska Omaha), the project's goal is to represent public memory about Covid-19 accurately, emphasizing the pandemic's uneven impact and the memorializing responsibility borne by survivors. The team is preparing to populate their site with data on hundreds of lesser-known memorials, ensuring a comprehensive reflection of the crisis.

California State University, Monterey Bay

GREAT Opportunities in Genome Science

Funding agency: National Institutes of Health

Total award: \$1,538,021.00

Investigators: Nathaniel Jue and Shahidul Islam

The objective of “GREAT Opportunities in Genome Science” is to create a comprehensive, two-year mentored research and professional development training program at California State University, Monterey Bay. In collaboration with the University of California, Santa Cruz, this effort will train students who are from historically underrepresented and low-income populations for careers in genomics. The training will be focused on engaging students in a broad range of topics and research environments (both wet and dry labs at UCSC). A GREAT student cohort will be recruited from STEM majors including biology, math and statistics, and computer science. The goal will be to identify students early in their academic careers and build a solid foundation in

genomics and well position them to begin research training. The GREAT cohort will include students from rising juniors in the summer and fall prior to the summer of research.

MSW Program Expansion

Funding agency: California Office of Statewide Health Planning & Development

Total award: \$3,579,132

Investigator: Maria Gurrola

The purpose of this proposed grant is to expand the Master in Social Work Program at CSUMB by a total of 48 students in the next five years. This grant will provide the funding to increase the number of professional social workers with the capacity to effectively serve the rural, bilingual, communities of south Monterey County and Central Coast Region and thus abate the shortage of qualified social work professionals in this high-need, high-demand region. The overall goal of this funding is first to expand the MSW program to recruit diverse faculty and staff support. Second, to strengthen curriculum to be culturally and linguistically responsive to prepare students to serve underrepresented, underserved and rural communities. Third, recruit and prepare three cohort of students from the local community from diverse backgrounds, underrepresented, underserved and rural communities in the Central Coast Region.

Award Winning Experimental Film

Dr. Timothy Orme is an assistant professor in Cinematic Arts and Technology, and his short films and animations span nearly every genre (experimental, narrative, music video, and documentary). They have been shown at film festivals and art venues all over the world, including European Media Arts Fest, Jihalva International Documentary Film Festival, Ann Arbor Film Festival, Philadelphia Film and Animation Festival, Raindance and the National Gallery of Art, among others. His most recent film, “Fulcrum,” won a prestigious Jury Award at the 2022 Thomas Edison Film Festival. It also won awards at numerous other festivals, including Best Animation at the Dam Film Festival, the Arizona International Film Festival and Liverpool Underground. The eight-minute experimental film features sound design by Dr. Lanier Sammons, associate professor in Music and Performing Arts.

California State University, Northridge

HSI Institutional Transformation Project: Creating Opportunities for Minoritized Students to Participate in Faculty Mentored Research

Funding agency: National Science Foundation

Total award: \$2,300,000

Investigator: Gabriela Chavira

The HSI Institutional Transformation Project: Creating Opportunities for Minoritized Students to Participate in Faculty Mentored Research seeks to transform how HSIs bolster and scale research

as a high-impact practice for all STEM students and faculty mentors. It intentionally expands the focus from supporting participants to also identifying and dismantling structural barriers that often thwart institutionalization efforts. It plans to build an evidence- and equity-based research training hub called ESTUDIO: Excellence in Student Training for Undergraduates, Diversity Initiative Office. The project will use the framework of research as a high-impact practice, and builds on substantial evidenced-based work to establish ESTUDIO to: 1) Scale the high impact practice of research for all 10,000 undergraduate STEM students; 2) Create opportunities for all STEM students, including freshman and transfers, to engage in high-impact research or research training activities; 3) Center mentoring as part of faculty life (including in tenure requirements) and bolstering capacity and professional development opportunities for faculty; and 4) Create knowledge in a flexible construct that can be adopted by HSIs of varying sizes and means. These activities are designed to increase the retention and graduation rates of all STEM students, decrease the equity gaps and to enhance the quality of education for all STEM undergraduates.

Educational Modules to Broaden Academic Research Culture (EMBARC)

Funding agency: National Institutes of Health

Total award: \$2,212,400

Investigator: Shu-Sha Guan

Educational Modules to Broaden Academic Research Cultures (EMBARC) innovatively leverages Cultural Mismatch Theory to create high-impact mentoring activities and educational modules powerfully linked to eliminating educational disparities and driving transformation at the individual and institutional level toward inclusion, recruitment and retention of underserved community college students to the biobehavioral research career fields at early and critical developmental periods of professional development. The approach includes rigorous testing of educational activities and modules through a partnership with the largest community college district within the largest system of higher education in the country (Los Angeles Community College District, California Community Colleges Chancellor's Office) and distribution nationwide with some of the largest scientific organizations for underserved students representing biobehavioral science to maximize outreach and sustainability.

Public History Project on Immigrants and the Environment

CSUN Chicana/o Studies Professor Stevie Ruiz and students held workshops across Los Angeles last summer to teach participants, mostly high-school and college-age young adults, environmental justice research and methods to advocate for the environmental health of their communities. These skills help them to conduct oral histories with local leaders, family members and teachers about local environmental issues. The oral histories, which will inform the museum exhibits, give them an understanding of the environmental issues, connect generations and inspire solutions to address climate change.

California State Polytechnic University, Pomona

Building a Boulevard for Career Success in Agriculture and Natural Resources for Underrepresented Students

Funding agency: USDA - National Institute of Food and Agriculture

Total award: \$9,500,000

Investigators: David Still and Fatheema Subhan

Building a Boulevard will help build a diverse and highly skilled workforce by recruiting, training and mentoring students from across the California State University system. Our goal with ARI-NEXTGEN Fellowships is to provide meaningful financial assistance and mentoring that will allow students to focus on their studies, immerse themselves in a discipline-specific, career-advancing project, work on-campus with faculty mentors, gain knowledge about careers, and learn skills that will help advance their careers. Over the five-year grant, underrepresented students will be encouraged to apply for 400 fellowships that will support undergraduate and graduate students to engage in research and professional projects. A series of workshops will allow a fellow to: a) participate in interactive workshops to develop and practice leadership skills; b) learn and practice the tenants of effective science communication; and c) learn about USDA Pathways program and USDA careers from USDA employees. Fellows will be financially supported to present and attend professional and other conferences. Scholarships will be provided to 40 community college students to enroll in a program and obtain a bachelor's degree and 10 students from our program that matriculate and complete their first year in a related PhD program will receive scholarships. Student participants will have increased discipline-specific knowledge, improved leadership skills, increased awareness of USDA careers, improved research skills (which include critical thinking and problem-solving skills), and have increased confidence in their abilities – all skills needed for a thriving workforce.

Career Development in Construction Engineering through an Academic and Industry Network

Funding agency: National Science Foundation

Total award: \$1,493,695

Investigator: Jeyoung Woo

This project will contribute to the national need for well-educated scientists, mathematicians, engineers and technicians by supporting the retention and graduation of high-achieving, low-income students with demonstrated financial need at the California State Polytechnic University, Pomona. Cal Poly Pomona is an HSI designated institution with 50% of the student body identifying as Hispanic, 62% are first-generation college students and 45% are Pell grant recipients. Over its five-year duration, this project will fund scholarships to 45 unique undergraduate students who are pursuing a bachelor's degree in Construction Engineering and Management (CEM) and to 75 unique undergraduate students who will participate in a pre-

arranged summer internship. First-year students will receive up to four years of scholarship support and transfer students will receive up to two years of scholarships. The project aims to increase student persistence in the CEM discipline by increasing retention, student success, and graduation rates of the Hispanic and URM students. The project will implement evidence-based curricular and co-curricular activities to support the students. The project will demonstrate the impact of additional financial assistance through a scholarship opportunity during academic semesters as a research assistant and will offer summer opportunities to work as an intern at a construction firm, which is intended to enhance the academic performance of low-income students with academic ability and talent. Because Cal Poly Pomona has a high population of Hispanic and underserved students, this project has potential to broaden participation in the STEM fields and for faculty to learn how mentoring and individual development plans can be used to support retention and graduation of a student population.

California State University, Sacramento

Sacramento CCAMPIS - Child Care Access Means Parents in School

Funding agency: U.S. Department of Education

Total award: \$1,893,468

Duration: 4 years

Investigator: Haley Myers Dillon

The goal of this program is to increase retention, persistence, academic success and graduation of student-parents by providing: 1) high-quality, long-term subsidized childcare for 33 student-parents annually; 2) short-term subsidized drop-in childcare for 17 student parents each year; and 3) welcoming outreach, communication and wrap-around support services, in addition to robust data collection. on student-parents including 36 demographic, academic, CCAMPIS specific and qualitative data points. The program aims to support student-parents each year by helping them pay for childcare using a sliding fee scale. 25 student-parents will receive up to \$1,000 each month to pay for a first child's childcare, and 8 student-parents will also receive up to \$500 per month for a second child's childcare. The program will provide a small reserve of \$25,000 each year to support student-parents with drop-in care.

California State University, San Bernardino

2022 NCAEC-001-California State University San Bernardino

Funding Agency: National Security Agency

Total award: \$17,576,032

Duration: 09/14/2022-09/13/2024

Investigators: Antony Coulson and Vincent Nestler

CSUSB is designated as a Center of Academic Excellence (CAE) in Information Assurance. This \$17.6 million grant is focused on the development of a robust cybersecurity workforce. The CAE Community National Center (CNC) will offer three primary functions to the 370+ CAE institutions and projects: 1. Provide technical and logistical support for CAE National Centers, CAE events, initiatives, activities, and curriculum; 2. Provide infrastructure including a portal of CAE resources for the community, geographic regions, and the nation; and 3. Engage and facilitate strategic initiatives for the nation in the areas of research, other national centers, student and faculty development, diversity, workforce development activities and related other duties. The CAE Community National Center (CNC) will lead collaboration among the designated institutions, inclusive of candidates in accordance with program office policy, and to provide administrative support to the program.

Strange World

Stuart Sumida, a CSUSB professor of Biology, has used his knowledge, background and research in anatomy and paleontology to work as a consultant with filmmakers and animators for most of the major film animation studios, including “Puss in Boots, the Last Wish,” which was nominated for an Academy Award. Professor Sumida’s latest efforts can be seen in the Disney film, “Strange World,” which was his 70th film as a consultant working with animators. He helped the animators and production team make the characters both realistic and fantastic. The film also allowed Professor Sumida to work with his wife, Elizabeth Rega, a professor of Anatomy and associate vice provost for Academic Development at Western University of Health Sciences as both served as consultants. Both Professors Sumida and Rega are featured in behind-the-scenes video on the making of the film on the National Geographic Facebook page.

San Diego State University

Environmental Justice Thriving Communities Technical Assistance Center

Funding agency: Environmental Protection Agency

Total award: \$9,999,999

Investigator: Rebecca Lewison

San Diego State University is leading one of 17 centers nationwide, and the only one in California, to empower historically excluded communities to advocate for climate and environmental justice. Over the next five years, the center and its partners will co-create accessible, multilingual, in-person and virtual services to help communities apply for government funding to address environmental challenges like drought, flooding and pollution that unjustly impact them. In particular, the perspectives of Indigenous and rural populations will be integral to decision-making, training and identifying sustainable energy solutions. The center will reach far beyond San Diego, with the ultimate aim of serving California, Nevada, Arizona, Hawai’i, Guam and American Samoa. To accomplish this goal, center director Rebecca Lewison and her team will

leverage SDSU's inclusive, climate-focused initiatives as well as long-standing partnerships with tribal nations and non-profit organizations.

Because You Moved Me

This spring, San Diego State University dance majors presented two pieces at the American College Dance Association (ACDA) Regional Conference. One of the dances, "Because You Move Me" (directed by Jess Humphrey, associate professor of Dance), was selected, out of more than 50 dances, to be performed at the end of the regional conference and, for the first time, to represent the region at the national conference. Seventeen students collaboratively created this dance, practicing responsive leadership and articulation of dance language that helped prepare them for dynamic careers as independent or company performers. The dance program at SDSU emphasizes the importance of honoring students' lineages and engaging in an ever-changing world. Students express the sociopolitical aspects and healing power of dance, requiring individual dancers to consciously attend to time, space and relationships with others in order to successfully move together and move an audience.

San Francisco State University

CS4NorthCal: Scaling an Evidence-based Model for Teacher Preparation and Support to Provide Equitable and Inclusive CS Education in California High Schools

Funding agency: National Science Foundation

Total award: \$1,994,917

Investigators: Hao Yue, Larry Horvath, Ilmi Yoon, Jingyi Wang and Yue-Ting Siu

In CS4NorthCal, a consortium of four Institutes of Higher Education (IHEs) – San Francisco State University, California State University Sacramento, San José State University and Sonoma State University – will support 20+ Northern California school districts using a three-pronged approach. First, an online certification program will be established to immerse high school teachers in Computer Science content knowledge and evidence-based, inclusive pedagogical strategies for teaching CS. Second, professional learning communities will meet regularly to provide newly certified CS teachers with community and continuous professional learning on CS curricula and inclusive pedagogical practices. Finally, experienced high school CS teachers will mentor newly certified teachers, providing ongoing content and pedagogical support. This wraparound strategy will prepare and support a new cadre of 300-350 high school teachers committed to CS education excellence, who will serve 30,000+ high school students over the course of the project.

San José State University

Marine Optical Buoy (MOBY) Operations and Technology Refresh

Funding agency: NOAA

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Total award: \$3,226,019.00
Investigator: Michael Feinholz

For over 15 years, the Marine Optical BuoY (MOBY), a self-sufficient radiometric buoy stationed off the coast of Lanai, Hawaii, has measured light near the sea surface, providing vicarious calibration data for US ocean color satellites. MOBY's continuous spectral data collection has been fundamental to fostering international cooperation in the ongoing effort to develop global, multi-year time series of consistently calibrated ocean color products. This four-year award will help to fund the refresh of the optical system central to MOBY, allowing simultaneous spectra to be acquired and the ability to take more individual measurements. Improved optical systems will improve accuracy of data through the reduction of environmental noise, allow for the rapid initialization of new satellite sensors, and assist in the correction of sensors with instabilities – all important aspects to continuing to produce MOBY time series data and the ability to provide vicarious calibration capabilities into the future.

Beekeeper of Aleppo

Dr. Matthew Spangler, chair of SJSU's Film & Theatre Department, and Nesrin Alrefaai, from the Middle East Centre, London School of Economics and Political Science, co-authored the play "The Beekeeper of Aleppo", adapted from the novel of the same name. Exploring the harrowing journey of Syrian refugees, Professors Spangler and Alrefaai expertly weave an emotional narrative that directly counteracts the harmful stereotypes placed upon refugees. Professor Spangler examines the representation of refugees and immigration studies within a theatrical context. A natural extension of "The Beekeeper of Aleppo" previewed in London before touring for five months around the world. The work is notable because Santa Clara, the county SJSU resides in, hosts enough asylum seekers and refugees to be considered a refugee-impacted county. Professor Spangler is a well-known playwright and educator, having earned success with his earlier adaptation of Khaled Hosseini's "The Kite Runner", which premiered on Broadway and will tour North America in 2024.

California Polytechnic State University, San Luis Obispo

Cal Poly COMPASS Training Program

Funding agency: California Institute for Regenerative Medicine (CIRM)

Total award: \$2,887,939

Investigators: Kristen Cardinal, Trevor Cardinal, Sandi Clement, Elena Keeling, Jane Lehr and Emily Neal

This two-year project will prepare a diverse group of students to make a substantive impact in the regenerative medicine field. Selected via a comprehensive recruiting plan and provided with funding and mentoring, 25 COMPASS Scholars will complete the program, while matriculating

in bachelor's degree programs in biomedical engineering, biology or biochemistry. Scholars will complete a three-month summer internship with an industry partner, with the option to extend to a six-month "co-op" or to complete an additional three-month internship the following year. Upon returning to campus for the senior year, COMPASS Scholars will perform research with a faculty mentor and complete a capstone project. Students will participate in patient engagement and outreach activities, and will graduate from the program ready to enter their generative medicine industry, bringing their technical skills and unique perspectives to this rapidly evolving field.

Ocean Sight One

A team of Cal Poly faculty, California artists and media professionals have collaborated on a project called Ocean Sight One to document and present the ocean ecologies that have developed at the base of the oil rigs off the coast of Santa Barbara. The team is initiating dialogs around the social and architectural structures of the oil rigs themselves, and what happens with the top of these rigs in the decommissioning process. Ocean Sight One is interested in making the vibrant, but somewhat hidden, marine life that has formed on these artificial reefs more accessible to the California public through a series of interactive formats using virtual and augmented reality systems. The core faculty on the Ocean Sight One development team are Liberal Arts and Engineering Studies Co-Directors Dr. David Gillette and Dr. Michael Haungs, Architecture Professor Thomas Fowler and Music/Sound Technology Faculty Julie Herndon.

California State University San Marcos

QIST in the CSU: Expanding Access to Quantum Information Science and Technology

Funding agency: US Department of Energy

Total award: \$2,016,720.43

Investigators: Justin Perron (PI, CSUSM), Ed Price, Megan Ivory, Jaime Raigoza, Jake Douglass, David Gruber, Gabe Hanzel-Sello and Frank A. Gomez

Quantum Information Science and Technology (QIST) is a priority for federal coordination and investment as an important component of U.S. scientific leadership, national security and economic competitiveness. The goal of this project is to meet this need and broaden participation in the QIST field by providing student opportunities and focusing on CSU faculty professional development. The proposed high school to undergraduate bridge programs will expose incoming undergraduate students to the field of QIST. Cohort-based student learning communities will support students during the academic year. Summer internships in partnership with Sandia National Laboratories will provide QIST research experiences that are rare at the undergraduate level due to the complexity and expense of QIST systems. In parallel with the student efforts, faculty focused activities will expand the capacity within the CSU system to offer QIST educational experiences. Two annual summer workshops will train CSU faculty on QIST concepts and educational modules.

Innovation Mural

What is innovation at CSUSM? That was the question for Scott Gross and Mary Oling-Sisay, who sparked conversations around the development of an artwork about innovation specifically for a university focused on social mobility. The innovation mural at CSUSM was conceived as a multi-dimensional invitation to engage in innovation across disciplines and with community. Because CSUSM emphasizes social innovation, the mural describing the innovation process would have to be unconventional. The mural consists of an oak tree that moves through the seasons, branches into circuitry, and describes the process of innovation with light boxes. Visitors to the Innovation Hub can input their ideas into the design pipeline, a vacuum-powered tube that moves their ideas along. The design team is interdisciplinary and community-based, consisting of muralist Tim Topalov, CSUSM Makerspace specialist Kodie Gerritsen, and innovation fellow Lucy HG Solomon, with essential contributions from CSUSM students and facilities staff.

Sonoma State University

NASA's Neurodiversity Network (N3): Creating Inclusive Informal Learning Opportunities across the Spectrum

Funding agency: National Aeronautics and Space Administration

Total award: \$5,486,044

Investigators: Lynn Cominsky and Laura Peticolas

NASA's Neurodiversity Network is a five-year program to redevelop existing NASA resources for use with neurodiverse learners, with a special focus on autistic learners. SSU is partnering with Educational Development Corporation and New York Hall of Science to test the resources with northern California high schools that specialize in autistic learners, as well as informal audiences in New York City. This program was inspired by Professor Cominsky's work with autistic SSU physics majors, including the use of a robotic telescope for astronomical observations as well as model rocketry and payload development.

Human/Nature: An Exploration of Place, Story, and Climate Futurism

This grant will support a combined format, virtual and in-person, three-week NEH summer institute for 25 secondary English teachers from across the country. The institute will be held for two weeks on SSU's campus and includes field trips to various locations in Northern California. Participants will learn alongside literary scholars, teacher-artists, naturalists and media literacy scholars. The institute will guide participants through an in-depth inquiry into the human/nature dichotomy and connections through climate futurism. Climate futurism is defined here as storytelling that uses climate science as a catalyst to imagine possible climate futures. Storytelling

is essential to the humanities, but it also bridges other disciplines like science, helping people imagine alternative outcomes to complex problems. The intended outcome is to guide teachers to teach their students the impact of climate change through Sci-Fi. The institute starts with Octavia E. Butler's Parable of the Sower and includes young adult literature in the genre of "cli-fi," or climate science fiction. Literary study and field experiences lead to the development of curricular "Action Plans" for teachers' use in their own classrooms.

California State University, Stanislaus

Growth & Research Opportunities with Traineeships in High energy physics at Minority Serving Institutions (GROWTH-MSI)

Funding agency: Department of Energy

Total award: \$1,023,773

Investigator: Wing To

This project will fund a traineeship program for undergraduates from six minority serving institutions and build-up the research participation in HEP for two investigators at California State University, Stanislaus and Sonoma. There are five participating CSUs: Stanislaus, Sonoma, San Francisco, East Bay and Fresno. UC Merced is the sixth participating MSI which currently does not have a HEP research program. Each MSI graduates about five-16 Physics students with bachelor's degree and one-three with interested in HEP each year. The small number of students prevents these programs from offering HEP courses HEP regularly and create a HEP community on the individual campuses. The two aspects of this proposal aim to address key barriers preventing students and investigators at these MSIs from participating in HEP by creating a consortium made of MSIs, National Labs and R1 universities.

The Dr. Allan Greenberg & Dr. Ellen Junn Warrior Fab Lab

While Stanislaus State does not offer engineering degrees (except for a BS in Computer Science), this does not prevent it from engaging and inspiring students and communities in technology, arts and sciences. The Fab Lab was opened in the fall of 2022 and in spring 2023 Dr. Larry Weigel successfully secured a \$150,000 California State University Hispanic-Serving Institution grant intended to expand technology, resources and programs for this lab. The project will promote innovation and inspiration on campus and within the broader community. This will done through upgraded equipment for the digital fabrication lab and programming for area K-12 students and teachers. This enables more equitable access to technology of designing and 3-D printing for all students, enhance the experience for problem solving creative innovation and critical thinking, and take Fab Lab programs into the community to spark innovation at local elementary, middle and high schools.

Systemwide Collaborations

CSU Research Consortia (Affinity Groups)

The CSU has 10 multi-campus affinity groups that support research collaborations on a breadth of topics that are important to California.

Agricultural Research Institute

ARI's mission is to enable applied research, through the power of the CSU system, that benefits California agriculture, natural resources and food systems, while cultivating the next generation of agricultural leaders. A key to ARI's success is aligning the organization to address the challenges facing California's agricultural and natural resource industries by conducting applied research that is relevant, timely and impactful. By engaging and collaborating with stakeholders, it is developing actionable knowledge to help solve problems, while at the same time mentoring and developing the state's future workforce and leaders. The skills learned through research are value-added components to a student's education. The benefits are manifold: students involved in research are far more likely to graduate, be employed in a major-related career, and more likely to obtain an advanced degree. Most graduates remain in California, making an investment in students an investment for the future of California.

ARI-supported scientists are adapting cropping systems to climate change by utilizing remote sensing to manage water and fertilizers, detecting disease and insect damage, and breeding crops to withstand heat and use less water and fertilizers. A promising new approach utilizes naturally occurring micro-organisms found in healthy soils to help build resiliency against environmental and biological stressors. A team at Cal Poly San Luis Obispo discovered that a disease-resistant strawberry cultivar supports a soil microbiome with a high abundance of pathogen-suppressing bacteria, resulting in less disease. This discovery may allow plant breeders to develop disease-resistant cultivars by focusing on the rhizosphere microbiome and allow growers to manage their soil to increase beneficial micro-organisms. These approaches are economically sustainable and help promote healthy and biodiverse agroecosystems.

Council on Ocean Affairs, Science and Technology (COAST)

COAST is the CSU's systemwide organization for ocean and coastal research, education and workforce development. COAST supports faculty members and students at each of the 23 campuses and has made over 2,000 student awards since it was established in 2008. In recent months, COAST established two new partnerships that will provide CSU students with unparalleled and unique research opportunities. With the University of Miami and Scripps Institution of Oceanography (UC San Diego), COAST will send five CSU students and one faculty member on a 22-day oceanographic cruise in July 2024. The cruise will study microbial energy dynamics in the California Current Ecosystem, some 200 nautical miles offshore. Students will

participate in the main cruise activities and conduct their own independent research projects. To prepare them, students will engage in scientific and professional development training in advance of the cruise, and students will attend a scientific meeting with other scientists from the cruise afterward.

COAST is also a partner on the recent \$9.5 million USDA NIFA NextGen award to the CSU Agricultural Research Institute (ARI). Over the next five years, undergraduate and graduate students will receive stipends to support their investigation of ocean and coastal agriculture-related questions and the development of better practices and solutions. Areas of interest are 1) growing fields as sources of plastic pollution, 2) pesticide runoff and aquatic habitat, and 3) nutrient loading and ocean acidification. These experiences will prepare students to join the future agricultural workforce.

CSU Program for Education and Research in Biotechnology

Created in 1987 as a system-wide program, CSUPERB, now known as California State University Biotechnology (CSUBIOTECH) supports biotechnology education and research throughout the CSU to promote biotechnology and economic development in California. Among its goals are to provide leadership in training California's biotechnology work force, enable CSU faculty and undergraduate, graduate and returning students to advance their careers and become leaders in biotechnology sectors in California and across the nation, extend and develop relationships with regional and industrial partners, and expand general education across the CSU and California to enhance understanding of biotechnology issues, challenges, and opportunities.

CSUBIOTECH provides grants and awards, organizes the annual CSU Biotechnology Symposium, sponsors industry-responsive curriculum, and serves as a liaison between the CSU and government, regional and biotechnology industry partners, including philanthropic partners. Within CSU, CSUBIOTECH promotes biotechnology workforce development by supporting innovative coursework, real-world research experiences, and core resources for students and faculty across all 23 CSU campuses. The program involves students, faculty and administrators from life sciences, physical sciences, health sciences, engineering, agriculture, business and computer science departments and divisions across the system, including the Chancellor's Office. Grants, awards, payouts, and reimbursements are made to faculty and programs on all 23 campuses across the system. Altogether, nearly 1,000 CSU students, faculty, deans, and administrators interact with the CSUBIOTECH program office yearly.

Christian Quintero, an undergraduate student, received the CSUPERB President's Scholar Award of \$8,000 for his project: "Chromosome organization of Bloom's Syndrome cells." The Presidents' Commission Scholars program aims to support up to 11 CSU undergraduate scholars during the summer in their first research project. Students conduct biotechnology-related laboratory-, field-, clinic- or community-based research. Vanessa Sanchez, a McNair Scholar and Biology major, received the 2023 Doris A. Howell Foundation – CSUPERB Research Scholar Award for her

project “Using Trisomy 21 Down Syndrome cells to understand chromosome organization.” This competitive grant funds promising undergraduate student research projects in topics related to women's health.

California Desert Studies Consortium

The California Desert Studies Consortium facilitates research, education and outreach about the importance of arid lands. The Consortium provides infrastructure for STEM and transdisciplinary work in the Mojave desert region, with an emphasis on sustainability. Established in 1976, the Desert Studies Consortium includes seven member campuses: Dominguez Hills, Fullerton, Long Beach, Los Angeles, Northridge, Pomona and San Bernardino.

The centerpiece of the consortium is the Desert Studies Center, also known as the Zzyzx Campus. Zzyzx is a 1280-acre full-service field station in the Mojave Desert, which provides housing, meal service, classroom space, laboratories, and research support for university courses, research groups, workshops, and conferences. Zzyzx is a major launching point for CSU students into the STEM workforce. Each year, we host over 7,000 overnight visits from courses and researchers from the CSU and beyond. Zzyzx is a driver of change by providing unique and immersive STEM education experiences often for the first time for many of our students. Positioned in the exceptionally scenic environment of Soda Springs, the Desert Studies Center frequently hosts conferences and workshops. The Center accommodates up to 65 individuals in dormitory-style apartments, plus longer-term housing for researchers, and has multiple classrooms and meeting spaces with campuswide wi-fi. The location of Zzyzx inside the Mojave National Preserve provides easy access to a broad range of ecosystems, geological features, fossil beds, and historical sites. The facility is operated by the CSU in a cooperative management agreement with the National Park Service.

Prakrit Jain is the recipient of the Judith Presch Desert Research Award. As a high school student, Jain started conducting research on scorpions at the California Academy of Sciences, under the mentorship of Dr. Lauren Esposito. He has since become an undergraduate at UC Berkeley. This summer, Jain is working in the Mojave Desert based out of the Desert Studies Center with the goal of discovering and scientifically describing new species of scorpions and create a better understanding of the evolutionary history and modern ecology of these animals.

CSU Shiley Haynes Institute for Palliative Care

The CSU Shiley Haynes Institute for Palliative Care has two goals: to provide palliative care education to CSU students and their surrounding communities, and to offer continuing education certificate-level and topical courses in palliative care and care management to working healthcare professionals across the nation and worldwide. To date, programs, courses and educational tools have been utilized by more than 25,000 healthcare professionals and more than 26,000 pre-professional students across the CSU.

CSU faculty are highly committed to providing high quality palliative care education to their students. In March 2023 Professors Katie Robinson (Nursing), Blake Beecher, Lorene Ibbetson-Flanagan and Jeannine Guarino (Social Work), Deanna Schmidt (Kinesiology) and Michael McDuffie (Philosophy) provided an interprofessional education training experience on palliative care for 90 students from nursing, social work and kinesiology. This training was sponsored by the CSU Shiley Haynes Institute for Palliative Care campus partner office at CSU San Marcos. This training seeks to promote interdisciplinary cooperation among health professionals as they provide care to their patients and the patient's families. The CSU Shiley Haynes Institute for Palliative Care is confident that through these kinds of efforts, healthcare can truly be transformed so that all patients get the best care possible.

Moss Landing Marine Laboratories (MLML)

San José State University's Moss Landing Marine Laboratories (MLML) has a global reputation of more than 50 years of excellence in marine science education and cutting-edge research. More than 650 students have graduated from MLML's prestigious Master in Marine Science program. MLML graduates have found positions in academia, government agencies, and public and private institutions. The strengths of MLML lie in its facilities and unique location. The lab supports marine operations, a research diving program, and a shore lab aquaculture facility that provides students, faculty, researchers, and staff with ready access to the diverse ecosystems and oceanography of Monterey Bay. MLML is known for its hands-on, field-oriented approach, with an emphasis on education and research that help to advance marine science, serve society, and transform public discourse and policy towards sustainable human interaction with the world.

Graduate student Katie Duncan advised by SJSU Assistant Professor of Biological Oceanography Sarah Smith was awarded as a finalist in the 2022 Algae Prize competition. This national competition from the U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy Bioenergy Technologies Office, encourages students to pursue innovative ideas for the development, design, and invention of technologies within the commercial algae value chain.

The future of California beaches and their resilience to future sea level rise is fundamentally related to the loss of sand from the shoreline to the deep ocean. MLML is located next to the head of the Monterey Canyon, a deep submarine valley where beach sand can get trapped and lost in it. Graduate student Marcel Peliks, advised by SJSU Geological Oceanography Professor Ivano Aiello, has used MLML's Boston Whalers to complete, repeated, ultra-high-resolution mapping of the canyon's seafloors using a multi-beam echosounder. For the first time, these maps offer the ability to measure sand movement over time.

The livestock sector is a significant source of greenhouse gases, particularly methane produced through enteric fermentation. A promising strategy to reduce methane emissions from ruminant livestock is to incorporate seaweeds rich in bromoform. Graduate student Jessica Metter, advised by SJSU Assistant Professor in Chemical Oceanography, Maxime Grand, presented at the fall

2022 AGU Meeting in Chicago the results of her innovative study to quantify bromoform emissions from aquaculture for livestock feed.

SJSU Assistant Professor of Biological Oceanography Sarah Smith hosted at MLML a week-long workshop (between May 14 and 19, 2023) which involved about 40 participants from various institutions in the US and internationally. The workshop was focused on planning responsible studies of ocean iron fertilization (OIF) as a potential path forward to enhance the ocean's uptake of atmospheric carbon dioxide. The workshop was fundamental to developing strategies toward climate change mitigation and reduce the concentration of greenhouse gases based on a solid scientific framework.

Ocean Studies Institute

The Ocean Studies Institute (OSI) is a consortium of CSU campuses that is pooling resources to explore the ocean and coastal regions more effectively. It is based out of the Los Angeles Harbor and includes nine campuses (Channel Islands, Dominguez Hills, Fullerton, Long Beach, Los Angeles, Northridge, Pomona, San Bernardino and San Marcos) addressing research and education on urban ocean and coast sciences.

OSI faculty Jeremy Claisse (Cal Poly Pomona) and students have worked on a collaborative projects with Occidental College quantifying the ecological status of a recently implemented artificial reef off Palos Verdes as mitigation habitat. OSI faculty Chris Lowe (CSULB) and students collaborated on the development of AI products for drone survey and shark identification analyses and socio-economic studies of the importance of sharks on the California ocean economy with Gabriella Hancock (CSULB Psychology), Katie Dudley (CSULB Recreation & Leisure Studies) and Dede Long (CSULB Economics) as part of the California Shark Beach Safety Program.

Social Science Research and Instructional Center

Executive Director of the Social Science Research and Instructional Center (and professor and Chair of Sociology at CSUDH) and Chancellor's Office Director of Research have won a three-year, \$796,858 National Science Foundation award with UCSB and UCI to identify and breakdown barriers for the social sciences in grant-seeking. Interventions include webinars, writing groups and writing retreats for faculty as well as seminars for campus leadership.

Professor and Chair of Sociology at CSUDH and Executive Director of SSRIC Billy Wagner was awarded a three-year, \$260,740 National Science Foundation grant for this study that began in fall 2021. Together, the CSU-UC group is exploring barriers to securing grants among CSU and UC faculty and the research and external funding culture between these university systems. This work also established CAHSSA (<https://cahssa.ucsb.edu/>), the California Alliance for Hispanic-Serving Social Science Advancement, which creates programming to develop faculty grant writing skills

for faculty and implements programs to bring faculty from different campuses together to directly support project development and grant writing.

Science, Technology, Engineering, and Mathematics Network (STEM-NET)

STEM-NET is the preeminent multicampus collaborative working with all 23 CSU campuses and areas of STEM research and education. STEM-NET connects and strengthens faculty research and educational collaborations across the CSU system and across disciplines. It expands opportunities for active learning, innovative pedagogy, and supports CSU faculty in developing grant proposals with potential for scaling and sustainability with high impact and fundability. The mission of STEM-NET is to empower CSU STEM leaders to harness their expertise in pedagogy, research, and grant-writing to ensure the success of our students and faculty. Driven by an unwavering commitment to student success, STEM-NET is transforming the CSU into a preeminent champion of inclusive access to research and learning experiences that equip diverse STEM students for thriving careers in STEM-related fields.

Genesis Barzallo is a master's student at Cal State Los Angeles. During her undergraduate studies, Barzallo developed a passion for multidimensional separation science while researching alternative fuels derived from plastic waste conversion using comprehensive two-dimensional gas chromatography. She has since had the privilege of presenting her research at various prestigious events, including the 2022 and 2023 American Chemical Society (ACS) National Meetings and the 2022 Southern California Section of ACS Research Symposium at Caltech. She also received an honorary invitation to present at the 14th Multidimensional Chromatography Conference in Liège, Belgium, and received travel awards from CSULA and ACS. In 2023, the ACS Analytical Chemistry Division named her a Rising Star in Analytical Chemistry. In addition to her research, she actively participates in various student outreach activities on campus, is the lab manager of C³AL, and aims to inspire more women to pursue research careers.

Dr. Kamila Larripa received a grant from the National Science Foundation to mathematically model microglia activity. Microglia are a special type of immune cell found only in the central nervous system. These multifaceted cells fight infections, repair damage, remove debris, and are central to maintaining brain health. However, these cells can go rogue and contribute to neurodegenerative pathologies such as Alzheimer's Disease. This is linked to a shift in their cellular metabolism, but how and why this metabolic reprogramming occurs and its impact on disease progression remains unknown. The project will develop and analyze multi-scale mathematical models with undergraduate students to better understand the role of various molecular mechanisms in microglia behavior and how this behavior influences and orchestrates further cellular activity in the brain.

CSU WATER (Water Advocacy Towards Education & Research)

CSU-WATER represents the combined resources of all 23 CSU campuses and directly fosters their joint efforts to help the state of California's residents, agencies and industries address

pressing water issues. Dynamic water cycles and their impacts are exacerbated by climate uncertainty, and an aging and antiquated water infrastructure compromise water, economic, and food security for California. CSU-WATER strives to create and sustain research and educational partnerships to address current and future water issues. It also works in water-relevant fronts including agriculture, climate, environment and community engagement. To support these efforts as it completes its first year, the internal organizational structure has grown to include Campus Representatives, a Student Research Advisory Council and soon a consortium of relevant campus centers and institutes. The external network of partners and collaborators includes engagement in the Association of CA Water Agencies (ACWA) Foundation, CA Water Quality Monitoring Council, Valley Vision (water workforce), CA Strategic Growth Council, Resource Conservation Districts and others. The CSU-WATER networks place us in a position to rapidly respond to finding and engaging in interdisciplinary solutions to pressing water issues in California, and thus support state water agencies in executing and amending their water management missions.

Mallory Sutherland is an agricultural business student and in the prestigious Smittcamp Family Honors College at California State University, Fresno. She is a research assistant on the important CSU-WATER research project “SGMA Compliance; Spatial and Operational Consistency across San Joaquin Valley GSAs?” Sutherland eagerly learns about the nuances of California water and will leverage her project duties into her Honors Thesis Project. This spring she was awarded the Association of California Water Agencies (ACWA) Diversity Scholarship, their highest level among five categories. This summer she is interning at a water agency in Bakersfield.

Under the auspices of the CSU-WATER Faculty Incentive Program, Dr. Erin Bray (Assistant Professor, Earth and Climate Sciences, San Francisco State) was recently awarded \$339,000 in funding from the 2023 SWC Science Solicitation under the California State Water Contractors Science Program. The proposal "Cooling California Rivers: Developing a spectral physically-based energy balance model to predict and manage river temperatures" will be conducted with a team of students and collaborators in the Bray Rivers Lab at San Francisco State. As part of the SWC Science Program, Dr. Bray and students will participate in kick-off meeting with Federal and State agencies across California that have interest in the study.

Conclusion

CSU research, scholarship and creative activities contribute to the intellectual and creative vibrancy of campus life while offering solutions to real-world problems. These activities are critical both to the success of Graduation Initiative 2025 and to fulfilling the CSU mission of student success, faculty excellence and service to California and beyond. CSU continues to prepare each new generation of researchers, artists, performers and scholars to carry on the spirit of collaboration, innovation and community.

COMMITTEE ON EDUCATIONAL POLICY

Proposed Amendments to Title 5: Implementation of the Student Transfer Achievement Reform Act of 2021

Presentation By

Nathan S. Evans
Deputy Vice Chancellor
Academic and Student Affairs

Laura Massa
Interim Associate Vice Chancellor
Academic and Faculty Programs

April Grommo
Assistant Vice Chancellor
Strategic Enrollment Management

Beth Steffel
Chair
Academic Senate of the CSU

Summary

The Student Transfer Achievement Reform (STAR) Act of 2021 has called for the consolidation of two existing general education transfer pathways for California Community College (CCC) students into a singular pathway to either the California State University (CSU) or University of California (UC) systems. It enacted Education Code Section 66749.8 which requires that the CCC place incoming students on an Associate Degree for Transfer (ADT) pathway – if one exists for their major – on or before August 1, 2024. It also required the Intersegmental Committee of the Academic Senates of the CSU, the UC and the CCC (ICAS) to establish a single pathway, deemed the California General Education Transfer Curriculum, Cal-GETC (**Attachment A**) that meets the academic requirements necessary for transfer admission to the CSU and UC and replaces the current Intersegmental General Education Transfer Curriculum, IGETC (**Attachment B**). This singular lower-division general education transfer pathway is to be made available to eligible students for the fall term of the 2025-26 academic year.

This information item outlines proposed amendments to Title 5 of the California Code of Regulations in the areas of admissions requirements for transfer into the CSU and educational

programs as they relate to lower division general education. Two options for meeting general education requirements in the CSU are proposed herein (see page 4).

The revisions to Title 5 are required to implement the necessary changes resulting from the STAR Act of 2021. The possible amendments within the pertinent sections of Title 5 are included in this written agenda item, and board action will be requested at the March 2024 board meeting.

Background

Transfer among California’s public postsecondary institutions has been a hallmark of the state’s vision for higher education. A goal of the Student Transfer Achievement Reform Act of 2021 was to streamline the process by which CCC students may transfer to a four-year university. The act established an Intersegmental Implementation Committee to facilitate coordination for the ADT and focuses on improving student transfer outcomes. The act also directed the Intersegmental Committee of Academic Senates (ICAS) to establish one lower division general education pathway, of no more than 34 semester units, that meets admission requirements for both the CSU and UC systems.

Periodic review and assessment of general education is a hallmark of college and university general education in the United States. As a result of internal and external factors, the CSU has evaluated its general education requirements. However, until the addition of an Ethnic Studies requirement in 2020, the CSU GE Breadth framework has remained constant since 1980. The following is a brief timeline of efforts to review and/or amend General Education requirements in the CSU as well as consultation and activities specific to the implementation of the STAR Act of 2021.

Date	Activity
1980	Title 5 language and CSU policy governing CSU GE Breadth were adopted establishing a common CSU GE Breadth framework of 48 semester units (39 lower-division and 9 upper-division requirements)
1991	Title 5 language and CSU policy governing CSU GE Breadth were amended to add alternative ways for undergraduates to complete CSU GE Breadth requirements (IGETC or UC GE).
2008-2011	CSU participates in national <i>Give Students a Compass</i> focusing on GE assessment and alignment with transfer partners.
2017	CSU policy governing CSU GE Breadth was revised to clarify existing general education requirements.
2017-2019	The Academic Senate of the California State University (ASCSU) commissioned a General Education Task Force which delivered recommendations for GE review and reform.

2020	Title 5 language and CSU policy governing CSU GE Breadth was amended to add a legislatively required course in Ethnic Studies.
2021	The Student Achievement Reform Act of 2021 is signed into law.
2021	The STAR Act charges the Intersegmental Committee of the Academic Senates (ICAS) with developing a single lower division general education pathway, of no more than 34 semester units, that meets admission requirements for both the CSU and UC systems.
Spring-Fall 2022	A newly proposed Cal-GETC pathway is disseminated to the faculty of the three systems for vetting.
Spring 2023	ICAS approves the final Cal-GETC framework which would require 34 semester units of lower-division coursework.
May 2023	The Chancellor’s Office hosts a GE Informational Seminar regarding pending changes to General Education.
Fall 2023	The Chancellor’s Office hosts multiple meetings with stakeholders regarding implementation of the STAR Act of 2021.
October 2023	The CSU Admission Advisory Council meets to discuss proposed changes to Title 5.
November 2023	The CSU Committee on Educational Policy presents an information item at the November Board of Trustees meeting.
January 2024	The CSU Committee on Educational Policy provides a second of three presentations to the Board of Trustees on the implementation of the STAR Act of 2021.

Over the last year, the Chancellor’s Office hosted a series of consultative meetings with the ASCSU Executive Committee, Academic Affairs Committee and the General Education Advisory Committee (GEAC) regarding implementation of STAR Act of 2021.

Admissions Requirements

Annually, the CSU admits tens of thousands of transfer students from the 116 community colleges across the state. Historically, half of new CSU undergraduate students enroll as transfer students. A transfer student is a person who has left or graduated from high school and enrolled in an institutionally accredited college *after achieving high school graduation or equivalent*.

In addition to traditional transfer student populations who pursue enrollment at another college or university before attending the CSU, an increasing number of new first-time undergraduate students arrive with college credit also earned, most typically, in general education subjects. For fall 2023, more than half of new first-year undergraduate applicants to the CSU had earned college credit during high school, either through simultaneous “dual enrollment” or through examinations such as Advanced Placement (AP) or International Baccalaureate (IB). These students, as well as those CSU students concurrently enrolled in CSU and community colleges, increasingly resemble

the omnidirectional nature of college credit accumulation and transfer. Thus, the practical implications of general education changes resulting from the STAR Act of 2021 impact a majority of future CSU undergraduates.

The implementation of the STAR Act of 2021 will require updates to the Admission Requirements section of Title 5 of the California Code of Regulations. In general, CSU stakeholders that were consulted have been in alignment with the proposed changes, as detailed in **Attachments E, F, G and H**. These changes will come for board action at the March 2024 Board of Trustees meeting.

Educational Programs: CSU General Education Breadth Requirements

CSU General Education requirements have been designed to complement the major program and electives completed by each baccalaureate candidate, to assure that graduates have made noteworthy progress toward becoming truly educated persons. Thus, the objectives of CSU General Education are to provide the knowledge, skills, experiences and perspectives that will enable CSU students to expand their capacities to take part in a wide range of human interests and activities; and to confront personal, cultural, moral, and social experiences that are an inevitable part of human life (see **Attachment C**). Faculty are encouraged to assist students in making connections among disciplines to achieve coherence in the undergraduate educational experience.

CSU General Education Under the STAR Act of 2021

In addition to changes within the Admissions Requirements, the implementation of the STAR Act of 2021 will require updates to the Educational Programs section of Title 5 of the California Code of Regulations relating to general education requirements. It is within this section that two different approaches to implementation have emerged. After wide-ranging consultation between the Office of the Chancellor and stakeholders, the Board of Trustees will be presented with the two options for addressing the current general education breadth requirements in the CSU.

Option 1 | Two General Education Pathways: Recommends keeping CSU GE Breadth requirements as they currently are (see **Attachment D** without edits) and adding Cal-GETC as the pathway only for transfer students (see **Attachment A**). In addition, other areas of Title 5 would be updated to reflect changes as a result of the adoption by ICAS of Cal-GETC as the singular general education pathway for CCC students to transfer to a CSU or UC campus (see **Attachments B, C, E, F, G and H**).

Option 2 | Unified General Education Pathway: Recommends amending CSU GE Breadth requirements to align with Cal-GETC (see **Attachment D** with edits), thereby creating a singular general education pathway for all CSU first-time, first-year and transfer students. In addition, other areas of Title 5 would be updated to reflect changes as a result of implementation of the STAR Act of 2021 (see **Attachments A, B, C, E, F, G and H**).

A comparison of CSU GE Breadth requirements and Cal-GETC is provided below. The STAR Act of 2021 stipulates that a new singular lower division general education pathway would not include more units than the existing IGETC pattern, which is 34 semester units. In comparison, Cal-GETC requires five fewer units than CSU GE Breadth as a result of:

- Including a one-unit required laboratory for Biological or Physical Sciences
- Removing one of the three Arts or Humanities courses (in Area C)
- Removing Area E, Lifelong Learning and Self Development

CSU GE Breadth		Cal-GETC	
A1 – Oral Communication	3	Oral Communication	3
A2 – Written Communication	3	English Composition	3
A3 – Critical Thinking	3	Critical Thinking and Composition	3
B1 – Physical Sciences	3	Physical Sciences	3
B2 – Life Sciences	3	Biological Sciences	3
B3 – Laboratory (included in B1/B2 units)		Laboratory (for Science course)	1
B4 – Mathematics/QR	3	Mathematical Concepts/QR	3
C1 – Arts	3	Arts	3
C2 – Humanities	3	Humanities	3
C3 – Additional course from C1 or C2	3		
D1 – Social and Behavioral Sciences	3	Social and Behavioral Sciences	3
D2 – Social and Behavioral Sciences	3	Social and Behavioral Sciences	3
E – Lifelong Learning and Self-Development	3		
F – Ethnic Studies	3	Ethnic Studies	3
UNIT TOTALS	39		34

Current Lower Division General Education Transfer Patterns

Policies adopted by the CSU Board of Trustees in July 1991 provide three possible lower division GE patterns for undergraduate students to fulfill CSU GE requirements for a CSU undergraduate degree:

CSU General Education Breadth

Fulfillment of CSU GE requirements (Title 5, Section 40405.1, **Attachment D**), includes lower-division certification by a CCC or a CSU, and also includes the completion of 9 upper-division semester units consisting of a minimum of 3 semester units each in Areas B, C and D; or

Intersegmental General Education Transfer Curriculum (IGETC)

Completion of the Intersegmental General Education Transfer Curriculum (IGETC)

(Title 5, Section 40405.2, **Attachment B**), as certified by a CCC, and includes the completion of 9 upper-division semester units at the CSU consisting of a minimum of 3 semester units each in Areas B, C and D; or

University of California (UC) Campus Lower-Division General Education
Completion of lower-division general education requirements of a University of California campus (Title 5, Section 40405.3), as certified by that campus, and includes the completion of 9 upper-division semester units at the CSU consisting of a minimum of 3 semester units each in Areas B, C and D.

Associate Degree for Transfer

In September 2010, the Student Transfer Achievement Reform Act (SB 1440) was signed into law. This legislation enacted Education Code Sections 66745-66749 which enabled community colleges to confer ADTs to students once they have met specified general education and major requirements for the degree. ADT frameworks were developed collaboratively by CCC and CSU faculty.

The STAR Act of 2021 (AB 928) further stipulates that where ADT major pathways exist, California Community College students who have declared a goal of transfer on their mandatory education plans be placed on an ADT pathway on or before August 1, 2024.

Conclusion

The proposed amendments to Title 5 are an essential step in implementing the Student Transfer Achievement Reform Act of 2021. Ensuring clear pathways for all students and ultimately equitable student access and success is a shared objective of CSU faculty, staff, leaders and students.

Attachment A

This is a proposed new section to Title 5.

5 CCR § 40405.5
§ 40405.5 – California General Education Transfer Curriculum

(a) Commencing with fall 2025, students transferring from California Community Colleges under the provisions of this subdivision may satisfy General Education-Breadth requirements for the California State University bachelor's degree through satisfactory completion of the California General Education Transfer Curriculum and a minimum of 9 semester units of upper division general education coursework. All upper division general education requirements shall be completed no sooner than the term in which the candidate achieves upper division status at the California State University campus granting the degree.

All lower division requirements can be fulfilled by completing the California General Education Transfer Curriculum which shall include lower division courses distributed as follows:

(1) A minimum of 9 semester units or 12 quarter units in English communication, to include one course in English composition of no fewer than 3 semester units or 4 quarter units, one course in oral communication of no fewer than 3 semester units or 4 quarter units, and one course in critical thinking and composition of no fewer than 3 semester units or 4 quarter units.

(2) A minimum of 3 semester units or 4 quarter units in mathematical concepts and quantitative reasoning.

(3) At least two courses totaling a minimum of 6 semester units or 8 quarter units in arts and humanities, to include at least one course in the arts and at least one course in the humanities.

(4) At least two courses totaling a minimum of 6 semester units or 8 quarter units in the social and behavioral sciences, including courses in a minimum of two disciplines.

(5) At least two courses totaling a minimum of 7 semester units or 9 quarter units in the physical and biological sciences, to include at least one course in physical science and one course in biological science, at least one of which incorporates a laboratory.

(6) At least one course totaling a minimum of 3 semester units or 4 quarter units in ethnic studies. This course must be in ethnic studies or in a similar field provided that the course is cross-listed with ethnic studies.

(b) The president or an officially authorized representative of a California Community College may certify that the requirements of subdivision (a) of this section have been met through the satisfactory completion of courses approved by the California State University and the University of California for inclusion in the California General Education Transfer Curriculum. California State University campuses shall accept certification of the fully completed California General Education Transfer Curriculum as meeting all of the lower division general education requirements for the baccalaureate degree.

Attachment B

5 CCR § 40405.2
§ 40405.2 – Intersegmental General Education Transfer Curriculum

(a) Students transferring from California Community Colleges under the provisions of this subdivision may satisfy General Education-Breadth requirements for the California State University bachelor's degree through satisfactory completion of the Intersegmental General Education Transfer Curriculum and a minimum of 9 semester units ~~or 12 quarter units~~ of upper division general education coursework. All upper division general education requirements shall be completed no sooner than the term in which the candidate achieves upper division status at the California State University campus granting the degree.

All lower division requirements can be fulfilled by completing the Intersegmental General Education Transfer Curriculum prior to fall 2025 which shall include lower division courses completed prior to fall 2025 and distributed as follows:

- (1) A minimum of 9 semester units or 12 quarter units in English communication, to include one course in English composition of no fewer than 3 semester units or 4 quarter units, one course in oral communication of no fewer than 3 semester units or 4 quarter units, and one course in critical thinking-English composition of no fewer than 3 semester units or 4 quarter units.
- (2) A minimum of 3 semester units or 4 quarter units in mathematical concepts and quantitative reasoning.
- (3) At least three courses totaling a minimum of 9 semester units or 12 quarter units in arts and humanities, to include at least one course in the arts and at least one course in the humanities.
- (4) At least two courses totaling a minimum of 6 semester units or 8 quarter units in the social and behavioral sciences, including courses in a minimum of two disciplines or in an interdisciplinary sequence.
- (5) At least two courses totaling a minimum of 7 semester units or 9 quarter units in the physical and biological sciences, to include at least one course in physical science and one course in biological science, at least one of which incorporates a laboratory.
- (6) At least one course totaling a minimum of 3 semester units or 4 quarter units in ethnic studies.

(b) The president or an officially authorized representative of a California Community College may certify that the requirements of subdivision (a) of this section have been met through the satisfactory completion of courses approved by the California State University and the University of California for inclusion in the Intersegmental General Education Transfer Curriculum. California State University campuses shall accept certification of the fully completed Intersegmental General Education Transfer Curriculum as meeting all of the lower division general education requirements for the baccalaureate degree.

Cal. Code Regs. Tit. 5, § 40405.2

Note: Authority cited: Section 89030, Education Code. Reference: Sections 89030 and 89032,

Education Code.

1. Renumbering of former section 40405.2 to 40405.1 and adoption of new section filed 9-16-91; operative 10-16-91 (Register 92, No. 2).
2. Amendment repealing first paragraph filed 7-19-2004; operative 7-19-2004. Submitted to OAL for printing only pursuant to Education Code section 89030.1(Register 2004, No. 36).
3. Amendment of subsection (a)(4), new subsection (a)(6) and amendment of Note filed 5-26-2022; operative 5/26/2022 pursuant to Education Code section 89030.1. Exempt from the Administrative Procedure Act and OAL review pursuant to Education Code section 89030(b). Submitted to OAL for courtesy filing and printing only pursuant to Education Code section 89030.1(e) (Register 2022, No. 21).
4. Add note explaining that no new students will be offered this curriculum starting fall 2025 (i.e., that we enter teach out at that point)

Attachment C

5 CCR § 40405

5 CCR § 40405 - General Education - Breadth Objectives

General education-breadth requirements in The California State University are so designed that, taken with the major depth program and elective credits presented by each candidate for the bachelor's degree, they will assure that graduates from the several campuses in the system have made noteworthy progress toward becoming truly educated persons. Particularly, the purpose of the breadth requirements is to provide means whereby graduates:

- (a) will have achieved the ability to think clearly and logically, to find and critically examine information, to communicate orally and in writing, and to perform quantitative functions;
- (b) will have acquired appreciable knowledge about their own bodies and minds, about how human society has developed and how it now functions, about the physical world in which they live, about the other forms of life with which they share that world, and about the cultural endeavors and legacies of their civilizations;
- (c) will have come to an understanding and appreciation of the principles, methodologies, value systems, and thought processes employed in human inquiries.

It is the intent of this section that the general education-breadth requirements be planned and organized in such a manner that students will acquire the abilities, knowledge, understanding, and appreciation suggested as interrelated elements and not as isolated fragments.

~~There are three~~ Acceptable programs include: The California State University General Education-Breadth Requirements (Section 40405.1), the Intersegmental General Education Transfer Curriculum (Section 40405.2) completed prior to fall 2025, the California General Education Transfer Curriculum (Section 40405.5) completed in fall 2025 or later, and General Education Reciprocity with the University of California (Section 40405.3).

Notes

Cal. Code Regs. Tit. 5, § 40405

Note: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code. Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

1. New section filed 7-11-80; effective thirtieth day thereafter (Register 80, No. 28).
2. Amendment of Note filed 3-19-82; effective thirtieth day thereafter (Register 82, No. 12).
3. Amendment filed 9-16-91; operative 10-16-91 (Register 92, No. 2).
4. Amendment repealing first paragraph filed 7-19-2004; operative 7-19-2004. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2004, No. 36).
5. XYZ History of this change

Attachment D

Edits reflect changes associated with Option 2 Unified General Education Pathway.

5 CCR § 40405.1

5 CCR § 40405.1 - General Education - Breadth Requirements

(a) Each recipient of the bachelor's degree completing the California State University General Education-Breadth Requirements pursuant to this subdivision (a) shall have completed a program which includes a minimum of ~~48~~3 semester units ~~or 72-64~~ quarter units of which 9 semester units or 12 quarter units shall be upper division level and shall be taken no sooner than the term in which the candidate achieves upper division status. ~~At least 9 of the 48 semester units or 12 of the 72 quarter units shall be earned at the campus granting the degree.~~ The ~~48~~3 semester or 64 quarter units shall be distributed as follows:

(1) A minimum of 9 semester units or 12 quarter units in communication in the English language, to include both oral communication and ~~written communication~~ English composition, and in critical thinking, ~~to include consideration of common fallacies in reasoning.~~

(2) A minimum of ~~12~~3 semester units ~~or 18~~19 quarter units, including 3 semester or 4 quarter units at the upper-division level, to include inquiry into the physical universe and its life forms, which includes a 1 semester unit ~~which includes with some immediate participation in~~ laboratory activity, and into mathematical concepts and quantitative reasoning and their applications.

(3) A minimum of ~~12~~9 semester units or 12 quarter units, including 3 semester or 4 quarter units at the upper-division level, among the arts, literature, philosophy and foreign languages.

(4) A minimum of ~~12~~9 semester units or 12 quarter units, including 3 semester or 4 quarter units at the upper-division level, dealing with human social, political, and economic institutions and behavior and their historical background.

~~(5) A minimum of 3 semester units or 4 quarter units in study designed to equip human beings for lifelong understanding and development of themselves as integrated physiological, social, and psychological entities.~~

~~(6)~~5 A minimum of 3 semester units or 4 quarter lower-division units ~~at the lower division in study designed to~~ focusing on ethnic studies.

The specification of numbers of units implies the right of discretion on each campus to adjust reasonably the proportions among the categories in order that the conjunction of campus courses, credit unit configurations and these requirements will not unduly exceed any of the prescribed semester or quarter unit minima. However, the total number of units in General Education-Breadth accepted for the bachelor's degree under the provisions of this subdivision (a) shall not be less than ~~48~~43 semester units or 64 quarter units unless the Chancellor grants an exception.

(b) The president or an officially authorized representative of a college which is accredited in a manner stated in Section 40601 (d) (1) may certify the extent to which the requirements of subdivision (a) of this section have been met up to a maximum of ~~39~~34 lower-division semester (or 58 quarter unites) units. Such certification shall be in terms of explicit objectives and procedures issued by the Chancellor.

(c) In the case of a baccalaureate degree being pursued by a post-baccalaureate student, the requirements of this section shall be satisfied if:

- (1) The student has previously earned a baccalaureate or higher degree from an institution accredited by a regional accrediting association; or
- (2) The student has completed equivalent academic preparation, as determined by the appropriate campus authority.

Credits

NOTE: Authority cited: Section 89030, Education Code. Reference: Sections 66055.8, 89030 and 89032, Education Code.

HISTORY

1. New section filed 7-11-80; effective thirtieth day thereafter (Register 80, No. 28).
2. Amendment filed 3-19-82; effective thirtieth day thereafter (Register 82, No. 12).
3. Renumbering and amendment of former sections 40405.2 and 40405.3 to 40405.1 and renumbering of former section 40405.1 to section 40402.1 filed 9-16-91; operative 10-16-91 (Register 92, No. 2).
4. Amendment repealing first paragraph filed 7-19-2004; operative 7-19-2004. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2004, No. 36).
5. New subsections (c)-(c)(2) and amendment of NOTE filed 8-15-2011; operative 8-15-2011. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2011, No. 33).
6. Amendment of subsection (a)(5) filed 2-11-2013; operative 2-11-2013. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2013, No. 7).
7. Amendment of subsection (a)(4) and new subsection (a)(6) filed 9-22-2020; operative 9-22-2020 pursuant to Education Code section 89030.1. Submitted to OAL for filing and printing only pursuant to Education Code section 89030(b) (Register 2020, No. 39).
8. Amendment of subsection (a)(6) and amendment of NOTE filed 1-28-2021; operative 1-28-2021 pursuant to Education Code section 89030.1. Exempt from the Administrative Procedure Act and OAL review pursuant to Education Code section 89030(b). Submitted to OAL for filing and printing only (Register 2021, No. 5).
- This database is current through 9/1/23 Register 2023, No. 35.
- Cal. Admin. Code tit. 5, § 40405.1, 5 CA ADC § 40405.1
9. XYZ History of this change

Attachment E – Upper Division Transfer

5 CCR § 40803

§ 40803. Applicants Who Are California Residents and Who Have Completed the Prescribed Number of Units of College Credit.

(a) An applicant who is a resident of California may be admitted to a campus as an undergraduate transfer, if the applicant:

(1) Commencing with admission to the fall term 2025, has completed with a grade of C- or better: courses in English composition; oral communication; critical thinking and composition, and mathematical concepts and quantitative reasoning at a level satisfying general education requirements;

(2) ~~(24)~~ For admission prior to the fall term 2025, has completed with a grade of C- or better: courses in written communication in the English language; oral communication; critical thinking, and mathematics or quantitative reasoning at a level satisfying general education requirements;
(23) has completed at least 60 semester (90 quarter) units of transferable college credit, of which 30 semester (45 quarter) units are at a level equivalent to general education breadth courses;
(34) has attained a grade point average of 2.0 (grade of C) or better in across all transferable college courses attempted; and

(45) is in good standing at the last college attended.

(b) Impacted campuses or programs may require supplemental admission criteria, including an overall higher grade point average and/or the completion of additional specified courses.

(c) Eligible students who meet the above admission requirements and who earn an appropriate Associate Degree for Transfer from a California Community College will receive a guarantee of admission with junior status to the California State University but not to any particular campus or academic program. Students admitted with an Associate Degree for Transfer will receive priority over all other community college transfer students and will have priority for admission to a program or major that is determined by the campus to be similar to their Associate Degree for Transfer major or area of emphasis. ~~similar to his or her their college major or area of emphasis, as determined by the campus to which the student is admitted.~~

Credits

NOTE: Authority cited: Sections 66746, 66747 and 89030, Education Code. Reference: Section 89030, Education Code.

HISTORY

1. Amendment of section and NOTE filed 4-29-77; effective thirtieth day thereafter (Register 77, No. 18). For prior history, see Registers 72, No. 35 and 70, No. 8.
2. Amendment of NOTE filed 3-19-82; effective thirtieth day thereafter (Register 82, No. 12).
3. Amendment filed 8-4-83; effective thirtieth day thereafter (Register 83, No. 32.)
4. Amendment of subsections (a) and (b) filed 9-3-87; operative 10-3-87 (Register 87, No. 36).
5. Amendment of first paragraph and subsection (a), new subsection (b) and subsection

relettering filed 9-21-99; operative 10-21-99. Submitted to OAL for printing only (Register 2000, No. 29).

6. Amendment of section heading and section filed 5-5-2003; operative 2-28-2003. Submitted to OAL for printing only (Register 2003, No. 40).

7. Redesignation and amendment of first paragraph as subsection (a), redesignation of former subsections (a)-(d) as subsections (a)(1)-(a)(4) and new subsection (b) filed 7-19-2004; operative 7-19-2004. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2004, No. 36).

8. Amendment of section and NOTE filed 1-29-2018; operative 1-29-2018 pursuant to Education Code section 89030.1. Exempt from the Administrative Procedure Act and OAL review pursuant to Education Code section 89030(b). Submitted to OAL for courtesy filing and printing (Register 2018, No. 5).

This database is current through 11/3/23 Register 2023, No. 44.

Cal. Admin. Code tit. 5, § 40803, 5 CA ADC § 40803

Attachment F – Upper Division Transfer

5 CCR § 40803.1

§ 40803.1. Applicants Who Are Not California Residents and Who Have Completed the Prescribed Number of Units of College Credit.

(a) An applicant who is not a resident of California may be admitted to a campus as an undergraduate transfer upon satisfaction of the requirements of subdivisions (1), (2), and ~~(3)~~ (34) ~~or (1), (3), and (4), as appropriate:~~

(1) Commencing with admission to the fall term 2025, the applicant has completed satisfactorily at least 30 semester (45 quarter) units in courses at a level at least equivalent to the California General Education Transfer Curriculum (Cal-GETC), including courses in English composition, oral communication in the English language, critical thinking and composition, and mathematical concepts and quantitative reasoning;

~~(2) For admission prior to fall term 2005, the applicant has completed at least 56 semester (84-quarter) units of transferable college credit and has attained a grade point average in all units of transferable college credit which places the applicant among the upper one-half of eligible California residents who are applicants for admission under Section 40803, the required minimum grade point average to be determined by the Chancellor;~~

(32) For admission prior to the fall term 2025, the applicant has completed satisfactorily at least 30 semester (45 quarter) units in courses at a level at least equivalent to General Education Breadth courses, including courses in English composition, oral communication in the English language, critical thinking and composition, and mathematical concepts and quantitative reasoning;

~~(3) Commencing with admission to the fall term 2005, the applicant has attained a grade point average of 2.0 (grade of C) or better in at least 60 semester (90 quarter) units of transferable college credit and has attained a grade point average in all units of transferable college credit which places the applicant among the upper one-half of eligible California residents who are applicants for admission under, the required minimum Section 40803 grade point average to be determined by the Chancellor;~~

(4) The applicant was in good standing at the last college attended.

~~(b) Commencing with admission to the fall term 2006, an applicant who has attended a California community college and who has committed to a major and campus of the California State University before earning more than 45 semester (68 quarter) units will receive the highest priority for admission to that campus and major if the applicant has completed successfully the systemwide lower division transfer pattern for that major and the campus specific lower division transfer pattern for that major and campus, as defined in Section 40530. "Highest priority" as used herein means a guarantee of admission subject to enrollment demand, available space, and satisfactory completion of any impact criteria for that campus and major.~~

Credits

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

HISTORY

1. Amendment of section and NOTE filed 4-29-77; effective thirtieth day thereafter (Register 77, No. 18). For prior history, see Registers 73, No. 35; 72, No. 35; 70, No. 8.
2. Amendment filed 3-19-82; effective thirtieth day thereafter (Register 82, No. 12).
3. Amendment filed 8-4-83; effective thirtieth day thereafter (Register 83, No. 32.)
4. Amendment of subsections (a) and (b) filed 9-3-87; operative 10-3-87 (Register 87, No. 36).
5. Amendment of first paragraph and subsection (a), new subsection (b) and subsection relettering filed 9-21-99; operative 10-21-99. Submitted to OAL for printing only (Register 2000, No. 29).
6. Amendment of section heading and section filed 5-5-2003; operative 2-28-2003. Submitted to OAL for printing only (Register 2003, No. 40).
7. Redesignation and amendment of first paragraph as subsection (a), redesignation of former subsections (a)-(d) as subsections (a)(1)-(a)(4) and new subsection (b) filed 7-19-2004; operative 7-19-2004. Submitted to OAL for printing only pursuant to Education Code section 89030.1 (Register 2004, No. 36).

This database is current through 11/3/23 Register 2023, No. 44.
Cal. Admin. Code tit. 5, § 40803.1, 5 CA ADC § 40803.1

Attachment G – Lower Division Transfer

5 CCR § 40804

§ 40804. Applicants Who Were Eligible for Admission As First-Time ~~Freshmen~~ First-Year Students and Who Have Completed Fewer Than the Prescribed Number of Units of College Credit.

Subject to restrictions on admission due to impaction, an applicant who has completed fewer than 60 semester (90 quarter) units of college credit may be admitted to a campus as an undergraduate transfer upon satisfaction of the requirements of each of the following lettered subdivisions:

(a) The applicant was eligible for admission to a campus as a first-time ~~freshman~~ first-year students, either

(1) on the basis of the admission requirements in effect at the time of the application, other than the provisions of Sections 40757, 40758, 40900, or 40901, and including satisfactory completion of the comprehensive pattern of college preparatory subjects as defined in subsection (n) of Section 40601 or an alternative program determined by the Chancellor to be equivalent; or

(2) on the basis of the admission requirements in effect at the time of the applicant's graduation from high school, other than the provisions of Sections 40757, 40758, 40900, or 40901, including satisfactory completion of any college preparatory course requirements in effect at that time or an alternative program determined by the Chancellor to be equivalent, if the applicant has been in continuous attendance at a college since graduation;

(b)) Commencing with admission to the fall term 2025, the applicant shall have completed, with a grade of C- or better, a course in written composition in the English language and a course in mathematical concepts and quantitative reasoning at a level satisfying California General Education Transfer Curriculum (CAL-GETC) Area 1A and Area 2, respectively.

(c) For admission prior to the fall term 2025, the applicant shall have completed, with a grade of C- or better, a course in written communication in the English language and a course in mathematics or quantitative reasoning at a level satisfying CSU General Education Breadth Area A2 and B4 requirements, respectively.

(~~e~~) The applicant has attained a grade point average of 2.0 (~~grade of C~~) or better ~~in~~ across all transferable college units attempted; and

(~~d~~) The applicant was in good standing at the last college attended.

Credits

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

HISTORY

1. Amendment of section and NOTE filed 4-29-77; effective thirtieth day thereafter (Register 77, No. 18). For prior history, see Registers 73, No. 25 and 72, No. 35.

2. Amendment filed 3-19-82; effective thirtieth day thereafter (Register 82, No. 12).

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3. Amendment filed 8-4-83; effective thirtieth day thereafter (Register 83, No. 32).
 4. Amendment filed 9-3-87; operative 10-3-87 (Register 87, No. 36).
 5. Amendment of section heading and first paragraph filed 5-5-2003; operative 2-28-2003. Submitted to OAL for printing only (Register 2003, No. 40).
 6. Amendment of first paragraph, new subsection (b), subsection relettering and amendment of newly designated subsection (c) filed 1-29-2018; operative 1-29-2018 pursuant to Education Code section 89030.1. Exempt from the Administrative Procedure Act and OAL review pursuant to Education Code section 89030(b). Submitted to OAL for courtesy filing and printing (Register 2018, No. 5).
- This database is current through 11/3/23 Register 2023, No. 44.
Cal. Admin. Code tit. 5, § 40804, 5 CA ADC § 40804

Attachment H – Lower Division Transfer

5 CCR § 40804.1

§ 40804.1. Applicants Who Were Ineligible for Admission As First-Time Freshmen for Failure to Meet Course Requirements and Who Have Completed Fewer Than the Prescribed Number of Units of College Credit.

Subject to restrictions on admission due to impaction, an applicant who has completed fewer than 60 semester (90 quarter) units of college credit and who was not eligible for admission to a campus as a first-time ~~freshman~~ first-year student solely because of failure to complete satisfactorily the comprehensive pattern of college preparatory subjects defined in subdivision (n) of Section 40601 or an alternative program determined by the Chancellor to be equivalent may be admitted to a campus as an undergraduate transfer upon satisfaction of each of the following lettered subdivisions:

- (a) Except for satisfactory completion of the comprehensive pattern of college preparatory subjects defined in subdivision (n) of Section 40601 or an acceptable alternative program, the applicant who was eligible for admission to a campus as a first-time freshman, either
 - (1) on the basis of the admission requirements in effect at the time of the application, other than the provisions of Sections 40757, 40758, 40900, or 40901; or
 - (2) on the basis of the admission requirements in effect at the time of the applicant's graduation from high school, other than the provisions of Sections 40757, 40758, 40900, or 40901, if the applicant has been in continuous attendance at a college since graduation;
- (b) Subsequent to high school graduation, the applicant has completed satisfactorily whatever college preparatory course requirements were in effect at the time of the applicant's graduation from high school, or an alternative program determined by the Chancellor to be equivalent;
- (c) Commencing with admission to the fall term 2025, the applicant shall have completed, with a grade of C- or better, a course in written composition in the English language and a course in mathematical concepts and quantitative reasoning at a level satisfying California General Education Transfer Curriculum (CAL-GETC) Area 1A and Area 2, respectively.
- (~~e~~) For admission prior to the fall term 2025, the applicant shall have completed, with a grade of C- or better, a course in written communication in the English language and a course in mathematics or quantitative reasoning at a level satisfying CSU General Education Breadth Area A2 and B4 requirements, respectively.
- (~~e~~) The applicant has attained a grade point average of 2.0 (~~grade of C~~) or better in across all transferable college units attempted; and
- (~~e~~) The applicant is in good standing at the last college attended.

Credits

NOTE: Authority cited: Section 89030, Education Code. Reference: Section 89030, Education Code.

HISTORY

1. New section filed 8-4-83; effective thirtieth day thereafter (Register 83, No. 32).
 2. Amendment filed 9-3-87; operative 10-3-87 (Register 87, No. 36).
 3. Amendment of section heading and first paragraph filed 5-5-2003; operative 2-28-2003. Submitted to OAL for printing only (Register 2003, No. 40).
 4. Amendment of first paragraph, new subsection (c), subsection relettering and amendment of newly designated subsections (d) and (e) filed 1-29-2018; operative 1-29-2018 pursuant to Education Code section 89030.1. Exempt from the Administrative Procedure Act and OAL review pursuant to Education Code section 89030(b). Submitted to OAL for courtesy filing and printing (Register 2018, No. 5).
- This database is current through 11/3/23 Register 2023, No. 44.
Cal. Admin. Code tit. 5, § 40804.1, 5 CA ADC § 40804.1

AGENDA

JOINT COMMITTEE ON EDUCATIONAL POLICY AND FINANCE

Meeting: 11:30 a.m., Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Committee on Educational Policy

Diego Arambula, Chair
Christopher Steinhauser, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Jack Clarke, Jr.
Mark Ghilarducci
Leslie Gilbert-Lurie
Jonathan Molina Mancio
Jose Antonio Vargas
Darlene Yee-Melichar

Committee on Finance

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

- Consent** 1. Approval of Minutes of the Meeting of November 7, 2023, *Action*
Discussion 2. Chancellor's Strategic Workgroup on Financial Aid, *Action*

**MINUTES OF THE MEETING OF THE
JOINT COMMITTEE ON EDUCATIONAL POLICY AND FINANCE**

**Trustees of The California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 7, 2023

Members Present

Committee on Educational Policy

Diego Arambula, Chair
Christopher Steinhauser, Vice Chair
Diana Aguilar-Cruz
Raji Kaur Brar
Jack Clarke, Jr.
Mark Ghilarducci
Leslie Gilbert-Lurie
Jonathan Molina Mancio
Jose Antonio Vargas
Darlene Yee-Melichar

Committee on Finance

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Chair Arambula called the meeting to order.

Public Comment

Public comment took place at the beginning of the meeting's opening session, prior to all committees. There were several comments addressed to the board regarding topics under review with the Chancellor's Strategic Workgroup on Financial Aid such as including provisions for undocumented students and increasing funding to accommodate rising cost of living.

Approval of Minutes

The minutes of the meeting on September 13, 2023, were approved as submitted.

Chancellor's Strategic Workgroup on Financial Aid

Deputy Vice Chancellor of Academic and Student Affairs Nathan S. Evans began the presentation by updating the board on the workgroup's membership, specifically thanking Trustee Rodriguez for her contributions and acknowledging her departure while welcoming Trustee Gilbert-Lurie as a new member. Dr. Evans reiterated the workgroup's key principles and outlined the financial aid data that informs the workgroup's focus. He provided an update on the group's progress in preparing for the upcoming change to the Cal Grant program in 2024-25. Trustee Lopez continued with the presentation by sharing her reflections on work-to-date and the importance of considering all available resources in weighing certain policy provisions. In addition, she expressed support for reinvigorating the CSU's approach to advocacy in observance of the changing landscape of financial aid funding and availability.

Dr. Evans and Trustee Lopez addressed trustees' questions and recommendations, including to strengthen financial aid advocacy, review cost of attendance needs based on geographic location and increase awareness of how to navigate the financial aid process, particularly for students who receive scholarship funds in addition to financial aid.

The meeting adjourned.

JOINT COMMITTEE ON EDUCATIONAL POLICY AND FINANCE

Chancellor's Strategic Workgroup on Financial Aid

Presentation By

Nathan Evans
Deputy Vice Chancellor, Academic and Student Affairs
Chief Academic Officer

Julia Lopez
Trustee

Summary

This is an action item requesting the board's endorsement of a set of fundamental principles and a policy framework that will guide financial aid disbursement across the CSU. These principles and framework will provide the basis for a detailed financial aid policy.

Background

The Chancellor's Strategic Workgroup for Financial Aid was established in June 2023 by Interim Chancellor Jolene Koester, charged with: (1) recommending a comprehensive financial aid strategy and policy for the CSU; (2) developing an implementation plan to ensure that universities are equipped with the procedural requirements, infrastructure and flexibility to make student-by-student adjustments (within a set of consistent parameters); and (3) linking the policy to a clear message to Californians about CSU affordability.

In September 2023, the Board of Trustees approved a tuition policy that allocates not less than one-third of incremental tuition revenues to institutional aid (i.e., at least one-third of year-over-year increases in tuition revenue due to increased enrollment and higher tuition rates will be added to the CSU's institutional aid budget). The board also approved a multi-year tuition proposal that translates to a commitment of an additional \$280 million for student financial aid over five years in the form of the State University Grant (SUG). This will bring the total amount of SUG investment to \$981 million by 2028-29.

The financial aid workgroup's ultimate aim is to advance the CSU mission by maximizing federal and state financial aid while effectively distributing available SUG funds in a way that expands

access and assures affordability for any student who aspires to achieve a CSU degree. To this end, the workgroup continues its process of data analysis, financial modeling and deliberations on the CSU's core values and fiscal tradeoffs as it develops a detailed financial aid policy. Key considerations include student eligibility criteria, whether and how to acknowledge a student's "self-help" (work income or borrowing) component as part of financial aid packages, how to align the distribution of SUG with the CSU's student success and enrollment goals, and how and where to establish limits for SUG support.

The group must also project impacts from the upcoming Free Application for Federal Student Aid (FAFSA) simplification, which takes effect in 2024-25, and possible implementation of the Cal Grant Reform Act, slated to begin in 2024-25 provided the state determines in spring 2024 that it has the funding to support the program over a multi-year forecast. At the same time, the workgroup is incorporating key principles and standards recommended by the College Cost Transparency Initiative, a group of more than 400 colleges and universities nationwide – including the CSU – that have committed to ensuring greater transparency, clarity and understanding around communicating student financial aid offers. Past progress reports to the board, in July, September and November, have detailed these considerations.

A Policy Framework

Against this evolving landscape and as the workgroup continues its deliberations, several points of agreement have emerged for the board's consideration. At the end of this written item, please find a resolution supporting fundamental principles and a policy framework that will guide development of the financial aid policy.

Defining Affordability in Terms of Total Cost of Attendance

In September 2023, the Board adopted a tuition policy and approved a tuition increase over five years, beginning in 2024-25. Because a student cannot enroll in a CSU without paying tuition, tuition levels are viewed as the price of admission. A historical concern about tuition as the main driver of affordability made increases controversial, so the policy included specific requirements that the CSU regularly assess the impact of tuition increases on student outcomes, including financial burdens of tuition increases. These very real concerns led to the workgroup making a concerted effort to obtain data on the financial burden of tuition. The data shows that the CSU's financial aid support for tuition is already expansive, and points to other costs as the greater barrier to access and completion.

As has been reported before, the vast majority of CSU students receive tuition grants. Data from 2021-22 shows that 87% of students who applied for financial aid received a grant to fully pay their tuition (68%) or pay partial tuition (19%). Significantly, over three fourths (79%) of

students who received grants were from households with incomes under \$75,000. In fact, almost all students from these households had their tuition paid either fully (86%) or partially (9%) by grants.

The tuition policy, therefore, declared that affordability of a CSU education is no longer to be framed as the cost of tuition, but instead as the *total* cost of attendance, including cost of living factors such as housing, food, transportation, books and childcare. Whereas *total* cost of attendance is the starting point, affordability is best viewed as the *net* cost of attendance – total cost less grants and expected family and student contributions.

In 2023-24 the average CSU cost of attendance ranged from a low of \$22,056 for students living at home to a high of \$32,763 for students living off campus. Costs vary by geographic region, primarily driven by housing costs. The lowest income students with household incomes under \$30,000, who are eligible for Pell and Cal Grants, can receive up to \$14,785 in grants to offset these costs. Using the average low and high costs as examples, if a student lives at home the *net* cost of attendance (before considering family and student contributions) would be \$7,271 and if they live off campus, the *net* cost would be \$17,978.

These sample net costs show that, despite expansive tuition grant coverage, lower-income families still face formidable affordability challenges. For a student from a family in the lowest income brackets, these net costs represent one-fourth to more than one-half of their household income. Paying attention to the net cost of attendance as central to affordability shifts the debate from marginal increases in tuition prices to what a student or their family would have to pay to attend the CSU. It recognizes that the financial burden is not distributed equally; that is, for a low-income person, every dollar paid involves a larger financial effort than for a student from a family with a six-figure income. Most CSU students cover these net costs through work and/or family or personal contributions, and limited borrowing. In 2022, 38% of CSU graduates had student loans. Making reducing the net cost of attendance the affordability goal is not only more equitable and more consistent with the CSU's mission and values, but also measurable.

Recommendations and Rationale

1. Continue to provide the high levels of tuition grants for undergraduates similar to grants provided in 2021-22:
 - Virtually all students with household income up to \$75,000 would receive full tuition grants.
 - Tuition grants would be provided to students up to household incomes of \$125,000, who meet income eligibility for Pell Grants and/or Cal Grants but who, for various reasons, do

not receive federal or state grants.

- Tuition grants would also be provided to Cal Grant-eligible community college transfer students who exhaust their Cal Grant eligibility while enrolled in the CSU.

It is board policy that making the CSU affordable requires attention to the total cost of education – which is far higher than tuition. Nevertheless, tuition is the most recognized cost and provides a means to communicate clearly about the financial assistance available to students. Moreover, the workgroup believes that it would be prudent to continue to provide the scope of tuition support that students and families have come to expect.

Currently SUG makes awards to students who may meet Cal Grant eligibility requirements but do not receive Cal Grants. Cal Grants are available for only four years and carry age and deadline requirements that many students do not satisfy. SUG has covered tuition for those students, and it is recommended that that practice continue. The one recommended change (to be discussed below) is that students with financial need who have household incomes between \$125,000 and \$175,000 no longer receive the full tuition grant but would instead receive a stipend of up to \$5,000.

2. Expand SUG to allow payment of stipends to help reduce the net cost of attendance for students.
 - Funds in excess of those needed to continue prior levels of tuition grants would be awarded as stipends of up to \$5,000; priority would be given to students with the greatest financial need.
 - If additional funds become available, grants of up to \$5,000 could be made to support the CSU's enrollment management goals.
 - SUG awards would be limited to eligible students from household incomes up to \$175,000.

The tuition policy requires that one-third of new tuition revenues, from increased tuition and enrollment growth, be added to the SUG base. Increasing revenues should incrementally allow for the expanded use of SUG to provide stipends to students with the most financial need to lower their cost of attendance. Financial modeling has shown that in the immediate future revenues will not be sufficient to provide substantial stipends to many students, but growing revenues should allow more students to receive stipends in future years. Over time, the goal is to reduce the net cost of attendance for all students with financial need, beginning with those from the lowest income households.

Maximizing Revenues Available to Reduce Cost of Attendance

One of the complexities of administering financial aid is that it is governed by different federal, state and private scholarship requirements that are beyond the direct control of the CSU. Each year the CSU receives approximately \$2 billion in federal and state funds, with Pell and Cal Grants alone totaling approximately two-thirds of all grants, scholarships and tuition waivers granted in the CSU. The Pell grant is the largest source of financial aid, providing over \$1 billion in grants. Cal Grants, which total almost \$800 million, pay the full cost of tuition at CSU for eligible California residents.

During its deliberations the workgroup has been mindful of the uncertainty surrounding potential changes for federal and state financial aid. For example, implementation of changes in the FAFSA have been effectively delayed until January 2024, making it difficult to predict how these changes will affect CSU students. A decision whether to implement Cal Grant reform, a major effort to simplify and expand the program, is scheduled to be made no later than May 2024. Given this uncertainty, the workgroup recommendations to this point reflect current state and federal policy and address changes in SUG policies and financial aid practices that are solely under the purview of the Board of Trustees.

The SUG budget has been a constant \$701 million for several years. With the approved increases in tuition and anticipated enrollment growth, the policy to set aside one-third of additional tuition revenues should add to that base SUG budget. Estimated SUG funding is \$749 million for the coming year, 2024-25. Financial modeling has shown that the anticipated five-year growth in SUG from tuition and enrollment gains is insufficient to provide substantial stipends to students with the most financial need. The workgroup examined current uses of SUG and identified opportunities to redirect some SUG funding with the goal of maximizing the amount of SUG available to reduce the cost of attendance for students with the greatest financial need.

Recommendations and Rationale to Reallocate SUG Funds

3. Maximize available federal and state financial aid.

The CSU will make every effort to capture the maximum financial aid possible from Pell and, particularly, Cal Grants. The workgroup recommends that:

- All eligible students who begin as freshmen receive a Cal Grant A for their tuition costs.
- Students who are also eligible for Cal Grant B will receive a SUG award, the equivalent of the “access” grant.

Under the existing Cal Grant program, the majority of students who are eligible for Cal Grant are eligible for tuition grants from Cal Grant A or B. The principal difference between these two programs is income limits, which trigger an additional “access” grant of currently \$1,648 for Cal Grant B, for students who are lower income. Cal Grant B does not pay tuition for the first year of college; instead, the CSU pays it with a SUG grant and Cal Grant pays it for an additional three years. This has benefited students, since they receive both access and tuition grants.

Modeling showed that it makes more financial sense for all eligible students who enter the CSU as first-time freshmen to be treated as Cal Grant A, so that Cal Grant students would receive the four-year grant from state Cal Grant funds with SUG paying four years of the lower access grant to cover other costs of attendance. This change effectively holds students harmless and frees up some SUG funds to supplement, not supplant, Cal Grant dollars. These funds become available to lower the cost of attendance for students with the greatest financial need. It also cements the practice of awarding SUG dollars to pay for costs of attendance, not only tuition, to lower income students.

4. Incorporate allocation of SUG for campus-based fees into the new stipend model.

Current practice allows campuses to allocate a portion of SUG funds to cover Category II campus-based fees. The workgroup recommends the end of the direct allocation of SUG for campus-based fees. As fees vary greatly among campuses, the workgroup finds it more equitable to account for fees within the total cost of attendance, and limit SUG to tuition and stipends based on systemwide criteria aimed to equitably reduce the cost of education for students with the most financial need. Individual campuses, however, could use other operating funds to defray student fees directly.

5. Limit the use of SUG dollars for graduate students to the share of revenues collected from graduate tuition.

Currently, 16% of SUG funds are used for awards to graduate students. The workgroup acknowledges the importance of supporting graduate students but found that the proportion of SUG devoted to graduate students is higher than the proportion of SUG generated from graduate student tuition (13%). This means that undergraduate tuition is subsidizing graduate education.

6. Align SUG policy with the CSU goal of reducing time to degree.

Reducing time to degree is a major goal for the CSU and is also the most effective way for students to save on college costs. Every additional year in college costs up to \$30,000 and

involves foregone wages. While progress has been made on improving four-year graduation rates, six-year rates are largely unchanged and equity gaps have not improved. National data indicates that most students who do not graduate after six years do not finish their degree.

The workgroup has debated whether providing a time limit for SUG awards could provide incentives for students to graduate earlier and whether the absence of time limits is at cross purposes with efforts to reduce time to degree. Cal Grants are limited to four years while Pell has a six-year time limit. Models show that placing a five-year time limit on SUG awards for first-time freshmen and three years for transfer students would allow for the redirection of substantial dollars for stipends to reduce the cost of attendance for the lowest income students. At this juncture, the prudent course is to delay any final recommendation, pending continued work on student success. Therefore, the workgroup recommends that the CSU “may” set such limits to provide closer coordination between student success and affordability initiatives.

7. Limit full tuition grants to students with household incomes up to \$125,000.

Data presented to the workgroup showed that, using current estimated family contribution calculations, or EFC, a very limited number of SUG tuition grants are allocated to students with a low EFC but with household incomes well above \$125,000. Given the goal to lower the cost of attendance for the lowest-income students and the newly proposed use of stipends, the workgroup recommends limiting *full* SUG tuition grants to up to \$125,000 in household income and providing stipends of up to \$5,000 to financially eligible students with incomes between \$125,000 and \$175,000 who have a qualifying federal Student Aid Index. This will free up some SUG funding to provide stipends to the lowest-income students.

8. Recognize a student contribution of \$2,500 in determining unmet need.

A student’s unmet need is determined by computing the student’s cost of attendance and subtracting state and federal grant aid and a family contribution per federal guidelines. The workgroup discussed whether to also deduct an assumed student contribution as is done by the University of California (UC) and the state’s Middle Class Scholarship program.

Data on student employment systemwide is not available, but it is well understood that most students work many hours to contribute to the cost of their CSU education. The workgroup discussed the importance of recognizing students’ work effort, while not underestimating the toll that excessive work can take on their prospects for academic success. In contrast to the \$8,000 student contribution used by the UC, the workgroup suggests a student contribution of \$2,500, which is the equivalent of working five hours per week at minimum wage during the academic year. In addition to formally recognizing students’ work effort, by setting a floor of \$2,500 for

unmet need, this assumption will slightly reduce student award amounts across the board and allow SUG funds to be more broadly available to students with financial need.

Administrative Alignment to Support Affordability Mission and Goals

There is wide variation across the 23 universities in how they package financial aid awards and communicate to students and families about financial aid opportunities and awards. Given the variation in cost of living and demographics of the student body, differences in the cost of attendance and in student awards are expected. But the workgroup identified unfounded differences in the way that costs and financial aid opportunities are computed and reported to families. Anecdotal information about the serious difficulties that students and families face in comparing costs and financial aid offers across campuses convinced the workgroup that much more consistency and systemization is warranted. Campuses were also found to use very different forms and terms to communicate costs and financial aid availability to prospective and current students.

Additionally, the workgroup heard from campus representatives that there are major staffing and infrastructure challenges facing CSU financial aid offices and that the magnitude of those challenges differ widely across the campuses.

Finally, it became apparent to the workgroup that there is a lack of integrated planning and thinking throughout the system, with financial aid and affordability too often being seen as separate from other system efforts related to student success. For all of these reasons, the final set of workgroup recommendations, consistent with its charge, is for administrative actions that are necessary to implement the recommended policy on financial aid.

Recommendations and Rationale

9. Develop a consistent method to determine total cost of attendance, to calculate unmet need, and to communicate about financial aid opportunities and the affordability of a CSU education.

The workgroup recommends that the chancellor appoint a standing financial aid advisory council to develop systemwide criteria and methodology for computing total cost of attendance. Use of consistent criteria will result in different costs by region and campus, but such differences will clearly be attributable to identifiable regional differences, unlike the mystifying numbers that are found today. For example, the cost of food and housing for students living off campus in San Diego is calculated as being only \$105 higher per year than in San Bernardino. Two of the three universities around the San Francisco Bay have the same off-campus costs for food and housing,

but the third one is almost \$2,000 higher.

It became apparent to the workgroup that the system and its campuses are not communicating strategically and consistently about the affordability of a CSU education, given how much grant aid, relative to other state university systems, is available to students. The proposed new policies and practices will make the CSU even more affordable, but without a strategic communication effort these changes will be far less effective. To this end, the workgroup's final recommendations on implementation will incorporate key principles and standards recommended by the College Cost Transparency Initiative, a group of more than 400 colleges and universities nationwide – including the CSU – that have committed to ensuring greater transparency, clarity and understanding around communicating student financial aid offers.

10. Revise management of SUG at the Chancellor's Office.

SUG funds are currently allocated to campuses and included in their operating budgets. While allocations are revised to account for changes in student demographics, the fundamental allocation methodology has not substantially addressed the changes in the student body during the last two decades. Some campuses have more need for aid than they can meet, and others end the year with unspent SUG funds.

As an initial step to better align SUG allocations to student populations and to address campus changes in student enrollment and financial aid demand, each campus should anticipate a SUG allocation slightly less than their prior year allocation. As student populations grow and change at campuses it may be necessary for the Chancellor's Office to hold back or reallocate funds to ensure that students with the most need across the system are receiving CSU institutional aid.

11. Systematize and streamline other aspects of financial aid administration.

The workgroup discussed the possibility that other steps could be taken to help over-stretched financial aid offices carry out their responsibilities. One such idea was to centralize administration of the wide variety of small and "boutique" grant programs that take a disproportionate amount of staff time, given the resources they provide students. Other options, including technology and infrastructure, should be studied because the workgroup is convinced that financial aid offices are severely under-resourced for the work that they are increasingly expected to do. The Chancellor's Office is securing the support of a consulting partner to examine possible operational changes to centralize some of these processes.

12. Integrate system efforts on affordability with system efforts on enrollment and student success.

The principal task of the workgroup is to recommend financial aid policies and practices that can increase affordability. Inevitably, the discussions on what contributes to making the CSU affordable went beyond financial aid. Therefore, the final recommendation for the Chancellor's Office is that it incorporate a much greater focus on affordability within system initiatives on student success and enrollment management. All policies and practices should be reviewed with a focus on their impact on affordability. Similarly, assessments of various initiatives should examine inter-relationships among financial aid, affordability, enrollment and student success. Specifically, assessment measures should be developed and approved that account for the mutual impact across various initiatives. Discussions of financial aid and affordability should become periodic agenda items for presidents' and Board of Trustees meetings.

Next Steps

Upon board approval of this resolution and framework, the workgroup will continue its work through June 2024 in finalizing a detailed financial aid policy that aligns with the CSU's emerging student success initiative and strategic enrollment management efforts. This timeline will also allow more time to assess the effect of changes in federal and state financial aid programs, and whether additional changes to policy recommendations are necessary.

The workgroup will also develop an implementation plan that provides for the flexibility, tools, resources, administrative capacity and infrastructure required by our campuses to meet the unique financial needs of their students. The workgroup will also delineate a communication strategy to highlight to potential students and their families the affordability and value of a CSU education, as well as clear, timely and easy-to-understand information on available financial support. The workgroup will once again report its progress to the Board of Trustees in March.

Recommendation

The following resolution, incorporating fundamental principles and a policy framework that will guide development of the CSU's financial aid policy, is recommended for approval:

RESOLVED, that the Board of Trustees establish the following guiding principles regarding student financial aid:

- CSU's mission is to provide a quality, accessible and affordable education. Therefore, students should not be precluded from attending or succeeding at CSU because of cost.
- Affordability is determined by total cost of attendance (COA), not only tuition and fees; accordingly, policies to increase affordability must focus on reducing the net COA (after accounting for grant aid and scholarships) for low-income students and limiting student debt.

- State and federal grant aid should be maximized so that CSU funds can go further toward reducing COA and limiting student debt.
- Priorities for the use of the State University Grant (SUG) should be consistent with, and supportive of, CSU efforts to increase graduation rates, reduce equity gaps, and reduce time to degree.
- Students are expected to contribute toward their CSU education, through work, work-study, scholarships, savings and/or borrowing, to an extent appropriate to their economic and family circumstances and consistent with sound educational and financial practices.
- Greater consistency across campuses in financial aid administration, brought about by stronger collaboration and increased coordination from the Chancellor's Office, tempered by appropriate flexibility to recognize distinctive campus characteristics, will better serve students.
- Financial aid considerations are not just the purview of financial aid offices but must be incorporated into campus-level programs, initiatives and efforts aimed at improving student success and enrollment management.

BE IT FURTHER RESOLVED that the Board of Trustees adopt the following policy framework governing administration of student financial aid:

Defining Affordability in Terms of Total Cost of Attendance

- It shall be the policy of the Board of Trustees that the State University Grant (SUG) or its successor may be used both for full tuition grants and for dollar grants (i.e., stipends) in order to increase affordability for students attending the CSU. In the five years following adoption of this policy framework, the priorities for reducing the cost of attendance shall be:
 - Covering tuition costs for students at historical levels
 - Awarding stipends as additional SUG funds become available, first to reduce the net cost of attendance for students with the greatest need and, as resources permit, to help meet enrollment and retention goals.

Maximizing Revenues Available to Reduce Cost of Attendance (COA)

- As provided for in the tuition policy, an amount equal to no less than one-third of *year-to-year increased* tuition revenues (from tuition and enrollment increases) will be added to the established budgetary base for SUG.
- Campuses may provide additional grant aid to students by using revenue from Category II campus-based mandatory fees (to the extent allowed under current policies) and other campus funds, including General Funds.

- **Universities** ~~University financial aid offices~~ shall make every effort to ensure that students eligible for state and/or federal financial aid apply for it and receive the maximum grant aid for which they qualify.
- The following limits on the use of SUG funds will help maximize funds available to reduce COA for students with the greatest need:
 - SUG may not be awarded to pay directly for campus-based fees, but fees contribute to the COA that is used by campus financial aid offices to package financial aid.
 - The total amount of SUG allocated to graduate and post-baccalaureate students may not exceed the combined graduate and postbaccalaureate shares of campus or systemwide tuition revenues, whichever is greater.
 - The chancellor may set limits on the number of years or units for which students can receive SUG grants, in order to reinforce CSU efforts to reduce time-to-degree and to free up SUG funds for stipends for students with the greatest financial need.
 - Full tuition grants from SUG will be limited to students with household incomes up to \$125,000.
- A student contribution will be assumed in the computation of “unmet need” that CSU financial aid policy seeks to minimize, in the effort to increase affordability.

Aligning CSU Administrative Practices to Support Affordability Mission and Goals

- There shall be a consistent systemwide method to (1) determine cost of attendance, (2) calculate unmet need across the 23 universities, and (3) communicate financial aid opportunities and awards to students and families.
 - Each university will determine its COA within a systemwide set of parameters, which will be developed by a financial aid advisory council, to be appointed by the chancellor. These parameters should be reviewed every three years and adjusted as needed.
- The CSU will work to identify and execute aspects of financial aid administration that may be systemized and streamlined to the extent possible, so that students receive all financial aid for which they are eligible and in a timely manner.
- The financial aid advisory council will make recommendations for budget, staffing and infrastructure requirements in financial aid offices, using federal guidelines and national best practices. This will allow campuses to fulfill their obligations to provide timely and accurate services to students.

- CSU Trustees shall provide broad oversight of this policy, in ways that integrate affordability goals into the fabric of systemwide efforts to improve student success and ensure healthy enrollments:
 - The total funds available for institutional aid, including the State University Grant, shall be presented annually to the Board of Trustees as part of CSU's budget cycle.
 - The SUG budget, allocations and spending by campus will be published annually.
 - Reports on financial aid will become an annual standing agenda item at Board of Trustees meetings.
 - Reports to the presidents and the Board of Trustees on student success and enrollment management will address the relationship of these efforts to financial aid and affordability.
 - As part of the CSU's student success and equity initiatives subsequent to Graduation Initiative 2025, assessment measures will be developed and approved that account for the interaction and mutual impact among financial aid, student success and enrollment management policies and practices. Results of these assessments will be reported to the Board of Trustees as part of annual student success reports.

AGENDA

JOINT COMMITTEE ON FINANCE AND CAMPUS PLANNING, BUILDINGS AND GROUNDS

Meeting: 12:45 p.m. Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Committee on Finance

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

Committee on Campus Planning, Buildings and Grounds

Jack McGrory, Chair
Diana Aguilar-Cruz, Vice Chair
Larry L. Adamson
Raji Kaur Brar
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Darlene Yee-Melichar

- Consent** 1. Approval of Minutes of the Meeting of November 8, 2023, *Action*
- Discussion** 2. California State University, Sacramento Placer Center Master Plan,
Environmental Impact Report and Partnership Approval, *Action*

**MINUTES OF THE MEETING OF THE
JOINT COMMITTEE ON FINANCE AND
CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Committee on Finance

Julia I. Lopez, Chair
Jack McGrory, Vice Chair
Larry L. Adamson
Douglas Faigin
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Jose Antonio Vargas
Darlene Yee-Melichar

**Committee on Campus Planning Building
and Grounds**

Jack McGrory, Chair
Diana Aguilar-Cruz, Vice Chair
Larry L. Adamson
Raji Kaur Brar
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Darlene Yee-Melichar

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Trustee Jack McGrory called the meeting to order.

Public Comment

Public comment took place at the beginning of the meeting's open session, prior to all committees. No public comments were made pertaining to the joint committee agenda items.

Approval of the Consent Agenda

The minutes of the January 2023 joint meeting of the Committees on Finance and Campus Planning, Buildings and Grounds were approved as submitted.

Approval of a Public-Private Partnership Residential and Retail Project at San Diego State University—Mission Valley

This presentation requested approval of the following actions for the Mission Valley Residential and Retail Project, a public-private partnership development at San Diego State University:

- Amendment of the 2023-2024 Capital Outlay Program
- Approval of the Schematic Design for the Project
- Approval of the key terms of the ground lease agreement with the Project developer

Following the presentation, the project team was congratulated for the compelling presentation and their outstanding work on this project.

It was asked if there would be an opportunity to offer the new housing to students, faculty, and staff at affordable rates. It was explained that while the housing will be available to faculty, it will be offered at market rate because the revenue will be needed to pay back the bonds that support this project.

It was asked if the university can subsidize housing costs for faculty and students; it was explained that the university does offer housing support to faculty and when this project is completed, it will generate positive revenue to provide additional housing support.

The committee recommended approval of the proposed resolution (RFIN/CPBG 11-23-02).

Approval of a Public-Private Partnership Affordable Housing Project at San Diego State University—Mission Valley

This presentation requested approval of the following actions for the Mission Valley Affordable Housing Project, a public-private partnership development at San Diego State University:

- Amendment of the 2023-2024 Capital Outlay Program
- Approval of the Schematic Design for the Project
- Approval of the key terms of the ground lease agreement with the Project developer

Following the presentation there were no questions or comments.

The committee recommended approval of the proposed resolution (RFIN/CPBG 11-23-03).

Trustee McGrory adjourned the joint meeting of the Committees on Finance and Campus Planning, Buildings and Grounds.

**JOINT COMMITTEES ON FINANCE AND
CAMPUS PLANNING, BUILDINGS AND GROUNDS**

California State University, Sacramento Placer Center Master Plan, Environmental Impact Report and Partnership Approval

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

J. Luke Wood
President
Sacramento State

Paul Gannoe
Assistant Vice Chancellor
Capital Planning, Design, and Construction

Robert Eaton
Assistant Vice Chancellor
Financing, Treasury, and Risk Management

Summary

This agenda item requests the following actions by the California State University Board of Trustees for the proposed Sacramento State – Placer Center Master Plan and public-public partnership development at Placer Center:

- Certification of the Final Environmental Impact Report (FEIR) dated January 2024
- Adoption of the Findings of Fact and Statement of Overriding Considerations dated January 2024
- Adoption of the Mitigation Monitoring and Reporting Program dated January 2024
- Approval of the Placer Center Master Plan (Master Plan), including the enrollment projection to 12,000 FTES¹ (Attachment A)

¹ Campus master plan enrollment projections are based on academic year full-time equivalent student (FTES) enrollment, excluding students enrolled in off-site classes and on-line instruction.

- Concept approval of a public-public partnership for the development of the Placer County Forensic Sciences Laboratory at Placer Center
- Approval to allow the Master Plan to serve as concept approval for projects described in the Master Plan that are to be developed through public-private or public-public partnerships.

The proposed Master Plan will develop Placer Center, a new Sacramento State off-campus center to support the on-site enrollment of 12,000 FTES on a 301-acre parcel in unincorporated Placer County, located approximately 25 miles north of the Sacramento State main campus, one mile west of the State Route 65 and Sunset Boulevard interchange. Placer Center will be a future anchor institution that serves Placer County and beyond, expanding access to a four-year degree for the region. The 301-acre Placer Center site is designed to be a comprehensive off-campus center that is sustainable, visionary, and implementable. With higher education partners at Sierra College, a community college serving Placer and Nevada Counties and the partnerships with Placer County and outside industry, Placer Center exemplifies a new model of education, innovation, and community engagement for higher education.

Under the California Environmental Quality Act (CEQA), the Board of Trustees serves as the Lead Agency, which has the authority to certify the CEQA document and approve the center's Master Plan. The Board of Trustees must certify that the FEIR is adequate and complete in compliance with CEQA in order to approve the proposed Master Plan. The FEIR, including responses to comments on the Draft Environmental Impact Report (DEIR), and the Findings of Fact and Statement of Overriding Considerations, and Mitigation Monitoring and Reporting Program, are available for public review at: <https://www.csus.edu/administration-business-affairs/facilities-management/news-archive.html>.

Potential Contested Issues

Based on the public comments received during the master planning process, there are no significant issues anticipated with the FEIR that require new analysis or recirculation, or with the proposed Master Plan or Placer County Forensic Sciences Laboratory.

Background

The concept of an off-campus center in Placer County was approved by the California State University Board of Trustees in November 2006. Placer County is projected to experience substantial population and economic growth over the next decade and it is envisioned that an off-campus center for Sacramento State could effectively meet the needs of local businesses by educating the area's workforce and increasing the number of skilled professionals in high-demand areas such as education, health, social services, government, and finance.

Placer Ranch, Inc., under the leadership of Mr. Eli Broad, a business leader, philanthropist, and CSU Board of Trustee Emeritus (1978 to 1982), approached Sacramento State regarding the potential gift of approximately 301 acres. The Board of Trustees approved the acceptance of the 301-acre real property in March 2020 and Placer Ranch, Inc. donated the land to the California State University in 2021.

The 301-acre property, which is currently undeveloped and planned to be used for higher education, is a proposed centerpiece within the 2,213-acre envisioned Placer Ranch Specific Plan (PRSP), now called Placer One. Placer One is planned for mixed-use development that includes residential communities, commercial and retail centers, research and development space, light industrial functions, parks and trails, as well as public schools. Placer One is part of the larger 8,497-acre Placer County Sunset Area Plan (SAP). Construction of the first phase of Placer One began in late 2022 directly to the south of the Placer Center site, which includes the installation of backbone utilities and perimeter roadways that will support Placer Center.

Sacramento State Placer Center Master Plan

The Placer Center Master Plan envisions an off-campus center providing four-year higher education in Placer County to meet the region's workforce demands for skilled professionals and provide adjacent communities with cultural amenities and opportunities. The Placer Center will support up to 12,000 FTES on-site enrollment. The Master Plan guides physical development that aligns with Placer County's vision to introduce a major university to support its growing population and promote job growth and technology advancement for the region.

The Placer Center Master Plan provides the framework for integrating partnerships with public and private entities. Placer Center will serve as an innovation hub for concurrent enrollment with Sierra College, interdisciplinary studies, and experiential research opportunities. The collaboration between Sacramento State and Sierra College will foster a seamless transition from one institution to another at one location, Placer Center. Partnerships with Placer County and other public agencies are intended to offer enhanced academic, research and career opportunities to students and faculty.

The Master Plan highlights the existing landscapes and unique natural features of the site, including intermittent streams, seasonal swales, and vernal pools. To preserve these natural features and create a vibrant campus core, the layout of Placer Center is intentionally designed to be compact, with mixed-use buildings situated at the campus core. An integrated public realm network enhances connectivity and accessibility across the campus, with a series of pathways, plazas, green spaces, and a paseo all linking the future development of Placer Center to the larger Placer One community.

Master Plan Goals

Sacramento State established the following five major goals to guide the Placer Center Master Plan development:

Support Academic and Student Success: Placer Center will support academic and student success and create a seamless experience for students between Sierra College and Sacramento State. The academic vision of Placer Center aligns with the emerging workforce needs of the region by developing interdisciplinary and cross-institutional academic programs. Innovative learning environments such as active learning spaces, living laboratories, test beds, and maker spaces promote exploration, experimentation, and applied learning across all disciplines.

Realize Diversity, Inclusion and Access: The demographics of Sacramento State, Sierra College, and the greater region are becoming increasingly diverse. Placer Center celebrates all individuals from different backgrounds and aims to be a welcoming and inclusive place where students, faculty, and staff thrive, and the community is honored and cultivated. To promote a sense of belonging and inclusion, the Master Plan development focuses on some key elements which include narrative, accessibility, heterogeneity, identity expression, and basic needs. Across all spaces and landscapes at Placer Center, universal design principles have been fundamental to the planning and design framework, providing equitable access for all individuals.

Anchor Placer Center in Partnership: Placer Center responds to the growth and changing needs of the region, serving as a hub to promote partnerships, innovation, economic growth, and community connections.

The collaboration between Sacramento State and Sierra College will foster a seamless transition from one institution to another at one location, Placer Center. Sierra College is located approximately 10 miles from the site of Placer Center. Sacramento State and Sierra College are collaboratively developing dual enrollment programs and student support programs that will benefit students from both universities. Sacramento State will expand academic programs through interdisciplinary clusters such as Wellness, Entertainment, Urban Planning, Forensic Sciences, Education, Resource Management, Innovation, and Entrepreneurship at Placer Center.

As an anchor institution that helps to develop and catalyze the regional workforce, Placer Center is positioned to attract allied industries, fostering a culture of entrepreneurship and innovation. With an area dedicated to industry partnerships, Placer Center will provide a diverse mix of partnership spaces including prototyping spaces, traditional classrooms and labs, company spaces, maker spaces, lounge spaces, and event spaces, all shared by start-ups, research labs, incubators, and accelerators. In addition to industry partnerships, several key buildings at Placer Center require

partnerships with other public and private entities, reinforcing the relationship between the institution and the surrounding community.

Promote Community Building and Placemaking: Comprehensive campus life spaces at Placer Center connect communities at multiple scales, enriching the campus experience for students, staff, and faculty. These spaces include food venues, social and collaboration spaces, recreation and wellness spaces, and childcare spaces. The paseo, the central spine for campus activity, serves as a connector of campus life spaces, as well as one of the primary placemaking features on campus. An active campus heart further enhances community building at Placer Center, with active ground floor functions and open spaces readily available for the campus community. Placer Center will also provide student and faculty housing, situated adjacent to the campus core to reinforce an integrated living-learning environment.

Be a Model for Resiliency and Sustainability: The master plan identifies comprehensive sustainability strategies organized around water, energy, waste, wellness, and resilience to develop a sustainable campus and support the campus community and the long-term success of Placer Center. Placer Center is envisioned to be net positive in both energy and water use.

Master Plan Design Principles

The master plan is guided by nine overarching design principles. These principles are intended to assist in implementing the master plan goals and to guide the overall campus planning and design effort. The principles are flexible to enable decision-makers to respond to changing priorities and future opportunities.

- Accommodate programmatic needs
- Prioritize pedestrian-oriented, human-scaled development
- Celebrate and leverage ecological systems as assets
- Promote heterogeneity in the public realm and built environment
- Create a complete campus at all phases
- Engage with surrounding areas/community
- Provide a flexible framework for expansion
- Embrace climate-first development
- Promote universal design and accessibility

Major Elements of the Master Plan

Academic and Administration: Propose to build approximately a total of 1.7 million gross square feet of academic and administration buildings, including Sierra College Transfer Center. Proposed academic and administration buildings realize the master plan goal of supporting academic and student success through the allocation of classrooms, teaching and open labs, office spaces, and administrative spaces. The academic framework emphasizes the development of interdisciplinary,

mixed-use academic buildings that provide a variety of learning spaces and opportunities for collaboration. New and innovative academic programs and research that reinforce emerging industry clusters take place in academic buildings, which are mainly located in the campus core and northern periphery.

Campus Life: Propose to build approximately 634,000 gross square feet of new space including Student Center, Dining Center, Recreation and Wellness Center, and Childcare Center. The Recreation and Wellness Center serves as the primary campus recreation facility, with modern amenities that meet the needs of students, faculty, staff, and the surrounding community. Sports and recreation fields provide large open spaces for community members to participate in club sports and recreational leagues.

Community Anchor: Propose to build approximately 893,000 gross square feet of community anchor buildings to provide a variety of amenities for the surrounding community, including a joint Library, Placer County Forensic Sciences Laboratory, Placer County Fire Station and Training Center, Performing Arts Center, Hotel, Conference Center, and Continuing Education.

The joint Library at Placer Center is envisioned as a potential public-public partnership that provides an array of academic and community-facing services from student study and collaboration spaces to multipurpose spaces for community programming.

Placer County Forensic Sciences Laboratory will be a partnership between Placer Center and Placer County to support Criminal Justice, Criminalistics, and other related undergraduate and graduate programs.

Placer County Fire Station and Training Center will provide academic-industry collaboration opportunities for joint programming through fire drills, training, and exercises.

The Conference Center will serve as a catalytic hub for regional activity and convening, which promotes academic and research initiatives on campus while inviting renowned industry guest speakers and professionals to Placer County.

The Performing Arts Center will provide state-of-the-art academic experiences for students, staff, and faculty at Placer Center. The Performing Arts Center establishes dedicated spaces for teaching, rehearsal, and performance spaces necessary for a modern performing arts program and serves as a cultural hub of the region, bridging the connection between the campus and local communities.

On-Campus Housing: Propose to build a total of 1,200 beds, a mix of traditional, mini-suite, and apartment-style student housing facilities along the western and southern edges of the campus core

to support more synergy between student life and academics. In addition, twenty faculty housing units are planned to the west of the student housing facilities.

Parking: Propose to build approximately 2,485 surface parking spaces and 4,152 parking spaces in four parking structures anticipated at full project build out to accommodate the parking demand. Parking is primarily oriented at the northwestern corner of the site to coordinate with a future county highway that will provide improved connectivity to major vehicular routes in the area.

Utility Infrastructure and Essential Service: Propose to build approximately 176,000 gross square feet of utilities and service spaces, which include the central plant, facilities operations, shipping and receiving, corporation yard, and campus police and parking & transportation facility. Essential utilities and service functions will allow Placer Center to operate efficiently at its full capacity, emphasizing resilience and sustainability as core operational requirements. The central plant and battery storage units support the sustainability goals of achieving net positive water, a net positive, electric campus, and an overall reduction and optimized management of resources and waste.

Open Space: The open space framework identifies undeveloped areas and landscapes at Placer Center that guide campus movement and wayfinding, provide spaces for respite, host outdoor academic and campus life programs, and present opportunities for ecological preservation and stormwater management. Landscapes at Placer Center include the campus grove, plazas, courtyards, polyculture garden, sports fields, test beds, and the campus park, all of which are interconnected and provide connections to the larger Placer One development. The open space network at Placer Center is key to creating a sense of place for the built environment that is sensitive and complimentary to the existing natural resources.

Mobility: The mobility framework creates a pedestrian-oriented, accessible, and interconnected network of routes to, from, and within Placer Center. The Master Plan aims to consolidate the transportation network in a comprehensive, coordinated, and well-managed system that increases transit use and promotes pedestrian and bicycle circulation on campus. Vehicular interaction is intended to be limited to distinct areas at the periphery of the campus core to enhance safety and support interaction between different types of spaces. Connectivity to the larger Placer One development is also key, particularly at the loop trail and across the east edge to the Town Center.

Sustainability and Resiliency: Placer Center is committed to a comprehensive sustainable approach that aligns with both CSU and Sacramento State goals around energy, water, wellness, waste and resiliency. Numerous strategies are recommended in the Master Plan, which includes all-electric buildings and campus vehicles, solar infrastructure, battery storage, heat and thermal recovery technologies, integrated stormwater treatment, retention and detention measures, native and drought tolerant landscaping, ventilation and filtration systems, and implementation of key design approaches to limit environmental impacts.

Design Guidelines: The Master Plan provides guidelines for site furnishing/materials and guidelines for landscape, which include plant selection and location, planting strategies, planting guidelines by landscape typology, plant selection considerations, and a list of recommended plants. Architectural guidelines address the universal design, building form, building facades, exterior spaces, building infrastructure, temporary structures and sheds, material palette, building typologies and application. The signage and wayfinding program for Placer Center serves to acknowledge the substantial impact signs have on the campus landscape and the ability of visitors to navigate Placer Center successfully.

Master Plan Development

Placer Center will be developed in four phases over more than 25 years, totaling nearly five million gross square feet of development, serving 12,000 FTES.

Phase I Development: The first phase of the development is designed to accommodate 1,500 FTES or 2,500 students from year 1 to year 7, assuming 1,000 FTES associated with Sierra College and 500 FTES from Sacramento State. The first phase will include the Sierra College Transfer Center, initial Sacramento State academic building, a joint library, a student support building, a central plant, a fire station, Placer County Forensic Sciences Laboratory, and associated surface parking for a total of 390,000 gross square feet and 1126 parking spaces.

Phase I Development

Building Number	Building Name
1	Initial Academic Building
2	Library
3	Support Building
4	Sierra College Transfer Center
5	Central Plant
6	Fire Station
7	Forensic Lab

Phase II Development: The second phase will grow the center to accommodate 5,000 FTES or about 8,333 students. This phase will add 720,000 GSF, 2,208 parking spaces, 250 student beds, and 5 faculty units from planning horizon year 7 to year 15. Major projects include a student center, academic mixed-use buildings, recreation and wellness center, stadium, administration building, faculty and student housing, childcare center, and parking and transportation campus police building. Housing will frame the campus core to create an immersive experience.

Phase II Development

Building Number	Building Name
8	Student Center
9	Academic Building
10	Academic Building
11	Recreation & Wellness Center
12	Stadium Bleachers
13	Administration Building
14	Dining Center
15	Faculty Housing
16	Student Housing
17	Student Housing
18	Parking & Transportation and Campus Police
19	Childcare Center

Phase III Development: The third phase of development is designed to accommodate 12,000 FTES or 20,000 students and add 2,318,000 gross square feet, 3,267 parking spaces, 950 student beds, and 15 faculty units from year 15 to year 25. New development will be added to Placer Center, including a student center, academic buildings, expansion to the library, recreation and wellness center, support building, sports fields, on-campus faculty and student housing, conference center, performing arts center, continuing education building, hotel, and parking garages.

Phase III Development

Building Number	Building Name
20	Student Center
21	Academic Building
22	Academic Building
23	Academic Building
24	Academic Building
25	Library Expansion
26	Recreation & Wellness Center
27	Administration Building
28	Support Building Expansion

Building Number	Building Name
29	Student Housing
30	Student Housing
31	Student Housing
32	Student Housing
33	Student Housing
34	Student Housing
35	Faculty Housing
36	Faculty Housing
37	Faculty Housing
38	Conference Center
39	Performing Arts Center
40	Continuing Ed Building
41	Hotel
42	Parking Garage (Hotel)
43	Parking Garage (Conf Center)

Phase IV Development: The fourth phase is envisioned as a long term development of Placer Center beyond Phase III, which would develop the northeast corner of the Placer Center site to engage local industry partners and strengthen the connection between Placer Center and the surrounding neighborhoods, particularly the Campus Park district, which is anticipated to support light manufacturing and research and development. The total 1,500,000 gross square feet Phase IV development includes mixed-use innovation and academic buildings and 2,446 parking spaces.

Phase IV Development

Building Number	Building Name
44	Academic Mixed Use
45	Academic Mixed Use
46	Academic Mixed Use
47	Innovation Mixed Use
48	Innovation Mixed Use
49	Innovation Mixed Use
50	Innovation Mixed Use
51	Innovation Mixed Use
52	Parking Garage (Athletics)
53	Parking Garage (Innovation Mixed Use)

Educational Benefits

Placer Center will provide four-year higher education for the rapidly growing population in Placer County, promote job growth, and support workforce development in the region. Collaboration between Sacramento State, Sierra College, Placer County, and private industry will create a unique model for education, innovation, and community engagement. Placer Center will provide opportunities for collaboration between university academic departments and public/private entities and will create internships and employment opportunities for students as well as applied research opportunities for students and faculty.

Placer Center will allow students to begin their higher education journey with Sierra College and complete their four-year degree at Sacramento State without having to change campuses. This seamless transfer from one institution to the next will increase the number of students achieving their educational goals while living, working, and attending classes in Placer County. Academic programs in specialized areas will be expanded and added, with a focus on interdisciplinary study areas. With the Associate Vice President for Placer Center on board, Sacramento State has been able to collaborate with Sierra College and industry partners to advance the academic clusters to be supported not only through undergraduate programs, but also certificate, masters, and doctoral offerings. The sustainability program has been expanded to include Environmental Health and Circular Economies wherein coursework around waste management would also include entrepreneurship, engineering, environmental science and justice, forestry and fire prevention, manufacturing, recycling, etc. A cluster focused on Wellness is anticipated to encompass public health and safety in addition to nursing, gerontology, health education, criminal justice, phlebotomy, and technology.

Fiscal Impact

To provide the infrastructure and development of the site as proposed in the Sacramento State Placer Center Master Plan, an estimated \$2.2 billion of funding through public and private partnerships, fundraising and other mechanisms will be necessary. Funding mechanisms for the first phase of development, estimated at approximately \$290 million, are being discussed with Placer County and Sierra College and will involve public-public partnership agreements.

Placer County Forensic Sciences Laboratory

Project Description

As part of Placer County's Criminal Justice Master Plan, the 30,000 gross square feet Placer County Forensic Sciences Laboratory (Forensic Lab) will provide Placer County with a forensic laboratory to analyze samples and conduct forensic DNA testing under the contract with the California Department of Justice. The Forensic Lab will be a public-public partnership between

Placer Center and Placer County that will support Criminal Justice, Criminalistics, and other related undergraduate or graduate programs. This collaborative effort between Placer County and Placer Center would allow better processing of forensic investigation needs and offer both research and internship opportunities to students. Other areas of academic interest include research and studies of data recovery, photographic image reconstruction/analysis, and other forensic computer science activities. It is expected that a portion of the building will be allocated for Placer Center as instructional space, like the Hertzberg-Davis Forensic Science Center at CSU Los Angeles.

Facility Planning, Construction, and Operation

The planning, design, and construction of the facilities will comply with CSU planning and construction guidelines. The estimated project budget is between \$40 million to \$50 million. Placer County will fund the design and construction of this project.

Sacramento State is currently working with Placer County in developing a non-binding Common Interest Agreement summarizing the mutual understanding of key terms related to development and operation of the facility and prescribing that the parties will continue to work in good faith in further evaluating and negotiating these terms. The parties intend to ultimately enter into binding agreements that, among other items, set terms for shared use of the facility, levels of development and operational responsibility, financial and programmatic contributions and risk sharing, and mechanisms for future changes or adjustments.

Educational Benefits

Sacramento State's College of Criminal Justice is one of the largest colleges in North America and provides a minor in Forensic Investigation. The partnership between Placer County and Sacramento State will allow for academic and research synergies. The University will gain modern instructional and research space. Similar partnerships have been done at CSU Los Angeles and Fresno State.

Forensic Lab will promote close collaboration between the Placer County and Sacramento State academic departments of Chemistry, Biological Sciences, Psychology, Anthropology, Nursing, and Criminal Justice. The Forensic Lab will create internship and employment opportunities for students as well as applied research opportunities for faculty.

Approval of the Final Development Plan

Per Board of Trustees policy, as the project moves forward, all related master plan revisions, amendments of the capital outlay program, proposed schematic plans, financial plans, proposed key business points of the finalized development plan, and the required environmental documents will be presented at future meetings for final approval by the board prior to execution of any commitments for development and use of the project.

California Environmental Quality Act (CEQA) Action

The Final Environmental Impact Report (FEIR) has been prepared pursuant to the CEQA (Public Resources Code [PRC] Section 21000 *et seq.*) and the State CEQA Guidelines (California Code of Regulations, Title 14, Chapter 3, Section 15000 *et seq.*) to evaluate the physical environmental effects of the Placer Center Master Plan Revision. The FEIR is presented to the Board of Trustees for review and certification. The Board of Trustees is the lead agency under CEQA and has the responsibility for approving and carrying out the Placer Center Master Plan, and for ensuring that the requirements of CEQA have been met. After the FEIR is prepared and the public review process is complete, the Board of Trustees is responsible for reviewing and certifying that the FEIR adequately evaluates the impacts of the project.

The Draft EIR (DEIR) was distributed for public comment for a 45-day period concluding on October 30, 2023. The FEIR, including the DEIR, all public comments received on the DEIR, responses to those comments, and revisions and clarifications to the DEIR, is available online at: <https://www.csus.edu/administration-business-affairs/facilities-management/news-archive.html>.

The FEIR analyzed and disclosed the potential significant environmental effects of Placer Center, in accordance with the CEQA Statute and Guidelines. The FEIR is presented for the Board of Trustee review and certification. This FEIR meets the requirements of a program EIR as defined by Section 15168 of the State CEQA Guidelines.

The Final EIR includes an evaluation of the following environmental issue areas, as well as other CEQA-mandated issues (e.g., cumulative impacts, growth-inducing impacts, significant unavoidable impacts, alternatives):

- Aesthetics
- Agricultural Resources
- Air Quality
- Biological Resources
- Cultural Resources
- Energy
- Geology and Soils
- Greenhouse Gas Emissions
- Hazards and Hazardous Materials
- Hydrology and Water Quality
- Land Use and Planning
- Noise and Vibration
- Population and Housing
- Public Services and Recreation
- Transportation
- Tribal Cultural Resources
- Utilities and Service Systems

The remaining issue areas identified in Appendix G of the CEQA Guidelines were evaluated as part of the scoping process, and it was determined that potentially significant impacts would not occur as a result of project implementation, as discussed in Section 1.2 of the Final EIR. Under the

CEQA Statute and Guidelines, a lead agency may limit an EIR's discussion of environmental effects when such effects are not considered potentially significant (PRC Section 21002.1[e]; State CEQA Guidelines Sections 15128, 15143). The determination of which impacts would be potentially significant and therefore evaluated in detail in this EIR was made for this project based on review of applicable planning documents, fieldwork, feedback from public and agency consultation, comments received on the Notice of Preparation (NOP) (see Appendix A of the FEIR), research, and analysis of relevant project data.

The FEIR Table ES-2, "Summary of Impacts and Mitigation Measures," lists the environmental impacts, the level of significance before mitigation, proposed mitigation measures, and level of significance after mitigation. After the implementation of mitigation measures, the FEIR found that the impacts associated with the Placer Center Master Plan would be reduced to a less than significant level. However, as noted, the FEIR concluded that the project would result in significant and unavoidable impacts related to Aesthetics, Air Quality, Noise, Transportation, and Utilities and Service Systems because there are no feasible mitigation measures or the measures would not reduce the impacts to a less-than-significant level.

The FEIR includes the comments received on the DEIR and responses to the substantive comments on the adequacy of the DEIR.

Summary of Issues Identified Through Public Review of the DEIR

On September 15, 2023, Sacramento State publicly released the DEIR for the proposed Placer Center Master Plan for review and comment. The DEIR was circulated for a period of 45 days, during which time interested agencies and members of the public were encouraged to provide comments on the analysis set forth in the DEIR. The public comment period closed on October 30, 2023. Twelve comment letters were received, including three letters from state agencies (Caltrans, State Water Resources Control Board, and Central Valley Regional Water Quality Control Board), seven letters from local agencies (Sacramento County, Placer County Water Agency, Western Placer Waste Management Authority, Placer County Flood Control and Water Conservation District, Placer County, City of Roseville, and Placer Conservation Authority), one letter of support from a local business (Buzz Oates), and one letter from a local organization (Placer County Tomorrow).

The issues raised in the comment letters are summarized below. Sacramento State's formal responses to all comments, along with revisions to the DEIR, have been prepared and included in the FEIR. Sacramento State has prepared responses to all comments received on the DEIR, including the late comment letters received after the close of the official DEIR comment period, and the responses have been included in the FEIR, as is required per CEQA.

Project Description

Placer County advised that the increased square footage of development proposed on the Placer Center Site might require updates to technical studies completed to support the Sunset Area Plan/Placer Ranch Specific Plan (SAP/PRSP). The backbone infrastructure is of particular concern to Placer County, and they request that the CSU and Placer One coordinate to ensure adequacy and appropriate sizing and alignments of utility infrastructure.

The City of Roseville requests that traffic impact fees be more clearly defined and quantified in the EIR.

Transportation

Placer County expressed concern that the Placer Center land uses are not completely consistent with transportation analysis completed for the SAP/PRSP and requested updated trip generation assumptions, Transportation Impact Study, and Local Transportation Assessment. Placer County commented on the Placer Center access points and on-site vehicular connectivity, which do not align with the approved SAP/PRSP, the potential for related CEQA impacts, and general review of consistency with the SAP/PRSP policies.

Caltrans requested a Transportation Impact Study to identify project-related traffic impacts on the State Highway System. Caltrans requested that the study include microsimulation analysis, VMT impact analysis, and length of queue analysis at exits from State Route 65 and the interchange of State Route 65 and Interstate 80.

Caltrans requests a VMT mitigation plan to identify strategies for each proposed phase of the project that will reduce the VMT impact to a less than significant level.

Odors and Solid Waste

Placer County requests that the University consider EIR Mitigation Measure 4.10-2 of the SAP/PRSP for inclusion in the Placer Center EIR to improve land use compatibility with the Western Regional Sanitary Landfill. The impact would remain significant and unavoidable but includes relevant approaches to mitigate impact.

The Western Placer Waste Management Authority (WPWMA) requests that the Project analyze the effects pursuant to CEQA, not the effects or potential effects of neighboring uses, including current and future WPWMA facilities on the Project. WPWMA also seeks to confirm that the Project will bear the cost of any mitigation measures beyond those identified in WPWMA's EIR and recommends that the University consider entering into a Memorandum of Understanding with WPWMA regarding odor mitigation.

WPWMA requests that clarification be provided regarding intent to use regional landfills beyond the WPWMA facility, and the way in which odor notification history is conveyed in the document.

The City of Roseville requests additional information on the Placer Center Master Plan related to solid waste handling in relation to WPWMA.

Stormwater, Wastewater, and Recycled Water

Placer County requests that hydrology calculations reflect the detention requirements in the SAP/PRSP. In addition, the Placer County Flood Control and Water Conservation District requests that detention stormwater calculations are coordinated with the methodology outlined within the Placer County Stormwater Management Manual.

Placer County requests that the University coordinate its Placer Center Master Plan with the PRSP Revised and Updated Storm Drainage Master Plan, if and when it is approved.

The City of Roseville requests clarification in the Executive Summary that peak stormwater flows require mitigation.

The City of Roseville requests that the University report the wastewater generation rate in terms of Average Dry Weather Flow and Peak Wet Weather Flow with reference to any elements that were not part of the SAP/PRSP sewer studies

The Placer County Water Agency (PCWA) advised that there is not a current plan in place for PCWA to be the purveyor of recycled water. PCWA also requested clarifications related to fire flow, water system supply to the site, and ownership of the on-site system.

Placer County requests that the University enter into separate agreements with the City of Roseville to coordinate use of the Pleasant Grove Retention Basin.

The Central Valley Regional Water Quality Board advised that all wastewater discharges must comply with the Antidegradation Policy and the Antidegradation Implementation Policy.

Noise and Vibration

The City of Roseville requests that the project comply with City noise and vibration ordinances for construction activities.

Police Protection

Placer County requests the University enter into a Memorandum of Understanding with the Placer County Sheriff's office to provide police coverage of the site until the University is able to supply its own police services to Placer Center.

Permits and Approvals

The Placer County Water Agency (PCWA) requests that PCWA be included under Local Agencies in Table 2-14 for execution of a Facilities Agreement.

The Central Valley Regional Water Quality Board advised that the University is required to obtain coverage under General Permit for Storm Water Discharges Associated with Construction and Land Disturbance Activities (Construction General Permit), including development of a Storm Water Pollution, Prevention Plan (SWPPP). Phase 1 and II Municipal Separate Storm Sewer System (MS4), Clean Water Act Section 404, Clean Water Act Section 40, Waste Discharge

Requirement, Dewatering, Limited Threat, and National Pollutant Discharge Elimination System permits will also need to be evaluated for applicability.

The State Water Resources Control Board requests that they be included in Table 2-14 for permits and approvals, including the domestic water supply permit amendment from the Division of Drinking Water.

Placer County Conservation Program

The Placer Conservation Authority (PCA) provided clarification related to how the PCCP characterizes habitat of the project site and implementation of avoidance, minimization, and mitigation measures.

Mr. Garabedian with Placer County Tomorrow raised concerns regarding development in Placer County, development of the Placer Center Master Plan, and the PCCP; however, the comments were general and did not address the adequacy of analyses in the Placer Center EIR.

Language, References, and General Coordination

Placer County requests coordination with Placer One in several areas of the DEIR to confirm consistency with the approved SAP/PRSP provisions or make updates to such infrastructure to support the University. The significant areas of coordination relate to transportation/access points, stormwater, and sewer infrastructure systems.

Placer County Flood Control and Water Conservation District requests that the EIR make several references related to FEMA Zone A, DWR Awareness, and relevant agencies.

Placer County requests some language updates around the sewer system, fire station designation, and other references to align with the SAP/PRSP, Memorandum of Understanding, or other documents.

The letter from Buzz Oates provided support for the Placer Center Master Plan.

Summary of Project Alternatives

The following provides brief descriptions of the alternatives evaluated in this Draft EIR.:

- Alternative 1: No Project–No Development Alternative assumes no development would occur and the project site would remain in its current condition as undeveloped grassland.
- Alternative 2: No Project–Planned Land Use Alternative assumes a University on the project site consistent with the Placer Ranch Specific Plan land use designation with 30,000 students.
- Alternative 3: No Project–Alternative Land Use Development Consistent with Surrounding Placer One assumed the project site reverts to Placer One, developed consistent with the Placer One land uses rather than a University.
- Alternative 4: Regional University Offsite Alternative assumes Placer Center would occur on an alternative site, namely the Placer County Regional University Specific Plan Site.
- Alternative 5: Increased Development Density-Reduced Footprint Alternative assumes reduced footprints, and increased heights and density.
- Alternative 6: Increased On-Campus Housing Alternative assumes on campus housing would be increased.

Among the alternatives considered, the No Project–No Development Alternative (Alternative 1) would avoid the adverse impacts resulting from construction and operation of the project and is, therefore, considered the environmentally superior alternative. Per the State CEQA Guidelines (CCR Section 15126.6 [e][2]), because the environmentally superior alternative was identified as the No Project Alternative, another environmentally superior alternative must be identified. Based on the environmental analysis contained in the Final EIR, the Increased Development Density – Reduced Footprint Alternative (Alternative 5) and the Increased On-Campus Housing Alternative (Alternative 6) would both reduce the overall environmental impacts compared to the proposed project. This distinction makes Alternative 6 the environmentally superior alternative.

Recommendation

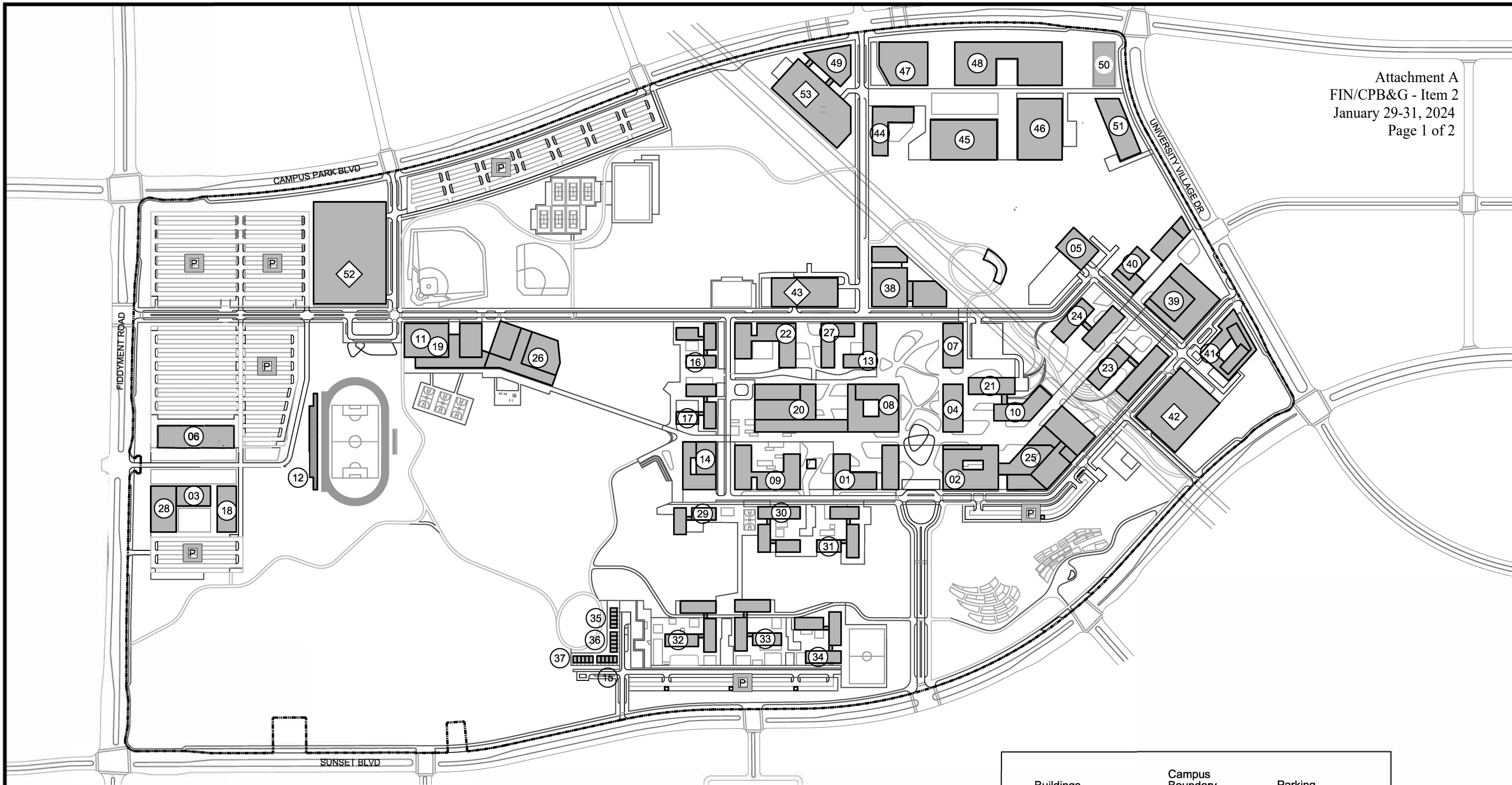
The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the Placer Center Master Plan FEIR has been prepared in accordance with the requirements of the California Environmental Quality Act (CEQA).
2. The FEIR addresses the Placer Center Master Plan and all discretionary actions related to the project as identified in the Master Plan FEIR.

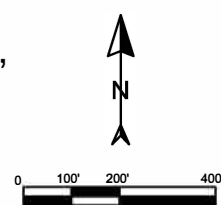
3. Prior to the certification of the Master Plan FEIR, the Board of Trustees reviewed and considered the above FEIR and found it to reflect the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the FEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For purposes of CEQA and the State CEQA Guidelines, the administrative record includes the following:
 - a. The DEIR for the Placer Center Master Plan;
 - b. The FEIR, including comments received on the DEIR, responses to comments, and revisions to the DEIR in response to comments received;
 - c. The proceedings before the Board of Trustees relating to the Placer Center Camus Master Plan, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
4. This resolution is adopted pursuant to the requirements of CEQA, which require the Board of Trustees to make findings prior to approval of the project (Cal. Pub. Res. Code § 21081; Guidelines § 15091).
5. The Board of Trustees hereby adopts the CEQA Findings of Fact and the Mitigation and Monitoring Program, which identifies the environmental impacts of the proposed Master Plan Revision and required mitigation measures, hereby incorporated by reference. The required mitigation measures shall be monitored and reported in accordance with the Mitigation and Monitoring Reporting Program, which meets the requirements of CEQA (Cal. Pub. Res. Code § 21081.6; Guidelines § 15097).
6. The Board of Trustees hereby adopts the Statement of Overriding Considerations stating that project benefits to The California State University outweigh the significant and unavoidable Aesthetics, Air Quality, Noise, Transportation, and Utilities and Service System impacts.
7. The project will benefit The California State University.
8. The Placer Center Master Plan dated January 2024 is approved.
9. The Chancellor or her designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the Placer Center Master Plan FEIR.

10. The concept of the public-public partnership for the Placer County Forensic Sciences Laboratory at the Placer Center is approved.
11. The Chancellor and the university are authorized to enter into due diligence access and option agreements that provide Placer County with a limited-term option, along with the responsibility for the development of final plans and schematic drawings during the option period for the Placer County Forensic Sciences Laboratory.
12. The Master Plan shall serve as concept approval for projects described in the Master Plan that are to be developed through public-private or public-public partnerships.
13. The Chancellor and the university are authorized to enter into negotiations for agreements as necessary to develop final plans for public-private or public-public partnerships as explained in Agenda Item 2 of the January 29-31, 2024 meeting of the Joint Committees on Finance and Campus Planning, Buildings, and Grounds.
14. In the future, the Board of Trustees will consider the following additional action items relating to the final development of the Placer Center:
 - a) Approval of development and financial plans negotiated by the university and any private or public partner, including Placer County, with the advice of the Chancellor;
 - b) Approval of amendments to the Non-State Capital Outlay Program for any building to be constructed by the university, a private partner, or a public partner;
 - c) Approval of the schematic designs.



California State University, Sacramento,
 Placer Center

Campus Master Plan
 Master Plan Enrollment: 12,000 FTE
 Proposed Date: January 2024
 Campus Acreage: 301



Buildings	Campus Boundary	Parking
EXISTING BUILDING	EXISTING	EXISTING LOT
FUTURE BUILDING	FUTURE	FUTURE LOT
TEMPORARY BUILDING		EXISTING STRUCTURE
EXISTING BUILDING NOT IN USE		FUTURE STRUCTURE

California State University, Sacramento - Placer Center

Master Plan Enrollment: 12,000 FTE

- | | |
|---|--|
| 1. <i>Initial Academic Building</i> | 50. <i>Innovation Mixed Use</i> |
| 2. <i>Library</i> | 51. <i>Innovation Mixed Use</i> |
| 3. <i>Support Building</i> | 52. <i>Parking Garage (Athletics)</i> |
| 4. <i>Sierra College Transfer Center</i> | 53. <i>Parking Garage (Innovation Mixed Use)</i> |
| 5. <i>Central Plant</i> | |
| 6. <i>Fire Station</i> | |
| 7. <i>Forensic Lab</i> | |
| 8. <i>Student Center</i> | |
| 9. <i>Academic Building</i> | |
| 10. <i>Academic Building</i> | |
| 11. <i>Recreation & Wellness Center</i> | |
| 12. <i>Stadium Bleachers</i> | |
| 13. <i>Administration Building</i> | |
| 14. <i>Dining Center</i> | |
| 15. <i>Faculty Housing</i> | |
| 16. <i>Student Housing</i> | |
| 17. <i>Student Housing</i> | |
| 18. <i>P&T and Campus Police</i> | |
| 19. <i>Childcare Center</i> | |
| 20. <i>Student Center</i> | |
| 21. <i>Academic Building</i> | |
| 22. <i>Academic Building</i> | |
| 23. <i>Academic Building</i> | |
| 24. <i>Academic Building</i> | |
| 25. <i>Library Expansion</i> | |
| 26. <i>Recreation & Wellness Center</i> | |
| 27. <i>Administration Building</i> | |
| 28. <i>Support Building Expansion</i> | |
| 29. <i>Student Housing</i> | |
| 30. <i>Student Housing</i> | |
| 31. <i>Student Housing</i> | |
| 32. <i>Student Housing</i> | |
| 33. <i>Student Housing</i> | |
| 34. <i>Student Housing</i> | |
| 35. <i>Faculty Housing</i> | |
| 36. <i>Faculty Housing</i> | |
| 37. <i>Faculty Housing</i> | |
| 38. <i>Conference Center</i> | |
| 39. <i>Performing Arts Center</i> | |
| 40. <i>Continuing Ed Building</i> | |
| 41. <i>Hotel</i> | |
| 42. <i>Parking Garage (Hotel)</i> | |
| 43. <i>Parking Garage (Conf Center)</i> | |
| 44. <i>Academic Mixed Use</i> | |
| 45. <i>Academic Mixed Use</i> | |
| 46. <i>Academic Mixed Use</i> | |
| 47. <i>Innovation Mixed Use</i> | |
| 48. <i>Innovation Mixed Use</i> | |
| 49. <i>Innovation Mixed Use</i> | |

LEGEND:
Existing Facility / Proposed
Facility

NOTE: Existing building numbers
correspond with building numbers
in the Space and Facilities
Data Base (SFDB)

AGENDA

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

Meeting: 1:10 p.m., Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Jack McGrory, Chair
Diana Aguilar-Cruz, Vice Chair
Larry L. Adamson
Raji Kaur Brar
Mark Ghilarducci
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Darlene Yee-Melichar

- Consent** 1. Approval of Minutes of the Meeting of November 8, 2023, *Action*
- Discussion** 2. California Polytechnic State University, Humboldt Engineering and Technology Commons Schematic Design Approval, *Action*
3. California State University, Long Beach Master Plan Final Environmental Impact Report and Enrollment Projection Increase, *Action*
4. California Polytechnic State University, San Luis Obispo Wastewater Reclamation Facility Environmental Impact Report and Master Plan Revision, *Action*

**MINUTES OF THE MEETING OF THE
COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Members Present

Jack McGrory, Chair
Diana Aguilar-Cruz, Vice Chair
Larry L. Adamson
Raji Kaur Brar
Leslie Gilbert-Lurie
Anna Ortiz-Morfit
Darlene Yee-Melichar

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Trustee Jack McGrory called the meeting to order.

Public Comment

Public comment occurred at the beginning of the meeting's open session prior to all committees. No public comments were made pertaining to committee agenda items.

Consent Agenda

The minutes of the September 2023 meeting of the Committee on Campus Planning, Buildings and Grounds were approved as submitted.

**California State University, Fresno Affordable Student Housing Schematic Design
Approval**

This item requested that the board approve the schematic plans for the Affordable Student Housing project at California State University, Fresno.

Following the presentation, the low cost per square foot and project team's value engineering efforts were commended, and it was requested that the university document lessons learned to share across the system.

A question was asked about drivers of the low project cost, and it was explained that it is a result of the project team working on the efficiency of the design and ensuring maximum usable square footage, as well as carefully reviewing building systems, and managing the architectural and engineering teams up front to ensure their designs considered all downstream costs.

It was asked if a report can be developed regarding availability of affordable faculty housing; it was noted that San Diego State University is looking at multiple options to offer faculty housing below market rates. It was also noted that a work group on faculty and staff housing has been convened and is developing a report.

The committee recommended approval of the proposed resolution (RCPBG 11-23-09).

San Diego State University, Imperial Valley - Brawley Sciences Building Project Schematic Design Approval

This presentation requested that the board approve the schematic plans and certification of the mitigated negative declaration for the Brawley Sciences building project at the San Diego State University Imperial Valley, off-campus center at Brawley.

Following the presentation, it was noted that the cost of this project is higher than recent similar projects due to inflation and the remote location which makes it more difficult to obtain bids. The importance of this project for the Imperial Valley was strongly emphasized.

A question was asked about American with Disabilities Act (ADA) compliance, and it was confirmed that the plans consider ADA requirements.

It was noted that along with a small footprint and other factors, as an undeveloped area utilities and other infrastructure need to be laid down which also contributes to the high cost.

It was noted that STEM majors were only recently introduced to this campus which largely supports underserved students, and that this project provides opportunities that have significant psychological importance and crucial impact to the community.

Trustee McGrory and Trustee Adamson were thanked for serving on the Construction Cost Committee, and the project team was commended for the detailed report which addressed trustee questions upfront.

It was noted that the Calexico campus has received less attention historically, and President De La Torre was commended for prioritizing this project. It was also noted that students in this area are deserving and with its proximity to the Salton Sea and new lithium finds, the project is very important.

The committee recommended approval of the proposed resolution (RCPBG 11-23-10).

Trustee McGrory adjourned the Committee on Campus Planning, Buildings and Grounds.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California State Polytechnic University, Humboldt Engineering and Technology Commons Schematic Design Approval

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Tom Jackson, Jr.
President
California State Polytechnic University, Humboldt

Paul Gannoe
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

This agenda item requests the California State University Board of Trustees approve schematic plans for the California State Polytechnic University, Humboldt Engineering & Technology Commons project.

Engineering & Technology Commons

Collaborative Design-Build Contractor: Swinerton
Project Architect: ACMartin

Background and Scope

Cal Poly Humboldt proposes to design and construct a 74,000 gross square foot (GSF) Engineering & Technology Commons building (#5B) at the northeast corner of B Street and 17th Street, with Science D (#3D) to the north, Natural Resources (#40) to the south, and Wildlife Lane to the east. The three-story Engineering & Technology (E&T) Commons building for the College of Natural Resources and Sciences will provide much-needed teaching laboratory capacity for applied engineering, research spaces, faculty offices, a dean's office, and student support spaces that foster collaboration. The focus is to design an environment for students, faculty, and staff to thrive at their highest potential and create opportunities for interaction, collaboration, and chance encounters. The aim is not only to foster academic excellence but also to encourage creative thinking and ease conversations among a diverse student body while reinforcing Cal Poly

Humboldt's mantra of Hands-on Learning. This project is a significant addition to the campus' eclectic architectural vernacular and has the charge not only to serve as a gateway but also as a hub for student activity and the representative heart of the new Cal Poly Humboldt.

The approximately two-acre site is currently used as the campus events field. The western and southern ends of the site are at a higher elevation from B Street and 17th Street. A wide range of site studies were conducted to carefully weigh access, prominence, and cost to determine the placement of the building. Ultimately, the new E&T Commons building will be nestled into the site to allow for on-grade access from B Street as well as for the elevated east side facing Wildlife Lane. This "two ground level" approach will fundamentally improve campus accessibility by providing pedestrian access and circulation north-south as well as east-west through the building. Service and fire access will occur from Wildlife Lane. Open spaces such as the entrance plaza at the corner of B Street and 17th Street, the north-facing connector to McCrone Hall, and the south-facing outdoor fabrication space toward Wildlife Lane anchor the building and create a strong connection between outdoor and indoor spaces.

The building massing is informed by the scale of the existing context, the programmatic adjacencies, access to daylight, solar orientation, and outdoor connections. The building will be a three-story mass timber construction building using solid and engineered wood as its primary load-bearing structure. This mass timber construction embraces the regional heritage, campus' identity, and connection to nature. The building has an east-west orientation to maximize solar exposure with canopies sheltering exterior program space from rain. A two-story main lobby opens to the entrance plaza and main campus access from Harpst Street., The most active program spaces are located on B Street level for highest visibility of the campus. A generous feature stair that also doubles as a vertical student gathering space connects to the upper ground level that features the metal and wood shop, fabrication, and makerspaces. The northwest corner of the building will house faculty offices, graduate workspace, and general support spaces, consolidating similar mechanical system needs while providing faculty the option to select offices adjacent to their respective classrooms.

Exposed mass timber and Cross Laminated Timber (CLT) are the primary structural and aesthetic components offering a warm and natural environment. Curtain wall glazing with a rainscreen, or concrete envelope system allows for intentional exposure of the wood material to the rest of the campus providing an inviting character.

Proposed code required sustainability features include high-efficiency irrigation, water-efficient plumbing, energy-efficient and Cal Green-compliant lighting and appliances. LED lighting and controls will be used throughout the project. On-site solar energy production will be provided by rooftop photovoltaic-ready solar panels consistent with CSU Sustainability Policy and will be integrated into the campus microgrid system. Landscape and built elements will provide shade for pedestrian paths and outdoor gathering areas.

Furthermore, the project is designed to achieve Leadership in Energy and Environmental Design (LEED) Gold certification. In addition to the LEED Credits achieved in conjunction with code compliant sustainability measures listed above, Mass Timber and CLT construction along with durable exterior building materials such as concrete/masonry walls are being proposed for the main structure and finish of the building. The structural system is estimated to provide a cost savings of \$1.3 million and will dramatically reduce the embodied carbon footprint of the building.

Timing (Estimated)

Preliminary Plans Completed February 2024
 Working Drawings Completed July 2024
 Construction Start September 2024
 Occupancy December 2025

Basic Statistics

Gross Building Area 74,345 square feet
 Assignable Building Area (CSU¹) 44,618 square feet
 Net Useable Building Area (FICM²) 65,502 square feet
 Efficiency (CSU) 60 percent
 Efficiency (FICM) 88 percent

Cost Estimate – California Construction Cost Index (CCCI) 8287³

Building Cost (\$942 per GSF)		\$70,000,000
<i>Systems Breakdown (\$ per GSF)</i>		
a. Substructure (Foundation)	\$ 58.38	
b. Shell (Structure and Enclosure)	\$ 253.14	
c. Interiors (Partitions and Finishes)	\$ 127.35	
d. Services (HVAC, Plumbing, Electrical, Fire)	\$ 244.52	
e. Built-in Equipment and Furnishings	\$ 43.50	
f. Special Construction & Demolition	\$ 3.11	
g. General Requirements	\$ 30.02	
h. General Conditions and Insurance	\$ 181.53	
Site Development		<u>\$7,278,000</u>

¹ Assignable building area is based on CSU policy.

² Net useable building area is greater than assignable building area by including corridors, restrooms, mechanical rooms, etc., based on the definitions of the Postsecondary Education Facilities Inventory & Classification Manual (FICM).

³ The July 2022 *Engineering News-Record* California Construction Cost Index (CCCI). The CCCI is the average Building Cost Index for Los Angeles and San Francisco.

Construction Cost	\$77,278,000
Fees, Contingency, Services	<u>20,484,000</u>
Total Project Cost (\$1,315 per GSF)	\$97,762,000
Fixtures, Furniture & Movable Equipment	<u>2,238,000</u>
Grand Total	<u>\$100,000,000</u>

Cost Comparison

The project cost of \$942 per GSF is comparable to the \$947 per GSF for the Science Replacement Building at San Francisco State University approved in November 2020, and lower than the \$1,149 per GSF for the Interdisciplinary Science Building at San Jose State University approved in September 2018, and higher than the \$825 per GSF for the Equity Innovation Hub at CSU Northridge approved in May 2022, all adjusted to CCCI 8287. The cost for the substructure and structure of the building is higher than comparable buildings due to the foundation and structural systems needed to withstand the high water table on the site.

Funding Data

The project will be funded with \$100,000,000 in state appropriation approved in the final 2021-2022 California State Budget.

California Environmental Quality Act (CEQA) Action

The proposed project is consistent with the 2004 Master Plan and the Master Plan EIR certified by the Board of Trustees in November 2004. An Addendum to the Master Plan EIR dated December 2023 has been prepared and supports the finding that the project would have no new significant environmental effects beyond those already identified in the 2004 Master Plan EIR. The Addendum is available for review at:
https://facilitymgmt.humboldt.edu/sites/default/files/cph_mp_eir_addm_508.pdf

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The project will benefit the California State University.

2. The Board of Trustees finds that the 2004 Master Plan EIR, prepared in accordance with the requirements of the California Environmental Quality Act, was certified by the Board of Trustees in November 2004.
3. The project before the Board of Trustees is consistent with the previously certified Master Plan Final EIR.
4. The December 2023 Addendum to the 2004 EIR has been prepared in accordance with the requirements of CEQA.
5. Applicable mitigation measures adopted in conjunction with November 2004 Master Plan EIR certification shall be implemented, monitored, and reported in accordance with the requirements of CEQA (Cal. Pub. Res. Code § 21081.6), and with implementation of these mitigation measures, the project will not have any new or substantially more severe impacts on the environment beyond those described in the 2004 Master Plan Update EIR.
6. The schematic plans for the California State Polytechnic University, Humboldt Engineering & Technology Commons project are approved at a project cost of \$100,000,000 at CCCI 8287.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

California State University, Long Beach Master Plan Final Environmental Impact Report and Enrollment Projection Increase

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Jane Conoley
President
California State University, Long Beach

Paul Gannoe
Assistant Vice Chancellor
Capital Planning, Design and Construction

Summary

The California State University Board of Trustees requires a long-range physical master plan for every campus that shows existing and anticipated facilities necessary to accommodate a specified academic year full-time equivalent student (FTES) level. Under the California Environmental Quality Act (CEQA), the Board of Trustees serves as the Lead Agency, which acts to certify the CEQA document and approve significant changes to the campus master plan.

This item requests that the Board of Trustees approve the following actions for California State University, Long Beach:

- Certification of the Final Environmental Impact Report (FEIR) dated January 2024.
- Approval of the proposed Master Plan Update, including an increase in the enrollment projection from 31,000 FTES to 33,000 FTES.¹

Under CEQA, the Board of Trustees must certify that the FEIR is adequate and complete as a prerequisite to approving the proposed Master Plan Update. The FEIR, Mitigation Monitoring and Reporting Program, and Findings of Fact are available for review by the Board of Trustees and the public at: <https://www.csulb.edu/beach-building-services/california-environmental-quality-act-ceqa-compliance>

¹ Campus master plan enrollment projections are based on academic year full-time equivalent student (FTES) enrollment, excluding students enrolled in off-site classes and on-line instruction.

Attachment A is the proposed campus Master Plan. Attachment B is the existing campus Master Plan, which was last revised and approved by the Board of Trustees in May 2008.

Master Plan Update

The existing 2008 Campus Master Plan provides a framework to guide campus physical development through 2020. Since approval of the 2008 Campus Master Plan, California State University, Long Beach (CSULB) has become one of the largest universities within the CSU system. The 2008 Campus Master Plan is now outdated and inadequate to accommodate the changes in student population and the evolving teaching and learning pedagogy.

In preparation for the Master Plan Update, CSULB conducted a Facilities Condition Assessment (FCA) and Space Optimization Study in 2019. The FCA report found that 55% of the buildings on campus are below standard facility condition and require demolition, replacement, or renovation over the next decade. Buildings in fair, good, or excellent condition need moderate investment over the next two decades to maintain existing building condition. The Space Optimization Study identified strategies and opportunities to improve utilization of existing space throughout the campus. Based on these studies, the proposed Master Plan Update focuses more on optimizing the existing physical assets of the campus than new infill expansion, renovating existing buildings and replacing inefficient buildings with higher density and mixed-use buildings that integrate academic and student support functions.

The proposed Master Plan Update will evolve the university into a hub for discovery, innovation, and societal change. The Master Plan Update represents a unifying vision that aligns the university's Beach 2030 Strategic Plan with physical development goals to guide the physical development of CSULB. The Master Plan Update themes and goals include:

- Maintain a student-ready campus by integrating support services throughout campus, transitioning to active learning, and creating spaces for wellness-focused programs
- Improve the campus experience by becoming a 24/7 campus, addressing safety infrastructure, and completing the continuous mobility network
- Promote campus identity by fostering a welcoming and accepting atmosphere, enhancing the campus aesthetic, and displaying campus pride
- Promote sustainability and resiliency by becoming a no-growth parking and net-zero campus
- Densify the campus and right-size growth by optimizing the utilization of campus space and developing mixed-use buildings with flexible space
- Support an equitable experience for all, be a campus for everyone, and set proactive accessibility guidelines.

The Master Plan Update will support and advance the University's educational mission by guiding the physical development of the campus to accommodate on-campus traditional student enrollment of 33,000 FTES and 3,000 FTES off-campus students, for a total of 36,000 FTES through 2035, based on a conservative 1% annual enrollment growth target.

Implementation of the proposed Master Plan Update would result in a net increase of approximately 1.2 million gross square feet (GSF) of new and replacement academic facilities, student support, student housing, and faculty/staff housing, for a campuswide square footage total of approximately 6.1 million GSF at buildout. Net student beds on the main campus and off-site Beachside Village would increase by 1,600 for a total of 4,600 beds. A new faculty and staff housing project would add approximately 285 units. Proposed changes related to building and facility improvements proposed in the Master Plan Update would require the shifting of some existing parking space locations. Overall parking spaces will remain at their current capacity, except to support future community outreach facilities.

The major elements of the proposed Master Plan Update are described below:

Academic Facilities: Propose to build approximately 611,000 GSF of new academic space and demolish approximately 496,000 GSF, resulting in a net increase of 115,000 GSF. Twenty-five academic buildings are proposed for renovation or replacement to optimize existing academic spaces throughout the campus. Multiple small buildings would be replaced with higher density and mixed-use buildings for the College of the Arts, College of Engineering, College of Health and Human Services, and College of Education.

Upper Campus Historic District: In 2019, the University conducted a campuswide Historic Resource Assessment to document the history and heritage resources as many of the campus buildings and built features had neared or reached 50 years of age. The assessment evaluated all elements of the built environment, including buildings, landscape, sculpture and public art for eligibility for the National Register of Historic Places and the California Register of Historical Resources. Findings informed current and future planning decisions by identifying areas of opportunity and constraints relating to historic resources on campus. The survey identified the Upper Campus Historic District (1953-1972) as unique in its characteristics of a historic time and period and embodies the tenets of the early development Master Plans (1953 and 1963). Comprising 22 contributor buildings, including 4 individually-eligible historic resource buildings, the district displays unique blends of site and landscape that respond to CSULB's characteristic of integrating building and site. The significance of the historic district is seen in the design of the entire area instead of the design of individual building. While the assessment informed the Master Plan's consideration of historically significant campus assets, CSULB has the ability to renovate and/or replace campus resources in compliance with CEQA and historic preservation guidelines.

Support Space: Propose an addition to the existing Student Health Services Building to expand the Counseling and Psychological Services (CAPS) program, in addition to the existing satellite program spaces within each college. The Basic Needs Program and Beach Pantry will be consolidated in the University Student Union building which will be renovated and expanded.

Housing: Support an expanded residential environment by constructing new or replacement buildings or renovating existing student housing villages.

- Increase student housing capacity by approximately 1,600 beds to enhance student experience, promote student success and wellness, and improve retention
- Provide high quality, affordable, equitable mix of housing options and diverse mix of housing typologies for students (pod configurations, suites, and apartments)
- Create common spaces, active outdoor spaces, and space for student services

The Master Plan Update also includes 285-unit on-campus affordable housing options for faculty and staff to retain and recruit high-quality faculty and staff.

Athletic and Recreational Facilities: Propose to renovate existing athletic facilities, including the swimming pool, beach volleyball zone, and archery range. The existing baseball field would be converted to a multi-use recreation field. The existing Jack Rose Track would be expanded to accommodate commencement and events, including bleachers, restrooms, concessions, and storage.

Community Engagement Sites: Partnerships with industries, businesses, and local organizations are central to the Beach 2030 Strategic Vision for CSULB. Partnerships are crucial to promoting collaboration and increasing opportunities for students. The Master Plan Update identified two sites for future community engagement facilities at prime locations along the campus perimeter to facilitate engagement and collaboration between CSULB, private sector business partners, outside organizations, and public sector agencies.

Open Space and Landscaping: Propose to improve and enhance landscaping and open space for the Main Quad, courtyards, plazas, pedestrian corridors, and campus edges to provide a sense of place, increase programmable space to facilitate activity and social interaction, and enhance the campus' aesthetic environment.

Access, Circulation, Parking, and Transit: CSULB is a multi-modal campus featuring amenities for pedestrians, cyclists, personal vehicles, and public transit circulation and access. The Master Plan Update will emphasize improvements to the existing pedestrian network, bicycle and all-wheel network, and transit network. No parking space will be added, except to support the future community outreach facilities.

Transportation Demand Management (TDM) measures would be implemented to reduce vehicle trips and prioritize pedestrian and bicycle movement, encourage greater use of transit, pedestrian, and bicycle travel, and reduce reliance on automobiles at the campus. Additional TDM measures include, but not be limited to:

- Complete and update TDM plan that focuses on achieving CSULB’s goals of reducing GHG emissions generated from automobiles
- Increase on-campus housing
- Promote disallowing cars on campus for student residents
- Reorganize class and work schedules to reduce peak parking demand on campus
- Provide additional on-campus amenities, i.e., childcare and post office
- Enhance transit, shuttle, bicycle, and pedestrian amenities on the campus

Utility Infrastructure: The existing utility infrastructure that supports CSULB includes domestic and fire water, sewer, storm drain, irrigation water, chilled and hot water distribution, gas, electrical and telecommunications systems. To support the facilities proposed in the Master Plan Update, existing utilities require alterations, upgrades, or modifications. The Utility Master Plan Update dated April 2023 evaluated the capacity, functionality, reliability, ease of maintenance, age, and ability to serve the existing and future needs of the campus for every component in the existing utility infrastructure and identified critical utility infrastructure deficiencies that need to be addressed to minimize interruptions and promote reliability and redundancy.

Proposed Master Plan Update

New and replacement buildings shown in Attachment A are listed below.

Hexagon No.	Building No.	Facility Name	Near-Term Project	Mid-Term Project
1	No. 71A	Bob Cole Conservatory of Music Addition		X
2	No. 211	George Allen Field Bleachers	X	
3	No. 51R	Engineering Replacement Building	X	
4	No. 31	College of the Arts Replacement Building		X
5	No. 47R	Kinesiology Replacement Building		
6	No. 8R	College of Education Replacement Building		
7	No. 23R	New 7 th Street Community Outreach Facility		X
8	No. 75R	Bellflower Blvd. Community Outreach Facility		
9	No. 58A	Corporation Yard Addition	X	

10	No. 2A	Student Health Services Addition	X	
11	No. 6A	University Student Union Addition	X	
12	No. 111	Faculty and Staff Housing	X	
13	Nos. 104-110	Parkside Village Housing Replacement	X	
14	No. 210	Jack Rose Track/Commencement Facility		X

Fiscal Impact

Approximately \$2.1 billion will be needed to address existing building deficiencies and provide needed site and facility improvements as proposed in the Master Plan Update.

California Environmental Quality Act (CEQA) Action

The Final Environmental Impact Report (FEIR) has been prepared pursuant to the CEQA (Public Resources Code [PRC] Section 21000 *et seq.*) and the State CEQA Guidelines (California Code of Regulations, Title 14, Chapter 3, Section 15000 *et seq.*) to evaluate the physical environmental effects of the Master Plan Update. The FEIR is presented to the Board of Trustees for review and certification. The Board of Trustees is the lead agency under CEQA and is responsible for approving and carrying out the Master Plan Update and ensuring that the requirements of CEQA have been met.

The Draft EIR (DEIR) was distributed for public comment for a 45-day period concluding on October 16, 2023. The FEIR, including the DEIR, all public comments received on the DEIR, responses to those comments, and revisions and clarifications to the DEIR, is available for review at: <https://www.csulb.edu/beach-building-services/california-environmental-quality-act-ceqa-compliance>

In addition to comments submitted during the DEIR comment period and addressed in the FEIR, a number of comment letters about the Master Plan Update and EIR have been submitted to the Office of the Chancellor by members of the CSU Long Beach campus community as well as the broader local Long Beach community. These letters have been collected for transmittal to the Board of Trustees ahead of the January 2024 meeting.

The EIR is a “Program EIR” as defined by Section 15168 of the State CEQA Guidelines. As described in CEQA Guidelines Section 15168(a), a Program EIR may be prepared for a series of actions that can be characterized as one large project and are, for example, related geographically or as parts of a chain of contemplated actions.

Issues identified during the public review period are fully discussed in the FEIR and impacts have been analyzed in accordance with CEQA requirements. Where a potentially significant impact is

identified, mitigation measures are required to reduce the impact to the maximum extent feasible. The FEIR concluded that the project would not result in any significant and unavoidable impacts.

Summary of Public Review of the DEIR

On September 1, 2023, CSU Long Beach released for public review and comment the DEIR for the proposed Master Plan Update. The DEIR was circulated for a period of 45 days in accordance with the requirements of the California Environmental Quality Act, Public Resources Code section 21000 et seq. (CEQA), during which time interested agencies and members of the public were encouraged to provide comments on the analysis set forth in the DEIR. When the public comment period closed on October 16, 2023, 34 comment letters had been received by Cal State Long Beach, including two letters from state agencies (Caltrans, Department of Toxic Substances Control), one letter from a local jurisdiction (City of Long Beach), two letters from regional agencies (South Coast Air Quality Management District, Los Angeles County Sanitation Districts), one letter from a neighborhood association (Bixby Hill Community Association), and 27 letters from individuals.

The issues raised in the comments are summarized below. CSULB prepared formal responses to all comments, which are included as part of the FEIR. Amendments/revisions to the DEIR as a result of public comments received are also included as part of the FEIR, and a Mitigation Monitoring and Reporting Program has been prepared in conjunction with the Final EIR.

Air Quality

South Coast Air Quality Management District (SCAQMD) requested electronic copies of any live modeling and emission calculation files that were used to quantify the air quality impacts from construction and/or operation of the proposed Master Plan Update. These files were provided to SCAQMD on September 19, 2023. No revisions to the DEIR were necessary to respond to comments from SCAQMD.

Utilities and Energy

Los Angeles County Sanitation Districts (LACSD) reiterated the statement made in Section 3.13, Utilities and Energy, on page 3.1315 of the Draft EIR, that the Puente Hills Landfill is no longer operational and that solid waste is transferred to the Puente Hills Intermodal Facility, which is designed to handle up to approximately 8,000 tons of refuse per day. LACSD provided additional information regarding the amount of solid waste permitted at the Puente Hills Intermodal Facility.

In response to this comment, the text regarding the Puente Hills Intermodal Facility has been revised to describe the permitted capacity of this facility (4,400 tons per day), rather than the design capacity. The Final EIR includes the modified text. This clarification does not affect any impact conclusions stated in the EIR.

Additionally, LACSD reiterated the conclusion in Section 3.13, Utilities and Energy confirming that they would have adequate wastewater capacity to serve the projected wastewater generated by implementation of the Master Plan Update, and thus, would not require new or expanded facilities. LACSD states that, due to the volume of wastewater anticipated to be generated by implementation of the proposed Master Plan Update and other planned developments in the area, impacts on the LACSD sewerage system may result and the availability of capacity should be verified as development under the Master Plan Update occurs.

The analysis of impacts on wastewater treatment facilities in the EIR acknowledges that coordination with and approval from LACSD would be required for any connections to or work near LACSD sewer line facilities. As discussed in the analysis under Threshold UE3 on page 3.13-30 of the EIR, wastewater generated by the implementation of the Master Plan Update would be treated at the Joint Water Pollution Control Plant and the Long Beach Water Reclamation Plant, which currently process average flows of 249.8 million gallons per day (mgd) and 15.2 mgd, respectively. It is conservatively estimated that implementation of the Master Plan Update would increase sewage flows by 148,600 gallons per day, representing an increase in average flows of 0.06 percent at the Joint Water Pollution Control Plant and 1 percent at the Long Beach Water Reclamation Plant. As such, the EIR concludes that both the Joint Water Pollution Control Plant and Long Beach Water Reclamation Plant have capacity to accommodate these increases.

Furthermore, cumulative impacts to wastewater treatment facilities are analyzed in Section 3.13.7, Cumulative Impacts, on pages 3.13-40 of the EIR. The analysis of cumulative impacts considers those impacts that would result from implementation of the Master Plan Update in conjunction with other related projects in the service area. As discussed in the EIR, the capacities of the LACSD's wastewater treatment facilities are based on the regional growth forecast adopted by the Southern California Association of Governments (SCAG). All expansions of LACSD's facilities must be sized and service phased in a manner that will be consistent with the SCAG regional growth forecast for the SCAG region, which includes the CSULB main campus and surrounding areas. The available capacity of the LACSD's treatment facilities will, therefore, be limited to levels associated with the approved growth identified by SCAG. As discussed in Section 3.9, Population and Housing, the total campus population resulting from the Master Plan Update is accounted for in the SCAG regional growth forecasts. As such, LACSD's facilities can be anticipated to have sufficient capacity to serve development under the Master Plan Update. Additionally, other planned developments in the area would be required to analyze their demand on utilities and coordinate with service providers to verify sufficient capacities to serve other projects. Therefore, the EIR concludes that cumulative impacts to utilities, including wastewater treatment and sewage facilities, would be less than significant.

Campus VMT Monitoring and Implementation of Transportation Demand Management Measures

Caltrans reiterated the VMT threshold specified in the CSU Transportation Impact Study Manual (TISM), which was used in the EIR to determine whether the Master Plan Update would result in

significant VMT impacts. The VMT threshold states that a significant VMT impact would occur if the VMT/Service Population were to exceed 15 percent below the baseline countywide average. Caltrans suggested interpreting the language cited from the California Governor’s Office of Planning and Research’s (OPR) Technical Advisory (“OPR recommends that a per capita or per employee VMT that is fifteen percent below that of existing development may be a reasonable threshold”) to mean that a proposed project that would improve an existing campus should use a threshold of significance that is related to that site only, rather than to a regional average. Caltrans’ suggested approach is not typically done for development projects. Their suggestion to compare VMT data for Cal State Long Beach with that for California State University, Los Angeles appears to be a typographical error. The threshold used in the EIR is specified in the CSU TISM, which allows comparison against the existing regional, sub regional or citywide VMT per service population. CSU as the lead agency has the discretion to select and apply the threshold of significance. The City of Long Beach, where the campus is located, also uses efficiency metrics of 15 percent below the countywide average. Therefore, based on the applicable transportation impact guidance for development at CSU campuses, metrics used by other local jurisdictions, and standard practices for analyzing the type of development proposed under the Master Plan Update, the VMT threshold used in the EIR is appropriate to determine impacts resulting from implementation of the Master Plan Update.

Additionally, Caltrans recommended the use of Intelligent Transportation System (ITS) applications be considered for implementation to better manage the transportation network, as well as transit service and bicycle or pedestrian connectivity improvements. The analysis under Threshold TRA-1 assesses the potential impacts related to consistency with local plans, ordinances, and policies addressing the circulation system, including transit, roadway, bicycle, and pedestrian facilities. As discussed in the analyses under Threshold TRA-1, implementation of the Master Plan Update would not conflict with plans, ordinances, or policies addressing roadway facilities. Therefore, the EIR concludes that impacts would be less than significant and no mitigation measures are required.

Biological Resources – Bats

California Department of Fish and Wildlife (CDFW) provided recommended text modifications to Mitigation Measure BIO-B to include the use of acoustic recognition technology during bat surveys, specifications for removing trees that may contain bats, and changing the no work maternity season from April-August to March-September. In response to this comment, the text in Mitigation Measure BIO-B has been revised to include the recommended text modifications. This clarification does not change the nature of Mitigation Measure BIO-B, nor does it affect any impact conclusions stated in the EIR.

CDFW further recommended that, if a Lake or Streambed Alteration (LSA) is potentially required for proposed improvements, a hydrology report be included to evaluate potential impacts to hydrologic activity within and downstream of the improvements. As discussed, CSULB would

consult with CDFW regarding permit requirements. If appropriate, supplemental reports and information would be provided to aid in the determination of potential impacts. In response to this comment, the text in Mitigation Measure BIO-C has been modified to further clarify that an LSA could be required and that additional environmental studies would be prepared in support of applicable permits, as necessary.

Finally, CDFW requested that information on special status species be submitted to the California Natural Diversity Database. If special-status wildlife species are encountered or observed on the CSULB main campus and/or the Beachside Village property during pre-construction surveys and other measures to be implemented during development under the Master Plan Update, information on these species will be submitted to the California Natural Diversity Database, as appropriate.

Housing, GHG and Carbon Emissions Reduction

The City of Long Beach expressed its support for the Master Plan Update in three key areas: housing, the reduction of carbon emissions, and the reduction of greenhouse gasses. The City stated that the proposed housing options under the Master Plan Update, including housing opportunities for students and staff, would alleviate the strain on the scarce housing supply. Further, the City stated that the proposed housing improvements would help both CSULB and the City meet carbon reduction goals by reducing VMT. Finally, the City states that strategies proposed in the EIR will reduce future GHG emissions and contribute to efforts in mitigating and adapting to climate change.

Objection to the Proposed Faculty and Staff Housing Project

The Bixby Hill Community Association (BHCA) objected to the proposed project, including the location, building height, amount of parking, and lack of a traffic study. VMT is the metric used for the evaluation of transportation impacts, and vehicle congestion, and other vehicle operations related metrics such as level of service (LOS) or delay are no longer a metric suitable for evaluating transportation impacts under CEQA due to Senate Bill 743, as detailed on page 3.11-1 of the EIR. The EIR concludes that VMT generated by implementation of the Master Plan Update, including the Faculty and Staff Housing project, would not exceed the threshold of 18.2 VMT per service population and, thus, impacts would be less than significant and no mitigation measures are required.

Additionally, BHCA stated that they object to the long-term impacts of noise. This project does have the potential to change the campus outdoor ambient noise environment due to the creation of new stationary and/or mobile noise sources. Stationary noise sources include mechanical equipment, or rooftop HVAC systems; crowd noise associated with outdoor social activities at the proposed Faculty and Staff Housing project; and parking activities. Mobile noise sources would be associated with vehicular traffic noise on roadways adjacent to the CSULB main campus during operation. Through detailed analysis of these potential noise elements, noise

levels associated with long-term operation of the Faculty and Staff Housing would not exceed thresholds, the EIR concluded that operational noise impacts would be less than significant for this project and, accordingly, no mitigation measures are required.

General Opposition

Several comments received from individuals centered on planned changes which include lane reductions to Palo Verde Avenue as well as concerns over traffic congestion and pedestrian safety. These comments incorrectly state that the Master Plan Update would reduce traffic lanes on Palo Verde Avenue. The planned changes to Palo Verde Avenue are a City of Long Beach project consistent with the City's Bicycle Master Plan and are unrelated to the proposed Master Plan Update and not under the jurisdiction of CSULB.

As stated on pages 3.11-21 in Section 3.11, Transportation, of the EIR, the Palo Verde Avenue "project would be implemented by the City of Long Beach entirely in its own jurisdiction and would not be implemented by CSULB under the Master Plan Update and is listed here due to its adjacency to the CSULB main campus." The analysis under Threshold TRA-1 evaluates whether implementation of the Master Plan Update would conflict with or preclude the City of Long Beach's proposed reconfiguration of Palo Verde Avenue. As discussed in the analysis under Threshold TRA-1, implementation of the Master Plan Update would not preclude the City's implementation of changes on Palo Verde Avenue if the City ultimately chooses to pursue implementation of the project.

Regarding traffic congestion and pedestrian safety along Palo Verde Avenue, if the City does ultimately remove travel lanes on Palo Verde Avenue, the slower travel speeds and fewer vehicle lanes to conflict with pedestrian crossings would be anticipated to improve safety for pedestrians walking and crossing Palo Verde Avenue compared with the existing condition. Additionally, these commenters are referred to Response 6-2 and Response 11-1 regarding transportation impacts resulting from implementation of proposed housing improvements under the Master Plan Update.

Summary of Project Alternatives

The alternatives analyzed in detail in the DEIR include the following:

No Project Alternative: The "No Project" analysis discusses the existing conditions as well as what would reasonably be expected to occur in the foreseeable future if the Project was not approved (Cal. Code Regs. tit. 14, § 15126.6 (e)(2) and (3)(A)). Under the No Project Alternative, the Master Plan Update and an enrollment projection increase to 36,000 FTES students would not be adopted and the campus would continue to operate under the previously adopted master plan and lower enrollment projection.

Faculty and Staff Housing Project Design Alternative: The Faculty and Staff Housing Project Design Alternative would construct and operate the Faculty and Staff Housing project at the same location as proposed under the Master Plan Update. However, instead of demolishing the existing Design Building and relocating its programming elsewhere on the CSULB main campus, that programming would be incorporated into the design of the project. Whereas the proposed Faculty and Staff Housing project would include four stories of housing above two levels of podium parking for a total of six stories, the building constructed under this alternative would include two levels of podium parking, one story for the relocated Department of Design programming, and four stories of housing, for a total of seven stories. Incorporating the Department of Design programming within the Faculty and Staff Housing project would result in the same number of faculty and staff housing units and an overall increase of approximately 50,000 square feet and one additional story over the project proposed under the Master Plan Update. All other improvements and individual development projects would be implemented as proposed under the Master Plan Update. Development of this alternative would eliminate the need to renovate or construct a new space for the existing Department of Design programming elsewhere on the CSULB main campus. As such, this alternative was selected for its potential to reduce or avoid the significant but mitigable impacts identified for the Master Plan Update related to aesthetics; biological resources; cultural resources; geology, soils, and paleontological resources; noise; and tribal cultural resources.

Reduced Development Footprint Alternative: This alternative would eliminate three near-term projects, including one new development project and two facility replacement projects that partially overlap with two significant or potentially significant archaeological resources. These include the Faculty and Staff Housing project, the Aquatics Center and Pool Renovation replacement project, and the Engineering Replacement project. All other development under the Master Plan Update would be implemented as proposed under the project.

Under the Master Plan Update, the Faculty and Staff Housing project would occupy an approximately 2.5-acre site that overlaps a potentially eligible archaeological resource on the main campus, rather than replacing the existing Design Building as under the proposed Master Plan Update. The Aquatics Center and Pool Renovation project would occupy an approximately 1-acre site adjacent to the existing athletic fields and overlap a potentially eligible archaeological resource on the main campus, rather than upgrading or demolishing and replacing the existing pool at its current location. Finally, the Engineering Replacement Building project would demolish the existing EN2, EN3, and EN4 buildings and construct a new six-story building. The Engineering Replacement Building project would provide right-sized classrooms, teaching labs, faculty and staff workspaces, and flexible lab spaces in a higher-density building on an approximately 1.5-acre site that overlaps a potentially eligible archaeological resource. The majority of the site would remain open space for a quad and provide space for future buildings as the College of Engineering grows over time.

None of these facilities would be developed under this alternative, at these locations or any other locations on the main campus. The existing Aquatics facility would remain in use and would undergo minor maintenance upgrades in place. The Engineering Replacement Building project, including the accompanying open space for future growth and expansion of the College of Engineering, would not be constructed and its programs would not be realized; the College of Engineering would remain in its current facilities.

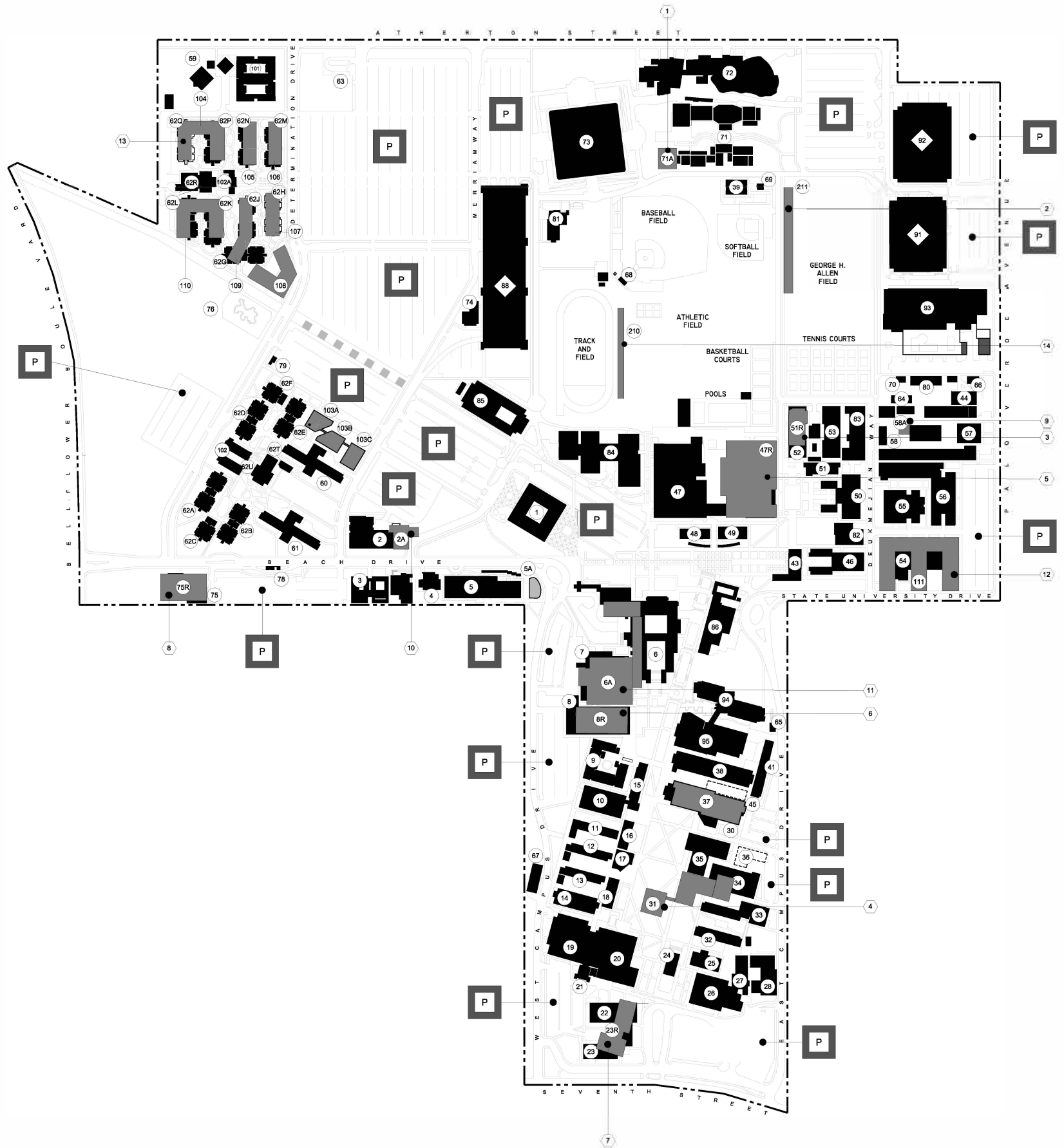
Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the Master Plan Update FEIR has been prepared in accordance with the requirements of the California Environmental Quality Act (CEQA).
2. The FEIR addresses the proposed Master Plan Update and all discretionary actions related to the project as identified in the Master Plan Update FEIR.
3. Prior to the certification of the Master Plan Update FEIR, the Board of Trustees reviewed and considered the above FEIR and found it to reflect the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the FEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For purposes of CEQA and the State CEQA Guidelines, the administrative record includes the following:
 - a. The DEIR for the California State University, Long Beach Master Plan Update;
 - b. The FEIR, including comments received on the DEIR, responses to comments, and revisions to the DEIR in response to comments received;
 - c. The proceedings before the Board of Trustees relating to the proposed Master Plan Update, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
4. This resolution is adopted pursuant to the requirements of CEQA, which require the Board of Trustees to make findings prior to approval of the project (Cal. Pub. Res. Code § 21081; Guidelines § 15091).
5. The Board of Trustees hereby adopts the CEQA Findings of Fact and the Mitigation and Monitoring and Reporting Program, which identifies the environmental impacts of the proposed Master Plan Revision and required mitigation measures, hereby incorporated by reference. The required mitigation

- measures shall be monitored and reported in accordance with the Mitigation and Monitoring Reporting Program, which meets the requirements of CEQA (Cal. Pub. Res. Code § 21081.6; Guidelines § 15097).
6. The project will benefit The California State University.
 7. The California State University, Long Beach Master Plan Update dated January 2024 is approved.
 8. The Chancellor or her designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the California State University, Long Beach Master Plan Update EIR.



California State University, Long Beach

Campus Master Plan
 Master Plan Enrollment: 33,000 FTE
 Approval Date: January/February 1963
 Proposed Revision: January 2024
 Main Campus Acreage: 322



Buildings	Campus Boundary	Parking
EXISTING BUILDING	EXISTING	EXISTING LOT
FUTURE BUILDING	FUTURE	FUTURE LOT
TEMPORARY BUILDING		EXISTING STRUCTURE
EXISTING BUILDING NOT IN USE		FUTURE STRUCTURE

California State University, Long Beach

Master Plan Enrollment: 33,000 FTE

Master Plan approved by the Board of Trustees: January 1963, February 1963

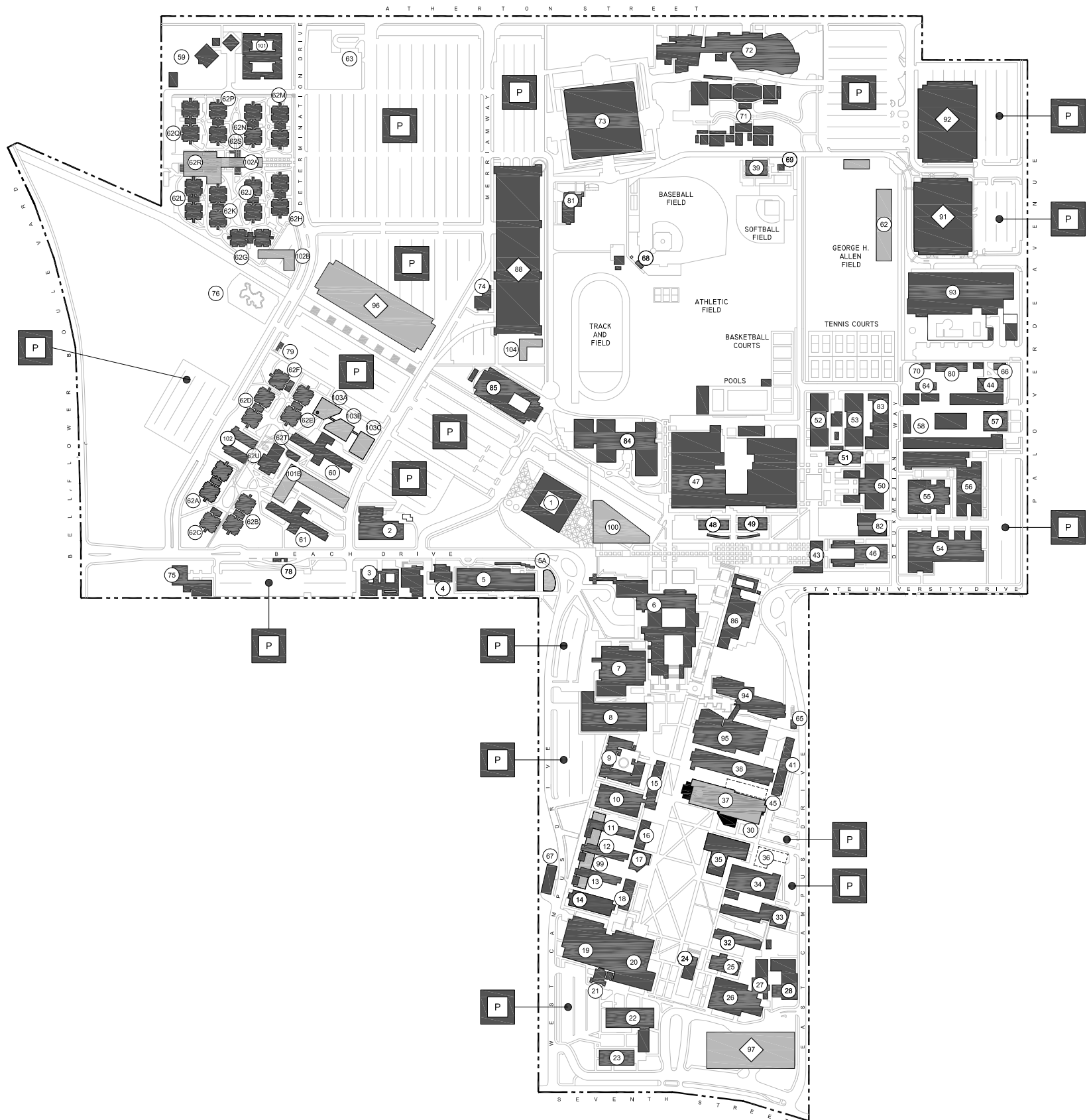
Master Plan Revision approved by the Board of Trustees: September 1965, June 1966,
 November 1970, January 1972, May 1972, March 1974, July 1976, September 1976, November 1978, March 1982, January
 1984, November 1984, November 1985, July 1986, September 1988,
 November 1990, September 1991, September 1994, November 1994, July 2003, May 2008, July 2020

Proposed Revision: January 2024

1. E. James Brotman Hall	44. Electrical Substation (North)	79. Communications - Main Distribution Facility C
2. Student Health Services	45. Faculty Office 5	80. University Police
2A. <i>Student Health Services Addition</i>	46. Social Sciences / Public Affairs	81. Neil and Phyllis Barrett Athletic Administration Center
3. Nursing	47. Kinesiology	82. Outpost Food Service
4. Anna W. Ngai Alumni Center	47R. <i>Kinesiology Replacement Building</i>	83. Engineering/Computer Science
5. Family and Consumer Sciences	48. Health and Human Services Classrooms	84. Steve and Nini Horn Center
5A. <i>Family and Consumer Sciences Addition</i>	49. Health and Human Services Offices	85. College of Business
6. University Student Union	50. Vivian Engineering Center	86. Central Plant
6A. <i>University Student Union Addition</i>	51. Engineering 2	88. Pyramid Parking Structure
7. Cafeteria	51R. <i>Engineering Replacement Building</i>	91. Palo Verde South Parking Structure
8. Bookstore	52. Engineering 3	92. Palo Verde North Parking Structure
8R. <i>College of Education Replacement Building</i>	53. Engineering 4	93. Student Recreation and Wellness Center
9. Psychology	54. Design	94. Molecular and Life Sciences Center
10. Liberal Arts 5	55. Human Services and Design	95. Hall of Science
11. Liberal Arts 4	56. Engineering Technology	101. Parkside North
12. Liberal Arts 3	57. Facilities Management	102. Hillside Gateway
13. Liberal Arts 2	58. Corporation Yard	103A-C. <i>Hillside North Housing</i>
14. Liberal Arts 1	58A. <i>Corporation Yard Addition</i>	104-110. <i>Parkside Village Housing Replacement</i>
15. Faculty Office 3	59. Patterson Child Development Center	111. <i>Faculty and Staff Housing</i>
16. Faculty Office 2	60. Los Alamitos Hall	210. <i>Jack Rose Track/ Commencement Facility</i>
17. Lecture Hall 150-151	61. Los Cerritos Hall	211. <i>George Allen Field Bleachers</i>
18. College of Liberal Arts Administration	62A-F. Hillside Residence Halls	00. Miller House (Located Off Site)
19. Library	62G-Q. Parkside Residence Halls	300. Beachside Housing Village (Located Off Site)
20. Academic Services	62R. Parkside Dining Hall	
21. Multi-Media Center	62T. Hillside Utility	
22. Ellis Education Building	62U. Hillside Dining Hall	
23. Education 2	63. BBS Collections Center	
23R. <i>New 7th Street Community Outreach Facility</i>	64. Greenhouse 3	
24. McIntosh Humanities Office Building	65. Electrical Substation (South)	
25. Language Arts Building	66. Reprographics	
26. Theatre Arts	67. Communications - Main Distribution Facility A	
27. University Theatre	68. Restrooms / Storage	
28. University Telecommunication Center	69. Women's Softball/Soccer Clubhouse	
30. <i>Peterson Hall 1 Replacement Building</i>	70. Communications - Main Distribution Facility B	
31. <i>College of the Arts Replacement Building</i>	71. Bob Cole Conservatory of Music	
32. Fine Arts 1	71A. <i>Bob Cole Conservatory of Music Addition</i>	
33. Fine Arts 2	72. Carpenter Performing Arts Center and Dance Center	
34. Fine Arts 3	73. Mike and Arline Walter Pyramid	
35. Fine Arts 4	74. Parking/Transportation Services	
36. Faculty Office 4	75. International House	
37. Peterson Hall 1	75R. <i>Bellflower Blvd. Community Outreach Facility</i>	
38. Shakarian Student Success Center	76. Earl Burns Miller Garden	
39. Women's Softball/Soccer Locker Room	78. Visitor Information Center	
41. Microbiology		
43. College of Continuing and Professional Education		

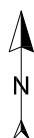
LEGEND:
 Existing Facility / Proposed Facility

NOTE: Existing building numbers correspond with building numbers in the Space and Facilities Data Base (SFDB)



California State University, Long Beach

Campus Master Plan
 Master Plan Enrollment: 31,000 FTE
 Approval Date: January/February 1963
 Revised Date: July 2020
 Main Campus Acreage: 322



Buildings	Campus Boundary	Parking
EXISTING BUILDING	EXISTING	EXISTING LOT
FUTURE BUILDING	FUTURE	FUTURE LOT
TEMPORARY BUILDING		EXISTING STRUCTURE
EXISTING BUILDING NOT IN USE		FUTURE STRUCTURE

California State University, Long Beach

Master Plan Enrollment: 31,000 FTE

Master Plan approved by the Board of Trustees: January 1963, February 1963

Master Plan Revision approved by the Board of Trustees: September 1965, June 1966,
 November 1970, January 1972, May 1972, March 1974, July 1976, September 1976, November 1978,
 March 1982, January 1984, November 1984, November 1985, July 1986, September 1988,
 November 1990, September 1991, September 1994, November 1994, July 2003, May 2008, July 2020

1. E. James Brotman Hall	46. Social Sciences / Public Affairs	79. Communications - Main Distribution Facility C
2. Student Health Services	47. Kinesiology	80. University Police
3. Nursing	48. Health and Human Services Classrooms	81. Neil and Phyllis Barrett Athletic Administration Center
4. Alumni Center	49. Health and Human Services Offices	82. Outpost Food Service
5. Family and Consumer Sciences	50. Vivian Engineering Center	83. Engineering/Computer Science
5A. <i>Family and Consumer Sciences Addition</i>	51. Engineering 2	84. Steve and Nini Horn Center
6. University Student Union	52. Engineering 3	85. College of Business
7. Cafeteria	53. Engineering 4	86. Central Plant
8. Bookstore	54. Design	88. Parking Structure No. 1
9. Psychology	55. Human Services and Design	89. Housing and Residential Life
10. Liberal Arts 5	56. Engineering Technology	91. Parking Structure No. 2
11. Liberal Arts 4	57. Facilities Management	92. Parking Structure No. 3
12. Liberal Arts 3	58. Corporation Yard	93. Student Recreation and Wellness Center
13. Liberal Arts 2	59. Patterson Child Development Center	94. Molecular and Life Sciences Center
14. Liberal Arts 1	60. Los Alamitos Hall	95. Hall of Science
15. Faculty Office 3	61. Los Cerritos Hall	96. <i>Parking Structure 4</i>
16. Faculty Office 2	62. <i>Soccer Field and Sports Building</i>	97. <i>Parking Structure 5</i>
17. Lecture Hall 150-151	62A-F. Hillside Residence Halls	99. <i>Liberal Arts Replacement Building</i>
18. College of Liberal Arts Administration	62G-Q. Parkside Residence Halls	100. <i>Student Services Addition</i>
19. Library	62R. Parkside Dining Hall	101. Parkside North
20. Academic Services	62S-T. Residence Hall	101B. <i>Student Housing Phase 1</i>
21. Multi-Media Center	62U. Hillside Dining Hall	102. Hillside Gateway
22. Ellis Education Building	62V. Residence Hall	102A. <i>Student Housing Phase 2</i>
23. Education 2	63. Recycling Center	102B. <i>Student Housing Phase 2</i>
24. McIntosh Humanities Office Building	64. Greenhouse 3	103A-C. <i>Hillside North Housing</i>
25. Language Arts Building	65. Electrical Substation (South)	104. <i>Food Services</i>
26. Theatre Arts	66. Reprographics	
27. University Theatre	67. Communications - Main Distribution Facility A	00. Miller House (Located Off Site)
28. University Telecommunication Center	68. Restrooms / Storage	
30. <i>Peterson Hall 1 Replacement Building</i>	69. Softball Field Restrooms	
32. Fine Arts 1	70. Communications - Main Distribution Facility B	
33. Fine Arts 2	71. Bob Cole Conservatory of Music	
34. Fine Arts 3	72. Carpenter Performing Arts Center and Dance Center	
35. Fine Arts 4	73. Mike and Arline Walter Pyramid	
37. Peterson Hall 1	74. Parking/Transportation Services	
38. Shakarian Student Success Center	75. International House	
39. Women's Softball/Soccer Locker Room	76. Earl Burns Miller Garden	
41. Microbiology	77. Visitor Information Center	
43. College of Continuing and Professional Education		
44. Electrical Substation (North)		
45. Faculty Office 5		

LEGEND:
 Existing Facility / Proposed Facility

NOTE: Existing building numbers correspond with building numbers in the Space and Facilities Data Base (SFDB)

COMMITTEE ON CAMPUS PLANNING, BUILDINGS, AND GROUNDS

California Polytechnic State University, San Luis Obispo Wastewater Reclamation Facility Environmental Impact Report and Master Plan Revision

Presentation By

Steve Relyea
Executive Vice Chancellor and
Chief Financial Officer

Jeffrey D. Armstrong
President
California Polytechnic State University, San Luis Obispo

Paul Gannoe
Assistant Vice Chancellor
Capital Planning, Design, and Construction

Summary

The California State University Board of Trustees requires a long-range physical master plan for each campus, showing existing and anticipated facilities necessary to accommodate a specified academic year full-time equivalent student enrollment. Under the California Environmental Quality Act (CEQA), the Board of Trustees serves as the Lead Agency that acts to certify the CEQA document and considers significant changes to the proposed campus master plan.

This agenda item requests the Board of Trustees approve the following for California Polytechnic State University, San Luis Obispo:

- Certification of the Final Environmental Impact Report (FEIR) for the Water Reclamation Facility (WRF) project dated January 2024
- Approval of the proposed campus master plan revision for the WRF project

Under CEQA, the Board of Trustees must certify that the FEIR is adequate and complete as a prerequisite to approving the campus master plan revision. Because the FEIR did not conclude that the proposed master plan revision would result in significant and unavoidable effects, no Statement of Overriding Considerations is required.

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The FEIR, Mitigation Monitoring and Reporting Program, and Findings of Fact are available for review by the Board of Trustees and the public at: <https://afd.calpoly.edu/facilities/planning-capital-projects/ceqa/>

Attachment A is the proposed Campus Master Plan. Attachment B is the existing Campus Master Plan approved by the Board of Trustees in May 2020.

Proposed Master Plan Revision

The revision to the physical master plan will primarily provide for the development of the Water Reclamation Facility project. The proposed project will construct an on-campus water reclamation facility (WRF) and recycled water storage and distribution system to produce and deliver high-quality recycled water to agricultural crops, pastures, and athletic fields on campus to meet irrigation demands.

The WRF and recycled water storage and distribution system will produce and deliver disinfected tertiary recycled water that meets the requirements of California Code of Regulations Title 22 for unrestricted reuse, including safe application to agricultural crops, pastures, and athletic fields on campus. The WRF is anticipated to produce an average of 380 acre-feet per year of recycled water.

The project would also include conversion of the existing Cal Poly dairy wastewater lagoons to a co-digester to treat both dairy and swine waste. Sending the swine waste to the dairy ponds would allow the proposed WRF recycled water storage reservoir to be located at the site of the existing swine wastewater lagoons. The co-digester would support management of manure wastewater from the Dairy and Swine units to produce energy via a cogeneration unit and reusable by-products for the Cal Poly composting enterprise.

The proposed WRF, recycled water storage reservoir, and co-digester, along with most of the recycled water distribution system improvements, would be sited in the West Campus subarea. A portion of the proposed force main and lower lift station would be sited in the Academic Core subarea. Portions of the proposed recycled water distribution system also would be sited in the North Campus subarea.

Under the adopted Campus Master Plan, the WRF was anticipated to be located just north of the Dairy Unit and west of the Rodeo Facilities, and a new recycled water storage reservoir was not identified. However, as part of the design of the proposed project and in response to comments, the location of the WRF has been adjusted, and a new recycled water storage reservoir has been proposed.

The non-potable water demands of the campus that are currently met through a portion of the existing Whale Rock Reservoir water allocation would be transitioned over time to be met by non-potable recycled water supplied by the on-campus WRF. The campus would then use Whale Rock Reservoir water freed up by operation of the WRF to meet the additional potable water needs of the campus under

buildout of the Campus Master Plan. Cal Poly would continue to pump up to 120 acre-feet per year of groundwater for agricultural irrigation purposes. Because Cal Poly would not increase agricultural operations as part of the Campus Master Plan, non-potable water demands associated with agriculture are not anticipated to increase.

The proposed master plan changes are noted in Attachment A:

Hexagon 1: Water Reclamation Facility (#79)

Hexagon 2: Recycled Water Reservoir

Hexagon 3: Co-Digester

Fiscal Impact

Approximately \$37 million will be required to support the implementation of the site and facility improvements as proposed in the master plan revision.

California Environmental Quality Act (CEQA) Action

The Final Environmental Impact Report (FEIR) has been prepared to analyze and disclose the potential significant environmental effects of the WRF project, in accordance with CEQA requirements and State CEQA Guidelines. The FEIR is presented for the Board of Trustee review and certification. This FEIR is a project-level EIR, tiered from the Campus Master Plan EIR (State Clearinghouse No. 2016101003), consistent with State CEQA Guidelines Section 15152 (tiering) and Section 15168. The FEIR is presented to the Board of Trustees for review and certification.

The Campus Master Plan EIR is a program-level EIR that broadly examined the significant environmental effects that could result from implementing the Campus Master Plan: a comprehensive land use plan that guides physical development on campus to accommodate projected enrollment increases and expanded and new program initiatives. Potential effects of construction and operation of the WRF were examined in the Campus Master Plan EIR. The Draft EIR was distributed for comment for a 45-day period concluding on May 31, 2023. Comment letters were received from the Central Coast Regional Water Quality Control Board (RWQCB); the California Department of Fish and Wildlife (CDFW); the City of San Luis Obispo (City); the San Luis Obispo County Air Pollution Control District (SLOCAPCD), and 140 students and campus affiliates. The Final EIR for the proposed project, which is tiered from the Campus Master Plan EIR, analyzes a new location for the WRF and recycled water storage reservoir, as well as the alignments of the wastewater conveyance and recycled water distribution pipelines and proposed modifications to the swine and dairy wastewater treatment, with co-digester, and storage systems to accommodate the proposed recycled water reservoir.

The Final EIR includes a detailed evaluation of the following environmental issue areas, as well as other CEQA-mandated issues (e.g., cumulative impacts, growth-inducing impacts, alternatives):

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- Aesthetics
- Archaeological, Historical, and Tribal Cultural Resources
- Biological Resources
- Hydrology and Water Quality
- Utilities and Service Systems

The analysis in the Campus Master Plan EIR was determined to sufficiently describe the significant environmental impacts and mitigation measures to the remaining issue areas identified in Appendix G of the CEQA Guidelines, as discussed in Section 3.1.4 of the Final EIR. Under CEQA statute and the State CEQA Guidelines, a lead agency may limit an EIR's discussion of environmental effects when such effects are not considered potentially significant (PRC Section 21002.1[e]; State CEQA Guidelines Sections 15128, 15143). The determination of which impacts would be potentially significant and therefore evaluated in detail in this EIR was made for this project based on review of applicable planning documents, fieldwork, feedback from public and agency consultation, comments received on the Notice of Preparation (NOP) (see Appendix A of the Final EIR), research, and analysis of relevant project data.

The Final EIR Table ES-1, "Summary of Impacts and Mitigation Measures," lists all environmental impacts, the level of impact before mitigation, proposed mitigation measures, and level of significance after mitigation. As noted, the Final EIR concluded that the project would result in no significant and unavoidable impacts.

The Final EIR includes the comments received on the Draft EIR and responses to the substantive comments on the adequacy of the Draft EIR.

Summary of Public Review of the DEIR

On April 17, 2023, Cal Poly San Luis Obispo released for public review and comment the Draft EIR for the project. A public notice of availability of the Draft EIR was published in a newspaper of general circulation and mailed to all organizations and individuals previously requesting notice. Cal Poly San Luis Obispo provided copies of the complete Draft EIR with appendices to the State Clearinghouse, which, in turn, distributed the Draft EIR to interested state agencies for review and comment. The Draft EIR was circulated for public review and comment for 45 days (concluding on May 31, 2023), during which time interested agencies and members of the public were invited to provide comments on the analysis in the Draft EIR.

When the public comment period closed, 144 comment letters had been received by Cal Poly San Luis Obispo. Letters were received from the Central Coast Regional Water Quality Control Board (RWQCB); the California Department of Fish and Wildlife (CDFW); the City of San Luis Obispo (City); the San Luis Obispo County Air Pollution Control District (SLOCAPCD) and 140 students and campus affiliates. The issues raised in public comments are summarized below. Cal Poly San Luis Obispo prepared formal responses to all comments and these are included in the Final EIR.

Amendments/revisions to the Draft EIR resulting from public comments are included in the Final EIR. A Mitigation Monitoring and Reporting Program has also been prepared in conjunction with the Final EIR.

Key concerns and issues that were expressed in the comments on the Draft EIR included:

- Level of design detail available;
- Relocation of the College of Agriculture, Food and Environmental Sciences (CAFES) Experimental Farm;
- Compliance with existing Central Coast RWQCB permit requirements;
- Compliance with contractual agreements with the City;
- Contingency plans for project operations;
- Potential effects to the City’s collection system and Water Resource Recovery Facility operations; and
- Direct and indirect effects on groundwater sustainability.

The following summarizes these issues and how they were responded to in the Final EIR.

Level of Design Detail Available

Several comments on the Draft EIR from the Central Coast RWQCB and the City requested additional detailed design information. CEQA requires an EIR to provide project information and substantial evidence adequate to “reasonably describe the nature and magnitude of adverse effects.” The response to comments concerning the level of design detail summarized the information that was available at the time the EIR was prepared and explained how the level of project description detail in the Draft EIR was sufficient to allow for a reasonable description of the nature and magnitude of the impacts caused by physical changes to the environment that could result from project implementation.

CEQA is not intended to require the level of investment involved in fully designing a project that may or may not be approved. Detailed design had not begun at the time the EIR was prepared, because it would have required Cal Poly to invest substantial funds that could be interpreted as committing to a course of action before the CEQA process was complete, which is not permitted. If, following certification of the EIR and during the preparation of detailed design and engineering, new information becomes available that suggests implementing the project may result in a new significant impact or impact of greater severity than that described in the EIR, additional CEQA documentation would be prepared.

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Relocation of the CAFES Experimental Farm

Of the 144 comment letters or emails received on the Draft EIR, 140 expressed concern about the potential relocation of the CAFES Experimental Farm. These comments did not raise any issues regarding the adequacy, accuracy, or completeness of the Draft EIR.

However, in response to the concerns raised about relocation of the CAFES Experimental Farm, Cal Poly conducted additional studies and identified a location for the WRF that would avoid displacement of the CAFES Experimental Farm while still meeting WRF space requirements and site access, constructability, operations, and maintenance criteria, and minimizing environmental impacts and conflicts with other CAFES operations in the vicinity. The project was therefore modified to relocate the WRF to the northeast corner of the Dairy Unit north of the Creamery in an undeveloped area currently used for animal feed and compost storage. This new site would avoid disruption of activities at the existing CAFES Experimental Farm.

The Final EIR documents the new WRF location and updates the impact analyses accordingly. No new significant impacts or substantially more severe significant impacts were identified as a result of relocating the WRF.

Compliance with Existing Central Coast RWQCB Permit Requirements

Several comments from the Central Coast Regional Water Quality Control Board (RWQCB) reference concerns about noncompliance with existing permits that cover campus wastewater discharges from existing agricultural operations and suggest that Cal Poly should examine how the project could address these compliance issues and improve groundwater quality protection.

With implementation of the project as described in the Draft EIR, modifications to the Swine Unit wastewater handling process were necessary to address the loss of the swine wastewater ponds to make room for the proposed recycled water reservoir. The proposed modifications to address this included construction of a new open-air, facultative, lined pond or enclosed digester storage tank at the Swine Unit to process swine wastewater. However, in response to the Central Coast RWQCB comments received on the Draft EIR, a more holistic solution to agricultural wastewater processing has been identified that would address the displacement of the unlined wastewater ponds at the Swine Unit while also providing improved Dairy Unit wastewater treatment.

In lieu of a new lined pond or digester storage tank at the Swine Unit, the project refinements would include conversion of the existing swine and dairy wastewater treatment systems on campus to an anaerobic co-digester and cogeneration facility located within the existing Dairy Unit. These project refinements would support collection and treatment of swine and dairy wastewater on campus and would improve the quality of the agricultural wastewater which is used to irrigate and

fertilize forage crops on campus and reduce nitrogen loading to underlying groundwater. The modifications to the existing dairy lagoons and their conversion to a co-digester, along with other planned campus actions not part of the proposed project, such as reduction of the dairy herd and replacement of gutters on dairy facilities, would reduce the potential for overflows to land and nearby creeks during storm events, and these actions collectively, would provide further protection of groundwater and surface water quality. Additionally, biogas produced by the co-digester would be captured and used as a renewable fuel to support digester operations, and potentially as fuel for backup generators at the WRF or the boiler at the Creamery. Thus, the project refinements to address the concerns raised in comments would provide benefits related to both water quality and energy supplies.

The Final EIR documents these proposed project modifications and updates the impact analyses accordingly, and no new significant impacts or substantially more severe significant impacts were identified with incorporation of these project refinements.

Compliance with Contractual Agreements with the City

Concerns were raised by the City about fluctuations in wastewater flow rates to the City's Water Resource Reclamation Facility (WRRF) and compliance with contractual agreements with the City for collection system and wastewater treatment capacity. Cal Poly responded that it would select a modular system that would meet the treatment requirements established for the WRF with fluctuating influent flows and would be consistent with its agreements with the City. The existing agreement with the City does not identify any minimum flow requirements, and flows to the City currently fluctuate throughout the year, with low flows occurring periodically when classes are not in session. As the WRF design is developed, Cal Poly is committed to communicating and coordinating with the City to ensure the City is fully aware of any operational issues. Furthermore, the WRF would have emergency storage built in to address wet weather flows, and Cal Poly will continue to comply with all contractual agreements with the City for collection system capacity as well as wastewater quality and treatment capacity.

Contingency Plans for Project Operations

The City expressed concern about diversion of flows to the City WRRF during an emergency and indicated that contingency plans to address potential catastrophic event(s) and failure(s), such as flooding, fires, seismic events, or electrical outages should be addressed in the EIR. Such emergencies are not reasonably foreseeable occurrences that require detailed CEQA analysis, but contingency plans would nonetheless be developed. The types of measures that would be included in these plans were identified in the EIR along with the conditions under which they would be implemented. The response further explained that the WRF is being designed to have adequate redundancies to avoid catastrophic failure. Furthermore, it was unlikely that WRF system redundancies incorporated into the design would all fail at the same time such that all domestic wastewater flows from campus would need to be redirected to the City.

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Potential Effects to the City's Collection System and WRRF Operations

The City expressed concerns about lack of information on the proposed schedules for off-line, rehabilitated, and new housing units because this information would be needed to inform future water and sewer agreement amendments and evaluation of potential impacts to the City's wastewater collection system and WRRF. While the timing of new housing units on campus would have no bearing on the proposed project characteristics or EIR analysis, Cal Poly responded that it would continue to coordinate agreements with the City related to wastewater flow rates to its WRRF. The project would be completed in one phase with various project components constructed concurrently. Cal Poly is developing new projections for the timing of planned campus growth, and these will be considered during detailed design. It is expected that any concerns regarding the timing of construction of on-campus housing would be addressed in any revised agreement between the City and Cal Poly.

Direct and Indirect Effects on Groundwater Sustainability

The City expressed concern that Cal Poly's objective to recycle and use wastewater on campus rather than convey wastewater to the City's WRRF would reduce the City's treated effluent discharges and, ultimately, the amount of recharge to the San Luis Obispo Valley groundwater basin since most of Cal Poly lies outside of the basin boundaries. In its response to the comment, Cal Poly explained that given the total volume of treated wastewater produced by the City and the fact that the City WRRF already adjusts to fluctuating flows during the year from Cal Poly, a temporary decrease of up to 6 percent from Cal Poly to the City's WRRF would not substantially affect City WRRF discharge volumes. In the long term, Cal Poly discharges to the City system would increase by 20 percent with buildout of the Master Plan. Thus, the proposed project would not substantially affect recharge of the San Luis Obispo Valley Groundwater Basin.

Summary of Project Alternatives

The three alternatives to the WRF Project analyzed in the FEIR include the following:

No Project Alternative: This alternative assumes no construction of the WRF, force main, reservoir, co-digester/cogeneration, or pump stations. Where maintenance of non-potable water distribution pipelines on campus has been deferred, these pipelines would be repaired or replaced in a manner similar to that described for the proposed project. Agricultural wastewater collection, treatment, and disposal would not be altered from the existing processes on campus.

City Wastewater Treatment and Additional Whale Rock Reservoir Water Supply Alternative: Under this alternative, all existing and future wastewater flows from Cal Poly would be conveyed to the City of San Luis Obispo for treatment, non-potable water demand on campus would be

reduced through removal of some agricultural uses, and potable and non-potable water demands would be met through an increased water allocation from Whale Rock Reservoir. Agricultural wastewater collection, treatment, and disposal would not be altered from the existing processes on campus. This alternative would result in similar impacts compared to the proposed project with respect to utilities and service systems, and reduced impacts compared to the proposed project with respect to aesthetics; archaeological, historical, and tribal cultural resources; biological resources; and hydrology and water quality. However, this alternative would not meet most of the project objectives.

City Wastewater Treatment and Recycled Water Delivery Alternative: Under this alternative, all existing and future wastewater flows from Cal Poly would be conveyed to the City for treatment, and potable water demand on campus would be met through the existing water allocation from Whale Rock Reservoir. Cal Poly would purchase recycled water from the City to meet its non-potable water demands and construct a new reservoir on campus to store the recycled water purchased from the City. This new reservoir would be located in the same place and be of the same dimensions and capacity as under the proposed project. To accommodate the new reservoir, swine and dairy wastewater collection, treatment, and disposal improvements would be implemented to provide co-digestion and cogeneration of biogas at the Dairy Unit. This alternative would result in impacts similar to those of the proposed project with respect to archaeological, historical, and tribal cultural resources; and utilities and service systems; and would result in reduced impacts compared to the proposed project with respect to aesthetics, biological resources, and hydrology and water quality. However, Alternative 3 also would not meet most of the objectives of the project.

All impacts under the proposed project would be less than significant with implementation of mitigation included in this Final EIR. No significant and unavoidable impacts would occur. Because implementing the No Project Alternative would avoid all adverse impacts resulting from construction and operation of the project, as well as those under Alternatives 2 and 3, it would be the environmentally superior alternative. When the environmentally superior alternative is the No Project Alternative, selection of an environmentally superior alternative from among the other alternatives evaluated is required.

Because the proposed project would not result in any significant environmental effects that cannot be mitigated to a less than significant level, neither action alternative would be environmentally superior to the proposed project.

Recommendation

The following resolution is presented for approval:

RESOLVED, by the Board of Trustees of the California State University, that:

1. The Board of Trustees finds that the FEIR has been prepared in accordance with the requirements of the California Environmental Quality Act (CEQA).

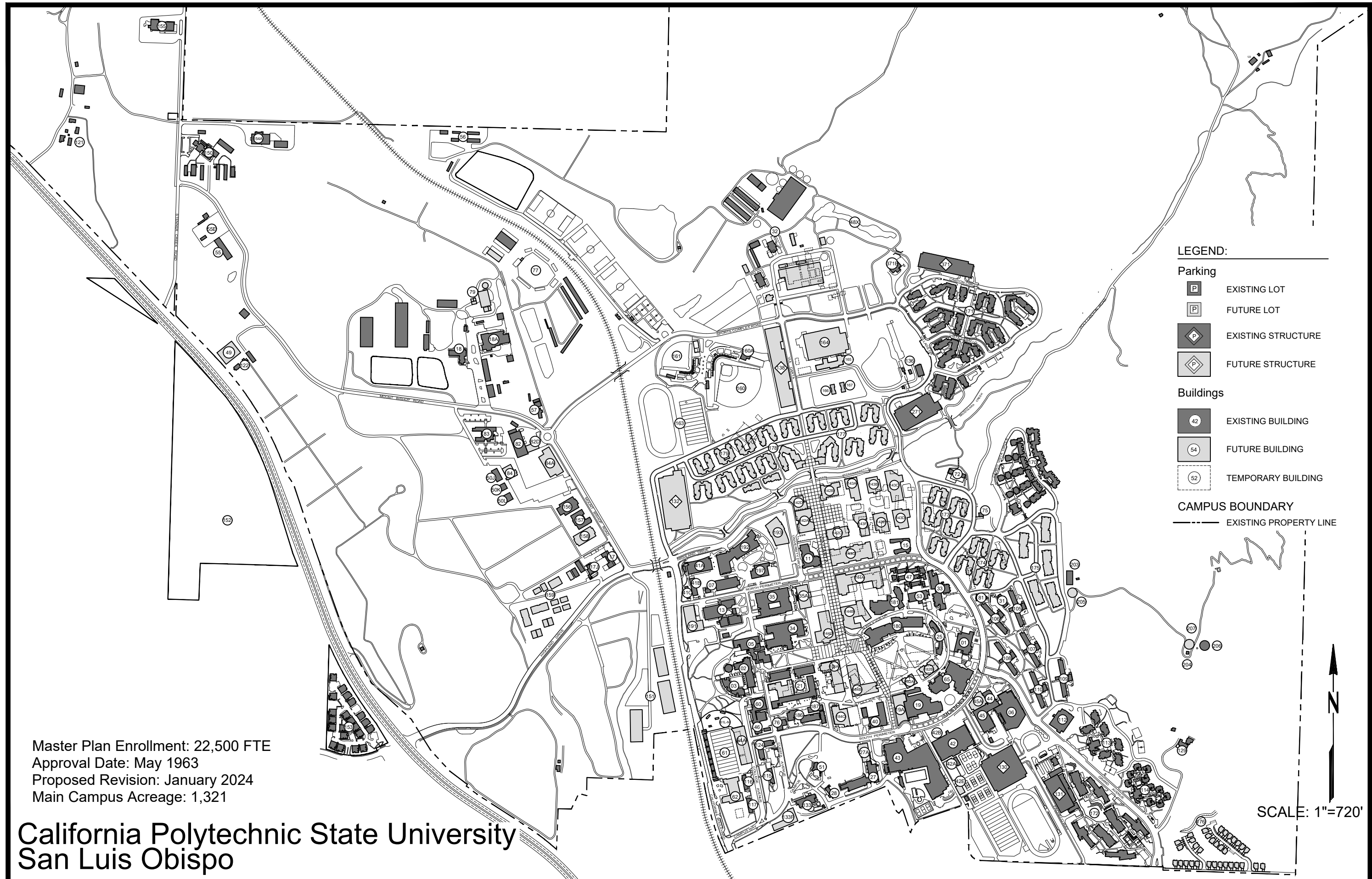
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2. The Final EIR addresses the proposed campus master plan revision and all discretionary actions related to the project as identified in the FEIR.
3. Prior to the certification of the FEIR, the Board of Trustees reviewed and considered the above FEIR and found it to reflect the independent judgment of the Board of Trustees. The Board of Trustees hereby certifies the FEIR as complete and adequate and finds that it addresses all potentially significant environmental impacts of the project and fully complies with the requirements of CEQA. For the purposes of CEQA and State CEQA Guidelines, the administrative record includes the following:
 - a. The DEIR for the California State Polytechnic University, San Luis Obispo Campus Master Plan revision;
 - b. The FEIR, including comments received on the DEIR, responses to comments, and revisions to the FEIR in response to comments received;
 - c. The proceedings before the Board of Trustees relating to the proposed Campus Master Plan revision, including testimony and documentary evidence introduced at such proceedings; and
 - d. All attachments, documents incorporated, and references made in the documents as specified in items (a) through (c) above.
4. This resolution is adopted pursuant to the requirements of CEQA, which require the Board of Trustees to make findings prior to the approval of a project (Cal. Pub. Res. Code § 21081; Guidelines § 15091).
5. The Board of Trustees hereby adopts the CEQA Findings of Fact and Mitigation Monitoring and Reporting Program, which identifies the specific impacts of the proposed Campus Master Plan revision and required mitigation measures, hereby incorporated by reference. The required mitigation measures shall be monitored and reported in accordance with the Mitigation Monitoring and Reporting Program, which meets the requirements of CEQA (Cal. Pub. Res. Code § 21081.6; Guidelines § 15097).
6. The project will benefit the California State University.
7. The California Polytechnic State University, San Luis Obispo Campus Master Plan revision, dated January 2024 (Attachment D), is approved.
8. The chancellor or her designee is requested under Delegation of Authority granted by the Board of Trustees to file the Notice of Determination for the FEIR for the California State Polytechnic University, San Luis Obispo Campus Master Plan Revision.



Master Plan Enrollment: 22,500 FTE
Approval Date: May 1963
Proposed Revision: January 2024
Main Campus Acreage: 1,321

California Polytechnic State University San Luis Obispo

SCALE: 1"=720'

California Polytechnic State University, San Luis Obispo

Proposed Master Plan Enrollment: 22,500 FTE

Master Plan approved by the Board of Trustees: May 1963

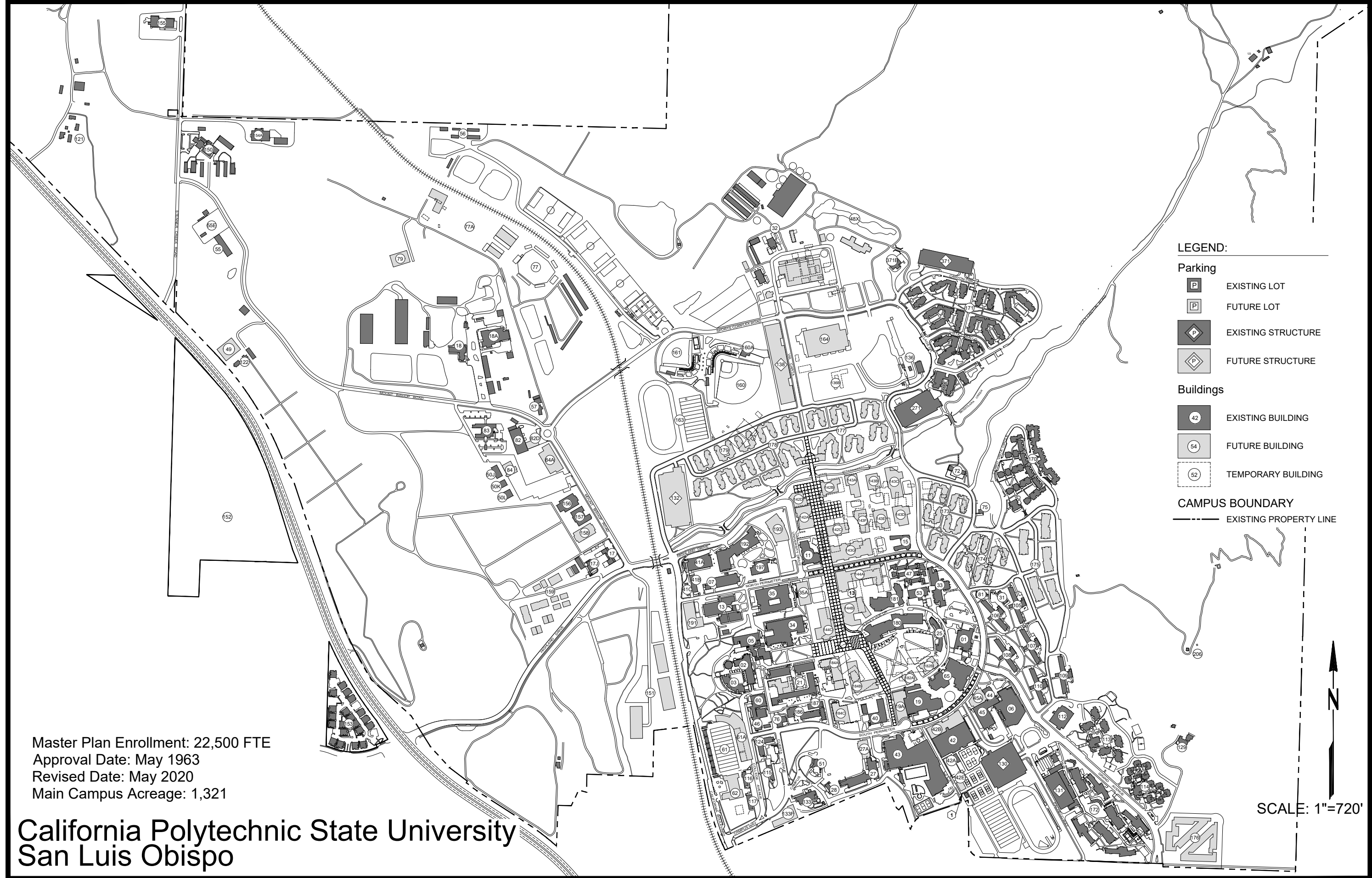
Master Plan Revision approved by the Board of Trustees: June 1965, June 1966, June 1968, November 1970, February 1975, September 1981, March 1983, July 1984, September 1985, November 1986, March 1987, June 1989, March 1997, February 1998, March 2001, May 2017, May 2020

Proposed Revision: January 2024

LEGEND: Existing Facility / Proposed Facility

NOTE: Existing building numbers correspond with building numbers in SFDB

1.	Administration	113.	Sierra Madre Hall
2.	Cotchett Education	114.	Yosemite Hall
3.	Business	115.	Chase Hall
5.	Architecture and Environmental Design	116.	Jespersen Hall
6.	Christopher Cohan Center	117.	Heron Hall
7.	Advanced Technology Laboratories	121.	Cheda Ranch
11.	Agricultural Sciences	122.	Parker Ranch
13.	Engineering	123.	Peterson Ranch
15.	Cal Poly Corporation Administration	124.	Student Services
17.	Crop Science/Farm Store	125.	Serrano Ranch
17J.	Crop Science Lab	129.	Avila Ranch
18.	Dairy Science	130.	Grand Avenue Parking Structure
18A.	Leprino Foods Dairy Innovation Institute	131.	Parking Structure 131
19.	Dining Complex	132.	Northwest Campus Parking Structure
19A.	Dining Complex Addition	133.	Orfalea Family and ASI Children's Center
21.	Engineering West	133F.	Children's Center Expansion
25.	Faculty Offices East	136.	Irrigation and Training Research Center (ITRC)
27.	Health and Wellbeing Center	136B.	ITRC Practice Fields
27A.	Health and Wellbeing Center Addition	138.	Via Carta Parking Structure
28.	Albert B. Smith Alumni and Conference Center	142A.	Creekside Village
31.	University Housing	142B.	Creekside Village
32.	Oppenheimer Family Equine Center	142C.	Creekside Village
33.	Clyde P. Fisher Science Hall	142D.	Transit Center
34.	Walter F. Dexter Building	143A.	Northeast Academic Complex
35.	Robert E. Kennedy Library	143B.	Northeast Academic Complex
35A.	Academic Center Library Addition	143C.	Northeast Academic Complex
40.	Engineering South	143D.	Northeast Academic Complex
41A.	Grant M. Brown Engineering	143E.	Northeast Academic Complex
41B.	Baldwin and Mary Reinhold Aerospace Engineering Labs	143F.	Northeast Academic Complex
41C.	Aero Propulsion Lab	143G.	Northeast Academic Complex
42.	Robert A. Mott Athletics Center	144A.	Math and Science
42A.	Anderson Aquatic Center	144B.	Math and Science
42B.	Robert A. Mott Athletics Center Expansion	144C.	Math and Science
42E.	Tennis Clubhouse	150.	Poultry Science Instructional Center
43.	Recreation Center	151.	Facilities Operations Complex
44.	Alex and Faye Spanos Theatre	152.	University Based Retirement Center
45.	H. P. Davidson Music Center	153.	Bella Montaña
45A.	Davidson Music Center Addition	154A.	Animal Nutrition Center
46.	Old Natatorium	155.	J and G Lau Family Meat Processing Center
47.	Faculty Offices North	156.	E & J Gallo Building
48X.	Leaning Pine Arboretum	157.	Lohr Family Winery
49.	Farm Shop	158.	Brewery/Distillery
50J.	Mount Bishop Warehouse	159.	Environmental Horticulture/Plant Science
50K.	Communications Services Storage	160.	Baggett Stadium
50L.	Rose Float Lab	160A.	Dignity Health Baseball Clubhouse
51.	University House	161.	Bob Janssen Field
53.	Science North	163.	Sports Complex Lower Fields
55.	Beef Cattle Evaluation Center (BCEC)	164.	Oppenheimer Equestrian Center
55E.	Beef Cattle Evaluation Center (BCEC) Expansion	165.	Oppenheimer Equestrian Center - Animal Health Sciences
56.	Swine Unit	166.	Ag Housing I
57.	Veterinary Hospital	167.	Ag Housing II
60.	Crandall Gymnasium	170.	Cerro Vista Apartments
61.	Alex G. Spanos Stadium	171.	Poly Canyon Village Apartments
61A.	Alex G. Spanos Stadium Expansion	172.	yak?itvutvu Residential Community
61L-N.	Alex G. Spanos Stadium Concessions	173.	Student Housing
62.	Spanos Athletic Facility	174.	Student Housing
65.	Julian A. McPhee University Union	175.	Student Housing
72.	Plant Conservatory	176.	Slack & Grand / Faculty & Staff Housing
75.	Mustang Substation	177.	Student Housing
76.	Old Power House	178.	Student Housing
77.	Rodeo Arena	179.	Student Housing
77A.	Rodeo Support Facilities	180.	Warren J. Baker Center for Science and Mathematics
79.	Water Reclamation Facility	181.	William and Linda Frost Center for Research and Innovation
81.	Hillcrest	182A.	Student Support Services
82.	Corporation Warehouse	182B.	Student Support Services
82D.	IT Services Consolidation	184A.	South Via Carta Academic Complex
83.	Technology Park	184B.	South Via Carta Academic Complex
84.	Technology Park Expansion I	184C.	South Via Carta Academic Complex
84A.	Technology Park Expansion II	186.	Construction Innovations Center
105.	Trinity Hall	187.	Simpson Strong-Tie Material Demonstration Lab
106.	Santa Lucia Hall	191.	Engineering Projects Building
107.	Muir Hall	192.	Engineering IV
108.	Sequoia Hall	193.	Northwest Polytechnic Center
109.	Fremont Hall	197.	Bonderson Engineering Project Center
110.	Tenaya Hall	271.	Village Drive Parking Structure
112.	Vista Grande Dining Complex	371.	Canyon Circle Parking Structure
		371B.	University Housing Depot



- LEGEND:**
- Parking**
- EXISTING LOT
 - FUTURE LOT
 - EXISTING STRUCTURE
 - FUTURE STRUCTURE
- Buildings**
- EXISTING BUILDING
 - FUTURE BUILDING
 - TEMPORARY BUILDING
- CAMPUS BOUNDARY**
- EXISTING PROPERTY LINE

Master Plan Enrollment: 22,500 FTE
Approval Date: May 1963
Revised Date: May 2020
Main Campus Acreage: 1,321

California Polytechnic State University San Luis Obispo

SCALE: 1"=720'

California Polytechnic State University, San Luis Obispo

Master Plan Enrollment: 22,500 FTE

Master Plan approved by the Board of Trustees: May 1963

Master Plan Revision approved by the Board of Trustees: June 1965, June 1966, June 1968,
 November 1970, February 1975, September 1981, March 1983, July 1984, September 1985,
 November 1986, March 1987, June 1989, March 1997, February 1998, March 2001, May 2017, May 2020

1. Administration	76. Old Power House	176. Faculty and Staff Workforce Housing
2. Cotchett Education	77. Rodeo Arena	177. Student Housing
3. Business	77A. Rodeo Support Facilities	178. Student Housing
5. Architecture and Environmental Design	79. Water Reclamation Facility	179. Student Housing
6. Christopher Cohan Center	81. Hillcrest	180. Warren J. Baker Center for Science and Mathematics
7. Advanced Technology Laboratories	82. Corporation Warehouse	181. William and Linda Frost Center for Research and Innovation
11. Agricultural Sciences	82D. IT Services Consolidation	182A-B. Student Support Services
13. Engineering	83. Technology Park	184A-C. South Via Carta Academic Complex
15. Cal Poly Corporation Administration	84. Technology Park II	186. Construction Innovations Center
17. Crop Science	105. Trinity Hall	187. Simpson Strong-Tie Material Demonstration Lab
17J. Crop Science Lab	106. Santa Lucia Hall	191. Engineering Projects Building
18. Dairy Science	107. Muir Hall	192. Engineering IV
18A. Leprino Foods Dairy Innovation Institute	108. Sequoia Hall	193. Northwest Polytechnic Center
19. Dining Complex	109. Fremont Hall	197. Bonderson Engineering Project Center
19A. Dining Commons Addition	110. Tenaya Hall	271. Village Drive Parking Structure
21. Engineering West	112. Vista Grande	371. Canyon Circle Parking Structure
25. Faculty Offices East	113. Sierra Madre Hall	371B. University Housing Depot
27. Health Center	114. Yosemite Hall	
27A. Health and Wellbeing Center Addition	115. Chase Hall	
28. Albert B. Smith Alumni and Conference Center	116. Jespersen Hall	
31. University House	117. Heron Hall	
32. Oppenheimer Family Equine Center	121. Cheda Ranch	
33. Clyde P. Fisher Science Hall	121M. Cheda Ranch Modular House	
34. Walter F. Dexter Building	122. Parker Ranch	
35. Robert E. Kennedy Library	124. Student Services	
35A. Academic Center Library Addition	125M. Serrano Ranch Modular House	
40. Engineering South	129. Avila Ranch	
41A. Grant M. Brown Engineering	130. Grand Avenue Parking Structure	
41B. Baldwin and Mary Reinhold Aerospace Engineering	131. Parking Structure 131	
41C. Aero Propulsion Lab	132. Northwest Campus Parking Structure	
42. Robert A. Mott Physical Education	133. Orflea Family and ASI Children's Center	
42A. Anderson Aquatic Center	133F. Children's Center Addition	LEGEND: Existing Facility / Proposed Facility
42B. Robert A. Mott Athletics Center Expansion	136. Irrigation and Training Research Center	NOTE: Existing building numbers correspond with building numbers in the Space and Facilities Data Base (SFDB)
42E. Tennis Clubhouse	136B. Irrigation and Training Research Center Practice Fields	
43. Recreation Center	138. Via Carta Parking Structure	
44. Alex and Faye Spanos Theatre	142A-C. Creekside Village	
45. H. P. Davidson Music Center	142D. Transit Center	
45A. Davidson Music Center Renovation/Addition	143A-G. Northeast Academic Complex	
46. Old Natatorium	144A-C. Math and Science	
47. Faculty Offices North	150. Poultry Science Instructional Center	
48X. Leaning Pine Arboretum	151. Facilities Operations Center	
49. Farm Shop	152. University-Based Retirement Community	
50J. Mount Bishop Warehouse	153. Bella Montana	
50K. Communications Services Storage	154A. Animal Nutrition Center	
50L. Rose Float Lab	155. J and G Lau Family Meat Processing Center	
51. University House	156. Grange Hall	
53. Science North	157. Lohr Family Winery Building	
55. Beef Cattle Evaluation Center	158. Distillery Building	
55E. Beef Cattle Evaluation Center Expansion	159. Environmental Horticulture Science	
56. Swine Unit	160. Baggett Stadium	
57. Veterinary Hospital	160A. Dignity Health Baseball Clubhouse	
60. Crandall Gymnasium	161. Bob Janssen Field	
61. Alex G. Spanos Stadium	163. Sports Complex Lower Fields	
61A. Alex G. Spanos Stadium Expansion	164. Oppenheimer Equestrian Center	
62. Spanos Athletic Facility	170. Cerro Vista Apartments Complex	
65. Julian A. McPhee University Union	171. Poly Canyon Village Complex	
72. Plant Conservatory	172. yak?it?ut?u Housing Complex	
75. Mustang Substation	173. Student Housing	
	174. Student Housing	
	175. Student Housing	

AGENDA

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Meeting: 2:05 p.m., Wednesday, January 31, 2024
Glenn S. Dumke Auditorium

Larry L. Adamson, Chair
Jean Picker Firstenberg, Vice Chair
Diana Aguilar-Cruz
Douglas Faigin
Lillian Kimbell
Jack McGrory
Yammilette Rodriguez
Lateefah Simon
Christopher Steinhauser

- Consent** 1. Approval of Minutes of the Meeting of November 8, 2023, *Action*
- Discussion** 2. Executive Compensation: Vice Chancellor for External Relations and Communications – California State University, *Action*
3. Discussion Regarding Employment Policy Governing Administrator Employees’ Option to Retreat, *Information*
4. Discussion Regarding Employment Policy Governing Employee References, *Information*
5. Update on Civil Rights (Title IX and other Nondiscrimination) Programs and Services, *Information*
6. Employee Relations: Discussion Regarding Response to Other Conduct of Concern, *Information*

**MINUTES OF THE MEETING OF
COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL**

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Members Present

Larry L. Adamson, Chair
Jean Picker Firstenberg, Vice Chair
Diana Aguilar-Cruz
Douglas Faigin
Lillian Kimbell
Jack McGrory
Yammilette Rodriguez
Lateefah Simon
Christopher Steinhauser

Wenda Fong, Chair of the Board
Mildred García, Chancellor

Public Comment

All public comments took place at the beginning of the meeting's open session, prior to all committees.

Trustee Larry L. Adamson called the meeting to order.

Approval of the Consent Agenda

A motion to approve the consent agenda without discussion passed. The minutes from the meeting of September 13, 2023 were approved as submitted.

Agenda Item 2, Annual Report on Outside Employment for Senior Management Employees, was approved as submitted (RUF 11-23-17).

Agenda Item 3, Annual Report on Vice President Compensation and Executive Relocation was submitted for information.

Exemption from Post-Retirement Employment Waiting Period

Chancellor Mildred García recommended that Dr. Jolene Koester be exempt from the 180-day waiting period before returning to work as a retired annuitant. Chancellor García explained that the knowledge and experience that Dr. Koester gained while serving as president of CSU Northridge and as interim chancellor makes her uniquely positioned to provide essential and time-sensitive support to the university during this time of transition. A motion to approve the resolution passed (RUF 11-23-18).

Annual Report on Executive Transition Assignments

Vice Chancellor for Human Resources Leora D. Freedman briefly explained the executive transition programs available to CSU executives and provided an update on transition assignments. During the 2022-2023 fiscal year, four former executives in the previous Executive Transition II program concluded their transition programs (Timothy White, Joseph Castro, Mary Papazian, and Judy Sakaki). The new Executive Consulting program and updated Transition II program (available to executives appointed prior to 2022) requires that transition assignments be reported on the board's discussion agenda at the time they occur and annually. Vice Chancellor Freedman reported that no former executives are in the Executive Consulting program and two former presidents (Thomas Cropper and Framroze Virjee) entered the Transition II program; she highlighted their duties and accomplishments to date.

Discussion Regarding Employment Policy Governing Administrator Employees' Option to Retreat

Vice Chancellor for Human Resources Leora D. Freedman discussed recommended revisions to the Employment Policy Governing Administrator Employees' Option to Retreat based on feedback received from the California State Auditor (CSA) and the Joint Committee on Legislative Audit (JLAC). Vice Chancellor Freedman provided background information and shared developments that have taken place since the adoption of the policy. Recommended revisions will make clear the conditions under which an administrator will not be eligible to retreat, and language will be proposed that all administrators, including campus presidents and other executives, are subject to the retreat policy. Attachment A of Item 6 highlights the key provisions identified by the CSA and JLAC. Staff will seek stakeholder input and the revised policy will be presented for discussion at the January 2024 board meeting.

Discussion Regarding Employment Policy Governing Employee References

Vice Chancellor for Human Resources Leora D. Freedman discussed recommended revisions to the Employment Policy Governing Employee References based on feedback received from the California State Auditor (CSA) and the Joint Committee on Legislative Audit (JLAC). Vice Chancellor Freedman provided background information and shared developments that have taken place since the adoption of the policy. Proposed revisions to the policy will clarify the requirements

of any letter of reference concerning employees found to have engaged in sexual harassment who were not separated from employment as a result of the finding. Attachment A of Item 7 highlights the key provisions identified by the CSA and JLC. Staff will seek stakeholder input and the revised policy will be presented for discussion at the January 2024 board meeting. At the conclusion of the report, Vice Chancellor Freedman responded to questions.

Update on Civil Rights (Title IX and other Nondiscrimination) Programs and Services

Vice Chancellor for Human Resources Leora D. Freedman presented a report on the university's Civil Rights (Title IX and other Nondiscrimination) programs and services. As previously shared, in July 2023, the CSU received reports from Cozen O'Connor's Institutional Response Group and the California State Auditor that address the CSU's implementation of its policies and procedures governing Title IX and other nondiscrimination laws and regulations. The reports are available on the CSU's Title IX web site at: <https://www.calstate.edu/titleix/Pages/cozen-title-ix-assessment.aspx>.

In response to a request at the September board meeting, Vice Chancellor Freedman briefly discussed the legal framework governing universities' responses to Title IX and other protected status-related reports and concerns. She noted that the Cozen O'Connor Systemwide Report contains a helpful overview of the intersecting federal and state laws governing universities' responses to Title IX-related conduct. The report is available on CSU's website at: https://www.calstate.edu/titleix/Pages/cozen-title-ix-assessment.aspx?utm_campaign=homepage

The remainder of Vice Chancellor Freedman's report focused on the status of the work being undertaken at the Chancellor's Office and on campuses to strengthen civil rights programs and services across the CSU. She noted that there are over 150 individual recommendations in the Cozen O'Connor and State Auditor reports that fall into five implementation categories:

1. Building Chancellor's Office Infrastructure
2. Establishing Systemwide Standards
3. Supporting University-Level Implementation Teams
4. Increased Oversight of University Title IX/DHR Programs
5. Leading Other Key Initiatives

A slide presentation was used to update the trustees on the implementation timeline and the key actions taken to date, next steps, and challenges in each category. This same presentation and "progress indicators" will be updated and shared at future board meetings. At the conclusion of the report, Vice Chancellor Freedman responded to questions.

Trustee Adamson adjourned the meeting of the Committee on University and Faculty Personnel.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Executive Compensation: Vice Chancellor for External Relations and Communications – California State University

Presentation By

Mildred García
Chancellor

Summary

This action item establishes the compensation for Gregory J. Saks as vice chancellor for external relations and communications of the California State University.

Executive Compensation

This item recommends that Gregory J. Saks receive an annual salary of \$344,321 effective February 12, 2024, the date of his appointment as vice chancellor for external relations and communications of the California State University.

In accordance with existing policy of the California State University, Mr. Saks will receive the following benefits:

- An auto allowance of \$1,000 per month;
- Standard benefit provisions afforded CSU Executive classification employees; and
- Reimbursement for reasonable actual and necessary travel and relocation expenses.

Recommended Action

The following resolution is recommended for adoption:

RESOLVED, by the Board of Trustees of the California State University, that Gregory J. Saks shall receive a salary set at the annual rate of \$344,321 effective February 12, 2024, the date of his appointment as vice chancellor for external relations and communications of the California State University; and be it further

RESOLVED, that Gregory J. Saks shall receive additional benefits as cited in Agenda Item 2 of the Committee on University and Faculty Personnel at the January 29-31, 2024 meeting of the Board of Trustees.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Discussion Regarding Employment Policy Governing Administrator Employees' Option to Retreat

Presentation By

Leora D. Freedman
Vice Chancellor
Human Resources

Summary

At the September 2023 meeting of the CSU Board of Trustees, during the Update on Civil Rights (Title IX and Nondiscrimination) Programs and Services, it was announced that Chancellor's Office staff plans to present recommended policy revisions to the Employment Policy Governing Administrator Employees' Option to Retreat (the "Retreat Policy") based on feedback from the California State Auditor (CSA) and the Joint Committee on Legislative Audit (JLAC).

Thereafter, at the November 2023 meeting, an information item was presented describing the issues raised in the feedback and the steps that will be taken to seek stakeholder input and propose revisions to the policy for the board's consideration.

This information item will present and describe the proposed revisions to the Employment Policy Governing Administrator Employees' Option to Retreat.

The revised Retreat Policy is provided in Attachment A to this agenda item. Attachment B displays the proposed revisions in markup view.

This item will be presented for action at the March 2024 meeting of the Board of Trustees.

“Revised”
Employment Policy Governing Administrator Employees' Option to Retreat

This policy describes the protocol governing the option for Administrators to Retreat to a faculty position at the California State University (CSU) at the end of an administrative appointment. The policy also describes the circumstances under which Administrators are ineligible to Retreat.

Applicability

This policy applies to all Administrator appointments made at any CSU campus or at the Chancellor's Office on or after July 13, 2022 that include the option to Retreat to a faculty position. This policy does not impact retreats offered in appointment letters prior to July 13, 2022, except on a case-by-case basis in the event of serious misconduct or policy violation.

Definitions

Administrator – Any CSU employee designated as an Administrator (management or supervisory) under the Higher Education Employer-Employee Relations Act and Title 5. Administrators may be in either the M80 (MPP) or M98 (Executive) job classifications.

Executive – An Executive employee is an employee in a M98 job classification, including the Chancellor, Vice Chancellors, and Campus Presidents.

Finding – A Finding is a decision or conclusion made during a Proceeding that an Administrator engaged in a violation of CSU policy or other unprofessional misconduct.

Determination – A Determination is a decision or conclusion made at the time an Administrator requests Retreat that the Administrator is unsuitable to have continued direct interactions with CSU students or employees. A Determination is based on a Finding or in reliance on a written acknowledgment by the Administrator that they engaged in misconduct.

Management Personnel Plan (MPP) Employees – An MPP employee is any CSU employee designated as a manager or supervisor under the Higher Education Employer-Employee Relations Act and Title 5. MPP Employees are in the M80 job classification. Rules and provisions governing MPP employees can be accessed here: [MPP](#).

Misconduct – includes, but is not limited to: (a) a violation of [CSU's Nondiscrimination Policy](#) or other CSU policy; (b) improper governmental activity, which is defined by California law as an action that violates state or federal law or regulation; that is economically wasteful, or that involves gross misconduct, incompetence, or inefficiency; (c) an act or threat of violence; or (d) unprofessional conduct.

Proceeding – includes but is not limited to: (a) a university investigation; (b) an internal or

external audit; (c) an administrative proceeding by a state body having jurisdiction, such as the Department of Labor; (d) a civil or criminal proceeding; or (e) a proceeding that leads to a determination of improper governmental activity (defined by the State of California as any action that violates state or federal law or regulation that is economically wasteful or that involves gross misconduct, incompetence, or inefficiency). A Proceeding is deemed concluded after any appeal rights have been exhausted or waived.

Retreat – the option to Retreat means an Administrator's ability to be reassigned to a faculty position with or without tenure at a designated tenure-track rank and within a designated department at the end of an administrative assignment.

Considerations and Procedures for the Conferral of the Option to Retreat

General Considerations

Whenever practicable, and except in the case of closed executive searches (as addressed below), options to Retreat are granted at the time of appointment to an Administrator position and are memorialized in the appointment letter. Therefore, during the recruitment process, and before any offer of employment is made to a candidate for an MPP position, the campus shall:

1. Review the candidate's education, background, teaching skill, scholarship, research and creative contributions and professionalism to ensure that the candidate's qualifications are comparable to other CSU tenure-track faculty.
2. Ensure that the hiring authority or search committee chair consults confidentially with the tenured department faculty or department committee in accordance with campus policy to ensure the appropriateness of an Administrator's Retreat to a particular academic department or unit. The details of an option to Retreat are memorialized in an Administrator's appointment letter, therefore, any concerns or questions about the candidate's qualifications must be raised before an offer is extended to the Administrator.

Template letters for MPP and Executive appointments entitled “CSU Administrator Appointment Letter with Addendum for Retreat (MPP)” and “CSU Administrator Appointment Letter with Addendum for Retreat (Executive)” are attached to this policy. In closed executive searches, any option to retreat made at the time of appointment is conditioned on and subject to the terms that will be negotiated after that appointment is publicly announced. The Executive template letter should be used in connection with the appointment of university presidents or other closed executive searches. In such cases, the provost consults with the relevant department as described in the attached “Campus Guidance in Conferring the Option to Retreat to Campus Presidents.”

Establishment of a Procedure for Conferring the Option to Retreat

Campuses must adopt procedures for conferring the option to Retreat to a faculty position for Administrator candidates. The procedure shall include: (a) early notification from the search

committee to the appropriate department that a finalist is requesting an option to Retreat so as to ensure a timely conferral/appointment should the finalist be selected for hire; (b) the process for the recommendation of conferral of retreat by either the tenured faculty or a committee of tenured faculty to which the Administrator wishes to Retreat (augmented by tenured faculty from other departments when sufficient tenured faculty are not available in the department) and/or other representatives of the campus, as provided by campus policy; and (c) final approval by the campus president or designee.

In granting the option to Retreat with tenure, serious consideration should be given to the candidate's education, background, teaching skills, scholarship, research and creative contributions and professionalism, to ensure that the candidate's qualifications are comparable to other CSU tenure-track faculty. As a general rule, administrator candidates who have earned and held a tenured position as a professor at any CSU or other comparable institution would (subject to campus process) be provided the option to retreat to a position at their current faculty rank. Administrator candidates who have never earned or held a tenure-track position may be offered the option to Retreat to either a tenured or probationary faculty position on a case-by-case basis provided that during the campus tenure review process, they demonstrate a substantial record of achievement meriting such rank.

Memorialization of the Terms of Retreat

If the campus determines an Administrator candidate should be conferred an option to Retreat, the following terms must be memorialized in the appointment letter.

- a. Acknowledgement of the faculty appointment, whether it is with or without tenure, and the rank to which the candidate will be appointed upon retreat.
- b. Name of the department and college to which the candidate will be appointed upon Retreat.
- c. Faculty rank upon Retreat.
- d. Salary placement details to be applied upon Retreat. If an Administrator exercises their option to Retreat three years or more after the effective date of their appointment, their salary may be set up to the highest paid professor in their rank in their department or the maximum pay rate for their rank in their department, at the president's discretion. If an Administrator exercises their option to Retreat prior to serving three years in the administrative role, their salary at the time of Retreat must be in line with similarly ranked professors in the department/school to which they are retreating.
- e. The amount of notice the Administrator must provide to the university of their intent to elect to Retreat.
- f. Any additional terms agreed upon between the campus and the candidate relating to their Retreat and/or faculty appointment.
- g. Notice of the potential ineligibility for the option to Retreat in the event of a Finding as described in this policy or any successor policy.

Terms of administrative leave should also be included in the appointment letter.

Determination of Ineligibility to Exercise the Option to Retreat

An Administrator will be found ineligible to exercise the option to Retreat when a Determination has been made that the Administrator is unsuitable to have continued direct interactions with CSU students or employees. The Determination is made at the time the Administrator requests Retreat. The campus president or designee, in consultation with the university's Title IX Coordinator, Faculty Affairs, Human Resources or other administrators with relevant subject matter expertise, and if appropriate systemwide Human Resources, make this determination. Absent extenuating circumstances, it is presumed that a Finding that an Administrator engaged in sexual harassment in violation of CSU policy will render the Administrator unsuitable to have continued direct interaction with CSU students or employees.

Allegations or reports of misconduct for which no Findings have been made may not serve as a basis for denying an option to Retreat. However, in the event a complaint or investigation that could result in a Finding is pending at the time that the Administrator announces their intention to exercise their option to Retreat, the Retreat determination shall be held in abeyance until the completion of any pending investigation or Proceeding, including any appeals. At the written request of the Administrator, the president, in consultation with the chancellor, (or in the case of the chancellor seeking to retreat, the board chair), may allow the Administrator to be placed on paid administrative leave pursuant to Section 42729 of Title 5 during the pendency of the investigation.

In the event there is no Finding or pending investigation at the time the request to Retreat is made, any decision to allow Retreat shall be conditional for 60 days. If, during the 60-day period, a Proceeding is initiated or the campus initiates an investigation that could result in a Finding, the Retreat determination shall be held in abeyance as set forth above until the completion of the pending investigation or Proceeding, including any appeals. CSU will endeavor to complete the investigation or Proceeding in a timely fashion. CSU may also, at any time (including after Retreat), investigate and take appropriate action in connection with alleged misconduct committed by an employee while serving as an Administrator.

Notice of ineligibility to Retreat will be provided to an Administrator by the campus president, chancellor, or their designee, and to a chancellor by the board chair. For Administrators employed at the Chancellor's Office, the chancellor or designee is responsible for determining ineligibility to Retreat. In the case of a chancellor or vice chancellor, the board of trustees holds this responsibility.

When an Administrator is ineligible to Retreat, the university may not provide a positive reference or letter of recommendation. Human Resources may provide employment verification for the Administrator, however, consisting only of the job titles held, dates of employment, and job duties, as outlined in CSU's employee reference policy.

Notice of Election to Exercise the Option to Retreat

An Administrator who has been offered the option to Retreat must notify the university of their intent to elect to Retreat within the time period set forth in the Administrator's appointment letter. At the time the Administrator notifies the campus of their election to Retreat, and the campus determines the Administrator's eligibility to Retreat, the campus and Administrator should discuss the transition plan including the timing for Retreat and work assignment. Absent circumstances that would prevent the Administrator from doing so, they should normally Retreat and initiate their faculty appointment no later than six (6) months from the date on which the University was notified of the Administrator's intent to Retreat.

Procedure for Reconsideration of Denial of the Option to Retreat

Any campus decision to deny an Administrator's option to Retreat will be subject to reconsideration in accordance with Section 42728 of Title 5, California Code of Regulations, as may be set forth in campus policy.

Payment in Lieu of Notice Where MPP Employee Elects to Retreat

Pursuant to Section 42723(e) of Title 5, campuses are required to provide an MPP Employee notice of non-retention at least three months prior to the separation date or provide corresponding salary in lieu of notice.

Further, campuses are required to pay MPP Employees all earned and unpaid wages and accrued vacation on the last day of employment (separation date). In the event a campus chooses to exercise its right to non-retain an Administrator who has the option to retreat, the campus should provide at least 30 days' notice whenever possible, so that the Administrator has sufficient time to convey intent to retreat. (The remainder of the non-retention period would be paid in salary in lieu of notice.)

Adopted DATE
Resolution RUFPP 11-22-18, RUFPP xx-xx-xx
CSU Board of Trustees

Campus Guidance: Granting the Option to Retreat to Presidents

The option to retreat to a faculty position is granted to Administrators in either the M80 (MPP) or M98 (Executive) job classifications at the conclusion of their administrative appointment in alignment with [CSU's Employment Policy Governing Administrator Employee's Option to Retreat](#). Due to the fact that presidents and other executives are recruited through a closed search process, the process for granting the option to exercise Retreat to Presidents differs from those of other administrators as follows:

1. **Timing:** While Systemwide policy requires that the option to retreat be granted and memorialized in an Administrator's appointment letters, maintaining the confidentiality of presidential searches means the option to retreat can only be granted after appointment.
2. **Appointment Letters:** Appointment letters for campus presidents should include conditional language indicating that the appropriate department faculty will be consulted within the first 90 days of the president's employment. Recommended template language is provided in Appendix A.
3. **Documentation:** Final approval to confer the option to retreat to campus presidents is documented in a memorandum from the campus designee (typically the Provost) to the president's personnel file. A copy of the memorandum is to be provided to the Chancellor's Office. Template language is provided in Appendix B.

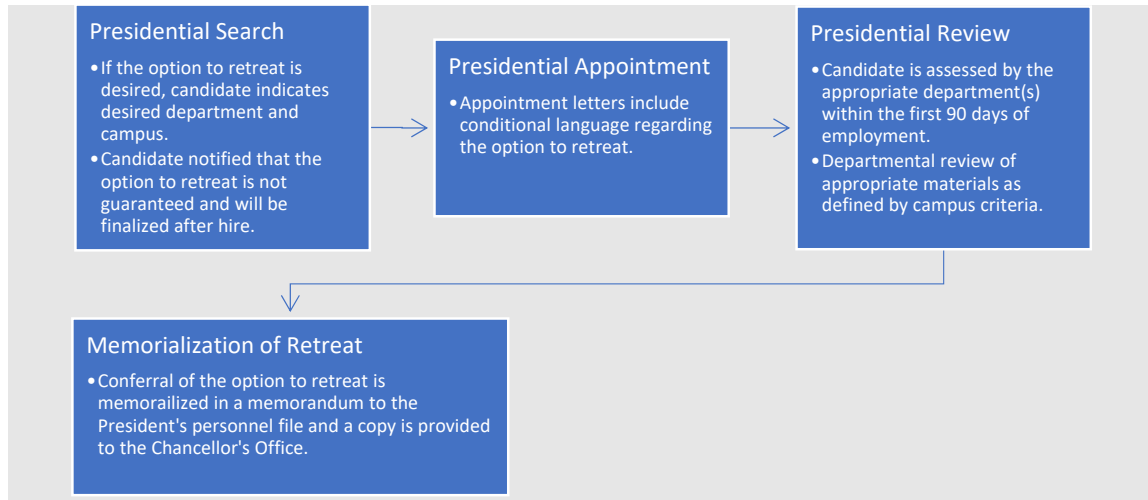
It is the responsibility of each CSU campus to develop processes around the conferral of the option to retreat to new presidents. Processes should establish:

1. A campus designee (typically the provost) responsible for ensuring compliance with campus process and systemwide policy.
2. Criteria for assessment for tenure including what materials will be provided by the candidate and reviewed by the department. Example criteria is provided in Appendix C.

If a department determines that it will not recommend offering a retreat option to a president, the campus designee should directly notify the Chancellor, who will work collaboratively systemwide to find an appropriate solution.

Additional template language is provided in Appendix D.

Sample Process



DRAFT

APPENDIX A
Recommended Template Language: Appointment Letter – Presidents

As discussed, subject to faculty consultation within the first 90 days of your appointment as President, you will be granted the option to request retreat to a tenured faculty position in the department of <DISCIPLINE> in the School of <SCHOOL> at <CAMPUS> pursuant to CSU [Employment Policy Governing Administrator Employees' Option to Retreat](https://calstate.policystat.com/policy/12715152/latest) (Policy Stat ID 12715152 -- <https://calstate.policystat.com/policy/12715152/latest>) and campus policy. The option to retreat is subject to the terms and conditions of these policies.

If you request the option to Retreat prior to serving three years, your salary at the time of Retreat will align with similarly ranked professors in the department/school to which you are retreating. If you request the option to Retreat three years or more after the effective date of your appointment, your salary may be set up to the maximum pay rate for your rank, at the discretion of the Board of Trustees.

<IF APPLICABLE> As discussed, <TERMS OF ADMINISTRATIVE LEAVE>. <ANY ADDITIONAL TERMS AGREED UPON BETWEEN THE CAMPUS AND THE CANDIDATE>.

APPENDIX B
Recommended Template: Memorandum Conferring the Option to Retreat

<CAMPUS LETTERHEAD>

MEMORANDUM

DATE:

FROM:

TO:

CC:

SUBJECT: Conferral of the Option to Retreat to President <NAME>

I am pleased to confirm that the department of <DEPARTMENT> in the School of <SCHOOL> at <CAMPUS> supports granting you the option to retreat to a faculty position as a <TENURED/NON TENURED> Professor.

The option to retreat is subject to the terms and conditions set forth in CSU's [Employment Policy Governing Administrator Employees' Option to Retreat](https://calstate.policystat.com/policy/12715152/latest) (Policy Stat ID 12715152 -- <https://calstate.policystat.com/policy/12715152/latest>)

APPENDIX C
Example Criteria for Assessing Candidates for Retreat

The option to retreat to a faculty position is granted to Administrators in either the M80 (MPP) or M98 (Executive) job classifications at the conclusion of their administrative appointment and in alignment with [CSU’s Employment Policy Governing Administrator Employee’s Option to Retreat](#).

At the request of the campus designee as outlined in established campus policies, tenured department faculty or a committee of tenured department faculty may be asked to evaluate Administrators for conferral of the option to retreat. Criteria departments may wish to consider, as well as those that should not be factored into their assessment, are as follows:

Do Consider	Do Not Consider
Prior tenured position and institutional affiliation	Course Offerings
Education	Order of Assignments
Instructional Experience	Overlap with current faculty expertise
Publications	Departmental/ School Budget
Research or Creative Activities	Potential Retaliation (It is prohibited!)
Professional Expertise	Qualifications for the Administrative Position
Contributions to the Profession	
Discipline Specific Measures of Success	
Contributions to the Department	

What is critical is that in assessing eligibility for retreat, the tenured faculty consider the candidate’s qualifications for a faculty position and not for the administrator position to which they are applying.

APPENDIX D
ADDITIONAL TEMPLATE LANGUAGE

The templates below are provided for campus use dependent on their established processes.

Memo: Chair to Dean

I, <name>, <title>, chair of the department of <DEPARTMENT> at <CAMPUS> confirm that a committee of tenured faculty reviewed the curriculum vitae and provided supplemental materials for <NAME MPP> <OPTIONAL and met with the candidate>.

After careful review the candidate's education, background, teaching skill, scholarship,

research and creative contributions and professionalism, the tenured faculty/ a committee of tenured faculty voted on <DATE> <IN FAVOR OF/ AGAINST> recommending conferral to <MPP NAME> of the option to retreat to a faculty position at the rank of <PROPOSED RANK> <WITH/WITH OUT> tenure.

<If applicable>Our recommendation against conferring the option to retreat is based upon the following concerns:

Memo: Dean to President

Upon review of <MPP NAME> curriculum vitae and with the recommendation of the Department of <TITLE> in the <SCHOOL> at <CAMPUS>, I am pleased to recommend that <MPP NAME> be conferred the option to retreat to a faculty position as <RANK> of <DISCIPLINE> <WITH/WITHOUT> tenure.

The tenured faculty/ a committee of tenured faculty of the department of <TITLE> met on <DATE>. After careful review of the candidate's education, background, teaching skill, scholarship, research and creative contributions and professionalism, the department of <DEPARTMENT> voted in favor of conferring the option to retreat to <NAME OF MPP>. I <SUPPORT/DO NOT SUPPORT> their recommendation.

<CHOOSE ONE> The department and I would welcome <NAME'S> contributions to <CAMPUS> as an educator, scholar/practitioner/artist, and peer. <OR> After careful consideration, I have come to a different conclusion than the department for the following reasons: <EXPLANATION>

This memorandum is issued in alignment with CSU systemwide policy as outlined in [Employment Policy Governing Administrator Employees' Option to Retreat](#).

Appointment Letter - MPPs and Executives

As part of your administrative appointment, you are being granted an option to request retreat to a faculty position <WITH/WITHOUT> tenure at the rank of <RANK> in the Department of <DISCIPLINE> in the College/School of <COLLEGE/SCHOOL> at <CAMPUS>.

The option to Retreat is subject to the terms and conditions set forth in the attached document titled "Terms and Conditions Pertaining to Retreat" and the [Employment Policy Governing Administrator Employees' Option to Retreat](#) ("Retreat Policy"). Upon notice of resignation or non-retention from your administrative position, you will have 30 days from notification to request in writing retreat. Requests to retreat may be denied in the event of the conditions as described in the [Retreat Policy \(https://calstate.policystat.com/policy/12715152/latest\)](https://calstate.policystat.com/policy/12715152/latest).

If you request the option to retreat prior to serving three years in the administrative role, your salary at the time of retreat will align with similarly ranked professors in the department/school to which you are retreating. If you request the option to retreat three years or more after the effective date of your appointment, your salary may be set up to the highest paid professor at your rank in the department or the maximum pay rate for your rank in their department, at the president's. <IF APPLICABLE> As discussed, <TERMS OF ADMINISTRATIVE LEAVE>. <ANY ADDITIONAL TERMS AGREED UPON BETWEEN THE CAMPUS AND THE CANDIDATE>.

DRAFT

“Proposed Revision Markups”
Employment Policy Governing Administrator Employees' Option to Retreat

~~This~~ ~~The purpose of this policy~~ ~~policy is to delineate systemwide~~ ~~describes the~~ protocols throughout the California State University (CSU) system governing the option for Administrators to Retreat to a faculty position at the California State University (CSU) the end of their administrative appointment. The policy ~~also describes~~ ~~identifies the conditions~~ ~~the circumstances~~ under which Administrators are ~~eligible and~~ ineligible to Retreat.

~~This policy is intended to provide systemwide consistency in conferring and granting options to Retreat to faculty positions in connection with Administrator appointments. This policy is based on the core values of CSU such as commitment to the university mission, collegiality, excellence in teaching and scholarship, and on CSU's overarching commitment to maintaining an inclusive and equitable community that fosters mutual respect and a workplace free of discrimination, harassment, and retaliation.~~

Applicability

This policy applies to all Administrator appointments made at any CSU campus or at the Chancellor's Office on or after July 13, 2022, that include the option to Retreat to a faculty position. This policy ~~is intended to be prospective and~~ does not impact retreats offered in appointment letters prior to July 13, 2022, ~~granted prior to its effective date~~ except on a case-by-case basis in the event of serious misconduct or policy violation.

Relevant Definitions

Administrator – ~~this means any~~ Any CSU employee designated as an Administrator (management or supervisory) under the Higher Education Employer-Employee Relations Act and Title 5. Administrators may be in either the M80 (MPP) or M98 (Executive) job classifications.

Executive – An Executive employee is an employee in a M98 job classification, including the Chancellor, Vice Chancellors, and Campus Presidents.

Finding - A Finding is a decision or conclusion ~~determination~~ made during a Proceeding ~~than an as described below that an~~ Administrator engaged in misconduct or a policy a violation of CSU policy or other unprofessional misconduct. ~~that renders the Administrator unsuitable to have continued direct interactions with CSU students or employees.~~

Determination - A determination is a decision or conclusion ~~are made in the course of~~ made at the time an Administrator requests Retreat that the Administrator is unsuitable to have continued direct interactions with CSU students or employees. A determination is based on a Finding or in reliance on a written acknowledgement by the Administrator that they engaged in misconduct.

~~proceedings including but not limited to: (a) a university investigation, following any appeals; (b) an internal or external audit; (c) an administrative proceeding by a state body having jurisdiction, such as the Department of Labor; (d) a civil or criminal proceeding, following any appeals; or (e) a proceeding that leads to a determination of improper governmental activity (defined by the State of California as any action that violates state or federal law or regulation that is economically wasteful or that involves gross misconduct, incompetence, or inefficiency). An admission by the Administrator that they engaged in conduct that the university determines to constitute misconduct or a policy violation that renders the Administrator unsuitable to have continued direct interactions with CSU students or employees also constitutes a Finding for purposes of this policy.~~

Management Personnel Plan (MPP Employees)~~—the management personnel plan outlines the rules and provisions governing administrators and administrative appointments within the CSU and can be accessed here: M~~—the management personnel plan outlines the rules and provisions governing administrators and administrative appointments within the CSU and can be accessed here: —the management personnel plan outlines the rules and provisions governing administrators and administrative appointments within the CSU and can be accessed here: PP.

~~MPP Employees~~—An MPP Employee is any CSU employee designated as a manager or supervisor under the Higher Education Employer-Employee Relations Act and Title 5. MPP Employees are in the M80 job classification. Rules and provisions governing MPP employees can be accessed here: MPP.

Misconduct - includes, but is not limited to: (a) a violation of CSU’s Nondiscrimination Policy or other CSU policy; (b) improper governmental activity, which is defined by California law as an action that violates state or federal law or regulation that is economically wasteful, or that involves gross misconduct, incompetence, or inefficiency; (c) an act or threat of violence; or (d) unprofessional conduct.

Proceeding – includes but is not limited to: (a) a university investigation; (b) an internal or external audit; (c) an administrative proceeding by a state body having jurisdiction, such as the Department of Labor; (d) a civil or criminal proceeding; or (e) a proceeding that leads to a determination of improper governmental activity (defined by the State of California as any action that violates state or federal law or regulation that is economically wasteful or that involves gross misconduct, incompetence, or inefficiency). A Proceeding is deemed concluded after any appeal rights have been exhausted or waived.

Retreat - the option to Retreat means an Administrator's ability to be reassigned to a faculty position with or without tenure at a designated tenure-track rank and within a designated department at the end of an administrative assignment.

Considerations and Procedures for the Conferral of the Option to Retreat

General Considerations

Whenever practicable, and except in the case of closed executive searches (as addressed below), Options to Retreat should always be granted at the time of appointment to an Administrator position and are memorialized in an Administrator's appointment letter. When considering the granting of an option to Retreat to an Administrator candidate, the campus should seek to do the following: Therefore, during the recruitment process, and before any offer of employment is made to a candidate for an MPP position, the campus shall:

1. Review the candidate's education, background, teaching skill, scholarship, research and creative contributions and professionalism to ensure that the candidate's qualifications are comparable to other CSU tenure-track faculty.
1. Reflect the CSU's faculty hiring process by assessing the candidate's education, background, excellence in teaching, scholarship, and/or research and creative activities, and professionalism.
2. Ensure the appropriateness of an Administrator's Retreat to a particular academic department or unit through consultation with the department faculty into which the candidate would ultimately Retreat.
2. Ensure that the hiring authority or search committee chair consults confidentially with the tenured faculty or department committee in accordance with campus policy to ensure appropriateness of an Administrator's Retreat to a particular academic department or unit. The details of an option to Retreat are memorialized in an Administrator's appointment letter, therefore, any concerns or questions about the candidate's qualifications must be raised before an offer is extended to the Administrator. in the department to which the finalist wishes to Retreat, so that they may evaluate the candidate's qualifications including, but not limited to, any obtainment of tenure at a prior institution, and also ensuring that should the option to Retreat be conferred, the details of the option to Retreat are memorialized in the Administrator's appointment letter should they be selected for hire.

Template letters for MPP and Executive appointments entitled "CSU Administrator Appointment Letter with Addendum for Retreat (MPP)" and "CSU Administrator Appointment Letter with Addendum for Retreat (Executive)" are attached to this policy. In closed executive searches, any option to retreat made at the time of appointment is conditioned on and subject to the terms that will be negotiated after that appointment is publicly announced. The Executive template letter should be used in connection with the appointment of university presidents or other closed executive searches. In such cases, the provost consults with the relevant department as described in the attached "Campus Guidance in Conferring the Option to Retreat to Campus Presidents."

Establishment of a Procedure for Conferring the Retreat

Campuses must adopt procedures for conferring the option to Retreat to a faculty position for qualified Administrator candidates. The procedure shall include: (a) early notification from the relevant search committee that a finalist is requesting an option to Retreat so as to ensure a timely conferral/appointment should the finalist be selected for hire; (b) the process for the recommendation of conferral of retreat by either the tenured faculty or a committee of tenured faculty to which by tenured faculty in the department to which the Administrator wishes to Retreat (augmented by tenured faculty from other departments when sufficient tenured faculty are not available in the department) and/or other representatives of the campus, as provided by the campus policy; and (c) final approval by the campus president or designee.

In granting the option to Retreat with tenure, serious consideration should be given to the candidate's education, background, teaching skills, scholarship, research and creative contributions and professionalism, to ensure that the candidate's qualifications are comparable to other CSU tenure-track faculty. all the factors set forth in paragraph III. A., above. AAs a general rule, administrator candidates who have earned and held a tenured position as a professor within the CSU or other comparable another equivalent (subject to campus process) -institution are ordinarily granted-be provided the option to Retreat to a position at their current faculty rank. tenured faculty position. Administrator candidates who have never earned or held a tenure-track position may be offered the option to Retreat to either a tenured or probationary faculty position on a case-by-case basis provided that during the campus tenure review process, they demonstrate a substantial record of achievement meriting such rank. While the option to Retreat is not ordinarily granted to non-academics, the option to Retreat to either a tenured or probationary faculty position may be granted on a case-by-case basis to Administrator candidates who have never earned or held a tenure-track position, provided they demonstrate a substantial record of achievement meriting such rank and receive the recommendation of the faculty committee and approval of the campus president or chancellor.

Memorialization of the Terms of Retreat

If the campus determines an Administrator candidate should be conferred an option to Retreat, the following terms of Retreat will must be placed in the official appointment letter for the administrative appointment:

- a. Acknowledgement of the faculty appointment and whether it is with or without tenure, and the rank to which the candidate will be appointed upon retreat. -
- b. Name of the department and college to which the candidate will be appointed upon exercising their option to Retreat.
- c. Faculty rank upon Retreat.
- d. Salary placement details to be applied upon Retreat. If an Administrator exercises their option to Retreat three years or more after the effective date of their appointment, their

salary may be set up to the highest paid professor in their rank in their department or the maximum pay rate for their rank, at the president's discretion. If an Administrator exercises their option to Retreat prior to serving three years in the administrative role, their salary at the time of Retreat must be in line with similarly ranked professors in the department/school to which they are Retreating.

~~e. Terms of administrative sabbatical (if provided) or paid time being granted upon Retreat to prepare for a return to teaching.~~

~~f.e.~~ The amount of noticetime the Administrator must provide notice to the university of their intent to elect to Retreat.

~~g.f.~~ Any additional terms agreed upon between the campus and the candidate relating to their Retreat and/or faculty appointment.

g. Notice of the potential ineligibility for the option to Retreat in the event of a Finding.

Terms of administrative leave should also be included in the appointment letter.

Determination of Ineligibility to Exercise the Option to Retreat

An Administrator will be found ineligible to exercise their option to Retreat when a Determination has been made that the Administrator is unsuitable to have continued direct interactions with CSU students or employees. The Determination is made at the time the Administrator requests Retreat. under the following circumstances: (1) a Finding (as defined above) has been made that resulted in the Administrator being non-retained, terminated, or separated through mutually agreed upon settlement terms; or (2) the Administrator's retirement benefits have been rescinded under The Public Employees' Pension Reform Act due to criminal misconduct associated with their official duties. The campus president or designee, in consultation with the university's Title IX Coordinator, Faculty Affairs, Human Resources or other administrators with relevant subject matter expertise, and if appropriate systemwide Human Resources, make this determination. Absent extenuating circumstances, it is presumed that a Finding that an Administrator engaged in sexual harassment in violation of CSU policy will render the Administrator unsuitable to have continued direct interaction with CSU students or employees.

Allegations or reports of misconduct for which ~~for which~~ no Findings have been made may should not serve as a basis for denying an option to Retreat. However, in the event a complaint or investigation that could result in a Finding is pending at the time that the Administrator announces their intention to exercise their option to Retreat, the Retreat determination shall be held in abeyance until the completion of any pendingthe investigation or Proceeding, including and any appeals. At the written request of the Administrator, the president, in consultation with the chancellor, (or in the case of the chancellor seeking to retreat, the board chair), may allow the Administrator to be placed on paid administrative leave pursuant to Section 42729 of Title 5 during the pendency of the investigation.

In the event there is no Finding or pending investigation at the time the request to Retreat is made, any decision to allow Retreat the campus shall be conditional for ~~defer making a final decision on the request to Retreat for~~ 60 days. If, during the 60-day period, a Proceeding is initiated or the campus initiates~~the campus initiates~~ an investigation that could result in a Finding, the Retreat determination shall be held in abeyance as set forth above until the completion of the investigation or Proceeding, including ~~and~~ any appeals, ~~which the~~ CSU will endeavor to complete the investigation or Proceeding in a timely fashion. ~~CSU~~ may also, at any time (including after Retreat), investigate and take appropriate action in connection with alleged misconduct committed by an employee while serving as an Administrator.

Notice of ineligibility to Retreat will be provided to the Administrator by the campus president, chancellor, or their designee, and to the chancellor by the board chair. ~~In such cases, CSU will not grant the option to Retreat nor provide a positive letter of reference to the Administrator. The university may provide employment verification for the Administrator, but it will consist only of the job titles held, dates of employment, and job duties, as outlined in CSU's employee reference policy. Notice of the potential ineligibility for the option to Retreat under these circumstances must be included in the Administrator's appointment letter.~~ For Administrators ~~who are~~ employed at the CSU Chancellor's Office, the chancellor or designee holds the responsibility for determining ineligibility ~~to exercise an option to~~ Retreat. In the case of the Chancellor or vice chancellor, the board of trustees ~~For the position of the chancellor, the board chair, in consultation with the board of trustees,~~ holds this responsibility.

When an Administrator is ineligible to Retreat, the university may not provide a positive reference or letter of recommendation. Human Resources may provide employment verification for the Administrator, however, consisting only of the job titles held, dates of employment, and job duties, as outlined in CSU's employee reference policy.

Notice of Election to Exercise the Option to Retreat

An Administrator who has been offered the option to Retreat in their appointment letter must notify the university of their intent to elect to Retreat within the time period set forth in the Administrator's appointment letter. At the time the Administrator notifies the campus of their election to Retreat, and the campus determines the Administrator's eligibility to Retreat, the campus and Administrator should discuss the transition plan for Retreat including the timing of Retreat and date on which the Retreat becomes effective and the Retreating faculty member's work assignment. Absent circumstances that would prevent the Administrator from doing so, they should normally Retreat and initiate their faculty appointment no later than six (6) months from the date on which the University was notified of the Administrator's intent to Retreat.

Procedure for Reconsideration of Denial of the Option to Retreat

Any campus decision to deny an Administrator's option to Retreat will be subject to reconsideration in accordance with Section 42728 of Title 5, California Code of Regulations, as may be set forth in campus policy.

Payment in Lieu of Notice Where MPP Employee Elects to Retreat

Pursuant to Section 42723(e) of Title 5, campuses are required to provide an MPP Employee notice of non-retention at least three months prior to the separation date or provide corresponding salary in lieu of notice.

Further, campuses are required to pay MPP Employees all earned and unpaid wages and accrued vacation on the last day of employment (separation date). In the event a campus chooses to exercise its right to non-retains an ~~MPP Employee or~~ Administrator who has the option to Retreat, the campus should ~~in order to avoid a premature separation, the campus should always~~ provide at least thirty (30) days notice whenever possible, so that the Administrator has sufficient time to convey intent to retreat. to exercise their option to Retreat prior to the effective date of non-retention. (The remainder of the non-retention period would be paid in salary in lieu of notice.)

Adopted November 16, 2022
Resolution RUF 11-22-18
CSU Board of Trustees

Campus Guidance:

Granting the Option to Retreat to Presidents

The option to retreat to a faculty position is granted to Administrators in either the M80 (MPP) or M98 (Executive) job classifications at the conclusion of their administrative appointment in alignment with CSU's Employment Policy Governing Administrator Employee's Option to Retreat. Due to the fact that presidents and other executives are recruited through a closed search process, the process for granting the option to exercise Retreat to Presidents differs from those of other administrators as follows:

1. **Timing:** While Systemwide policy requires that the option to retreat be granted and memorialized in an Administrator's appointment letters, maintaining the confidentiality of presidential searches means the option to retreat can only be granted after appointment.
2. **Appointment Letters:** Appointment letters for campus presidents should include conditional language indicating that the appropriate department faculty will be consulted within the first 90 days of the president's employment. Recommended template language is provided in Appendix A.
3. **Documentation:** Final approval to confer the option to retreat to campus presidents is documented in a memorandum from the campus designee (typically the Provost) to the president's personnel file. A copy of the memorandum is to be provided to the Chancellor's Office. Template language is provided in Appendix B.

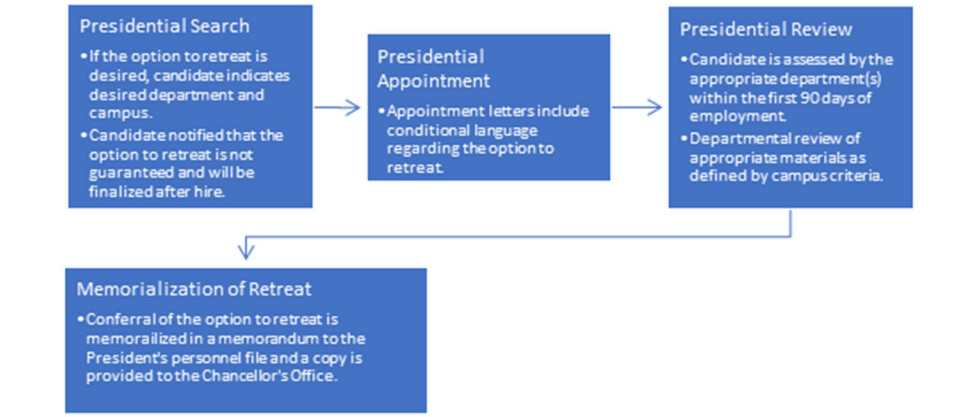
It is the responsibility of each CSU campus to develop processes around the conferral of the option to retreat to new presidents. Processes should establish:

1. A campus designee (typically the provost) responsible for ensuring compliance with campus process and systemwide policy.
2. Criteria for assessment for tenure including what materials will be provided by the candidate and reviewed by the department. Example criteria is provided in Appendix C.

If a department determines that it will not recommend offering a retreat option to a president, the campus designee should directly notify the Chancellor, who will work collaboratively systemwide to find an appropriate solution.

Additional template language is provided in Appendix D.

Sample Process



APPENDIX A

Recommended Template Language: Appointment Letter – Presidents

As discussed, subject to faculty consultation within the first 90 days of your appointment as President, you will be granted the option to request retreat to a tenured faculty position in the department of <DISCIPLINE> in the School of <SCHOOL> at <CAMPUS> pursuant to CSU Employment Policy Governing Administrator Employees' Option to Retreat (PolicyStat ID 12715152 -- <https://calstate.policystat.com/policy/12715152/latest>) and campus policy. The option to retreat is subject to the terms and conditions of these policies.

If you request the option to Retreat prior to serving three years, your salary at the time of Retreat will align with similarly ranked professors in the department/school to which you are retreating. If you request the option to Retreat three years or more after the effective date of your appointment, your salary may be set up to the maximum pay rate for your rank, at the discretion of the Board of Trustees.

<IF APPLICABLE> As discussed, <TERMS OF ADMINISTRATIVE LEAVE>. <ANY ADDITIONAL TERMS AGREED UPON BETWEEN THE CAMPUS AND THE CANDIDATE>.

APPENDIX B

Recommended Template: Memorandum Conferring the Option to Retreat

<CAMPUS LETTERHEAD>

MEMORANDUM

DATE: _____

FROM:

TO: _____

CC:

SUBJECT: Conferral of the Option to Retreat to President <NAME>

I am pleased to confirm that the department of <DEPARTMENT> in the School of <SCHOOL> at <CAMPUS> supports granting you the option to retreat to a faculty position as a <TENURED/NON TENURED> Professor.

The option to retreat is subject to the terms and conditions set forth in CSU's Employment Policy

Governing Administrator Employees' Option to Retreat (PolicyStat ID 12715152 --

<https://calstate.policystat.com/policy/12715152/latest>

APPENDIX C

Example Criteria for Assessing Candidates for Retreat

The option to retreat to a faculty position is granted to Administrators in either the M80 (MPP) or M98 (Executive) job classifications at the conclusion of their administrative appointment and in alignment with CSU’s Employment Policy Governing Administrator Employee’s Option to Retreat. At the request of the campus designee as outlined in established campus policies, tenured department faculty or a committee of tenured department faculty may be asked to evaluate Administrators for conferral of the option to retreat. Criteria departments may wish to consider, as well as those that should not be factored into their assessment, are as follows:

<u>Do Consider</u>	<u>Do Not Consider</u>
<u>Prior tenured position and institutional affiliation</u>	<u>Course Offerings</u>
<u>Education</u>	<u>Order of Assignments</u>
<u>Instructional Experience</u>	<u>Overlap with current faculty expertise</u>
<u>Publications</u>	<u>Departmental/ School Budget</u>
<u>Research or Creative Activities</u>	<u>Potential Retaliation (It is prohibited!)</u>
<u>Professional Expertise</u>	<u>Qualifications for the Administrative Position</u>
<u>Contributions to the Profession</u>	
<u>Discipline Specific Measures of Success</u>	
<u>Contributions to the Department</u>	

What is critical is that in assessing eligibility for retreat, the tenured faculty consider the candidate’s qualifications for a faculty position and not for the administrator position to which they are applying.

APPENDIX D
ADDITIONAL TEMPLATE LANGUAGE

The templates below are provided for campus use dependent on their established processes.

Memo: Chair to Dean

I, <name>, <title>, chair of the department of <DEPARTMENT> at <CAMPUS> confirm that a committee of tenured faculty reviewed the curriculum vitae and provided supplemental materials for <NAME MPP> <OPTIONAL and met with the candidate>.

After careful review the candidate's education, background, teaching skill, scholarship, research and creative contributions and professionalism, the tenured faculty/ a committee of tenured faculty voted on <DATE> <IN FAVOR OF/ AGAINST> recommending conferral to <MPP NAME> of the option to retreat to a faculty position at the rank of <PROPOSED RANK> <WITH/WITH OUT> tenure.

<If applicable>Our recommendation against conferring the option to retreat is based upon the following concerns:

Memo: Dean to President

Upon review of <MPP NAME> curriculum vitae and with the recommendation of the Department of <TITLE> in the <SCHOOL> at <CAMPUS>, I am pleased to recommend that <MPP NAME> be conferred the option to retreat to a faculty position as <RANK> of <DISCIPLINE> <WITH/WITHOUT> tenure.

The tenured faculty/ a committee of tenured faculty of the department of <TITLE> met on <DATE>. After careful review of the candidate's education, background, teaching skill, scholarship, research and creative contributions and professionalism, the department of <DEPARTMENT> voted in favor of conferring the option to retreat to <NAME OF MPP>. I <SUPPORT/DO NOT SUPPORT> their recommendation.

<CHOOSE ONE> The department and I would welcome <NAME’S> contributions to <CAMPUS> as an educator, scholar/practitioner/artist, and peer. <OR> After careful consideration, I have come to a different conclusion than the department for the following reasons: <EXPLANATION>

This memorandum is issued in alignment with CSU systemwide policy as outlined in Employment Policy Governing Administrator Employees’ Option to Retreat.

Appointment Letter - MPPs and Executives

As part of your administrative appointment, you are being granted an option to request retreat to a faculty position <WITH/WITHOUT> tenure at the rank of <RANK> in the Department of <DISCIPLINE> in the College/School of <COLLEGE/SCHOOL> at <CAMPUS>.

The option to Retreat is subject to the terms and conditions set forth in the attached document titled “Terms and Conditions Pertaining to Retreat” and the Employment Policy Governing Administrator Employees’ Option to Retreat (“Retreat Policy”). Upon notice of resignation or non-retention from your administrative position, you will have 30 days from notification to request in writing retreat. Requests to retreat may be denied in the event of the conditions as described in the Retreat Policy (<https://calstate.policystat.com/policy/12715152/latest>).

If you request the option to retreat prior to serving three years in the administrative role, your salary at the time of retreat will align with similarly ranked professors in the department/school to which you are retreating. If you request the option to retreat three years or more after the effective date of your appointment, your salary may be set up to the highest paid professor at your rank in the department or the maximum pay rate for your rank in their department, at the president's. <IF APPLICABLE> As discussed, <TERMS OF ADMINISTRATIVE LEAVE>. <ANY ADDITIONAL TERMS AGREED UPON BETWEEN THE CAMPUS AND THE CANDIDATE>.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Discussion Regarding Employment Policy Governing Employee References

Presentation By

Leora D. Freedman
Vice Chancellor
Human Resources

Summary

At the September 2023 meeting of the CSU Board of Trustees, during the Update on Civil Rights (Title IX and Nondiscrimination) Programs and Services, it was announced that Chancellor's Office staff plans to present recommended policy revisions to the Employment Policy Governing Employee References (the "Reference Policy") based on feedback from the California State Auditor (CSA) and the Joint Committee on Legislative Audit (JLAC).

Thereafter, at the November 2023 meeting, an information item was presented describing the issues raised in the feedback and the steps that will be taken to seek stakeholder input and propose revisions to the policy for the board's consideration.

This information item will present and describe the proposed revisions to the Employment Policy Governing Employee References.

The revised Reference Policy is provided in Attachment A to this agenda item. Attachment B displays the proposed revisions in markup view.

This item will be presented for action at the March 2024 meeting of the Board of Trustees.

“Revised”

Employment Policy Governing the Provision of Employee References

This policy governs the provision of oral and written references and recommendation letters (referred to collectively as references) for current and former CSU employees to prospective employers outside of the California State University.

Requests for references for CSU students (in their capacity as a CSU student and not as an employee) are not governed by this policy.

References Requested by Third Parties

CSU employees may provide references to employers outside of the CSU as follows. References may be official (on behalf of the University) or personal (on behalf of the employee personally). Before providing any reference, the employee who has been asked to provide the reference must notify the campus Human Resources/Faculty Affairs departments of the request so that an appropriate administrator from the department may review and authorize the reference.

Official Letters of Recommendation

Prior to authorizing the release of a reference, an administrator in the campus Human Resources/Faculty Affairs department shall review the employee's personnel file and inquire with the campus Title IX/DHR office as to whether the employee for whom the reference is being requested is the subject of a pending complaint (or appeal) or has been found to have violated the [CSU Nondiscrimination Policy](#). CSU employees may not provide any official reference, either verbally or in writing, regarding a current or former CSU employee who: (i) is subject to a finding that the CSU employee has engaged in misconduct (as those terms are defined below) that resulted in the employee being non-retained, terminated, or is separated through mutually agreed upon settlement terms; (ii) is currently under investigation for misconduct or violation of university policy or left the university while the investigation was pending; or (iii) has had their retirement benefits rescinded under The Public Employees' Pension Reform Act due to criminal misconduct associated with their official duties. In such cases, Human Resources/Faculty Affairs shall inform the third party requesting the reference of CSU's employee reference policy and provide an employment verification only, as set forth below, for the current or former CSU employee.

Misconduct includes: (a) a violation of CSU's Nondiscrimination Policy or other CSU policy; (b) improper governmental activity, which is defined by the state of California as an action that violates state or federal law or regulation, that is economically wasteful, or that involves gross misconduct, incompetence, or inefficiency; (c) an act or threat of violence; or (d) unprofessional conduct.

A finding includes, but is not limited to: (a) a final internal report, following any appeals, resulting

from an investigation conducted by the university; (b) an internal or external audit; (c) an administrative decision by a state body having such jurisdiction, such as the Department of Labor, etc.; (d) a civil or criminal judgment, following any appeals; or (e) admission of any of the above-referenced misconduct by the employee.

In addition, employees found to have engaged in sexual harassment or other misconduct in violation of CSU's Nondiscrimination policy shall not receive a positive letter of reference even if they were not separated from employment at the CSU as a result of the finding. However, at an employee's written request, the CSU may provide a written reference provided that the writing acknowledges the fact, nature and timing of the finding of misconduct. Although employees might not request a reference that discloses a policy violation, giving employees the agency and opportunity to address with potential future employers their personal growth and development over time aligns with the CSU's mission as an educational institution committed to fostering learning and improvement.

Employment Verifications for Current or Former Employees

Employment verifications for current or former employees must always be directed to the Campus Human Resources Department. It is the CSU's policy to provide minimal information about current or former employees to non-CSU entities for employment verification purposes. Campus Human Resources Departments shall provide only the current or former employee's job title(s), dates of employment, and job duties.

Current or former CSU employees may authorize the release of their salary information, typically for the purpose of credit evaluation, as part of the employment verification process. Such requests must be referred to the Campus Human Resources Department who will only release salary information to a requesting third party/institution after receiving the current or former employee's written authorization.

Personal References

Personal references are permissible. Such references should make clear that they are being provided in an individual capacity and not on behalf of the CSU. Any references made outside Human Resources or Faculty Affairs is considered personal and must be expressly noted by the person giving the reference. Personal references by current presidents, executives, or other university officers in senior administrative positions (MPP Administrator III and IV) are often perceived as being official references by virtue of their position of authority. Such individuals must therefore consult with Human Resources or Faculty Affairs to determine whether a personal reference for an employee is appropriate under the circumstances.

References Requested Within CSU

The CSU operates as a single employer and has the right and responsibility to share information across its campuses and departments about employees, including senior leaders. Shared

information must be accurate and specific to the employee's work performance and job history. Former or current supervisors must always provide, if requested to do so, information to other CSU campuses and departments and may not withhold any relevant information related to the work performance of the current or former employee. Communications between campuses must always be truthful, accurate, job-related, candid, and unbiased.

Campuses are further reminded that they must comply with CSU's Recruitment and Hiring Guidelines for MPP and Staff (non-represented and represented) Positions, Technical Letter HR/Appointments 2013-03, and to fully cooperate with other campuses in providing information within CSU.

Adopted DATE
Resolution RUFPP 07-22-11, RUFPP xx-xx-xx
CSU Board of Trustees

DRAFT

**“Proposed Revision Markups”
Employment Policy Governing the Provision of Employee References**

~~CSU recognizes that reference checking is an important part of the search and hiring process. It further recognizes that many CSU employees are asked, on occasion, to provide positive references for former or current colleagues and/or direct reports. This policy is intended to outline the principles and procedures guiding the provision of such references, given the legal liability associated therewith. Specifically, it is the guiding principle of CSU to demonstrate integrity in all matters and not to provide positive letters of reference for employees who have engaged in significant misconduct, including a serious CSU policy violation.¹~~

This policy governs the provision of oral and written references and recommendation letters (referred to collectively as references) for current and former CSU employees to prospective employers outside of the California State University.

Requests for references for CSU students (in their capacity as a CSU student and not as an employee) are not governed by this policy.

References Requested by Third Parties

~~CSU employees may provide receive references requests from third parties to employers outside of the CSU as follows. References provided to third parties may be official (on behalf of the University) or personal (on behalf of the employee personally). Before providing any reference, the employee who has been asked to provide the reference ~~Employees receiving requests for references from third parties must confer with their~~ must notify the Ccampus Human Resources/ (staff, student, and/or management employees) or Faculty Affairs (faculty employees) Ddepartments of the request for review or response as there may be relevant so that an appropriate administrator from the department may review and authorize the reference. ~~information for which the employee being asked to provide the reference is unaware.~~~~

Official Letters of Recommendation

~~CSU employees who are asked to provide letters of recommendation or other formal requests for reference for current/former colleagues or direct reports on behalf of the CSU must confer with their campus Human Resources or Faculty Affairs Departments prior to responding to the request or provide their finalized letter of recommendation to Campus Human Resources or Faculty Affairs for their review prior to submission. Campus Human Resources/Faculty Affairs shall review the employee's personnel file as well as inquire of the campus Title IX/DHR office as to whether the employee for whom the reference is being requested has had findings against them in the past or if there are any investigations/appeals pending.~~

Prior to authorizing the release of a reference, an administrator in the campus Human Resources/Faculty Affairs department shall review the employee's personnel file and inquire with the campus Title IX/DHR office as to whether the employee for whom the reference is being requested is the subject of a pending complaint (or appeal) or has been found to have violated the CSU Nondiscrimination Policy.

CSU ~~employees may will~~ not provide any official ~~positive letters of recommendation or~~ reference, either verbally or in writing, ~~regarding for~~ a current or former CSU employee who: (i) is subject to a finding* that the CSU employee has engaged in misconduct (as those terms are defined below) that resulted in the employee being non-retained, terminated, or is separated through mutually agreed upon settlement terms; (ii) is currently under investigation for misconduct or violation of university policy or left the university while the investigation was pending; or (in abeyance until the completion of the investigation and any appeals); ~~or~~ (iii) has had their retirement benefits rescinded under The Public Employees' Pension Reform Act due to criminal misconduct associated with their official duties. In such cases, Human Resources/Faculty Affairs shall inform the third party requesting the reference of CSU's employee reference policy and provide an employment verification only, as set forth below, for the current or former CSU employee.

Misconduct includes: (a) a violation of CSU's Nondiscrimination Policy or other CSU policy; (b) improper governmental activity, which is defined by the state of California as an action that violates state or federal law or regulation, that is economically wasteful, or that involves gross misconduct, incompetence, or inefficiency; (c) an act or threat of violence; or (d) unprofessional conduct.

*A finding includes, but is not limited to, (a) a final internal report, following any appeals, resulting from an investigation conducted by the university; (b) an internal or external audit; (c) an administrative decision by a state body having such jurisdiction, such as the Department of Labor, etc.; (d) a civil or criminal judgment, following any appeals; or (e) a finding of improper governmental activity, which is defined by the State of California as any action that violates state or federal law or regulation; that is economically wasteful; or that involves gross misconduct, incompetence, or inefficiency; or (ef) admission of any of the above-referenced misconduct by the employee.

In addition, employees found to have engaged in sexual harassment or other misconduct in violation of CSU's Nondiscrimination policy shall not receive a positive letter of reference even if they were not separated from employment at the CSU as a result of the finding. However, at an employee's written request, the CSU may provide a written reference provided that the writing acknowledges the fact, nature and timing of the finding of misconduct. Although employees might not request a reference that discloses a policy violation, giving employees the agency and

opportunity to address with potential future employers their personal growth and development over time aligns with the CSU's mission as an educational institution committed to fostering learning and improvement.

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Current or former CSU employees may ~~additionally~~ authorize the release of their salary information, typically for the purpose of credit evaluation, as part of the employment verification process. Such requests must be referred to the Campus Human Resources Department who will only release salary information to a requesting third party/institution after receiving the ~~with the~~ current or former employee's written authorization ~~to do so~~.

Personal References

Personal references are permissible. Such references should make clear that clearly identify that they are being provided in an individual capacity and not on behalf of the CSU. Any references made outside Human Resources or Faculty Affairs is, and where no check in or review with Human Resources or Faculty Affairs has occurred, will be considered personal and must be expressly noted by the person giving the reference. ~~not to have been provided on behalf of the CSU.~~

Personal ~~References~~ by current presidents, executives, or other university officers in senior administrative positions (MPP Administrator III and IV) are often ~~may be~~ perceived as being official references provided on behalf of the University by virtue of their position of authority. Such individuals must therefore ~~also~~ consult with Human Resources or Faculty Affairs to determine whether a personal reference for an employee is ~~letters of recommendation or positive references are~~ appropriate under the circumstances. ~~and may be provided, even in their personal capacity.~~

References Requested Within CSU

The CSU operates as a single employer and has the right and responsibility to share information across its campuses and departments about employees, including senior leaders. Shared information must be accurate and specific to the employee's work performance and job history. Former or current supervisors must always provide, if requested to do so, information to other CSU campuses and departments and may not withhold any relevant information related to the

work performance of the current or former employee. Communications between campuses must always be truthful, accurate, job-related, candid, and unbiased.

Campuses are further reminded that they must comply with CSU's Recruitment and Hiring Guidelines for MPP and Staff (non-represented and represented) Positions, Technical Letter HR/Appointments 2013-03, and to fully cooperate with other campuses in providing information within CSU.

Adopted DATE
Resolution RUF 07-22-11, RUF xx-xx-xx
CSU Board of Trustees

Endnotes

- ~~1. This policy addresses requests for references for CSU employees only. Requests for references for CSU students, outside of any student employment context, may be addressed in a separate policy.~~

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Update on Civil Rights (Title IX and Other Nondiscrimination) Programs and Services

Presentation By

Leora D. Freedman
Vice Chancellor
Human Resources

Summary

The Board of Trustees will be presented with a report on the university's Civil Rights (Title IX and other nondiscrimination) programs and services.

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

Employee Relations: Discussion Regarding Response to Other Conduct of Concern

Presentation By

Leora D. Freedman
Vice Chancellor
Human Resources

Summary

At the November 2023 meeting of the CSU Board of Trustees, during the Update on Civil Rights (Title IX and Nondiscrimination) Programs and Services, it was announced that Chancellor's Office staff would prepare guidance about how members of the CSU community should report – and how the university will respond – to unprofessional behavior and other forms of harmful conduct that do not violate CSU's Non-Discrimination Policy. This behavior is referred to as "Other Conduct of Concern."

This information item will include an update on the status of the guidance regarding "Other Conduct of Concern, including a summary of underlying principles, and an outline of key elements of the guidance and next steps.

TRUSTEES OF THE CALIFORNIA STATE UNIVERSITY

**California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, CA 90802**

Wednesday, January 31, 2024

Presiding: Wenda Fong, Chair

3:30 p.m.*

Board of Trustees

Dumke Auditorium

Call to Order

Roll Call

Consent

Action 1. Approval of the Minutes of the Board of Trustees Meeting of November 7, 2023 and November 8, 2023

Action 2. Approval of Committee Resolutions as follows:

Committee on Governmental Relations

3. Sponsored State Legislation for 2024

Committee on Institutional Advancement

2. Naming of the Braddock Center for Science and Innovation – California State University, East Bay
3. Annual Report on Donor Support for 2022-2023

Committee on Finance

2. Approval to Issue Debt for Affordable Housing at California State University, Dominguez Hills
3. Approval of Third-Party Financing at California Polytechnic State University, San Luis Obispo

Joint Committee on Educational Policy and Finance

2. Chancellor’s Strategic Workgroup on Financial Aid

Joint Committee on Finance and Campus Planning, Buildings and Grounds

2. California State University, Sacramento Placer Center Master Plan, Environmental Impact Report and Partnership Approval

*The Board of Trustees is a public body, and members of the public have a right to attend and participate in its meetings. This schedule of meetings is established as a best approximation of how long each scheduled meeting will take to complete its business. Each meeting will be taken in sequence, except in unusual circumstances. Depending on the length of the discussions, which are not possible to predict with precision in advance, the scheduled meeting times indicated may vary widely. For two-day meetings, items scheduled for one day may be heard either the day before or the day after depending upon the time spent on each matter. The public is advised to take this uncertainty into account in planning to attend any meeting listed on this schedule.

Agendas, notices, and information on addressing the Board of Trustees may be found on Board of Trustees website: <https://www.calstate.edu/csusystem/board-of-trustees/Pages/default.aspx> .

Committee on Campus Planning, Buildings and Grounds

2. California Polytechnic State University, Humboldt Engineering and Technology Commons Schematic Design
3. California State University, Long Beach Master Plan Final Environmental Impact Report and Enrollment Projection Increase
4. California Polytechnic State University, San Luis Obispo Wastewater Reclamation Facility Environmental Impact Report and Master Plan Revision

Committee on University and Faculty Personnel

2. Executive Compensation: Vice Chancellor for External Relations and Communications – California State University

MINUTES OF THE MEETING OF THE BOARD OF TRUSTEES

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 7, 2023

Trustees Present

Wenda Fong, Chair
Jack Clarke, Jr., Vice Chair
Larry L. Adamson
Diana Aguilar-Cruz
Diego Arambula
Raji Kaur Brar
Douglas Faigin
Jean Picker Firstenberg
Mark Ghilarducci
Leslie Gilbert-Lurie
Lillian Kimbell
Julia I. Lopez
Jack McGrory
Jonathan Molina Mancio
Anna Ortiz-Morfit
Yammilette Rodriguez
Lateefah Simon
Christopher Steinhauser
Jose Antonio Vargas
Darlene Yee-Melichar
Mildred García, Chancellor
Lieutenant Governor Eleni Kounalakis

Chair Wenda Fong called the meeting of the Board of Trustees to order.

Public Comment

In an effort to provide accessibility and flexibility for the public, all public comment took place at the beginning of open session prior to all committees.

The board heard from the following individuals who provided public comment in person: Vincent Jared Rasso; Amy Parker, CSUF; Matthew Armas, Cal Poly San Luis Obispo; Jason Rabinowitz; Drew Scott; Carlos Sanchez; Chris Rooney; Dave Unger; Spence Owen; Dennis Sotomayor; Kevin Wehr; Steven Filling, Stanislaus State; Sam Heller; Antwon Taylor; Sophia Lazala; Daniel Villafan; Raymond Gonzalez; Garrett Dasigan, Cal Maritime; Courtland Briggs, CI; Angelmarie Taylor, CI; Joshua Gallardo, CSUN; Jennifer Chavez, CSULB; Anita Rangel, Cal State LA; Marcia Moran, Cal State LA; Vaughn Wilbur, CSUF; Jensen Walsh, CSUF; Josue García, CPP; Gideon Ondap; Char Austria, CSULB; Taylor Thomas, CSUB; Elianna Pena, CSULB; Olive Solares, CSUF; Zavanna Jackson, CSULB; Sam Lin; Saba Houshangi, CSULB; Keily Escalante, CSULB; Fatima Zeferino, CSULB.

The board also heard from the following individuals who provided public comment virtually: Matt Mason, CSUS; Aaron Flores, SJSU; Chinazo Okey-Dike; Ingeborg Kisbye; Daniel Clayton; Mary Mangubat, CPH; Zoe Reed, CPH; Aubrey Rany, Chico State; Aliyah Ben-Joseph, Chico State; Sam Parker, CPH; Nolan Quezon Calara, CSUEB; Jessica Westbay; Tessy Reese; Don Moreno; Dawn McCulley; Hailey Corum, CSUEB.

Chair's Report

Chair Fong's report is available online at the following link: <https://www.calstate.edu/csu-system/board-of-trustees/reports-of-the-chair/Pages/november-2023.aspx>

Report of the California State University Alumni Council

Alumni Council President John Poli's report is available online at the following link: <https://www2.calstate.edu/impact-of-the-csu/alumni/council/board-of-trustee-reports/Pages/default.aspx>

Report of the Academic Senate CSU

CSU Academic Senate Chair Beth A. Steffel's report is available online at the following link: <https://www2.calstate.edu/csu-system/faculty-staff/academic-senate/Pages/ASCSU-Chairs-Report.aspx>

Report from the California State Student Association

CSSA President Dominic Quan Treseler's report is available online at the following link: <https://www.calstatestudents.org/public-documents/#president>

Chancellor's Report

Chancellor Mildred García's report is available online at the following link: <https://www.calstate.edu/csu-system/board-of-trustees/chancellor-reports/Pages/november-7-2023.aspx>

MINUTES OF THE MEETING OF BOARD OF TRUSTEES

**Trustees of the California State University
Office of the Chancellor
Glenn S. Dumke Auditorium
401 Golden Shore
Long Beach, California**

November 8, 2023

Trustees Present

Wenda Fong, Chair
Jack Clarke, Jr., Vice Chair
Larry L. Adamson
Diana Aguilar-Cruz
Raji Kaur Brar
Douglas Faigin
Jean Picker Firstenberg
Leslie Gilbert-Lurie
Lillian Kimbell
Julia I. Lopez
Jack McGrory
Jonathan Molina Mancio
Anna Ortiz-Morfit
Yammilette Rodriguez
Lateefah Simon
Jose Antonio Vargas
Darlene Yee-Melichar
Mildred García, Chancellor
Lieutenant Governor Eleni Kounalakis

Chair Fong called the meeting of the Board of Trustees to order.

Consent Agenda

Prior to the approval of the consent agenda, Chair Fong acknowledged President Leroy Morishita's last board meeting and thanked him for his service as interim president at Cal State LA. No further items were removed from the consent agenda. Chair Fong called for a motion to approve all items listed on the consent agenda. There was a motion and a second.

The minutes of the meeting of September 12, 2023, and September 13, 2023, were approved as submitted.

The Board of Trustees approved the following resolutions:

COMMITTEE ON UNIVERSITY AND FACULTY PERSONNEL

**Annual Report on Outside Employment for Senior Management Employees
(RUF 11-23-17)**

RESOLVED, by the Board of Trustees of the California State University, that the 2022 Senior Management Outside Employment Disclosure Report, as cited in Item 2 of the Committee on University and Faculty Personnel at the November 7-8, 2023 meeting of the Board of Trustees, is approved.

**Exemption from Post-Retirement Employment Waiting Period
(RUF 11-23-18)**

RESOLVED, by the Board of Trustees of the California State University, that Dr. Jolene Koester is exempt from the 180-day waiting period and is eligible to be employed as a retired annuitant following the date this resolution is approved and the submission of the documentation to CalPERS is completed; and be it further

RESOLVED, that item 4 of the Committee on University and Faculty Personnel at the November 7-8, 2023 meeting of the Board of Trustees, is approved.

COMMITTEE ON GOVERNMENTAL RELATIONS

**Endorsement of the Fresno County Ballot Initiative
(RGR 11-23-03)**

RESOLVED, by the Board of Trustees of the California State University, that the Board of Trustees endorse the Fresno County Measure E (Fresno State Improvement Zone) ballot initiative.

COMMITTEE ON FINANCE

**Approval to Issue Debt for Affordable Housing at California State University San Marcos
(RFIN 11-23-12)**

Orrick, Herrington & Sutcliffe LLP, as outside bond counsel, prepared resolutions distributed to the Board prior to this meeting that authorize interim and permanent financing for the Project described in this agenda. The proposed resolutions will achieve the following:

1. Authorize the sale and issuance of the Trustees of the California State University Systemwide Revenue Bonds, and/or the sale and issuance of related Systemwide Revenue Bond Anticipation Notes, and/or the issuance of related debt instruments, including shorter term debt, variable rate debt, floating rate loans placed directly with banks, or fixed rate loans placed directly with banks, in an aggregate amount not-to-exceed \$39,225,000 and certain actions relating thereto.
2. Provide a delegation to the chancellor; the executive vice chancellor and chief financial officer; the assistant vice chancellor, Financial Services; and the assistant vice chancellor, Financing, Treasury, and Risk Management; and their designees to take any and all necessary actions to execute documents for the sale and issuance of the bond anticipation notes, the revenue bonds, and the related debt instruments.

Approval of the financing resolutions for this project as described in this Agenda Item 2 of the Committee on Finance at the November 7-8, 2023, meeting of the CSU Board of Trustees is recommended for:

California State University San Marcos University Village Housing and Dining Project

**Approval of 2024-2025 Lottery Budget and Report
(RFIN 11-23-13)**

RESOLVED, by the Board of Trustees of the California State University, that the 2024-2025 lottery budget totaling \$70 million be approved for implementation by the chancellor, with the authorization to make transfers between components of the lottery budget and to adjust expenditures in accordance with receipt of lottery funds; and be it further

RESOLVED, that the chancellor is hereby granted authority to adjust the 2024-2025 lottery budget approved by the Board of Trustees to the extent that receipts are greater or less than budgeted revenue to respond to opportunities or exigencies; and be it further

RESOLVED, that a report of the 2024-2025 lottery budget receipts and expenditures be made to the Board of Trustees.

**Approval of an Auxiliary Organization Financing at California State University,
Sacramento(RFIN 11-23-14)**

RESOLVED, by the Board of Trustees of the California State University, that the request from University Enterprises, Inc. to obtain a loan in the amount of \$3,900,000 with the key terms as described herein is approved.

**JOINT COMMITTEE ON FINANCE AND
CAMPUS PLANNING, BUILDINGS AND GROUNDS**

**Approval of a Public-Private Partnership Residential and Retail Project at San Diego
State University—Mission Valley
(RFIN/CPBG 11-23-02)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The development of the Mission Valley Residential and Retail Project #1 through a public-private partnership at San Diego State University, as described in Agenda Item 2 of the November 7-8, 2023 meeting of the Joint Committee

- on Finance and Campus Planning, Buildings and Grounds, is approved, and that the chancellor, the executive vice chancellor and chief financial officer, and their designees are authorized to execute the agreements necessary to complete the transaction.
2. The Mission Valley Residential and Retail Project #1 is consistent with the Campus Master Plan approved in January 2020.
 3. The Mission Valley Residential and Retail Project #1 will benefit the California State University.
 4. The 2023-2024 Capital Outlay Program is amended to include \$328,326,000 for preliminary plans, working drawings, and construction for the Mission Valley Residential and Retail Project #1.
 5. The schematic plans for the Mission Valley Residential and Retail Project #1 are approved at a project cost of \$328,326,000 at CCCI 10461.

**Approval of a Public-Private Partnership Affordable Housing Project at San Diego State University—Mission Valley
(RFIN/CPBG 11-23-03)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The development of the Mission Valley Affordable Housing Project #1 through a public-private partnership at San Diego State University, as described in Agenda Item 3 of the November 7-8, 2023 meeting of the Joint Committee on Finance and Campus Planning, Buildings and Grounds, is approved, and that the chancellor, the executive vice chancellor and chief financial officer, and their designees are authorized to execute the agreements necessary to complete the transaction.
2. The San Diego State University Affordable Housing Development at Mission Valley is consistent with the Mission Valley Campus Master Plan approved in January 2020.
3. The project will benefit the mission of the California State University.

4. The 2023-2024 Capital Outlay Program is amended to include \$147,120,000 for preliminary plans, working drawings, and construction for the San Diego State University Affordable Housing Development at Mission Valley.
5. The schematic plans for the San Diego State University Affordable Housing Development at Mission Valley are approved at a project cost of \$147,120,000 at CCCI 10461.

COMMITTEE ON CAMPUS PLANNING, BUILDINGS AND GROUNDS

**California State University, Fresno Affordable Student Housing Schematic Design Approval
(RCPBG 11-23-09)**

RESOLVED, By the Board of Trustees of the California State University, that:

1. The California State University, Fresno Affordable Student Housing project will benefit the California State University.
 2. The California State University, Fresno Affordable Student Housing project qualifies for a categorical exemption from CEQA and a Notice of Exemption shall be filed following project approval (Guidelines § 15062(a)).
 3. Applicable mitigation measures adopted in conjunction with Campus Master Plan approval and EIR certification in 1994 shall be implemented, monitored, and reported in accordance with the requirements of CEQA (Cal. Pub. Res. Code § 21081.6).
 4. The schematic plans for the California State University, Fresno Affordable Student Housing project are approved at a project cost of \$58,750,000 at CCCI 8287.
-

**San Diego State University, Imperial Valley - Brawley Sciences Building Project
Schematic Design Approval
(RCPBG 11-23-10)**

RESOLVED, by the Board of Trustees of the California State University, that:

1. The IS/MND was prepared to evaluate the environmental effects of the Brawley Sciences Building project and confirms that the project will not result in new significant impacts that cannot be mitigated, pursuant to the requirements of CEQA (Public Resources Code Section 21000 et seq.) and the CEQA Guidelines. The IS/MND is consistent with the assumptions in and is properly tiered from, the 2003 SDSU Imperial Valley Master Plan Project EIR and has been completed in compliance with CEQA (Public Resources Code 20180(d) and (e)) and the CEQA Guidelines Section 15074.
 2. This resolution is adopted pursuant to the requirements of Public Resources Code Section 21081 and CEQA Guidelines Section 15091, which require that the Board of Trustees make findings regarding significant project effects prior to the approval of a project.
 3. The Board of Trustees finds that the IS/MND reflects its independent judgment and analysis and hereby adopts the IS/MND, approves the project, and reaffirms prior adoption of the Findings of Fact and Mitigation Monitoring and Reporting Program prepared for the 2003 SDSU Imperial Valley Master Plan Project EIR.
 4. Mitigation measures contained within the 2003 SDSU Imperial Valley Master Plan Project EIR Mitigation Monitoring and Reporting Program that are applicable to the Brawley Sciences Building project are hereby incorporated by reference and shall be monitored and reported in accordance with the requirements of CEQA (Public Resources Code Section 21081.6).
 5. The Brawley Sciences Building project will benefit the California State University.
 6. The schematic plans for the Brawley Sciences Building project are approved at a project cost of \$80,000,000 at CCCI 8287.
-

Discussion Agenda

Chair Fong introduced item 3 of the discussion agenda for the Conferral of Commendation on Billie Jean King. Chair Fong and Chancellor García made remarks commending Billie Jean King's accomplishments, values, and contributions to the California State University and her broader impact both as an athlete and activist on the world. Trustee Firstenberg added to the remarks highlighting King's activism and role in championing gender equity. Following video remarks from Billie Jean King thanking the board for this recognition, Chair Fong called for a motion and a second. The resolution was approved unanimously.

Chair Fong introduced the final item, the Conferral of the Title of President Emerita on Lynette Zelezny. Chancellor García provided remarks thanking President Zelezny for her many years of dedication and service to the CSU. Prior to the motion, President Zelezny provided final remarks thanking the board and the CSU for this honor. Chair Fong called for a motion and a second. The resolution was approved unanimously.

BOARD OF TRUSTEES

Conferral of Commendation – Billie Jean King (RBOT 11-23-12)

RESOLVED, by the Board of Trustees of the California State University, that this board commends Billie Jean King for her longstanding, impactful and renowned work and service in support of the California State University and the ideals and core values for which the university system stands.

Conferral of the Title of President Emerita – Lynette Zelezny (RBOT 11-23-13)

RESOLVED, by the Board of Trustees of the California State University, that this board confers the title of President Emerita on Lynette Zelezny, with all the rights and privileges thereto.
